# IMPERIAL COLLEGE LONDON

# BSC PROJECT

# Complex Processes and 3D Printing

Author:
Dominic Reiss

 $Supervisor: \\ \text{Dr. Tim EVANS} \\ Partner: \\ \text{Joshua PRICE}$ 

### Acknowledgement

I would like to express my special gratitude to my supervisor, Dr. Tim Evans, for motivation and guidance throughout the project, as well as my project partner, Joshua Price, for his hard work and excellent cooperation.

I would also like to thank the Imperial College Robotics Society, especially Thomas Clayson and Aksat Shah, for allowing us to use their Reprap 3D printer and for offering their expert advice on approaches to 3D printing.

#### Abstract

A process which converts 2D evolving cellular automata into 3D printed objects was designed. The process takes into account the limitations inherent to 3D printing processes and materials and bypasses the use of CAD software and manual part design. Alongside the process itself, a software which automates the greater part of this process was developed. A physical model of a cellular automaton was successfully printed and suggests that in the future a process similar to the one developed in this project may be used to convert physics models into 3D objects.

# Contents

1	Intr	ntroduction and Background 1		
	1.1	Overv	iew of 3D Printing Technologies	1
		1.1.1	Recent History and Advances	1
		1.1.2	Common 3D Printing Processes	2
		1.1.3	Common 3D Printing Materials	3
		1.1.4	Limitations of Processes and Materials	3
		1.1.5	Part Design	4
		1.1.6	STL Files	4
	1.2		iew of Cellular Automata	5
		1.2.1	Definition and Basic Properties	5
		1.2.2	Deterministic Models	5
		1.2.3	Probabilistic Models	6
		1.2.4	Physical Relevance	7
2	Mo	tivatio	n and Objectives	7
3	Ant 3.1 3.2 3.3	Suppo	ed Obstacles and Proposed Solutions ort Constraint	
4	Equ	ıipmen	t and Technologies	9
5	Des 5.1 5.2 5.3	Lattice Lattice	e to Polyhedron Conversion	11 12 12
6	Ach	nievem	ents and Results	12
7	Une	expecte	ed Issues and Potential Solutions	14
8	Extensions and Future Work			15
9	Cor	Conclusion 1		

# List of Figures

1	An example of emergent 3D printed art: Fractal.MGX
2	The FDM extrusion process
3	Example of a threshold overhanging angle
4	Non-manifold edge
5	An example of a small lattice gas
6	Probabilistic nature of forest fire model
7	3D array of cubes visualisation
8	Layers with little to no overlap
9	Layers with half overlap
10	Stretched overlapping cubes
11	Smoothed overlapping cubes
12	Examples of options available in the GUI
13	Evolution of a cellular automaton in the GUI
14	3D example of 2D lattice gas expanding in time
15	Rough and smooth 3D representations of a modified forest fire
16	Top-down view of a modified forest fire
17	Final printed modified forest fire
18	Underside of model shows unexpected offset

## 1 Introduction and Background

### 1.1 Overview of 3D Printing Technologies

#### 1.1.1 Recent History and Advances

3D printing, also known as additive manufacturing, is the process of constructing a three dimensional object through applications of successive layers of material. Additive manufacturing technologies are distinct when compared to processes such as machining, which remove material to create features and are known as subtractive processes [1]. While machining has been used in factories for decades, additive manufacturing techniques bring to the table benefits such as easy prototyping, increased geometric complexity, and versatility in design.

Charles Hull is often called the 'Father of 3D printing' as he invented stereo-lithography in 1984. In the three decades since then major advances have been made and several new techniques have been developed [2]. In 2010, the additive manufacturing industry was estimated at \$1.3B and this valuation only expected to increase in the near future. These increases have led many companies and governments to invest in research and development of 3D printing technologies [3]. Open source collaboration as well as a significant price decrease of the technology has ensured that 3D printing is becoming one of the most exciting and quickest growing technologies for the present and the future.

Additive manufacturing is a flexible process, able to produce many geometries in a wide range of materials, and in turn has a large number of applications. Rapid prototyping is a technique now used in the design stage of many products, in which designers use additive manufacturing processes to quickly produce scale models of prototype designs at reasonable cost [1]. Another emerging use for additive manufacturing is direct digital manufacturing, where manufacturers use 3D printing to produce end-user products with benefits of easy customization and not having to produce inventory until an order is placed [4]. 3D printing has become a tool for hobbyists to create customized parts easily without having to buy them externally. 3D printing has also been used to produce 3D art, many examples of which can be seen at the 3D Printshow which tours some of the biggest cities in the world [5]. 3D art has also began making an appearance in art museums, a prime example of which is the piece Fractal.MGX recently acquired by the V&A Museum in London, depicted in figure 1 [6].



**Figure 1:** An example of emergent 3D printed art, titled Fractal.MGX, can be seen on display in the V&A museum in London. The reaches of additive manufacturing to areas such as art only reinforces the idea of its diversity in application. (Image taken from [6].)

#### 1.1.2 Common 3D Printing Processes

There are four common types of 3D printing processes, each of which have fundamentally different ways of building up layers of material.

• Extrusion Deposition: This method normally consists of the deposition of melted thermoplastic in layers. Most commonly a heated moveable bed is placed underneath a heated nozzle which extrudes molten plastic onto the bed. Directions from a computer tell the nozzle how much to extrude and how the bed and nozzle should move with respect to each other in order to produce the desired part. The most common form of extrusion deposition is called Fused Deposition Modeling (FDM), depicted in figure 2, which can create layers as thin as 0.04mm. FDM is currently one of the most popular 3D printing methods as it is very affordable, easy to install, and creates quite complex geometries. The major disadvantage of FDM is that printing large overhangs is often not possible and requires support structures. [1] [7]

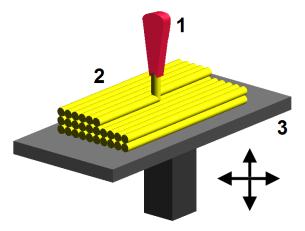


Figure 2: The FDM extrusion process. 1 - Nozzle extruding molten plastic. 2 - Layers of material. 3 - Moveable heated bed. (Image taken from [8].)

- Granular Materials Binding: This method fuses granular materials layer by layer until the structure is built up. To do this a layer of granular material is spread evenly over a bed. Selected sections of this granular layer are fused by complete or partial melting, using a laser or heating element. This process is repeated to form the entire object. The most common form of granular materials binding is known as selective laser sintering (SLS), in which a laser is used to fuse the granular particles. SLS can use a wide range of granular materials, including types of plastics, metals, ceramics, and glass, and can produce structures with a high geometric complexity and significant overhangs. The disadvantages of SLS include the resolution of the object being limited to the material's granule size. [1] [9]
- Laminated Object Manufacturing (LOM): This process involves layer-by-layer lamination of sheets of material. Each layer is cut using a CO<sub>2</sub> laser, removing the unwanted material. The most common material for LOM is paper, coated on one side by a thermoplastic. LOM was very popular in the 90s when it was used commercially, however its popularity is now waning with the emergence of FDM and SLS. [1]
- Photo-polymerisation: This class of processes exposes photo-polymers to radiation (typically ultraviolet). The radiation triggers a chemical reaction within the material, causing the material to become solid. Stereo-lithography (SLA) was developed in the mid-80s, in which layers of UV curable liquids are formed over one another. Since then, many new types of radiation curable materials have been commercially developed, expanding SLA. SLA is mainly used for its variety in commercial photo-polymers, and is the main method of bio-printing (which aims to 3D print organs and tissue). Downsides to SLA are costs and

#### 1.1.3 Common 3D Printing Materials

There are three common material types used for 3D printing. The material used in a design often characterizes the manufacturing process, as many 3D printing processes are limited to a few types of materials.

- Thermoplastics: These synthetic resins become pliable when heated, and are currently the most common class of material used in 3D printing. Plastics known as ABS and polycarbonate (PC) are most often used, however a number of blends and plastics engineered for specific applications (such as aerospace or medical) also exist. Thermoplastics are sturdy and can be used either for end-user products or for prototyping purposes. FDM and SLS processes can both employ thermoplastics. [1] [10]
- Metals: More recently, innovations in granular binding have made metals viable for 3D printing. SLS and similar processes can fuse metal granules, such as steel and titanium. Metals are especially useful for specific applications such as electrical components, jewellery, and engineering support structures. [1]
- Photo-polymers: This class of polymers change their properties, typically by changing from a liquid state to a solid, when exposed to specific frequencies of radiation. Various types of radiation may be used, however in commercial environments today only UV and visible frequencies are commonly used. Photo-polymers are only used in photo-polymerisation processes such as SLA, and are typically hybrids of epoxy and acrylic resins. [1] [11]
- Other Materials: Granular processes can theoretically work with any fuseable granular material. Aside from thermoplastics and metals, materials such as glass, nylons, and polystyrene have been used in SLS printing. [1] [9]

#### 1.1.4 Limitations of Processes and Materials

While 3D printing is a major manufacturing breakthrough which brings many manufacturing processes and materials to the table, each process and material combination possess limitations to its manufacturing capabilities. Aside from logistical limitations such as cost and time, there are technical limitations restricting the geometries of printable objects. Due to the number of variables in each process/material combination and the rate at which additive manufacturing technologies are evolving, these limitations are not yet completely understood quantitatively and evolve with the processes. Much research is being done in understanding how different parameters of the manufacturing process effect the types of printable geometries.

The most relevant geometric limitations of processes and materials can be attributed to the layer-by-layer manufacturing methods. Since each layer is being constructed in some fashion over the previous layer, geometries which overhang the structure either horizontally or at an angle would appear to be a large issue. This is the case in FDM processes, and depending on manufacturing variables (especially extrusion temperature, printing hardware, and material) FDM can only handle macroscopic overhangs to a threshold angle, as illustrated in figure 3. Granular processes do not really have issues with overhangs due to unfused material from previous layers providing support to overhanging structures. [1] [12]

Another limitation of some layer-by-layer processes is the necessity for the object to be static and stable in the orientation for the duration of its printing. Even if an object would be stable in its final form, this does not ensure it is stable after each successive layer of material is applied.

There is also a limitation on the complexity in geometry for each process/material pair. This is due both to the width of each layer and the resolution of material available, as well as the printing process. Each process/material has a threshold resolution in each dimension. If the geometry of a feature is too thin or sharp it may warp. [12]

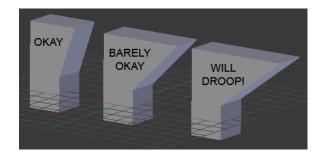


Figure 3: This is an example of the overhang threshold angle for a deposition process. On the left, the structure grows at an angle less than the threshold and will print without errors. The central structure is at the overhang threshold and may or may not print without artefacts. The structure on the right has surpassed the threshold and will droop during printing because the higher layers do not have enough support. (Image taken from [13].)

#### 1.1.5 Part Design

After a part has been conceptualized, conventionally it will be designed using a computer-aided-design (CAD) package. The designer has to keep in mind available manufacturing technologies, the material required for the part, and the limitations of each technology. After choosing a technology the part will be designed with the limitations of the process/material in mind. As the limitations of the technology are not always quantitatively well defined, the part may have to undergo a series of changes if the desired result is not initially obtained. [14]

That being said, additive manufacturing is one of the least restrictive manufacturing processes, and its limitations are typically easy to design around. 3D printing technologies, give a product more design freedom and allow for more innovation on the part of the designer. [14]

#### 1.1.6 STL Files

When printing something in layers one cannot hope to achieve completely continuous models. After the design of a part, the continuous geometry must be discretised, typically approximating the surface by a triangular mesh. The STL file, created in 1987 by 3D Systems Inc, was the first filetype developed to store the triangulated mesh, and contains each triangular face's vertex components and normal vector. Similar filetypes (PLY,OBJ,etc) exist, and are often the native filetype for many mesh editors. [15]

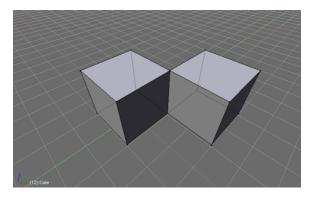


Figure 4: The edge shared by the two cubes is non-manifold because it is shared by four faces. (Image taken from [16].)

A limitation of polygonal meshes is that they have the potential to be non-manifold, that is if edges are shared between more than two faces as seen in figure 4. Additive manufacturing processes cannot print non-manifold meshes and non-manifold meshes also don't cooperate well with predefined smoothing or deformation algorithms, so when creating meshes one has to carefully design with this limitation in mind. [16]

### 1.2 Overview of Cellular Automata

#### 1.2.1 Definition and Basic Properties

A cellular automaton is a mathematical model represented by a collection of cells, each of which is in exactly one of a finite number of states. Each cellular automaton possesses a rule by which to synchronously update the states of all its cells in discrete time. This rule is typically a global law which updates each cell based on its state and the states of its local neighbours. [17] [18]

Perhaps the most well known example of a cellular automaton is Conway's Game of Life (CGL). CGL is a two dimensional cellular automaton on a square lattice, where each cell is either 'alive' or 'dead'. Each cell in CGL updates itself by considering the state of itself and its neighbours:

- Any alive cell with fewer than two or greater than three alive neighbours dies.
- Any dead cell with exactly three alive neighbours comes to life.
- Any cell which is not affected by the rules above retains its previous state.

Despite CGL's very simple rules and binary state vector, it can display complex behaviour, a property typical of cellular automata. This emergence of complexity, despite simple rules, has drawn the attention of the physics community, especially for modelling statistical mechanical processes. [18] [19]

#### 1.2.2 Deterministic Models

Deterministic cellular automata are restricted to update rules which are completely forward-deterministic in the state of each cell; that is for a given configuration of states, an update to a given system will always produce the same result. Although a cellular automata might be deterministic going forward in time, there may be more than one state which evolves to a given state. [18]

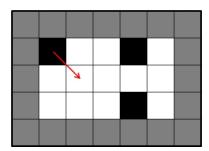


Figure 5: An example of a small lattice gas updating according to the rules described in [20]. Notice that the two black cells on the right don't move because they both want to move into the same empty cell with equal force.

An example of a physically motivated deterministic cellular automaton is a simple lattice gas, operating on the square lattice with two possible states: empty and occupied. Each occupied cell receives a unit force away from its occupied nearest neighbours. The update rules are given as follows:

- An empty cell becomes occupied if exactly one neighbouring cell has a force pointing into it. If so, the occupied neighbouring cell becomes empty.
- When more than one occupied neighbouring cells are attempting to 'enter' the empty cell, the one with the greatest force amplitude is transferred to the empty cell. If there is a tie for maximum amplitude, the states of all cells involved remain static.

The lattice gas update rules, as demonstrated in figure 5, conserve the number of occupied cells. Models similar to the lattice gas model are used to analyse fluctuations in particle density for many-body systems. [20]

#### 1.2.3 Probabilistic Models

Probabilistic cellular automata may have an update rule which, for each cell, assigns a probability for each possible state. Deterministic cellular automata are a special limit of probabilistic cellular automata in which the probabilistic element is removed. [18]

An example of a physically motivated probabilistic model is the forest fire model, which operates on a square lattice and has three possible states: alive, dead, and burning. This model represents the growth of 'trees' and their destruction by lightning and spread of fire. The update rules are given as follows:

- A burning cell always dies.
- An alive cell starts burning if one or more of its neighbours is burning.
- A dead cell becomes alive with probability p.
- An alive cell with no burning neighbours starts burning with probability f, as if struck by lightning.

Clearly the forest fire model is not deterministic, as seen in figure 6, as one initial state can lead to many successive states. [20]

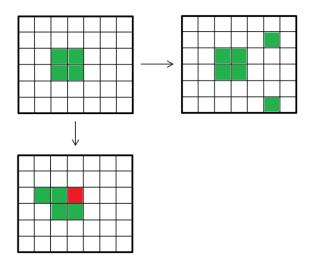


Figure 6: The initial state in a probabilistic cellular automata has a certain probability to evolve to any state. Here, we see two (of many) potential states that a forest fire state may evolve to with non-zero probability. (White - Dead, Green - Alive, Red - Burning)

#### 1.2.4 Physical Relevance

Cellular automata, both deterministic and probabilistic, are often used to model physical processes. While each step of a cellular automaton's evolution is described by logical interactions between neighbouring cells, over time a complex macroscopic behaviour can be seen to emerge. The phenomenon that a simple update rule leads to complexity bears similarity to many areas of physics, especially statistical mechanics. [17]

Self-organized criticality refers to the tendency for some statistical systems to steer themselves to a critical state. The paradigm for these types of systems is the sand pile model which can be expressed as a simple cellular automata. Much research has been done in cellular automata to help devise general laws analogous to those of thermodynamics. [17]

# 2 Motivation and Objectives

With 3D printing emerging as a more affordable and common technology in our world today, creating printable physics content may be instructive either in the classroom or the art museum, or even as a theoretical tool allowing for visualization and easy manipulation. Two dimensional cellular automata which evolve into a third dimension are relatively simple examples of physical models which can be completely realized by 3-dimensional objects. Moreover, cellular automata often possess interesting features if evolved for enough time with the right parameters.

The primary aim of this project is to develop a process which converts an evolving lattice-based model into a physical 3D object. The proposed method must convert a series of 2D binary lattices, each constrained and connected by the desired statistical model, into a 3D triangulated structure. Ideally, the resulting object should be 3D printable, in other words, the object should conform to a number of structural and geometric constraints which are vaguely defined by the specific printing process and material, described in more detail in section 3.

A secondary aim is to develop a simple software which allows a user to specify the initial conditions and parameters of a statistical model and easily produce a 3D printable triangulated geometry. This software tool would allow for anyone who was interested in visualising discrete statistical models in a new way to do so with little difficulty. The software would, by nature, bypass manual design of the model using CAD software.

The final aim of this project is to use the aforementioned process and software to actually create a physical object. Success or partial success in the creation of a 3D object would provide proof of concept and help expose obstacles which were not earlier considered.

# 3 Anticipated Obstacles and Proposed Solutions

Additive manufacturing is not a process without its limitations and these limitations are not currently well defined in the literature. The success of a print is reliant on several variables including material, manufacturing process and hardware, printer settings, and the geometry of the object. This project only concerns itself with controlling the geometry of the object, and therefore aims to create restrictions on that geometry to conform to the other constraints of 3D printing.

The main factors which control the 3D geometry are:

- The choice of cellular automaton. This includes the lattice type, parameters, and update rule.
- The initial conditions and for how many iterations a cellular automaton is allowed to evolve.

• The method by which a series of lattices is converted into a 3D object. This is how the geometry is introduced and there is much freedom in the choice of this method.

The type of lattice for this project was chosen to be a square lattice. This is primarily due to its structural and geometric simplicity. Much of this project could have been replicated using a different fundamental lattice, perhaps composed of triangles or hexagons, however doing so would require a much greater amount of time to develop and debug the necessary software.

The method which was used to convert the series of 2D square lattices to a 3D object was also chosen for simplicity. There were two major contenders for geometrical representations:

- The simplest method begins by extruding each 2D square lattice in the series into the third dimension. This would make each cell of the square lattice a cube. Following this, the slabs of cubes would simply be stacked upon one another, creating a 3D array of cubes, as illustrated in figure 7.
- A 'ball and stick model' was also considered, in which each occupied node would be represented by a sphere. Spheres may then be connected by cylinders to other spheres which meet some chosen condition. This condition might have relevance to the cellular automata or might be chosen for structural integrity of the model.

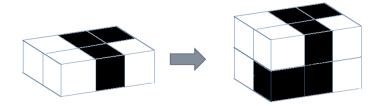


Figure 7: Extruding each lattice in the time evolution into a 'slab' and then stacking successive time 'slabs' together is the simplest conversion method.

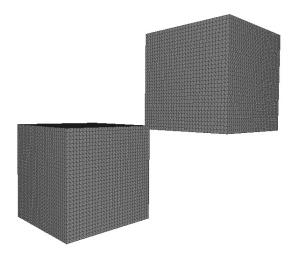
The 3D array of cubes was chosen for geometric simplicity, although adjustments were made to adhere to some of the constraints of 3D printing as explained below.

### 3.1 Support Constraint

Many 3D printing processes are constrained by the limitation of not being able to print structures which exceed a threshold overhang angle (see section 1.1.4). The extreme case of this is a non-connected structure suspended in air. The 3D array of cubes could potentially have this problem, and two adjustments must be made accordingly.

The first adjustment addresses cellular automata which can create occupied cells more than one cell away from its nearest occupied cell. An example of a cellular automaton which does not meet these conditions is the Forest Fire defined in section 1.2.3. The Forest Fire can create new occupied cells in any empty cell without restriction. This class of cellular automata would create spatial gaps in the 3D model and would not be printable using the 3D cube array approach. Hence, this class of cellular automaton must be forbidden.

The second adjustment is to deal with the issue of cubes connected by a single corner or edge, as seen in figure 8. One could imagine dealing with this issue through a mesh-smoothing algorithm, however figure 8 also illustrates that this method causes the mesh to be non-manifold which would prevent such an algorithm from working properly. To fix this problem, the 3D array of cubes solution must be modified such that each element of the original cube array is overlapping a specified amount with each of its neighbouring cubes (in space and time) as seen in figure 9. This adjustment will partially support previously unsupported cubes and allow for application of a smoothing algorithm in future stages of refinement.



**Figure 8:** The simple solution of stacking layers to create a 3D array of cubes will often create situations where higher layers overhang with little to no overlap with the previous layer. This is not feasible and thus the method by which to construct the model must be specifically designed with it in mind. The solution for this is to have each element of the 3D array overlap by a fraction with each of its neighbours.

### 3.2 Overhang Angle Constraint

The 3D array of overlapping cubes still has a problem with overhangs. This happens both at the small scale, when looking at each cube-cube connection, and on the larger scale when considering the model as a whole.

The overhangs which exists on the larger scale, that is those which are created by the large scale evolution of the cellular automata, must be treated individually. Depending on the threshold overhang angle of a printing process, the time (upward) dimension of a model may be 'stretched', by multiplying each of the z-coordinates by a scale factor, so that this threshold is not exceeded. A stretched version of figure 9 is shown in figure 10.

At the smallest defined scale, the model as it is now defined still exhibits maximum 90° overhangs (the bottom faces of each cube). To deal with this problem, after the 3D overlapping cube array, the model will be imported into the mesh editor MeshLab and the smoothing filter, 'Laplacian Smooth', will be applied. This filter will remove all sharp edges and create smooth gradients rather than 90° overhangs. A smoothed version of figure 10 is shown in figure 11.

### 3.3 Stability Constraint

In order to ensure that the model does not topple during 3D printing, the model must be statically stable throughout the printing process. This can be achieved by choosing an initial condition to create a suitable foundation. This initial condition may take the form of either a large connected base or by several scattered pieces.

# 4 Equipment and Technologies

The programming language used to develop this project is C++, using Visual Studio. At first, a primary search for libraries which may be useful in computing geometric surfaces was done and we found two which seemed

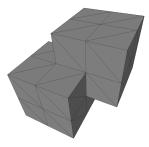


Figure 9: In contrast to figure 8, these two cubes are overlapping by half in each dimension. This fixes the problem of cubes sharing only an edge or corner.



**Figure 10:** In order to control the overall large scale angle of the geometry the model may be stretched in the time (z) dimension.

promising, namely CGAL [21] and VCG [22]. However, after tinkering with these libraries, it was clear that they provided far too much depth for this project and would be far too complex to apply to such a simple problem. Hence, it was decided to develop our own mini-library from the ground up with our specific needs in mind. In developing the GUI (see section 5.3), the QT and Boost libraries for C++ were used for frame and widget manipulation.

MeshLab [23] was used as the primary software to view triangulated surfaces produced by the project code. It was also a most important tool in smoothing our triangulated 3D polyhedrons which was composed of discrete cubes into more continuous and less 'cube-y' polyhedrons.

In order to prepare 3D models for printing, NetFabb (a STL file repair and modification tool) [24] was used to correct any syntactical faults in our smoothed STL files. NetFabb was also used to resize and set the units of our model to ensure the correct model dimensions before printing.

A RepRap 3D-printer [25] was used to bring our triangulated model to physical realisation. A software known as Slic3r [26] was used to convert print-ready STL files into instructions for the movement of the printer nozzle, known as GCode.



Figure 11: To deal with the inevitable issue of small scale overhangs in a cube model, up to three iterations of a 'Laplacian Smoothing' function were applied from MeshLab.

## 5 Description of Software Developed

A basic description of the purpose of the code written is found below, however if more detailed knowledge is desired the source code can be found open-source by navigating to the url in [27].

The software developed for this project is composed in three main sections. The first section is a set of classes which incorporate the properties of the square lattice and enables a user to easily define the rules of a cellular automaton and the initial conditions of the lattice, described in section 5.1. The second section is a set of classes which allows the user to take a set of lattices and transform them into a 3D triangulated polyhedron, described in section 5.2. The last main section is a GUI which allows the users to easily set parameters, visualize the cellular automata, and create 3D polyhedrons without editing the source code, described in section 5.3.

#### 5.1 Lattice and Iterative Model Structures

The purpose of the first main section of the software is to evolve a cellular automaton, defined on a square lattice, through a number of iterations. This is accomplished through two classes: *Lattice* which defines the lattice of cells, and *Model* which defines the set of rules by which the lattice evolves.

The *Lattice* type was defined in such a way that any cell of the lattice would easily be able to access any of its neighbours, as cellular automaton very commonly evolve through neighbour-neighbour interactions. This is especially important for the cellular automata which concern this project, namely those which do not create occupied cells more than one cell away from the nearest occupied cell.

To effectively link all cells to their neighbours, the *Lattice* type is defined as a square array of *LatElem*, a simple type which stores the state of the cell, as well as pointers to each of its eight neighbouring *LatElem* (those which share at least one vertex). The state of each element is an enumerated type, which allows for easy modification of allowable states if a cellular automaton requires more than empty and occupied states.

Model is defined as a super-class to allow for many types of cellular automata to inherit generic properties while each having their own set of rules. The Model type takes an initial Lattice and stores it. Each derived class has an iterate function where the user may define how the cellular automaton evolves. When this function is called, Model stores the result as the current Lattice. Hence by iterating the model several times a series of Lattice is stored, capturing the cellular automaton's evolution.

#### 5.2 Lattice to Polyhedron Conversion

The next major section of development was conversion from a series of *Lattice*, stored in a *Model*, into a 3D polyhedron, composed of cubes. This conversion is split into two important processes. The first process is converting the series of *Lattice* into a 3D *CubeArray*, a type which overlaps the lattice cells to overcome the obstacle of two neighbouring cubes being connected by a single edge. The second process is converting the *CubeArray* into a triangulated *Polyhedron*, a type which stores the vertex and face information of a polyhedron and has the ability to export this information in a variety of formats.

The CubeArray type allows the user to define the fraction by which each cell of each Lattice in the series overlaps with its neighbours in both space and time. To emulate overlapping the lattice cells the CubeArray is defined as a 3D array of the appropriate size (depending on the amount of defined overlap between LatElem). The elements of a CubeArray are CubeElem, and each represent several overlapping fractional lattice cells. Each CubeElem stores the state (empty or occupied) and points to its six neighbouring CubeElem with which it shares a face.

The algorithm to fill a *CubeArray* from a series of *Lattice* is relatively straight forward. First the *CubeArray* is defined at the appropriate size and initialized to be completely empty. The computer determines which *CubeElem* correspond to the occupied *LatElem* and changes the state of those *CubeElem* to full. This accomplishes both fusing the several lattice layers into one object and overlapping each cell with its neighbours.

Next, the CubeArray must be converted into a Polyhedron, a type which stores vertex and face information about a 3D polyhedron. However, we do not want the Polyhedron to store information about the faces of the CubeArray which are not on the surface of the entire object. The Polyhedron type is a list of 3-vectors describing the position of the object's vertices, and a list of faces. Each face is defined by a list of indices, which reference the vertices of the Polyhedron. The normal vector of the face is defined by the order of the vertex indices within the face, in a right handed fashion.

When the *Polyhedron* is created, it iterates over the *CubeArray* searching for occupied cubes. When an occupied cube is found, the computer checks for any shared faces between the cube and its neighbours. It then stores the information of faces which are not shared between two cubes as these faces must be on the surface of the object. The *Polyhedron* type has the capability of exporting this information in either the .stl or the .ply file type, described in section 1.1.6, for rendering in a mesh viewer and later for smoothing and printing.

#### 5.3 GUI Elements

A GUI was developed with two main purposes in mind. The first purpose is to allow the user to more easily change parameters of the cellular automaton. Such parameters might include lattice size or statistical elements. This removes the large burden on the user of having to edit the source code each time to run a slightly different simulation. Examples of options users have before running the simulation can be seen in figure 12.

The second purpose is to allow visualization of the cellular automaton in real time as well as allowing the user to easily choose the initial conditions of the simulation, as illustrated in figure 13. This could be especially useful in helping the user determine when to stop iterating the cellular automaton and export the 3D model. This could also be interesting when comparing the animated 2D evolution to the 3D model.

### 6 Achievements and Results

Although the software described in section 5 could be used to simulate any cellular automata, two cellular automata which meet the constraints mentioned in section 3 were chosen to demonstrate its capabilities.

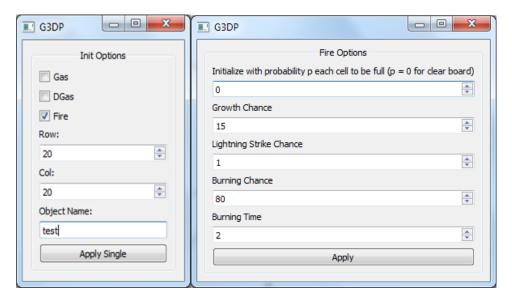


Figure 12: The window on the left allow the user to define the size and type of cellular automaton to simulate. The next window allows the user to choose options specific to the cellular automaton chosen.

The first cellular automaton chosen was the simple lattice gas model, as defined in section 1.2.2. This model was chosen due to its simple update rule, making it easy to understand the connection between the 3D representation and the rules of the cellular automaton. An example of a 3D structure created by the simple lattice gas model is seen in figure 14.

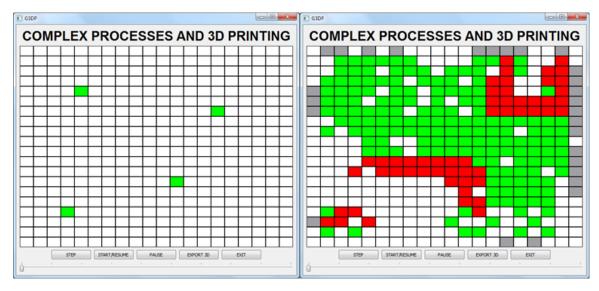
After playing with the lattice gas, it was noticed that this cellular automaton did not produce immediately complex 3D structures. This is probably due to the fact that the particles within the lattice gas only 'move' when they experience a force from a neighbouring particle. This means there is much motion within the gas which is not visible on its surface, and the 3D model only encompasses how much the gas has spread out during its evolution. This could be interesting to analyse further, perhaps describing the overall entropy of the system.

The second example of a cellular automaton chosen for this project was a modified version of the forest fire model described in section 1.2.3. The modification which was made restricts the birth of new occupied cells to being adjacent to previously occupied cells, in order to prevent disconnected floating pieces from being created. New parameters were also added to increase the diversity of the model:

- Burning cells no longer become empty cells immediately, but rather burn for a length of time defined by the user.
- The chance for fire to spread to adjacent occupied cells is no longer 100%, but rather is defined by the user.

The use of a probabilistic model, rather than a completely deterministic one, was in hope of creating more aesthetically interesting models, which possess more complex features. An example of a modified forest fire is seen in figure 15.

The modified forest fire automaton shows more structural features when represented in 3D compared to the lattice gas. The complete story of the evolution of this model can be extracted from its 3D geometry. In figure 15, you can see the expansion of 'forests' from several individual 'trees' at the base, which eventually merge into each other. The pit-like formations, better seen in figure 16, show where and when 'lightning' struck a 'tree'.



**Figure 13:** Before the simulation starts the user may choose which cells begin occupied by simply clicking those cells as shown in the window on the left. The simulation can be stepped through manually or automatically to show the evolution of the cellular automaton.

The smoothed 3D model depicted in figure 15 was chosen to be 3D printed as a proof-of-concept of the process and software developed during this project. A RepRap 3D printer, which uses an FDM printing process, was used to print the 3D model in black ABS plastic. The threshold overhang angle for this process and material is typically around 45°, and so the z-dimension was stretched by a factor of 1.5 to allow for a margin of error.

The 3D printing process was relatively successful, producing the physical 3D model depicted in figure 17, however some issues were encountered at the beginning of printing affecting the base of the 3D model discussed in section 7.

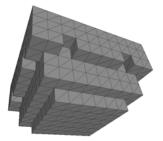
On the whole, the success of this entire process suggests that the restrictions and geometric approach developed in section 3 in conjunction with the software described in section 5 are valid for converting 2D cellular automata into 3D printable models.

# 7 Unexpected Issues and Potential Solutions

A number of unexpected issues arose during the project which slowed our progress. The first of these issues was the lack of documentation about the limitations of additive manufacturing processes, especially quantitative information. This was assumed to be due to the fact that additive manufacturing is a technology which is quickly growing, and thus the limitations might evolve rather quickly. Through discussions with people who use 3D printing on a regular basis, it was learned that these limitations are normally not well defined and people who design parts tend to have experience with designing for a certain process and, through trial-and-error, have determined estimates for these limitations pertaining to that process.

To combat this lack of knowledge, it was decided to make the process as general as possible. Rather than relying on specific quantitative limitations, a classification of possible constraints of additive manufacturing processes was kept in mind. This generalisation of limitations allows the user, who has knowledge of the quantitative limitations of the process s/he intends to use, to manipulate the software to produce desired results.

Another issue was encountered during the printing of the bottom section of our 3D representation of a modified



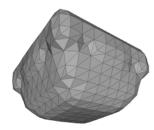


Figure 14: An example of a 2D lattice gas expanding in time. On the left is the direct output of the code written for this project, the overlapping array of cubes. On the right is the same model after smoothing. Both figures were rendered in MeshLab [23].

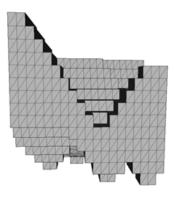




Figure 15: Rough and smooth representation of the modified forest fire model updating itself in time.

forest fire, due to our lack of experience with 3D printers. Early in the FDM printing process, the extrusion nozzle came into contact with the base of the model. This caused the motor to skip and caused what had already been printed to become offset, as seen in figure 18.

The string-like structures, seen in sections of the underside of the model in figure 18, is what happens when the FDM process attempts to print with no supporting structure, a direct result of the offset of the upper-section relative to the base.

It was suggested that the reason for the nozzle hitting the base was that some of the structures in our model were too thin. The thin structures may have curled upwards significantly when cooling, putting them in the way of the unknowing extrusion nozzle. Further, people familiar with the Reprap printer suggested that there may be an easy fix for this by changing some of the printer's settings, namely the temperatures of the bed or extrusion head or possibly the speed of the extrusion nozzle. With more time, it may have been possible to experiment with settings to create a flawless print.

# 8 Extensions and Future Work

In the future it would be possible to use the tool we have created here to explore the parameter space of desired cellular automata. Different parameters could lead to obvious features in three dimensions which were not clear from their 2D evolution alone. Classifying different emergent 3D features by the parameter space could be useful

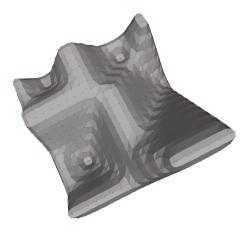
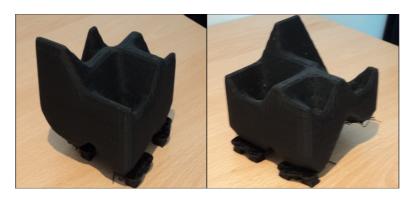


Figure 16: A top down view of a modified forest fire model, highlighting the 'pits' caused by lightning strikes.



**Figure 17:** Two views of the final printed modified forest fire model depicted in figures 15 and 16. While some issues can be seen at the base, for the most part this model shows that the process developed throughout the project is successful in transforming 2D cellular automata into 3D printable geometries.

### and interesting physically.

Adding more complicated cellular automata to the software's repertoire, may lead to uncovering limitations of 3D printing which were not considered for this project. More study into these limitations would have to be done in order to refine the process defined in this project.

This project created a process which was able to create a 3D mesh representation of a mathematical model without the use of conventional CAD software design techniques. Investigating more into automating 3D design and bypassing CAD design would be potentially fruitful in helping develop 3D printing into a more flexible field. Applications of automated design could range from models like the ones we have created to converting fMRI scans into medical parts.

There are not enough resources freely available documenting quantitative limitations of specific 3D printing processes and materials. There exist tools which check validity of STL files for syntactical errors or non-manifoldness, however no tool exists to check if a STL file is actually 3D printable for a given process/material. Such a tool would be very helpful and reduce costs, especially for non-experts (of which there are bound to be many more due to the growth of 3D printing).

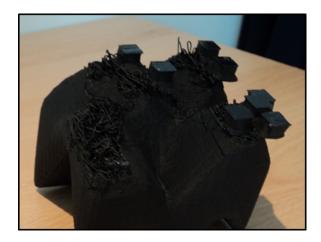


Figure 18: The underside of the printed model shows that, early in the printing process, the nozzle became offset from the base it had already printed.

## 9 Conclusion

With the rapid growth of the 3D printing industry, it only seems natural to try to take advantage of this revolutionary manufacturing technology in every way possible. Representing physical processes in 3D by a physical object could be interesting to physicists and non-physicists alike. It allows for possible further insight into the process which may have been elusive in 2D or could perhaps add to the growing collections of 3D printed art around the world.

This project was mostly successful in meeting its objectives. A process by which to convert a 2D cellular automaton into a 3D printable object was developed. The limitations of the different additive manufacturing technologies were generalised and taken into account while developing the process. A software was designed to automate the process and allow a user to easily transform a cellular automaton into a 3D object.

Hopefully more developments will be made within the physics community which take advantage of the evolving 3D printing technology. As 3D printing technology evolves further it could help to develop representations and aid visualisation, similar to what was done in this project, or to create customized parts for experiments at a reduced cost. In any case, the physics community can only benefit by taking part in this technological revolution.

### References

- [1] Ian Gibson, David Rosen, and Brent Stucker. Additive Manufacturing Technologies. Springer, 2010.
- [2] TRowe Price. A brief history of 3d printing infographic. http://individual.troweprice.com/staticFiles/Retail/Shared/PDFs/3D\_Printing\_Infographic\_FINAL.pdf, December 2011.
- [3] Justin Scott. Additive manufacturing: Status and opportunities. Technical report, IDA Science and Technology Policy Institute, 2012.
- [4] Scott Crump. Direct digital manufacturing. Technical report, Fortus 3D Production Systems, 2009. http://files.asme.org/MEMagazine/PaperLibrary/30064.pdf.
- [5] 3D Printshow Homepage. http://www.3Dprintshow.com/, March 2013.

- [6] Tim Evans. Project proposal: Complex processes and 3d printing. https://workspace.imperial.ac.uk/ physicsuglabs/Public/3rd\_Year\_Projects/Projects%202012-13/Computational/Evans1.pdf, October 2012.
- [7] eFundaINC. Fused deposition modeling. http://www.efunda.com/processes/rapid\_prototyping/fdm.cfm, 2013.
- [8] D. T. Pham and S. S. Dimov. Rapid Manufacturing. Springer-Verlag, 2001. Image of FDM Process.
- [9] U. of Texas Mechanical Engineering Department. Selective laser sintering, birth of an industry. http://www.me.utexas.edu/news/2012/0712\_sls\_history.php#ch4, December 2012.
- [10] Fred Fischer. Thermoplastics: The best choice for 3d printing. http://www.stratasys.com/resources/~/media/Main/Secure/White%20Papers/Rebranded/SSYS-WP-Thermoplastics-03-13.ashx, 2011.
- [11] et al. R. Liska, M.Schuster. Photopolymers for rapid prototyping. J. Coat. Technol. Res., 2007.
- [12] J. Kruth, M. Leu, and T. Nakagawa. Progress in additive manufacturing and rapid prototyping. CIRP Annals - Manufacturing Technology, 1998.
- [13] 3D Printing Era. Overhang threshold image. http://www.3dprintingera.com/3d-printing-overhangs-and-bridges/, March 2013.
- [14] R Hauge, I Campbell, and P Dickens. Implications on design of rapid manufacturing. *Journal of Mechanical Engineering Science*, 2003.
- [15] Kaufui Wong and Aldo Hernandez. A review of additive manufacturing. ISRN Mechanical Engineering, 2012.
- [16] Shapeways. Things to keep in mind when designing for 3d printing. http://www.shapeways.com/tutorials/things-to-keep-in-mind, February 2013.
- [17] Stephen Wolfram. Universality and complexity in cellular automata. Physica D, January 1984.
- [18] J Coe, S Ahnert, and T Fink. When are cellular automata random? In *Theory of Condensed Matter*, Cavendish Laboratory. 2008.
- [19] Stephen Wolfram. Cellular automata as models of complexity. Nature, October 1984.
- [20] Henrik Jeldtoft Jensen. Self-Organized Criticality. Cambridge University Press, 1998.
- [21] CGAL Development Team. Computational geometry algorithms library. http://www.cgal.org/, February 2013.
- [22] Visual Computing Lab. Visualization and computer graphics library. http://vcg.isti.cnr.it/~cignoni/newvcglib/html/, February 2013.
- [23] 3D-Coform Consortium. Meshlab. http://meshlab.sourceforge.net/, February 2013.
- [24] NetFabb. http://www.netfabb.com/, March 2013.
- [25] RepRap Wiki. http://www.reprap.org/wiki/Main\_Page, March 2013.
- [26] Alessandro Ranellucci. Slic3r website. http://www.slic3r.org/about, March 2013.
- [27] Dominic Reiss and Joshua Price. Complex processes and 3d printing source code. https://github.com/doreiss/3D\_Print, May 2013.