Supplementary Material

Clinical Drug Response Prediction by Using a Lq Penalized Network-Constrained Logistic Regression Method

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Supplementary Tables

| Gene symbol | Gene description | | | | |
|-------------|--|--|--|--|--|
| EFEMP1 | EGF containing fibulin like extracellular matrix protein 1 | | | | |
| OSMR | Oncostatin M receptor | | | | |
| EGFR | Epidermal growth factor receptor | | | | |
| S100A2 | S100 calcium binding protein A2 | | | | |
| SERPINB5 | Serpin peptidase inhibitor 5 | | | | |
| CLDN1 | Claudin 1 | | | | |
| OLFML2A | Olfactomedin like 2A | | | | |
| MET | MET proto-oncogene, receptor tyrosine kinase | | | | |
| SFN | Stratifin | | | | |
| PCSK5 | Proprotein convertase subtilisin/kexin type 5 | | | | |
| TPBG | Trophoblast glycoprotein | | | | |
| TBC1D2 | TBC1 domain family member 2 | | | | |
| RAF1 | Raf-1 proto-oncogene, serine/threonine kinase | | | | |
| COL17A1 | Collagen type XVII alpha 1 chain | | | | |
| KRT13 | Keratin 13 | | | | |
| FAT1 | FAT atypical cadherin 1 | | | | |
| FERMT1 | Fermitin family member 1 | | | | |
| MAP1S | Microtubule associated protein 1S | | | | |
| KRT6A | Keratin 6A | | | | |
| TRIB3 | Tribbles pseudokinase 3 | | | | |
| DGKA | Diacylglycerol kinase alpha | | | | |
| COPS7B | COP9 signalosome subunit 7B | | | | |

Table S1 Biomarkers selected by our method for erlotinib prediction.

| Gene symbol | Gene Description | | | | |
|-------------|--|--|--|--|--|
| LAMA5 | laminin subunit alpha 5 | | | | |
| ATP8B2 | ATPase phospholipid transporting 8B2 | | | | |
| GPD2 | glycerol-3-phosphate dehydrogenase 2 | | | | |
| ANP32C | acidic nuclear phosphoprotein 32 family member C | | | | |
| VEGFA | vascular endothelial growth factor A | | | | |
| ZNF222 | zinc finger protein 222 | | | | |
| SMIM2 | small integral membrane protein 2 | | | | |
| GABRP | gamma-aminobutyric acid type A receptor pi subunit | | | | |
| PARP6 | poly(ADP-ribose) polymerase family member 6 | | | | |
| KCNC3 | potassium voltage-gated channel subfamily C member 3 | | | | |
| GAGE1 | G antigen 1 | | | | |
| ANGPT1 | angiopoietin 1 | | | | |
| ERCC6 | ERCC excision repair 6, chromatin remodeling factor | | | | |
| FGF3 | fibroblast growth factor 3 | | | | |
| ATP8B3 | ATPase phospholipid transporting 8B3 | | | | |
| MMRN1 | multimerin 1 | | | | |
| TF | transferrin | | | | |
| ZFY | zinc finger protein, Y-linked | | | | |
| MADCAM1 | mucosal vascular addressin cell adhesion molecule 1 | | | | |
| ZNF544 | zinc finger protein 544 | | | | |
| CPA3 | carboxypeptidase A3 | | | | |
| EXTL3 | exostosin like glycosyltransferase 3 | | | | |
| NUDT11 | nudix hydrolase 11 | | | | |
| FMO5 | flavin containing monooxygenase 5 | | | | |
| MCL1 | MCL1, BCL2 family apoptosis regulator | | | | |
| HPGD | 15-hydroxyprostaglandin dehydrogenase | | | | |

Table S2 Biomarkers selected by our method for sorafenib prediction.

Table S3 Compartion with some state-of-the-art methods

| | Lq-NLR-E | Lq-NLR-S | Lasso | Ridge | ElasticNet | InterNet |
|-----------|----------|----------|--------|--------|------------|----------|
| Erlotinib | 0.841 | - | 0.492 | 0.638 | 0.530 | 0.689 |
| Sorafenib | - | 0.788 | -0.045 | -0.115 | 0.372 | 0.516 |

This table shows the rho (from a Spearman's correlation test) achieved for each dataset using Lq-NLR-E, Lq-NLR-S and logistic regression with Lasso, Ridge, Elastic net and InterNet. The way of the selection of the regularization parameters was described in Methods. There are two models for Lasso, Ridge, Elastic net and InterNet methods, respectively. One for the prediction of erlotinib, the other is for sorafenib.

| Patient ID | D 1' / 1 | ~ 4 | | | |
|-------------|---|---|--|---|---|
| I difent ID | Predicted | Class | 0-2 | 2-4 | 4-6 |
| | index | | months | months | months |
| GSM677324 | 478.9676735 | 2 | 36.4% | 54.5% | 9.1% |
| GSM677325 | 455.992511 | 2 | 36.4% | 54.5% | 9.1% |
| GSM677328 | 491.9444822 | 2 | 36.4% | 54.5% | 9.1% |
| GSM677329 | 416.2344236 | 1 | 100.0% | - | - |
| GSM677330 | 460.6683775 | 2 | 36.4% | 54.5% | 9.1% |
| GSM677331 | 428.4616235 | 1 | 100.0% | - | - |
| GSM677332 | 443.1020984 | 1 | 100.0% | - | - |
| GSM677334 | 405.5066253 | 1 | 100.0% | - | - |
| GSM789978 | 409.5568935 | 1 | 100.0% | - | - |
| GSM789979 | 512.2505912 | 3 | - | 25.0% | 75.0% |
| GSM789981 | 503.4250838 | 3 | - | 25.0% | 75.0% |
| GSM789983 | 476.8774282 | 2 | 36.4% | 54.5% | 9.1% |
| GSM789986 | 438.2009536 | 1 | 100.0% | - | - |
| GSM789987 | 429.0898433 | 1 | 100.0% | - | - |
| GSM790019 | 526.7217054 | 3 | - | 25.0% | 75.0% |
| | GSM677325 GSM677329 GSM677330 GSM677331 GSM677332 GSM677332 GSM677334 GSM789978 GSM789978 GSM789981 GSM789983 GSM789986 GSM789986 | GSM677324478.9676735GSM677325455.992511GSM677328491.9444822GSM677329416.2344236GSM677330460.6683775GSM677331428.4616235GSM677332443.1020984GSM677334405.5066253GSM789978409.5568935GSM789979512.2505912GSM789981503.4250838GSM789983476.8774282GSM789986438.2009536GSM789987429.0898433 | GSM677324478.96767352GSM677325455.9925112GSM677328491.94448222GSM677329416.23442361GSM677330460.66837752GSM677331428.46162351GSM677332443.10209841GSM677334405.50662531GSM789978409.55689351GSM789979512.25059123GSM789981503.42508383GSM789986438.20095361GSM789987429.08984331 | GSM677324 478.9676735 2 36.4% GSM677325 455.992511 2 36.4% GSM677328 491.9444822 2 36.4% GSM677329 416.2344236 1 100.0% GSM677330 460.6683775 2 36.4% GSM677330 460.6683775 2 36.4% GSM677331 428.4616235 1 100.0% GSM677332 443.1020984 1 100.0% GSM677334 405.5066253 1 100.0% GSM789978 409.5568935 1 100.0% GSM789979 512.2505912 3 - GSM789981 503.4250838 3 - GSM789983 476.8774282 2 36.4% GSM789986 438.2009536 1 100.0% GSM789987 429.0898433 1 100.0% | GSM677324 478.9676735 2 36.4% 54.5% GSM677325 455.992511 2 36.4% 54.5% GSM677328 491.9444822 2 36.4% 54.5% GSM677329 416.2344236 1 100.0% - GSM677330 460.6683775 2 36.4% 54.5% GSM677330 460.6683775 2 36.4% 54.5% GSM677331 428.4616235 1 100.0% - GSM677332 443.1020984 1 100.0% - GSM677334 405.5066253 1 100.0% - GSM789978 409.5568935 1 100.0% - GSM789979 512.2505912 3 - 25.0% GSM789981 503.4250838 3 - 25.0% GSM789983 476.8774282 2 36.4% 54.5% GSM789986 438.2009536 1 100.0% - GSM789987 429.0898433 1 100.0% - |

Table S4 Prediction results 15 patients by using the Lq-NLR-erlotinib model.

These 15 patients are who neither treatment with erlotinib nor sorafenib from GSE33072. We then applied our erlotinib response prediction model to the patient's gene expression data to yield an in vivo drug response to erlotinib. Value of class can be referred to the Table 1.

| Patient ID | Predicted | Class | 0-2 | 2-4 | 4-6 | 6-8 | 8-12 |
|------------|-----------|-------|--------|--------|--------|--------|--------|
| | index | | months | months | months | months | months |
| GSM677324 | -5.08174 | 1 | 81.3% | 18.8% | - | - | - |
| GSM677325 | 30.52874 | 2 | 25.0% | 50.0% | 16.7% | 8.3% | - |
| GSM677328 | 21.11218 | 1 | 81.3% | 18.8% | - | - | - |
| GSM677329 | 31.19392 | 2 | 25.0% | 50.0% | 16.7% | 8.3% | - |
| GSM677330 | 36.31196 | 2 | 25.0% | 50.0% | 16.7% | 8.3% | - |
| GSM677331 | 30.57758 | 2 | 25.0% | 50.0% | 16.7% | 8.3% | - |
| GSM677332 | 32.93836 | 2 | 25.0% | 50.0% | 16.7% | 8.3% | - |
| GSM677334 | 50.5941 | 3 | - | 33.3% | 11.1% | 11.1% | 44.4% |
| GSM789978 | -0.37824 | 1 | 81.3% | 18.8% | - | - | - |
| GSM789979 | 21.31755 | 1 | 81.3% | 18.8% | - | - | - |
| GSM789981 | 42.84765 | 3 | - | 33.3% | 11.1% | 11.1% | 44.4% |
| GSM789983 | 39.3777 | 2 | 25.0% | 50.0% | 16.7% | 8.3% | - |
| GSM789986 | 32.03477 | 2 | 25.0% | 50.0% | 16.7% | 8.3% | - |
| GSM789987 | 15.26373 | 1 | 81.3% | 18.8% | - | - | - |
| GSM790019 | 24.56605 | 1 | 81.3% | 18.8% | - | - | - |

Table S5 Prediction results 15 patients by using the Lq-NLR-sorafenib model.

These 15 patients are who neither treatment with erlotinib nor sorafenib from GSE33072. We then applied our sorafenib response prediction model to the patient's gene expression data to yield an in vivo drug response to sorafenib. Value of class can be referred to the Table 2.