The future of open access books: Findings from a global survey of academic book authors

Appendix 3: Survey questions

This document does not show survey routing.

Questions that were compulsory are marked with an asterisk (*).

1) *Within the past 3 years, how many peer-reviewed books have you published? (Please think about books - there will be two questions about chapters later on in the survey.) *(Dropdown menu)*

 ▼ I haven't published a book within the past 3 years, 1,2,3,4,5,6,7,8,9,10, >10

 2) *How many of the books that you have published within the last three years were published via immediate open access on the publisher's platform? *(Dropdown menu)*

 Open Access (OA) refers to immediate, free and unrestricted online access to research outputs such as journal articles and books. This means that OA content is open to all, with no embargo period or access fees, and sharing and re-use of the work is typically permitted.

 ▼ None, 1,2,3,4,5,6,7,8,9,10, >10, I don't know

3) *For how many of the books that you have published within the last three years did you self-archive the manuscript? *(Dropdown menu)*

 Self archiving refers to deposition of a book or manuscript in an institutional repository or any suitable subject repository.

 ▼ None, 1,2,3,4,5,6,7,8,9,10, >10, I don't know

4) Why did you decide to self-archive your book manuscript? *(Open text)*

5) Which repository? *(Open text)*

6) *Why did you decide not to self-archive your book manuscript? Please select all that apply.

☐ I didn't want the manuscript version of my book to be in circulation

☐ My publisher did not permit it

☐ I wasn't aware of self-archiving as an option

☐ I don't know.

7)   *Was your most recent book published open access (i.e. immediate OA on the publisher's platform)?

    o Yes

    o No

    o I don't know

8) *What did you want to achieve with your latest book? Please select all that apply.

- Reach a large audience
- Reach students
- Reach policy makers
- Reach practitioners
- Reach readers in the global south
- Increase interdisciplinary discussion and use of my work
- Financial profit
- Self-promotion
- Career advancement
- Other (please specify) _____

9) *Who or what influenced your decision on where to publish your latest book? Please select up to three options that were most important to you.

- Reputation of the publisher in my field
- Good experience with editor
- Good previous experience with publisher
- Influence from peers
- Cost of publishing open access (book processing charge)
- Publisher's policy on the price of the printed book
- Publisher's policy on paperback edition
- Quality of print edition
- Availability of book via publisher's online platform
- Expected speed of publication
- Ability to publish open access
- Additional services (such as language editing, redrawing of figures, cover design, etc)
- Royalties or remuneration paid
- Other (please specify) _____

10) *Who is currently the main funder of your research? *(Dropdown menu)*

▼ I don't know/prefer not to say, Other, I didn't have a funder, funder names list

11) Please specify the name of your funder: _____ *(Open text)*

12) *Which of the following is the closest description of your main funder's requirements

 with respect to open access publishing of books?

    o I must publish my book open access on the publisher's platform at the point of publication

    o I have a requirement to make an author accepted version (post-peer review, pre-copy edit) of my book available online in a repository a certain period of time after publication

    o My main funder has no requirements

    o I don't know

    o Other (please specify) _____

13) *Does either your funder or institution provide any funding support to publish your book via an open access model?

    o Both my funder and my institution

    o My funder

    o My institution

    o Neither my funder nor my institution

    o I don't know

14) *How familiar are you with open access* options for books?

 Open Access refers to immediate, free and unrestricted online access to research outputs such as journal articles and books. This means that OA content is open to all, with no embargo period or access fees, and sharing and re-use of the work is typically permitted.

    o Very familiar

    o Familiar

    o Moderately familiar

    o Not very familiar

    o Not at all familiar

15) *Which of the following are reasons why you haven't published your book(s) via an immediate open access model? Please select all that apply.

    ☐ I was not aware of open access as a publishing model for books

    ☐ I was not aware of open access being an option in my subject area

    ☐ There wasn't an option to publish open access at the publisher I wanted to publish my book with

    ☐ I was unable to fund a book processing charge / open access fee

    ☐ I am not willing to pay a book processing charge / open access fee

    ☐ I am concerned about perceptions of the quality of open access publications

    ☐ I believe that self-archiving my manuscript after an embargo period is sufficient

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SPRINGER NATURE

▢ I don't know

▢ Other (please specify)   _____

16) *For your most recent publication via an open access model, why did you decide to publish your book open access? Please select all that apply.

▢ My funder mandates open access publication

▢ My institution mandates open access publication

▢ I believe that research should be open access, so freely available to all

▢ I thought that open access would allow for my book to be published faster than the current standard

▢ I believe open access publications generate higher citations

▢ I believe open access publications are read more widely

▢ My editor suggested publishing the book via an open access model

▢ The publisher I chose to publish with only allows for open access

▢ Other

▢ I don't know

17) *Of the options listed below, what open access policy would you prefer your main funder to adopt for books?

o Gold OA: Immediate open access to the published version of record (VoR) for all research outputs

o Green OA: A version of every manuscript is made openly accessible in a repository, in most cases after an embargo period

o Mixed gold and green OA: A combination of immediate (gold) OA for published versions of some books, and access to archived versions of all other book manuscripts in repositories (green)

o Both gold and green OA: Version of record of all books available via immediate (gold) OA, and books also archived in a repository (green)

o Other (please specify)   _____

o I don't know

18) Can you briefly explain why you chose the answer above? *(Open text)*

19) *To what extent do you agree or disagree with the following statement?

"All future scholarly books (monographs, edited collections) should be made accessible via open access."

o Strongly agree

o Agree

o Neither agree nor disagree

o Disagree

    o Strongly disagree

    o I don't know

20) Can you briefly explain why you chose the answer above? *(Open text)*

21) *When a book is published via an open access model, the publisher and/or author must select a Creative Commons license under which to publish. The licenses that are on offer differ with regards to how the book may be used without further permission following its publication.

In the grid below, please indicate the extent to which each of the following would or would not be acceptable to you.

	This would definitely be acceptable	This would probably be acceptable	This would probably not be acceptable	This would definitely not be acceptable	I don't know
To allow modifications to the book (including translations), as long as the original source is attributed	o	o	o	o	o
To allow modifications to the book (not including translations), as long as the original source is attributed	o	o	o	o	o
To allow the book to be used for commercial purposes (e.g. reprinted by a third-party in a book that is then sold), as long as the original source is attributed	o	o	o	o	o
To allow text- and data-mining of a corpus of content which includes my book	o	o	o	o	o

22) Could you explain a little about why you said in one (or more) of the options that this would not be acceptable? *(Open text)*

23) *To what extent do you agree or disagree with the following statements?

	Strongly agree	Agree	Neither agree nor disagree	Disagree	Strongly disagree	I don't know
"It is important to me that my book can be discovered online"	O	O	O	O	O	O
"Open access books can be read by a higher number of readers than books published under a non-open access model"	O	O	O	O	O	O
"Funders should provide more financial support for publication of books via an open access model"	O	O	O	O	O	O

24) *Again, to what extent do you agree or disagree with the following statements?

	Strongly agree	Agree	Neither agree nor disagree	Disagree	Strongly disagree	I don't know
"Open access books are likely to be perceived of lower quality than those published under a non-open access model"	O	O	O	O	O	O
"I think it is worthwhile to pay a publisher a certain amount to enable my book to be made open access"	O	O	O	O	O	O
"It is important to me that my book is made available in print"	O	O	O	O	O	O

25) *Thinking still about if you were to publish another book, with any publisher, how likely would you be to...

	Very likely	Quite likely	Unsure	Quite unlikely	Very unlikely	I don't intend to publish another book
Publish my book via immediate open access on a publisher's platform	○	○	○	○	○	○
Self-archive the author's accepted manuscript* in a repository *The author's accepted manuscript is the version of the book manuscript accepted for publication after peer review, but prior to copyediting and typesetting*	○	○	○	○	○	○
Self-archive a preprint/ pre-submission* manuscript in a preprint server or repository *A preprint is the author´s version of the book as submitted to the publisher but before any peer-review or any other editorial work by or on behalf of the publisher has taken place*	○	○	○	○	○	○

26) Could you explain a little about what it would depend on, or why you would not be interested? *(Open text)*

27) *Within the past 3 years, how many book chapters have you published open access in books that are otherwise closed access? *(Dropdown menu)*

▼ I haven't published a book chapter open access within the past 3 years, 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, > 20

28) *If you were to publish another chapter in an edited work, with any publisher, how likely would you be to...

	Very likely	Quite likely	Unsure	Quite unlikely	Very unlikely	I don't intend to publish another chapter
Publish my chapter via immediate open access on a publisher's platform	○	○	○	○	○	○
Self-archive the author's accepted manuscript* in a repository *The author's accepted manuscript is the version of the book manuscript accepted for publication after peer review, but prior to copyediting and typesetting*	○	○	○	○	○	○
Self-archive a preprint/ pre-submission* manuscript in a preprint server or repository *A preprint is the author´s version of the book as submitted to the publisher but before any peer-review or any other editorial work by or on behalf of the publisher has taken place*	○	○	○	○	○	○

29) To which institution do you currently belong (if applicable)? *(Open text)*

30) *How would you describe your current position?

    o Non-tenured contract

    o Short-term contract

    o Other (please specify) _____

31) *How would you describe the focus of your university?

    o Teaching-focused university

    o Research-focused university

    o Other (please specify) _____

32) *For how many years have you been involved in academic research?

    o Fewer than 5 years

    o 5-14 years

    o 15-24 years

    o 25 years or more

33) *Which continent do you live in?

    o Asia (including Middle East)

    o Australasia

    o Africa

    o Europe

    o North America (including Central America and the Caribbean)

    o South America

34) *Which country do you live in? *(Dropdown menu)*

35) *Which of the following job titles best applies to you?

    o Professor

    o Physician/Clinician

    o Healthcare professional

    o Laboratory Director/Head

    o PhD/Masters Student

    o Postdoc

    o Principal Investigator

    o Research Director/VP of Research

    o Research Scientist

    o Student

    o Technician/Research Assistant

    o Other (please specify) _____

36) *Which of the following best describes your broad subject field?

    o Biological Sciences

    o Biomedical Sciences

    o Clinical Medicine & Healthcare

    o Mathematics & Computer Sciences

    o Physical Sciences & Engineering

    o Social Sciences & Humanities

    o Other

    o I work across multiple disciplines