

# ALTERED NUCLEAR TRANSPORT IN TRANSFORMED CELLS

A thesis submitted for the degree of Doctor of Philosophy at

Monash University, August 2014, by

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## ADDENDUM

p8, at the end of Fig. 1.1 legend: add “Original figure”.

p23, at the end of Fig. 1.2c legend: add “Original figure. See text for citations”.

p50 line 21: should read:

“as the ratio of the signal for nuclear transport factor relative to that for  $\alpha/\beta$  tubulin (*signals were obtained from the same blot*)...”

p52, line 4: should read:

“...all of the Imp $\alpha/\beta$ 1- and Imp $\beta$ 1-recognised proteins *with the exception of TRF-1*, localised more strongly....”

p60, Fig. 2.4 legend, line 2: should read:

“Endogenous nuclear transport factors *Imp $\alpha$ 1, Imp $\alpha$ 3 and Imp $\alpha$ 4 (all c. 58 kDa), Imp $\beta$ 1 (97 kDa), Exp-1 (116 kDa), CAS (100 kDa), RCC1 (45 kDa), Ran (27 kDa)* in whole cell extracts of...”

p60, Fig. 2.4 legend, line 3: should read:

“...with  $\alpha/\beta$  tubulin (55 kDa; *the blots shown are the corresponding tubulin for Exp-1*) as a loading control, and SV40 T-ag (97 kDa) control denoting...”

p60, Fig. 2.4 legend, line 5: After “...from a single typical experiment from a series of  $\geq 3$  separate experiments.” add:

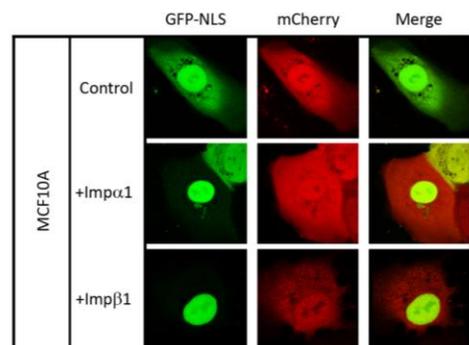
“Representative blot images for MCF10A/CA1h cells were cropped and merged from blots originally comprised of the MCF10 series presented in Chapter 3.”

p60, Fig. 2.4 legend, line 8: should read:

“...signal obtained for the nuclear transport factor protein normalised to that of *the corresponding signal from the same blot for  $\alpha/\beta$  tubulin* in transformed cells...”

p62, Fig. 2.5a:

A new image containing a more representative depiction of Imp $\beta$ 1 overexpressing MCF10A cells has been added (right).



p84, Fig. 3.2a, line 2: should read:

“(a) Western analysis of Importin (Imp) expression levels and control protein  $\alpha/\beta$  tubulin (*the blot shown is the corresponding tubulin for Imp $\alpha$ 3*) in MCF10 breast cancer cell series.”

p87, Fig. 3.3 legend, line 4: should read:

“... transfected 48 h post siRNA treatment to express the Imp $\alpha$ / $\beta$ 1-recognised GFP-T-ag (114-135) fusion protein (*two representative images are shown in the top and bottom panels*) and (d)...”

p88, line 6: After: “...Imp $\beta$ 1 using actin as an internal control protein.” add:

“Signals for Imp $\alpha$ 1, Imp $\beta$ 1 and actin were quantified at set exposure times for titrated amounts of protein from IDC3 and DCIS extracts during Western blotting experiments to ensure all signals were in a linear range (**Supp. Fig. 3.5**).”

p90, Fig. 3.5 legend, line 3: should read:

“*Densitometric analysis of Imp $\alpha$ 1 and Imp $\beta$ 1 signals relative to the corresponding signal for the loading control protein actin in human breast tumour tissues*”, instead of “Digitised images such as those shown in (a) were subjected to densitometric analysis.”

p92, paragraph 2, line 12:

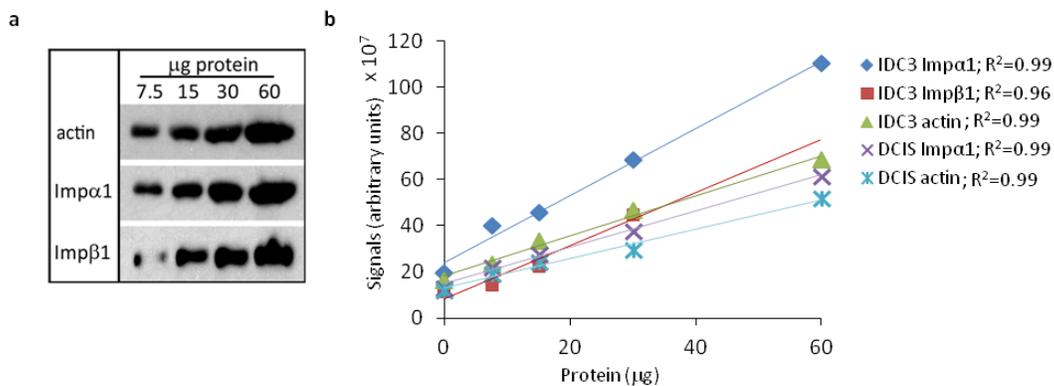
After “...net efficiency of Imp $\beta$ 1-dependent import in cells that are overexpressing Imp $\alpha$ 1, such as cancer cells.” add:

“We presume that the reason the result for MCF10A/CA1h cells here in Chapter 3 contradicts the findings of Chapter 2 where Imp $\beta$ 1-recognised NLS-containing proteins localised more strongly in the nucleus of transformed MCF10CA1h compared to non-transformed MCF10A cells may be due to a change in transfection reagent. **Figure S2.1** shows transfection reagents may differentially affect Imp/Exp levels; Fugene HD (used in Chapter 3) did not affect levels of key modulators of nuclear import efficiency such as Imp $\alpha$ 1, Imp $\beta$ 1 or CAS, whilst Lipofectamine 2000 (used in Chapter 2) caused c. 2-fold reduction in CAS levels compared to untreated cells.”

p110, Fig. 4.1, line 6: should read:

“...signal obtained for Imp $\beta$ 1 normalised to that for  $\alpha$ / $\beta$  tubulin control protein (*the blot shown is the corresponding tubulin for Imp $\beta$ 1*) in transformed relative to non-transformed...”

Chapter 3, p100: add Supplementary Figure S3.5:



## ERRATA

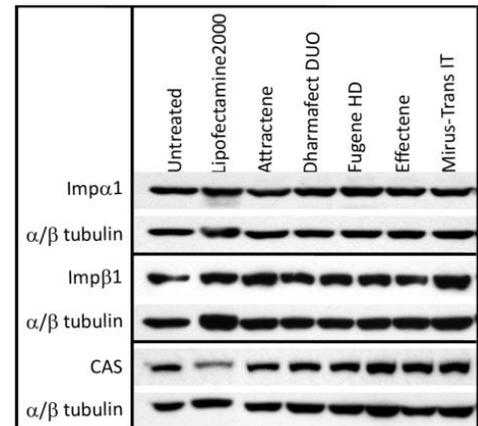
pXII, Table of Contents, lines 7-11:

4.4.1.1, 4.4.1.2, 4.4.1.3, 4.4.1.4 and 4.4.1.5 should read: 4.4.1, 4.4.2, 4.4.3, 4.4.4 and 4.4.5

p67, Fig. S2.1c:

The lane containing the ladder has been cropped from the middle and bottom panels so that the bands between the rows align (right).

p107: “4.4.1.1 Mammalian cell culture and siRNA treatment” should read: “4.4.1 Mammalian cell culture and siRNA treatment”



p108: “4.4.1.2 Quantitative real-time reverse transcription-PCR” and “4.4.1.3 Preparation of cell extracts and Western blotting” should read: “4.4.2 Quantitative real-time reverse transcription-PCR” and “4.4.3 Preparation of cell extracts and Western blotting”, respectively.

p109: “4.4.1.4 XTT assays” and “4.4.1.5 Statistical significance” should read: “4.4.4 XTT assays” and “4.4.5 Statistical significance”

**Supplementary Figure S3.5 Verification of Western blot signal linearity for Impα1 and actin in ductal tumour tissues.** (a) Titrated amounts of protein from representative IDC3 and DCIS (not shown) samples were subjected to Western analysis for Impα1 and actin signals 3 s and 90 s exposure times respectively. (b) Densitometric analysis of blots such as those given in (a) are given as signal intensity (arbitrary units) for μg protein. The line of best fit and goodness of fit ( $R^2$ ) are given to indicate the linearity of signals.



***DECLARATION FOR THESIS BASED OR PARTIALLY BASED ON CONJOINTLY PUBLISHED OR  
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**General Declaration**

In accordance with Monash University Doctorate Regulation 17.2 Doctor of Philosophy and Research Master's regulations the following declarations are made:

I hereby declare that this thesis contains no material which has been accepted for the award of any other degree or diploma at any university or equivalent institution and that, to the best of my knowledge and belief, this thesis contains no material previously published or written by another person, except where due reference is made in the text of the thesis.

This thesis includes 1 original paper published in a peer reviewed journal and 2 unpublished publications. The core theme of the thesis is investigating altered nuclear transport properties of transformed and malignant cell types. The ideas, development and writing up of all the papers in the thesis were the principal responsibility of myself, the candidate, working within the Department of Biochemistry and Molecular Biology under the supervision of Prof. David Jans.

The inclusion of co-authors reflects the fact that the work came from active collaboration between researchers and acknowledges input into team-based research.

In the case of Chapters 2, 3 and 4 my contribution to the work involved the following:

<b>Thesis chapter</b>	<b>Publication title</b>	<b>Publication status*</b>	<b>Nature and extent of candidate's contribution</b>
2	Global enhancement of nuclear localisation signal-dependent nuclear transport in transformed cells	Published	Designed the study, performed all experimental work/analysis and prepared the manuscript for publication.
3	Enhanced Importin $\alpha/\beta$ 1-dependent nuclear import efficiency in a model of breast tumour progression	Manuscript in preparation	Designed the study, performed all experimental work/analysis (but did not clone the pIRES-Imp $\alpha$ 3-mCherry plasmid), and prepared the manuscript for publication.
4	Hypersensitivity of malignant breast cancer cell types to knockdown of the nuclear transporter Importin $\beta$ 1	Submitted	Designed the study, performed all experimental work/analysis (but did not but did not perform the XTT assay in Fig. 4.2c), and prepared the manuscript for publication.

I have / have not (circle that which applies) renumbered sections of submitted or published papers in order to generate a consistent presentation within the thesis.

**Signed:** .....

**Date:** .....

## **ACKNOWLEDGEMENTS**

I would like to thank my supervisor, Prof. David Jans, for believing and trusting in me, supporting my passions and goals, and for the excellent advice and guidance he has given me over the past years. His approach in teaching has made me independent, confident and prepared for the real world of science; I was never made to feel like “just a student”, but a valued member of the team, whose thoughts and opinions about the research were listened to and debated, if necessary. He has given me incredible freedom and responsibility to conduct my studies, but was always available if I needed advice. For all these reasons, I am so grateful.

I would also like to acknowledge Katharine Adcroft and Cassandra David, whose support, humour and company during this PhD were greatly valued. If laughter is known to prolong life then I would be another year younger thanks to my dear friend Steven Heaton and my running buddy Linda Wiltzer, who lightened up the mood when things were not going so great. It is always stimulating bouncing back ideas about science and life; Steve and Linda were able to provide many a great conversations, and for that I would like to personally thank them.

Although unusual, I would also like to acknowledge my dogs who are like family to me, (the late) Uncle Harry, Jamie and Agent. Even if they can not read this thesis and if they could, would probably opt to rip it up instead of reading it (the essence of incredi naughty), they have played a central role in my life by giving me a healthy work-life balance and taught me so much about myself during the PhD years.

The last thank you goes to my mother Sirkka. Without her I would not have been able to follow my passion in terms of doing a PhD. We do not possess many grand belongings, but we do have each other’s friendship and support. Without her, the completion of this PhD would not have been possible, and I really mean that, financially and otherwise.



## **ABSTRACT**

Fundamental to eukaryotic cell function, trafficking into and out of the nucleus is conventionally mediated by the Importin (Imp) and Exportin (Exp) transporters respectively, of which there are multiple Imp $\alpha$ , Imp $\beta$  and Exp forms expressed in humans. Nuclear transport can be regulated at many levels, including through modulation of the Imp/Exp nuclear transport machinery itself. Interestingly, altered Imp/Exp levels are associated with cellular malignancy and tumour disease progression in terms of tumour grade, stage, aggressiveness and patient prognosis, but the functional relevance with respect to changes in Imp/Exp activity and nuclear transport has not been investigated.

Through rigorous quantitative live cell imaging of a variety of isogenic non-transformed/transformed cell systems from various origins, including the MCF10A human breast tumour progression series, this thesis shows that nuclear accumulation of Imp $\alpha$ / $\beta$ 1-recognised nuclear localisation signal (NLS)-containing proteins, but not their NLS-mutated derivatives, is up to 7-fold increased in malignant compared to non-transformed cell types. This is associated with a significantly faster rate of nuclear import in transformed cells, as revealed by analysis of an Imp $\alpha$ / $\beta$ 1-recognised cargo using fluorescence recovery after photobleaching. Nuclear accumulation of NLS/nuclear export signal-containing (shuttling) proteins was also enhanced in transformed cell types, experiments using the nuclear export inhibitor leptomycin B demonstrating that efficient Exp-1-mediated nuclear export is not impaired in transformed compared to non-transformed cells. Enhanced nuclear import and export efficiencies were found to correlate with 2- to 4-fold higher expression of Imp $\alpha$ 1,  $\beta$ 1 and Exp-1 in the various transformed cell types, as indicated by quantitative Western analysis.

Trafficking dependent on Imp $\alpha$ / $\beta$ 1 was found to be selectively enhanced during the benign to malignant switch in the MCF10 model of human breast tumour progression (basal triple negative type, invasive ductal carcinoma), with the degree of enhancement correlating to advancing tumour disease state of the cells. In contrast, the nuclear accumulation of Imp $\beta$ 1-recognised cargoes was c. 2-fold decreased in malignant breast cells. Quantitative RT-qPCR and/or Western analysis indicate this is specifically associated with progressively increased expression of Imp $\alpha$ 1,  $\alpha$ 3,  $\beta$ 1 and the Imp $\alpha$  re-exporter CAS (Exp-2) in malignant compared to benign/non-transformed breast cell types, with RNAi/overexpression approaches establishing Imp $\alpha$ 1 levels to be the primary basis of elevated Imp $\alpha$ / $\beta$ 1-dependent nuclear import efficiency and decreased Imp $\beta$ 1-dependent nuclear import

efficiency. Underlining the physiological relevance of these observations, the degree of Imp $\alpha$ 1 expression was found to correlate with increasing tumour grade in clinical ductal carcinoma samples.

Excitingly, the studies in this thesis show that targeting Imp $\beta$ 1 activity through RNAi is up to 33-fold more efficient in decreasing the viability of transformed/ductal carcinoma cell types compared to isogenic non-transformed counterparts, and is highly potent with tumour selective activity shown at subnanomolar siRNA concentrations. Flow cytometric analysis in malignant cells treated with Imp $\beta$ 1 siRNAs implied this effect was due to increased cell death. Importantly, the tumour selective killing activity was found to be specific to Imp $\beta$ 1 siRNA, and was not observed for siRNAs targeting other Imps such as Imp $\alpha$ 1,  $\alpha$ 3, CAS or Exp-1 that were also found to be overexpressed in malignant cell types.

The results here established for the first time that enhanced Imp $\alpha/\beta$ 1-dependent nuclear import efficiency at a global scale is associated with malignant transformation and that transformed/tumour cell types display hypersensitivity to inhibition of Imp $\beta$ 1 through siRNA-mediated knockdown. The findings raise the exciting possibility that the enhanced Imp $\alpha/\beta$ 1-dependent nuclear import of transformed cells may be exploited to facilitate tumour cell-specific drug delivery, and have implications for development of tumour-selective anti cancer strategies through RNAi/drugs targeted specifically at Imp $\beta$ 1.

## **PUBLICATIONS**

**Kuusisto H. V.**, Wagstaff K. M., Alvisi G., Roth D. M. and Jans D. A. (2012) Global enhancement of nuclear localisation signal-dependent nuclear transport in transformed cells. *FASEB Journal*, 26, 1181-1193.

**Kuusisto H. V.** and Jans D. A. (2014) Enhanced Importin  $\alpha/\beta$ 1-dependent nuclear import efficiency in a model of breast tumour progression. In preparation for submission.

**Kuusisto H. V.** and Jans D. A. (2014) Hypersensitivity of malignant breast cancer cell types to knockdown of the nuclear transporter Importin  $\beta$ 1. Submitted.

Wiltzer L., Okada K., Yamaoka S., Larrous F., **Kuusisto H. V.**, Sugiyama M., Blondel D., Bourhy H., Jans D. A., Ito N., and Moseley G. W. (2014) Interaction of Rabies Virus P-Protein With STAT Proteins is Critical to Lethal Rabies Disease. *Journal of Infectious Diseases*. doi:10.1093/infdis/jit829.

## **CONFERENCE ATTENDANCE**

### **7<sup>th</sup> Biannual Australasian Gene Therapy Society Meeting**

4<sup>th</sup> – 6<sup>th</sup> May (2011), Bio21 Institute, Parkville, Victoria, Australia.

Oral presentation. “Altered nuclear transport in transformed cells; a prospective tool for anti-cancer gene therapy?”

*Winner, best student oral presentation.*

### **Australian Society for Biochemistry and Molecular Biology (ASBMB) Combio Conference**

25<sup>th</sup>-29<sup>th</sup> September (2011), Cairns Convention Centre, Queensland, Australia.

Poster presentation. “Altered nuclear transport in transformed cells; a prospective tool for anti-cancer therapy?”

*Winner, best student poster presentation.*

### **Department of Biochemistry and Molecular Biology Annual PhD Conference**

10<sup>th</sup>-11<sup>th</sup> November (2011), Monash University, Clayton, Australia.

Oral presentation. “Altered nuclear transport in transformed cell types”.

### **Australian Society for Biochemistry and Molecular Biology (ASBMB) Combio Conference**

29<sup>th</sup> September – 3<sup>rd</sup> October (2013), Perth Convention Centre, Western Australia, Australia.

Oral presentation. “Increased nuclear import efficiency in a breast tumour progression model; potential for targeted cancer therapies.”

*Recipient of student bursary.*

### **Monash Comprehensive Cancer Consortium (MCCC) Monthly Symposia**

24<sup>th</sup> October (2013), Monash University, Clayton, Australia.

Oral presentation. “Altered nuclear transport in tumour cells; potential for targeted cancer therapies.”

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## **LIST OF ABBREVIATIONS**

AP-1	Activator protein-1
ARM	Armadillo repeat
BRE	Elsten's modification of the Bloom & Richardson grading system
CAS	Cellular apoptosis susceptibility protein
CK2	Protein kinase casein kinase 2
CLSM	Confocal laser scanning microscopy
CREB	cAMP-response element binding protein
CT	Cellular targeting (domain)
Cy3	Cyanine 3
DCIS	Ductal carcinoma <i>in situ</i>
DEM	Diethyl maleate
DMSO	Dimethyl sulfoxide
E2F	Elongation 2 factor initiator
ER	Estrogen receptor
ERK	Extracellular signal-regulated kinase
ES cells	Embryonic stem cells
Exp	Exportin
FACS	Fluorescence-activated cell sorting
FRAP	Fluorescence recovery after photobleaching
GDP	Guanosine 5'-diphosphate
GFP	Green fluorescent protein
GST	Glutathione S-transferase
GTP	Guanosine-5'-triphosphate
H <sub>2</sub> O <sub>2</sub>	Hydrogen peroxide
<i>Ha</i> -Ras	Harvey rat sarcoma viral oncogene homologue
Her2Neu	Erb-B2 growth factor receptor
HIV-1	Human immunodeficiency virus-1
HPV	Human papilloma virus
IBB	Importin beta binding domain
IC <sub>50</sub>	Half maximal inhibitory concentration
IDC	Invasive ductal carcinoma
Iκ-B	Inhibitor kappa-B
Imp	Importin
IRES	Internal ribosomal entry site
kDa	Kilo Dalton
LD <sub>50</sub>	Half maximal lethal dose
LMB	Leptomycin B
MAPK	Mitogen-activated protein kinase
MDa	Mega Dalton
miRNA	Micro RNA
MRT	Modular recombinant transporter
NE	Nuclear envelope
NES	Nuclear export signal
NF-κB	Nuclear factor-kappa B
NFY	Nuclear factor Y

NLS	Nuclear localisation signal
NPC	Nuclear pore complex
NT	Nuclear targeting (domain)
NT siRNA	Non-targeting siRNA
NTF2	Nuclear transport factor 2
Nup	Nucleoporin
PKA	Protein kinase A
PMA	Phorbol myristate acetate
PR	Progesterone receptor
pRb	Retinoblastoma protein
PTHrP	Parathyroid hormone-related protein
Ran	Ras-related nuclear protein
RanBP1	Ran binding protein 1
RanBP3	Ran binding protein 3
RanGAP1	RanGTPase activating protein 1
RanGEF	Ran guanine nucleotide exchange factor
RCC1	Regulator of chromosome condensation 1
Rev	Human immunodeficiency virus regulator of virion expression
RNAi	RNA interference
RT-PCR	Reverse transcription-polymerase chain reaction
SD	Standard deviation
SE	Standard error
SINE	Selective inhibitor of nuclear export
siRNA	Silencing RNA
SREBP-1a	Sterol regulatory element-binding protein-1a
SV40	Simian virus 40
T-ag	Large tumour antigen
TNBC	Triple negative breast cancer
tNTS	Tumour cell-specific nuclear targeting signal (from Chicken anaemia virus VP3)
TRF-1	Telomeric repeat-binding factor-1
UT	Untreated
UTR	Untranslated region
VP3	(Chicken anaemia virus) Viral protein 3
UL54	Human cytomegalovirus DNA polymerase catalytic subunit UL54

## ***PREFACE***

This thesis is presented as a 'thesis by publication' due to the fact that a number of publications have arisen from this study. Chapters 2, 3 and 4 are presented as individual manuscripts, irrespective of their publication status. To maintain continuity, the formatting and numerical referencing system is kept consistent throughout, irrespective of whether the work is published or unpublished. The manuscripts are presented in their published/submitted format within the Appendix section. Chapters that include submitted/published manuscripts contain the mandatory declaration of authorship information at the beginning of the chapter. When required, a preface/explanatory paragraph is included within a Chapter to illustrate the publication status of the work and to ensure continuity between the chapters. A general discussion of all the results shown within this thesis is presented in Chapter 5.



# CHAPTER 1

## *Literature Review*



## **1 Literature Review**

### **1.1 INTRODUCTION**

Signal-dependent bidirectional trafficking between the nucleus and cytoplasm is conventionally mediated by the Importin (Imp) superfamily of nuclear transporters and controls the activity of many signalling factors (such as those involved in gene transcription), which modulate cell growth, differentiation, proliferation, apoptosis, response to DNA damage, migration, inflammation and angiogenesis. Regulation of nuclear transport, central to changes in cellular phenotype, can occur by modulation of the cargo itself, or through alteration of Imps. Interestingly, altered Imp levels are associated with cellular malignancy and tumour disease progression in terms of tumour grade, stage, aggressiveness and patient prognosis, but the functional relevance with respect to changes in Imp activity and nuclear transport has not been fully elucidated. Increased Imp levels may contribute substantially to more efficient nuclear localisation of signalling factors important for maintenance of increased replication, migration, DNA repair, inflammation or angiogenesis, and decreased differentiation or apoptosis. How protein translocation into the nucleus is mediated in Imp-dependent or –independent fashion is discussed below.

### **1.2 NUCLEOCYTOPLASMIC TRANSPORT**

#### **1.2.1 Nuclear pore complexes**

The eukaryotic cell nucleus is enveloped by phospholipid membranes collectively called the nuclear envelope, which acts as a barrier between the cell's genetic material and cytoplasmic components. Translocation of molecules between the nucleus and cytoplasm occurs exclusively through large (c. > 60 MDa) dynamic sieve-like structures called nuclear pore complexes (NPCs), comprised of c. 30-40 different nucleoporin (Nup) proteins, which can accommodate rapid bidirectional transport of < 1000 molecules/sec (1). Nups are responsible for maintaining the structural integrity and permissiveness of the pores, and play a critical role in nuclear transport, as they offer transient docking sites for nuclear transporters (2). The NPC also allows passive diffusion of molecules c. 60 kDa (3) or < 9 nm in diameter, although on a physiological scale this is an exceedingly slow process (4). Most small and large molecules (such as proteins and signalling

factors) utilise specialised transport machinery to gain access into and out of the nucleus in a signal-mediated, energy-dependent process.

### 1.2.2 Nuclear protein import

#### 1.2.2.1 Nuclear localisation signals

Conventional nuclear import is dependent on the presence of nuclear localisation signals (NLSs) on cargo proteins, which are recognised by members of the Importin (Imp) superfamily of transporters. Two broad classes of NLSs were initially described, monopartite NLSs that consist of a simple 3-5 basic amino acid (aa.) sequence comparable to that of the well-characterised Simian virus 40 (SV40) large tumour antigen (T-ag) (PKKKRKV<sup>132</sup>) (5), and bipartite NLSs that consist of 2 clusters of basic residues separated by a spacer region of 10-12 basic aa. such as the NLS of *Xenopus laevis* histone chaperone protein nucleoplasmin (KR-spacer-KKKKL<sup>171</sup>) (6, 7). Other more varied NLSs have been described, such as the non-basic, glycine- and asparagine-rich M9 sequence on the A1 protein of the heterogeneous nuclear ribonucleoprotein particle hnRNP A1 (8), the proline- and aspartic acid residue rich NLS from proto-oncogene c-myc (9), and conformational NLSs such as the one found in the C-terminal region of the Rabies Virus Phosphoprotein (10) or the central double stranded RNA binding domain of the RNA-editing enzyme ADAR1 (11).

#### 1.2.2.2 Importins

NLSs are generally recognised by the  $\alpha$  and  $\beta$  Imps subtypes, of which multiple isoforms are expressed in humans (12, 13), either through Imp $\beta$  alone or through an Imp $\alpha$  adapter protein heterodimerised with Imp $\beta$ 1 (see following Sections). Based on sequence homology, there are 3 subfamilies of Imp $\alpha$ s; P (Imp $\alpha$ 1/ $\alpha$ 8), Q (Imp $\alpha$ 3/ $\alpha$ 4) and S (Imp $\alpha$ 5/ $\alpha$ 6/ $\alpha$ 7) (14), where members of different subfamilies have about 50% sequence identity at the amino acid level, whereas within a subfamily the identity is at least 80% (15, 16). Imp $\alpha$ 8 is a recently discovered, highly divergent member of the Imp $\alpha$  family that most closely resembles Imp $\alpha$ 1 in terms of structure, but its cellular localisation, cargo recognition and sequence identity differs greatly to that of Imp $\alpha$ 1 (16), therefore its placement into the  $\alpha$ 1 subfamily is highly tentative. In contrast to Imp $\alpha$ s, the Imp $\beta$ s are quite distinct from one another (12).

Imps appear to have a specific set of cargoes with which they interact, although there is some redundancy in cargo recognition between the Imp isoforms (see **Table 1.1**). For example Imp $\alpha$ 1 recognises pluripotency factor Oct4, cell cycle modulators CDK2 and E2F1, and proteins involved in DNA repair such as CHK2 and NBS1, whereas Imp $\alpha$ 3 recognises components of the Ran system RCC1 and RanBP3 important for the nuclear transport cycle, as well as the Signal transducer and activator of transcription (STAT) 2 protein, whilst Imp $\alpha$ 5 imports STAT1 and 3, and differentiation inducing factors Brn2 and Oct6. However the above Imps can all cooperate in the import of the proto-oncogene c-myc, whilst Imp $\alpha$ 3 and  $\alpha$ 5, but not  $\alpha$ 1 both mediate nuclear import of cyclin dependent kinase inhibitor p27<sup>kip1</sup>. Another example is that Imp $\beta$ 1 recognises constitutive transcription factors activator protein-1 (AP-1) and cAMP-response element binding protein (CREB), whilst Imp $\beta$ 2 recognises the mRNA export factor TAP, but both can import ribosomal proteins and histones. Cargoes recognised by Imp $\alpha$ s include those involved in initiation, maintenance or inhibition of differentiation, immune and inflammatory responses, DNA repair, cell cycle regulation, apoptosis and migration (**Table 1.1**). This and the fact that the expression of Imp $\alpha$  isoforms becomes altered during cellular changes in phenotype (see Sections 1.3.1.1.2.2 and 1.5.1.1) has led to the idea that Imp $\alpha$ s may be important for co-operating both housekeeping, as well as “higher order” responses, being central to diseases (17, 18) and development (14). The fact that many constitutively imported proteins and DNA/RNA modulating factors important for gene expression machineries are recognised by ubiquitously expressed Imp $\beta$ s (**Table 1.1**), and that most Imp $\beta$  isoforms are evolutionarily conserved in lower eukaryotes, amphibians, insects and yeast, suggests a potential housekeeping nuclear transport role (12).

### 1.2.2.3 Nuclear import by the Importin $\alpha/\beta$ 1-heterodimer

Nuclear import mediated by the Imp $\alpha/\beta$ 1-heterodimer is carefully controlled at a number steps. During productive import, Imp $\alpha$  is recognised by Imp $\beta$ 1 through its flexible N-terminal Importin beta binding domain (IBB) resulting in the dislodgement of the overlapping auto-inhibitory loop bound to the major and minor binding grooves located in middle (Armadillo (ARM) repeats 3/4) and C-terminal (ARM repeats 7/8) portions of Imp $\alpha$  respectively (**Fig. 1.1**), to allow binding to NLSs on cargo proteins (19).

**Table 1.1: Selected examples of cellular proteins recognised and imported into the nucleus by Importins.**

Importin	Protein	Function	References
Imp $\alpha$ 1 (KPNA2 / Rch-1)	c-myc	Oncogenic transcription factor	(20)
	NBS1	DNA repair	(21)
	CHK2	DNA repair	(22)
	Sp-1	Transcription factor (multiple targets)	(23)
	Sept9_i1	Co-factor for HIF1 $\alpha$ mediated signalling	(24)
	PLAG1	Oncogenic transcription factor	(25)
	IGFBP-2	Transcription factor, glucose metabolism	(26)
	Taspase	Protease, pluripotency maintenance	(27)
	CREBBP (CBP/p300)	Transcriptional co-activator	(28)
	CBC	mRNA processing factor	(29)
	PABPC	mRNA processing factor	
	E2F1	Cell cycle progression	(30)
	CDK2	Cell cycle progression	(31)
	TAFs	Transcriptional co-activator (RNA pol II)	(32)
	ADAR3	RNA editing	(33)
	Oct4	Transcription factor, pluripotency	(34)
	Rac1	Signalling protein, cell motility/adhesion and MAPKKK activation	(35)
Imp $\alpha$ 3 (KPNA4 / Qip1)	p53	DNA repair, cell cycle inhibition, apoptosis	(36)
	c-myc	Oncogenic transcription factor	(37)
	NAC-1	Transcription factor, pluripotency	(38)
	Sp-1	Transcription factor (multiple targets)	(23)
	RanBP3	RanGTP generating co-factor	(39)
	RCC1	RanGTP generating factor	(40)
	Daxx	Transcriptional regulation, cell death	(41)
	HDAC1	Gene expression, cell proliferation and differentiation	(42)
	RNA Helicase A	RNA processing factor, gene expression	(43)
	IRF9/STAT2	Transcription factor, inflammatory responses	(44)
	NF- $\kappa$ B	Transcription factor, inflammatory responses	(45)
p27 <sup>kip1</sup>	Cell cycle regulator	(46)	
Imp $\alpha$ 5 (KPNA1/ Srp-1)	Brn2	Transcription factor, differentiation	(34)
	Oct6	Transcription factor, differentiation	(34)
	c-myc	Oncogenic transcription factor	(47)
	ADAR2	RNA editing	(33)
	STAT1	Transcription factor, inflammatory responses	(48)
	STAT3	Transcription factor, inflammatory responses	(49)
	HDAC1	Gene expression, cell proliferation and differentiation	(42)
p27 <sup>kip1</sup>	Cell cycle regulator	(46)	
Imp $\beta$ 1 (KPNB1/ NTF97)	rPL23a, rPS7, rPL5, rPL6, rPL18a	Ribosomal proteins	(50, 51)
	Histones	Gene expression	(52, 53)
	SMAD3	Transcription factor, TGF- $\beta$ induced cytokine signalling	(54)
	CREB	Transcription factor, cAMP-induced signalling	(55)
	AP-1 (c-Jun)	Oncogenic transcription factor, steroid genes	(55)
	PTHrP	Polyhormone signalling factor	(56)
	TRF-1	DNA maintenance	(57)
SREBP-1a	Transcription factor, fatty acid and cholesterol metabolism	(58)	
Imp $\beta$ 2 (Tpn)	hnRNPs (eg. hnRNP A1)	mRNA binding proteins	(59)
	ADAR1	RNA processing factor	(60)
	TAP	RNA export factor	(61)
	HuR	mRNA stability	(62)
	rPL23a, rPL5, rPS7	Ribosomal proteins	(50)
	Histones	Gene expression, chromatin stability	(52, 53)
PABP2	RNA processing factor		

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Importin	Protein	Function	References
Imp5 (RanBP5)	Histones rPL23a, rPL5, rPS3a, rPS7 Rag-2	Gene expression Ribosomal proteins DNA recombinase	(52, 53) (50)
Imp7 (Impβ7/RanBP7)	Histones rPL23a, rPL5, rPS7 (rPL4, rPL6, rPS3a)* ERK2, MEK1 PRH AR GR	Gene expression Ribosomal proteins, translation Transcription factor – apoptosis and cell cycle Transcriptional repressor, haematopoietic differentiation Transcription factor ^ Transcription factor	(52, 53) (50, 51) (63) (64) (65) (66)
Imp9 (RanBP9)	rPS7, rPS3, rPS7, rPS9, rL19, rPL18a Histones Hsp27	Ribosomal proteins, translation Gene expression Chaperone, stress resistance and actin re-organisation	(51) (52) (51)

\*In a heterodimer with Impβ1.

^ Non-functional import complex / retention factor resulting in repression of AR activity.

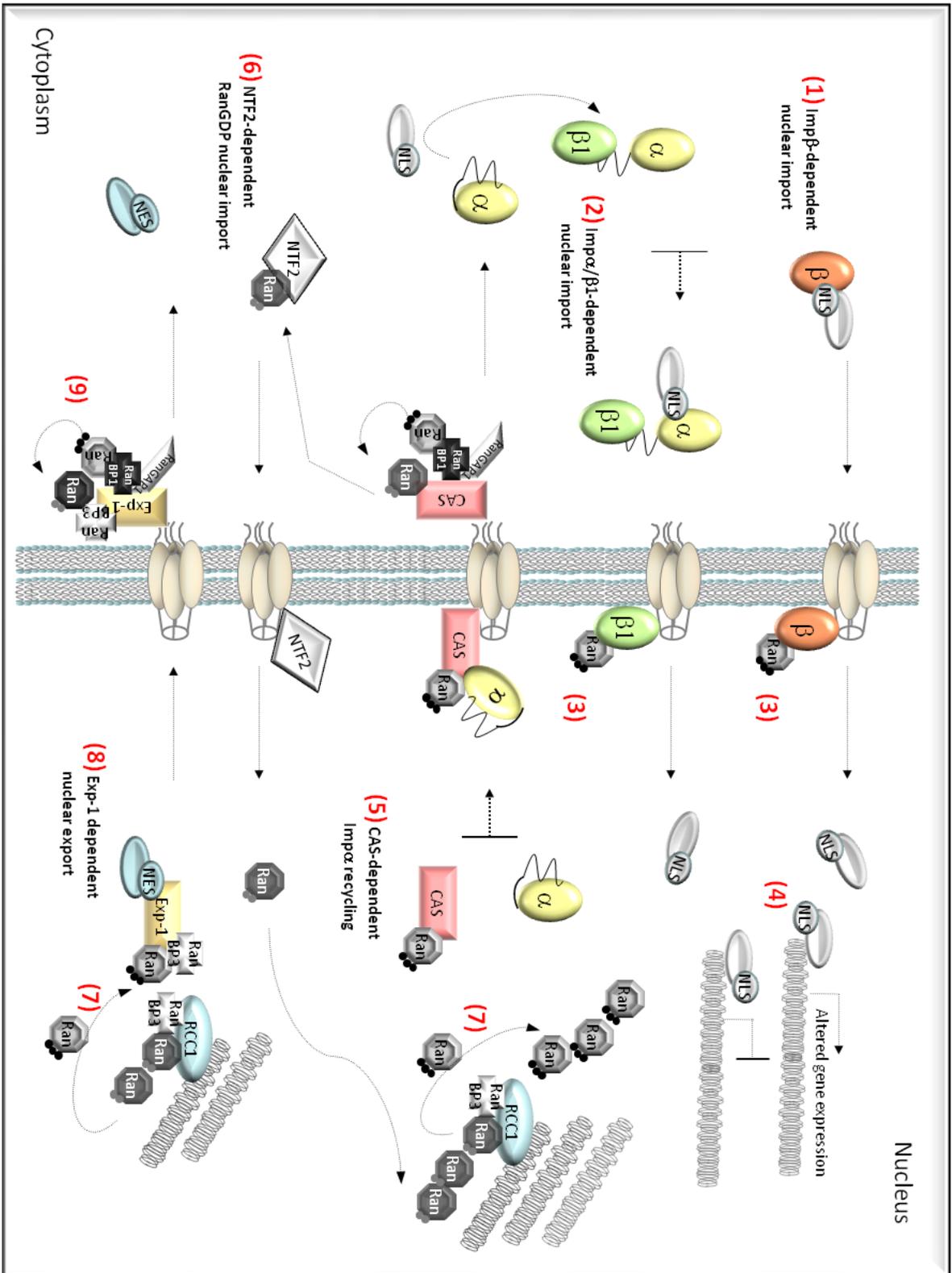
Abbreviations: ADAR, Adenosine deaminase acting on RNA; AP-1, activator protein-1; AR, androgen receptor; CBC, cap-binding complex; CDK2, cyclin dependent kinase 2; CHK2, checkpoint kinase 2; CREB, cAMP response element binding protein; CREBBP/CBP, CREB binding protein; E2F1, elongation 2 factor initiator 1; ERK2 (MAPK1), extracellular signal-regulated kinase 2 / mitogen-activated protein kinase 1; GR, glucocorticoid receptor; HDAC1, histone deacetylase 1; HIF1α, hypoxia inducible factor 1α; Hsp27, heat shock protein 27; IGFBP-2, insulin-like growth factor binding protein 2; MAPKKK, mitogen-activated protein kinase kinase kinase; MEK (MAP2K1), mitogen-activated protein kinase kinase 1; NAC1, nucleus accumbens-associated protein 1; NBS1, nijmegen breakage syndrome 1; Oct4, octamer binding factor 4; PABP2, poly(A) binding protein 2; PABPC, poly(A) binding protein, cytoplasmic; PLAG1, pleiomorphic adenoma gene 1; PRH, proline-rich homeodomain protein; PTHrP, parathyroid hormone-related protein; Rac-1, ras-related C3 botulinum toxin substrate 1; rPL, L ribosomal protein (large subunit); rPS, S ribosomal protein (small subunit); Sept9\_i1, septin 9 isoform 1; SMAD3, mothers against decapentaplegic homolog 3; SREBP-1a, sterol regulatory element-binding protein-1a; STAT, signal transducer of activated transcription; TAP, transporter associated with antigen processing; TAFs, transcription associated factors; Tpn, transportin; TRF-1, telomeric repeat-binding factor-1.

Translocation through the NPC of the trimeric Impα/β1:cargo complex is mediated by binding of Impβ1 onto hydrophobic FXFG repeat-containing Nups such as Nup358, Nup214 and Nup153 (19). In the nucleus, Nup50 appears to bind to both Impβ1 and Impα to stimulate Impα/β1-dependent import (67); docking at the Nup153-Nup50 interface facilitates the disassembly of the Imp:cargo complex by dislodging certain cargoes from Impα (68), in part by pushing the equilibrium towards prevention of NLS re-binding (69). Binding to Impβ1 of the monomeric guanine nucleotide binding protein Ran, in activated GTP-bound form, effects the release of Impβ1 from Impα enabling closure of the Impα autoinhibitory loop (containing the IBB domain) preventing both NLS and Impβ1 re-binding to Impα, ensuring the import complex does not re-assemble (68, 70, 71) (**Fig. 1.1**). Displacement of cargo-free Impα from Nup50 is triggered by competitive binding of the specialised export transporter (Exportin) cellular apoptosis susceptibility protein (CAS), in its RanGTP-bound form to the Nup50 binding site of Impα, allowing re-export of Impα by CAS to the cytoplasm, to ensure that Impα is ready for another round of nuclear import (68) (**Fig. 1.1**). High levels of nuclear

RanGTP are essential to nuclear import, providing directionality and cycling of import receptors during the transport process, and are provided by the exclusively nuclear chromatin-bound Ran guanine exchange factor (RanGEF) RCC1, and its co-factor RanBP3 (39) (**Fig. 1.1**).

Nuclear import through multiple Imp $\alpha$  adaptors forming the Imp $\alpha$ / $\beta$ 1-mediated pathway present in yeast and multicellular organisms promotes complexity and dynamic range due to improved flexibility in cargo recognition. There is one Imp $\alpha$  in yeast, whilst three Imp $\alpha$  isoforms have been identified in the worm (72) and fruitfly (73), and up to seven isoforms in mammals (14), this expansion of the number of Imp $\alpha$  isoforms expressed implying important diversification of functional role in complex organisms. Other IBB domain containing proteins apart from Imp $\alpha$  that can also serve as adaptors for Imp $\beta$ 1-mediated trafficking through the NPC include XRIP $\alpha$ , a specific adaptor of the replication protein A involved in DNA metabolism (74) and snurportin, which is the import adaptor of ribosomal U snRNPs, a large class of ribonucleoproteins complexes critical for RNA splicing (75).

**Figure 1.1 Facilitated nuclear protein transport.** Nuclear import can be mediated by the Importin (Imp) superfamily of transporters of which there are  $\alpha$  and  $\beta$  types, whereby **(1)** Imp $\beta$  alone or **(2)** an Imp $\beta$ 1 bound Imp $\alpha$  adapter recognise nuclear localisation signals (NLSs) on cargo proteins. Translocation of the cargo:Imp complex into the nucleus through the nuclear pore complex (NPC) is mediated by Imp $\beta$  binding to FXFG repeat Nucleoporins (Nups) in NPCs. **(3)** In the nucleus, binding of Ran in the activated GTP-bound form to Imp $\beta$  promotes the disassembly of the import complex resulting in the release of the cargo protein into the nucleoplasm, **(4)** ensuing its nuclear functions such as transcription factor-mediated changes in gene expression. **(5)** The Exportin (Exp) CAS (Exp-2), when bound to RanGTP is responsible for the cytoplasmic recycling of Imp $\alpha$ s subsequent to a round of protein nuclear import. Exps such as CAS and Imp $\beta$ s are thought to mediate their own recycling subsequent to a round of nuclear export and import respectively (not shown). **(6)** The nuclear import of hydrolysed Ran (RanGDP) by the non-Imp transporter NTF2 ensures RanGTP production in the nucleus, **(7)** where the nuclear chromatin-associated Ran guanine nucleotide exchanger factor RCC1, facilitated by RanBP3, generates RanGTP from RanGDP. **(8)** Exps are Imp $\beta$  homologues that mediate nuclear protein export. Given by way of example is Exp-1 that recognises nuclear export signals (NESs) on cargo proteins when bound to RanGTP, which is further stimulated by binding of co-factor RanBP3. The nuclear export complex then traverses through the NPC into the cytoplasm, where **(9)** unloading of the cargo from Exp-1 is affected by hydrolysis of GTP-bound Ran by cytoplasmic RanGAP1 facilitated by RanBP1.



#### 1.2.2.4 Nuclear import by Imp $\beta$ s

Nuclear translocation mediated by Imp $\beta$  is a simple and rapid process (76), through the fact that the cytoplasmic concentration of RanGTP is low, enabling free Imp $\beta$  to bind to NLSs, initiating nuclear import through the NPC. As for Imp $\alpha$ / $\beta$ 1-type import, Imp $\beta$  family members mediate nuclear translocation of their cargoes by direct binding to Nups (12). Once in the nucleus the transport complex dissociates upon binding of RanGTP and the cargo is released into the nucleoplasm (**Fig. 1.1**). Imp $\beta$ s may also form heterodimeric complexes with one another, such as Imp $\beta$ 1/Imp7 (77), which are thought to give flexibility and amplify the cargo recognition ability of Imp $\beta$ 1 (12). Unlike Imp $\alpha$ s, RanGTP-bound Imp $\beta$ s are thought to mediate their own recycling back into the cytoplasm, where the Nup358, RanGTPase RanGAP and co-factor RanBP1 activate the hydrolysis of RanGTP to trigger the release of Imp $\beta$  from RanGDP (78). Intriguingly, Imp $\beta$ s can serve a dual function as transporters and/or cytoplasmic chaperones for basic type DNA/RNA binding proteins such as histones and ribosomal proteins (51).

#### 1.2.2.5 Imp-independent nuclear import

Nuclear translocation can also occur in Imp-independent fashion, an obvious example being nuclear import of RanGDP by the non-Imp protein NTF2 (79) (**Fig. 1.1**). Other examples include nuclear transport of the heat shock protein factor Hsp70 mediated by the non-Imp-related transport protein Hikeshi (80), and of the high-mobility group (HMG)-box-containing chromatin-remodelling factors SRY (sex-determining region on the Y chromosome *SRY*) (81) and SOX9 by the calcium binding protein calmodulin (82). Nuclear translocation may also occur by direct binding to Nups within the NPC, such as for the tissue growth factor (TGF)- $\beta$  pathway associated transcription factors SMAD3/4 (83), and signalling molecule  $\beta$ -catenin (84). Certain factors are able to use dual Imp/Imp-independent pathways for nuclear translocation, to ensure nuclear access under diverse conditions; Imp $\beta$ 1-recognised SRY/SOX9 proteins are one example, where increased calcium levels inhibit conventional Imp $\beta$ 1-mediated nuclear import (85) to favour calmodulin-dependent trafficking (86, 87). Calcium pulses are associated with fertilisation and embryo development (88) thus a dual import mechanism may be critical for SRY/SOX9 nuclear roles in initiation of male sex differentiation.

### 1.2.3 Nuclear protein export

#### 1.2.3.1 Nuclear export signals

As for nuclear import, nuclear export of proteins requires a targeting signal, called a nuclear export signal (NES) on the cargo protein that is recognised by Imp $\beta$  homologues called Exportins (Exps). Although NESs are generally short, hydrophobic, often leucine-rich patches within cargo proteins (the prototypical NES sequence is LxxxLxxLxL (89)), where isoleucine, valine or phenylalanine residues may substitute for leucine, other NESs (such as the NES in transcription factor NFAT (SAIVAAINALTT<sup>321</sup>) (90)) appear to be quite distinct.

#### 1.2.3.2 Exportins

Seven Exps (1, 2, t, 4-7), homologues of Imp $\beta$ , are expressed in humans. The best characterised is Exp-1 (Crm-1), which recognises leucine-rich NESs (91) and mediates nuclear export of a variety of functionally distinct endogenous factors such as tumour suppressor and oncoproteins, proteins involved in control of cell cycle progression and apoptosis, and contributes to nuclear export of diverse RNAs such as rRNA (92-94). Many different cargos have been described for Exp-1 to date, probably owing due to the availability of a specific inhibitor for Exp-1 function, leptomycin B (LMB) (95). Apart from Exp-1 and unlike their Imp $\beta$  counterparts, Exps appear to have evolved with specialised functions, although only a limited number of cargoes are currently known for Exps other than Exp-1 (96). Exps with specialised roles include CAS (Exp-2) that facilitates the recycling of the Imp $\alpha$  isoforms to the cytoplasm, to enable subsequent rounds of nuclear import thereby being a central component of Imp $\alpha$ / $\beta$ 1-dependent import (97) (**Fig. 1.1**) and Exp-t and Exp-5, which are responsible for nuclear export of tRNAs and miRNAs respectively (94).

#### 1.2.3.3 Exp-dependent nuclear protein export

In the nucleus only RanGTP-bound Exps can recognise NESs on a cargo proteins (89), resulting in complex translocation through the NPC via Exp-mediated binding of Nups, converging with Imp $\beta$ -mediated import pathways (98). Exp-1 utilises an additional co-factor RanBP3 that enhances the interaction between leucine-rich NESs and Exp-1 stimulating nuclear export (99, 100), possibly through bringing the export complexes into close proximity of RanGTP-generating RCC1 (101) (**Fig. 1.1**). Once in the cytoplasm, Nup88 and Nup214 act as docking sites for the export complexes and the complex is dissociated in close proximity of Nup358, which facilitates by the hydrolysis of

RanGTP by the cytoplasmic factors Ran guanine hydrolysing factor RanGAP1 and co-factor RanBP1 (102), resulting in cargo expulsion from the complex (**Fig. 1.1**). It is presumed that like Imp $\beta$ s, Exps mediate their own recycling back into the nucleus subsequent to a round of nuclear export, but do so in the absence of Ran binding (103).

### **1.3 REGULATION OF NUCLEAR TRANSPORT**

Effective signal transduction relies on efficient nuclear targeting of signalling factors/effectors to induce phenotypic changes, and with myriads of signalling pathways converging at the level of nuclear trafficking, regulation of nuclear transport is essential. Regulation is a multi-tiered process that occurs through a number of different mechanisms that involve modulation of either individual cargoes, or nuclear transport components such as Nups or Imps/Exps, thereby affecting the nuclear transport of multiple cargoes. Specific focus in the following Sections is devoted to transport regulation through effects on the nuclear transport apparatus itself.

#### *1.3.1 Modulation of components of the nuclear transport machinery*

Although the general principles of the mechanisms for Imp-dependent nuclear protein transport have been largely elucidated, the extent to which the components of the nuclear transport machinery represent a dynamic, potentially interdependent system and the degree to which this may be a means to modulate nuclear transport efficiency, is not clear. Current advances in this area are discussed below.

##### *1.3.1.1 Alterations in levels*

###### *1.3.1.1.1 Nucleoporins*

Studies in the fruitfly present direct evidence that NPC components can regulate cargo shuttling. During embryogenesis, dorsal-ventral polarity of the fruitfly embryo is regulated by the transcription factor Dorsal, the orthologue of NF- $\kappa$ B, in response to Toll receptor signalling, which inhibits the fly orthologue of I- $\kappa$ B, the cytoplasmic retention factor Cactus, to trigger Dorsal nuclear import. To ensure Dorsal nuclear activity, Exp-1 activity is inhibited through increased expression of Nup88 and Nup214 in ventral, but not dorsal cells, where Exp-1 becomes sequestered by the Nup88-Nup214 complex at the cytoplasmic rim of the NPC leading to inhibition of Dorsal nuclear export and

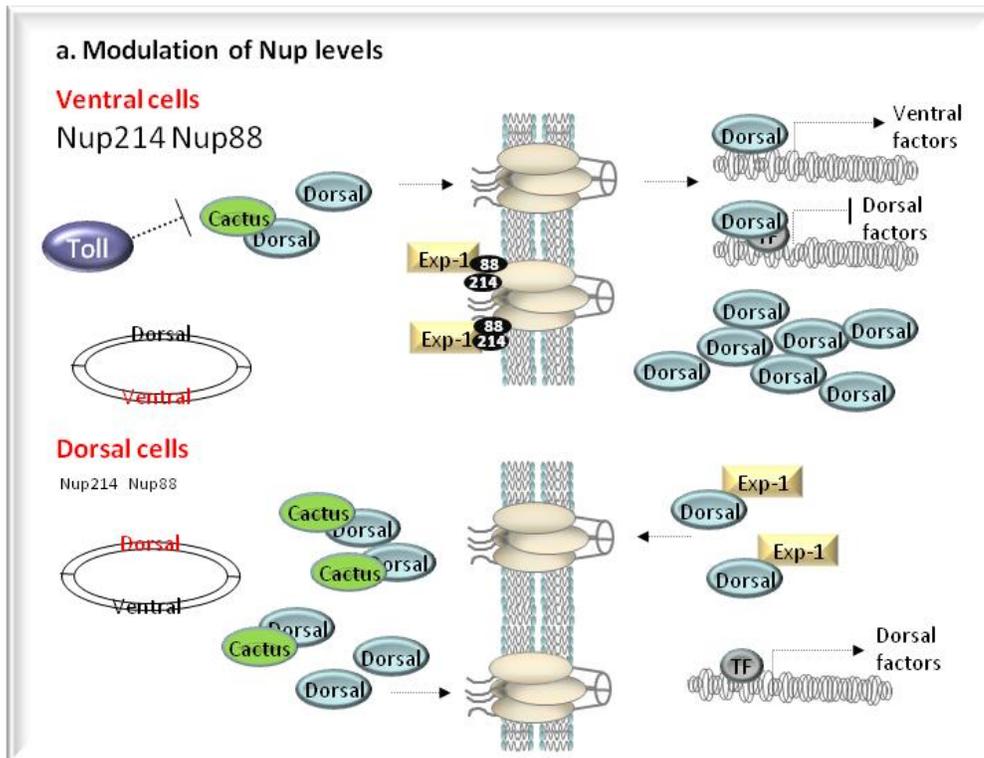
altered transcription of its gene targets (**Fig. 1.2a**) (73, 104, 105). It is interesting that a number of Nups such as Nup50, important for Imp $\alpha$ / $\beta$ 1 mediated nuclear import (see Section 1.2.2.3) and GP210, responsible for anchoring the NPC to the nuclear membrane among others, exhibit cell type-specific expression in the mouse and the fruitfly (106). The relevance of such alterations has not yet been fully characterised but has been predicted to alter the NPC composition/transport capacity and/or modulate gene expression directly (106, 107).

Further examples of altered nuclear transport efficiency arising due to changes in Nup levels include polio-, rhino- and influenza-virus induced degradation of distinct Nups to inhibit nuclear transport of host proteins or mRNAs, which contributes to efficient viral replication and evasion from host immunity (108-110).

#### *1.3.1.1.2 Importins / Exportins and Ran regulators*

##### *1.3.1.1.2.1 Proof of principle experiments in model cell systems*

The first studies to investigate the effects of nuclear transport machinery levels on transport efficiency in model cell systems concentrated solely on whether components of the Ran system (such as RCC1, Ran import carrier NTF2, RanGAP, RanBP1 and Ran itself) could alter RanGDP:RanGTP distribution and nuclear import efficiency. The Ran system appears to be robustly buffered to resist effects from depletion of its components in computational transport system analysis and experimental microinjection studies (111, 112). Microinjection studies performed by Riddick et al. in intact HeLa cells indicate that addition of exogenous Ran, NTF2 or RanBP1, but not RCC1 or RanGAP appear to stimulate the initial rate of NLS-dependent nuclear accumulation of an Imp $\alpha$ -recognised GST-GFP-NLS (113) by up to 2-fold compared to controls, presumably through increasing nuclear RanGTP levels; overall capacity (efficiency) of NLS nuclear import at 30 min post-injection was similarly affected (76). Imp levels were also found to alter both nuclear import rate and efficiency,



**Figure 1.2 Regulation of nuclear protein transport (a) By modulation of Nup levels.** Regulation of spatial organisation in developing fruitfly embryos occurs through  $\text{Nf-}\kappa\text{B}$  (Dorsal) signalling. Dorsal is expressed in both dorsal and ventral cells, where it is retained in the cytoplasm by the  $\kappa\text{-B}$  like retention factor Cactus. (Top) In ventral cells the activation of Toll receptor signalling results in inhibition of Cactus-mediated retention resulting Dorsal nuclear import, where high levels of Nup214 and Nup88 contribute to the nuclear trapping of Dorsal by sequestering Exp-1 at the nuclear rim inhibiting Dorsal nuclear export leading to expression of ventral promoting cell factors and inhibition of dorsal promoting factors. (Bottom) In dorsal cells the levels of Nup214/88 are decreased compared to ventral cell types; Dorsal that has escaped Cactus-mediated cytoplasmic retention to localise in the nucleus of dorsal cells is rapidly exported by Exp-1 due to lack of Nup-mediated Exp-1 sequestration allowing expression of dorsal promoting factors. TF, transcription factor. Adapted from (73).

with microinjection of  $\text{Imp}\alpha 1$  stimulating GST-GFP-NLS import rate by c. 2-fold and GST-NES-GFP-NLS nuclear accumulation at steady-state by up to 5-fold, indicating that under the conditions tested  $\text{Imp}\alpha 1$  is a major limiting factor for nuclear import (76, 113). In contrast, microinjection of  $\text{Imp}\beta 1$  suppressed efficiency of nuclear translocation of  $\text{Imp}\alpha$ -recognised fusion proteins GST-GFP-NLS and GST-NES-GFP-NLS. Computational models predicted increased  $\text{Imp}\beta 1$  concentrations deplete nuclear RanGTP, which was verified by experimental models in which co-injection of Ran with  $\text{Imp}\beta 1$  and GST-GFP-NLS was shown to reverse the suppression of cargo import by  $\text{Imp}\beta 1$  (113). It is

plausible that under the test conditions excess Imp $\beta$ 1 may compete with Imp $\alpha$ / $\beta$ 1:cargo complexes for free RanGTP in the nucleus, decreasing cargo release from and arresting Imp $\alpha$ / $\beta$ 1:cargo complexes at the NPC; surprisingly the nuclear import efficiency and rate of an Imp $\beta$ 1-recognised cargo in cells injected with Imp $\beta$ 1 was not tested by the investigators. Conversely, Yang and Musser (114) reported increased Imp $\beta$ 1 concentration decreased interaction time and improved cargo nuclear import efficiency of an Imp $\alpha$ -recognised NLS from Simian virus 40 (SV40) large tumour antigen (T-ag NLS), but the bulk of these studies were performed in non-intact as opposed to intact cells. Although the concentrations of endogenous Imp and NPC components are not readily measurable in intact as compared to non-intact systems that are reconstituted in an exogenous buffer with specified amounts of transport components, intact systems are more physiologically relevant in terms of presence of competing cargoes and endogenous components.

Clearly the complex nature of the nuclear transport machinery, and the difficulty of finding malleable systems to probe it, makes definitive interpretations based on data from *in vitro*/microinjected cells systems challenging at best.

#### 1.3.1.1.2.2 Altered Importin levels during cell development

That Imp $\alpha$ s levels may play distinct roles in cells is implied by descriptive studies showing that levels or activity of Imps are different in various tissue types (115, 116), cell types (20, 115) and phenotypes such as SV40-transformed versus non-transformed cells (117), quiescent versus proliferating cells (118), senescing (aged) compared to young fibroblasts (119), immature vascular smooth muscle cells and embryonic stem cells undergoing differentiation into fully differentiated contractile smooth muscle cells (120) and cardiomyocytes respectively (121), and blood cells induced to differentiate *in vitro* into macrophage and neutrophil lineages compared to undifferentiated cells (122). Imp $\alpha$  and Imp $\beta$  expression patterns/localisation also change during developmental stages in the worm (72), fruitfly (73) and during murine spermatogenesis (123-129) to favour the nuclear translocation of factors that control cellular development at specific time points.

Interestingly, Imp $\alpha$  expression switches from  $\alpha$ 1 to  $\alpha$ 5 upon stimulation of differentiation by phorbol myristate acetate (PMA) or DMSO treatment of human promyelocytic leukaemia HL-60 cells into macrophage or granulocyte lineages respectively (122). A subsequent landmark study by

Yasuhara et al. demonstrated how switching of Imp $\alpha$ 1 to  $\alpha$ 5 expression could determine a neuronal cell fate in mouse embryonic stem (ES) cells. In pluripotent ES cells, the levels of Imp $\alpha$ 1 are high, resulting in nuclear accumulation of the Imp $\alpha$ 1-recognised pluripotency factor Oct3/4 and upregulation of its gene targets; in contrast Imp $\alpha$ 5 is barely detectable (**Fig. 1.2b**) (130). During retinoic acid-induced differentiation, levels of Imp $\alpha$ 1 decrease with a concomitant increase in Imp $\alpha$ 5 levels leading to inhibition of Oct3/4 nuclear import and protein levels, and increased nuclear import and levels of the Imp $\alpha$ 5-recognised differentiation inducing factors Tuj1, Nestin and Brn2 (130). The transient knockdown of Imp $\alpha$ 1 expression in pluripotent ES cells was sufficient to decrease the pluripotency state, and trigger the expression of differentiation-inducing factors and Imp $\alpha$ 5 itself (130). Further, high levels of Imp $\alpha$ 1 in undifferentiated ES cells appear to effect cytoplasmic retention of differentiation inducing factor Oct6, expressed in undifferentiated cells. This inhibition is thought to occur by the binding of the Imp $\alpha$ 1 C-terminal region (rather than the major NLS binding site of Imp $\alpha$ 1 that recognises Oct3/4) to Oct6 resulting in a transport incompetent Imp $\alpha$ 1/ $\beta$ 1:Oct6 complex, and inhibition of Oct6 nuclear translocation and differentiation-inducing activities (**Fig. 1.2b**). The binding of NLSs to the conventional major and minor NLS binding sites of Imp $\alpha$  (ARM repeats 3/4 and 7/8, respectively) leads to productive nuclear import (34). Importantly, ectopic expression of Imp $\alpha$ 1 in ES cells induced to differentiate by retinoic acid treatment is sufficient to inhibit progression of differentiation to a neuronal cell type by induction of cell death, meaning Imp $\alpha$ 1 activity must be inhibited (in addition to Imp $\alpha$ 5 activity being increased) to allow neural differentiation to occur (34).

Imp $\alpha$ 3 levels are also known to increase in differentiating mouse ES cells compared to pluripotent cells (130, 131). In ES cells undergoing embryonic body differentiation, this is associated with re-localisation of Imp $\alpha$ 3 from the nucleus to the cytoplasm and reduced Oct3/4 expression (131). That Imp $\alpha$ 3 may negatively regulate Oct3/4 expression is indicated by the fact that ectopic expression of Imp $\alpha$ 3 in ES cells decreases levels of endogenous Oct3/4 by 50% compared to non-transfected controls, speculated to occur due to increased Imp $\alpha$ 3-mediated import of differentiation-inducing transcription factors (131).

Other examples where Imp $\alpha$  levels may influence development/differentiation include in keratinocytes induced to differentiate by interferon (IFN)- $\gamma$ -treatment, during which Imp $\alpha$ 1

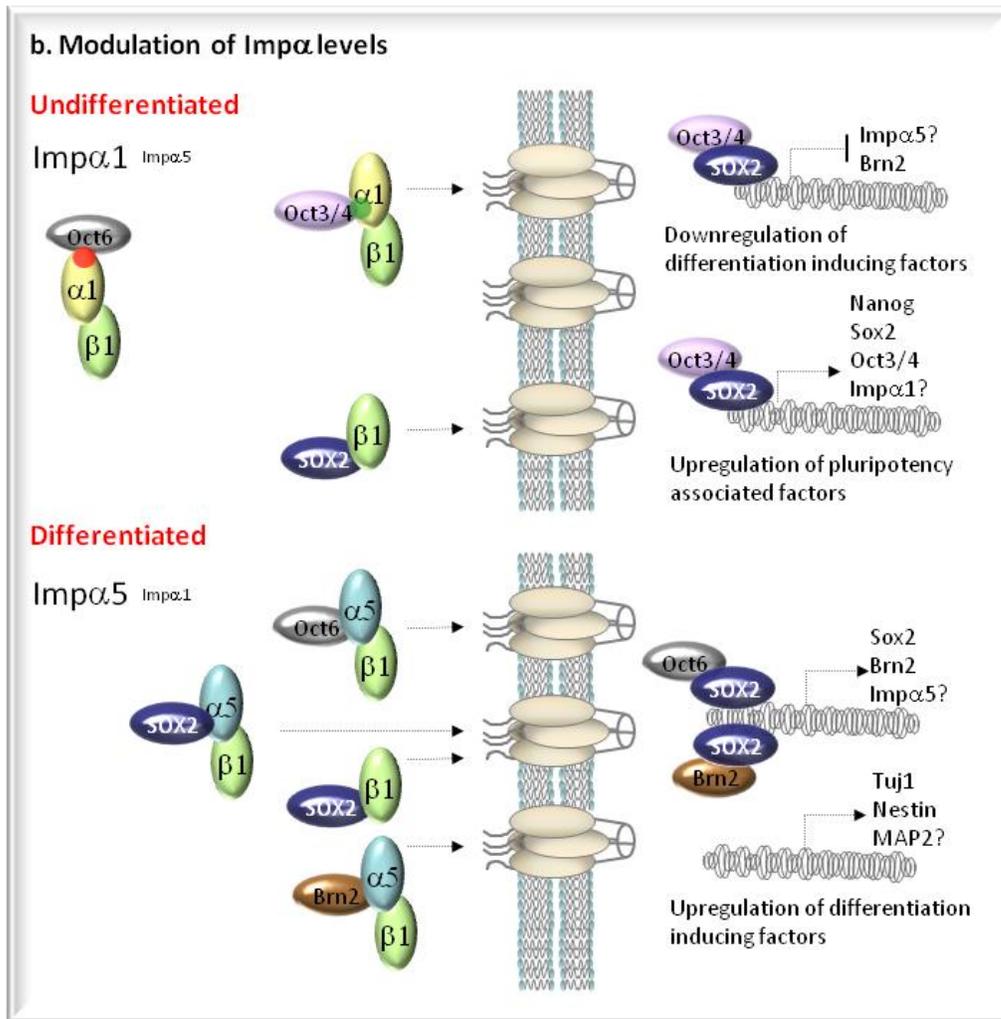
expression was found to be essential for the nuclear translocation of IFN-response factor-1 (IRF-1) and expression of targets known to be involved in epidermal differentiation (132), and during induction of muscle cell development (myogenesis) *in vitro* where myotube size and myocyte migration both depend on increased levels of Imp $\alpha$ 1 (15).

The clear implication from the above is that altered Imp $\alpha$  levels may modulate and/or are integrally linked to cell development and differentiation.

### 1.3.1.2 Post-translational modifications

#### 1.3.1.2.3 Nucleoporins

A possible link between post-translational modifications on nuclear transport capacity has been suggested by several reports that show broad spectrum kinase or phosphatase inhibitors affect nuclear transport capacity. Studies in digitonin permeabilised cells indicate that pre-incubation of cells with alkaline phosphatase can inhibit nuclear import of albumin-conjugated nucleoplasmin and T-ag NLS containing peptides, which is relieved by the addition of exogenous protein kinase A (PKA) (133). Consistent with this, treatment of cells with a peptide inhibitor of the PKA kinase inhibits nuclear import of T-ag NLS, serum response factor and cyclin A cargoes (134). The fact that all tested cargo peptides in the above studies lacked phosphorylation sites indicates that phosphorylation-regulation of nuclear transport components. Interestingly, the Imp $\beta$ 1-binding regions of Nup50, Nup153 and Nup214 have been shown to be phosphorylated by the mitogen activated protein kinase (MAPK) extracellular signal-regulated kinases (ERK) (a downstream target antagonised by PKA-mediated cell growth inhibition (135)), resulting in reduced Imp $\beta$ 1 affinity with these NPC components, inhibiting docking and release of cargoes from Imp: cargo complexes, without altering the structural integrity of the NPC itself (136). ERK-dependent phosphorylation of Nups and their altered distribution/functionality may play a role in impaired Imp $\alpha$ / $\beta$ 1- and Exp-1- dependent nuclear transport capacity during oxidative stress both in cells treated with nonlethal doses of the oxidant diethyl maleate (DEM) (137, 138) or high doses of hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>) (139, 140), or regulate transport-independent roles of Nups during mitosis (see Section 1.4) (136, 141).



**Figure 1.2 Regulation of nuclear protein transport (b) By modulation of Imp $\alpha$  levels.** Imp $\alpha$  subtype switching modulates neural differentiation in mouse embryonic stem cells. (Top) In undifferentiated cells high levels of Imp $\alpha$ 1 maintain pluripotency. The N-terminal NLS binding site (green shading) of Imp $\alpha$ 1 recognises the pluripotency factor Oct3/4, resulting in Oct3/4 nuclear import through the Imp $\alpha$ 1/ $\beta$ 1 heterodimer and altered expression of Oct3/4 targets involved in maintenance of pluripotency. Upregulated targets may include Imp $\alpha$ 1 itself providing a positive feedback loop, whereas downregulated targets include differentiation inducing factors such as Brn2 and Imp $\alpha$ 5. In undifferentiated cells the C-terminus of Imp $\alpha$ 1 (red shading) when bound to Imp $\beta$ 1 retains differentiation inducing factors expressed in undifferentiated cells, such as Oct6, in a transport incompetent complex preventing Oct6 nuclear translocation. (Bottom) Neural differentiation can be triggered by RNAi-induced Imp $\alpha$ 1 knockdown or by loss of Oct3/4 and Imp $\alpha$ 1 expression induced by retinoic acid treatment resulting in inhibition of Oct3/4 activity and Oct6 cytoplasmic retention, and increased Imp $\alpha$ 5 levels leading to nuclear import of Imp $\alpha$ 5-recognised differentiation inducing factors such as Brn2 and Oct6. SOX2 co-regulates Oct3/4, Oct6 and Brn2 targets during both pluripotency and differentiation and is not subject to regulation. Adapted from (14, 34).

1.3.1.2.4 *Importins and Ran regulators*

Imps can undergo post-translational modifications that may regulate their activity. Both Imp $\alpha$ 1 and Imp $\alpha$ 7, but not Imp $\alpha$ 3 can be acetylated *in vitro/in vivo* by the histone acetyl transferase (HAT) CREB binding protein (CBP)/p300, due to a HAT-recognised consensus sequence (G/SK) in N-terminal region of Imp $\alpha$ 1 (GK<sup>22</sup>) and Imp $\alpha$ 7 (GK<sup>9</sup>) that is absent from Imp $\alpha$ 3 (28, 142). The residue K<sup>22</sup> is located within the linker region of the IBB domain of Imp $\alpha$ 1, and although it is non-essential for Imp $\beta$ 1 binding (142), its side chains contribute to Van der Waals interactions with W<sup>472</sup> on Imp $\beta$ 1; acetylation at K<sup>22</sup> neutralises the positive charge of the residue possibly facilitating an amide NH interaction with the hydrophobic W (tryptophan) ring, which may improve binding between Imp $\alpha$ 1 and Imp $\beta$ 1 (143). Strikingly, although a number of studies have reported acetylation of Imp $\alpha$ s, the studies have not directly addressed whether this may modulate Imp $\alpha$  affinity for Imp $\beta$ 1 and in turn, Imp $\alpha$ / $\beta$ 1-dependent nuclear import efficiency.

The AMP-activated protein kinase (AMPK), an enzyme that responds to cellular metabolic stress, has been shown to phosphorylate Imp $\alpha$ 1 at S<sup>105</sup> (143). Significantly, the S<sup>105</sup> residue is located in the NLS-binding site of Imp $\alpha$ 1, where negative charge at the site normally supplied by phosphorylation has been suggested to increase binding for basic type NLSs (143). It appears that Imp $\alpha$ / $\beta$ 1-dependent nuclear import rates of a GFP-T-ag NLS fusion protein increase during the cell cycle without a change in the overall steady-state level of accumulation, peaking at late G1 and S phases due to increased Imp $\alpha$ 1:Imp $\beta$ 1 heterodimerisation (144), presumably to accommodate the cells need for efficient and timely import through specific pathways promoting DNA replication and transcriptional regulation. Interestingly, both Imp $\alpha$ 1 and Imp $\beta$ 1 are found phosphorylated only during G2/M, but this does not appear to relate to altered nuclear import, but rather may play a role mitotic progression (see Section 1.4) (144).

CAS, the exportin for Imp $\alpha$ s is also believed to be subject to post-translational modifications. CAS is phosphorylated by MEK1 *in vitro* (145), either indirectly or directly by components in the MAPK and Akt signalling pathways; inhibition of the MAPK MEK1 or Akt results in CAS nuclear or cytoplasmic re-distribution respectively (145, 146). The extent to which this may regulate CAS localisation and activity in untreated cells is unknown, while the effects of cytoplasmic CAS mislocalisation on Imp $\alpha$ / $\beta$ 1-dependent nuclear import efficiency have not been elucidated.

The RCC1 cofactor RanBP3 is another nuclear transport component whose activity may undergo regulation by MAPK/ribosomal S6 kinase 1(RSK1)- and Akt-dependent phosphorylation depending on growth stimuli (39). In PMA-stimulated human embryonic kidney cells, MAPK/RSK1-dependent signalling leads to phosphorylation of RanBP3 at S<sup>58</sup>. Although this does not influence RanBP3's ability to interact with Exp-1, the S<sup>58</sup> site appears to be important for RanGDP to GTP conversion by RCC1-RanBP3 complexes *in vitro*, possibly through increasing Ran affinity for RCC1:RanBP3 complexes. Interestingly, treatment of cells with growth factors can also lead to RanBP3 phosphorylation at S<sup>58</sup> by Akt (39). That this may have implications for global nuclear import efficiency is suggested by the fact that RanBP3 silencing in cells transfected to express a non-phosphorylatable S<sup>58</sup> RanBP3 mutant increases cytoplasmic localisation of Ran and inhibits nuclear import of microinjected T-ag NLS and ribosomal protein L12 through Imp $\alpha$ / $\beta$ 1- and Imp11-dependent import pathways respectively, compared to cells transfected with wild-type RanBP3 (39).

Other components of the nuclear transport machinery able to be phosphorylated include the RanGEF RCC1 (147) and Exportin Exp-1 (148) that relate to their specific non-transport roles in mitosis (see Section 1.4). The precise effect of post-translational modification of other nuclear transport components remains to be fully elucidated.

### 1.3.1.3 Negative regulators of nuclear import

A number of factors have been reported to inhibit Imp-dependent nuclear import of cargo proteins. These include BRAP2, a BRCA1-binding protein that binds phosphorylated NLSs of several cellular (and viral) proteins to inhibit their nuclear import (149, 150), the putative tumour suppressor protein E124 (PIG8), a p53-induced gene shown to inhibit Imp $\alpha$ / $\beta$ 1 and Imp $\beta$ 1-dependent import through direct Imp $\beta$ 1 sequestration by its IBB-like domain (151); ARHI (DIRAS 3), a tumour suppressor protein that appears to function in a similar manner to E124, in that it binds to a number of Imps (such as Imp $\beta$ 1, Imp7, Imp9 and Imp $\alpha$ s) repressing the nuclear import of proteins such as the growth-inducing and anti-apoptotic transcription factor STAT3 in semi-intact cells (152); and CC3, a proapoptotic protein that binds Imp $\beta$  isoforms such as Imp $\beta$ 1 and  $\beta$ 2 to inhibit nuclear import of their cargoes, which is associated with the induction of cell death (153).

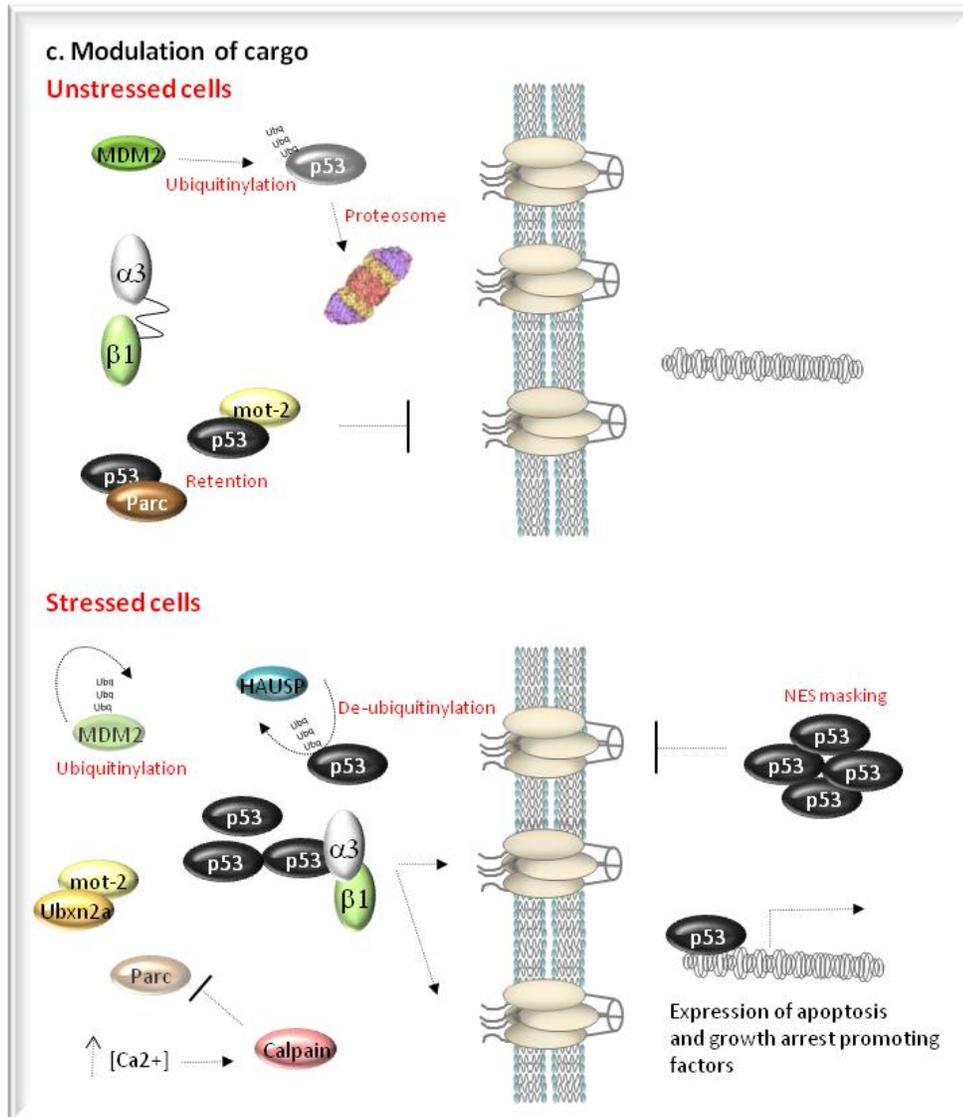
### 1.3.2 Modulation of cargoes

Nuclear transport can be precisely controlled by a number of different mechanisms at the level of individual cargoes leading to transport changes, which only affect the particular cargo in question. This process has already been extensively studied by others and is reviewed in detail elsewhere (154). Briefly, regulation by post-translational modifications in close proximity of nuclear targeting signals (such as phosphorylation) is the most common mechanism, acting to modulate target signal affinity for Imps/Exps in an enhancing or inhibitory fashion, by initiating structural changes that expose nuclear targeting signal/s on the cargo protein. This is shown by way of example in **Fig. 1.2c** for the inducible tumour suppressor transcription factor p53, which translocates into the nucleus in response to stress signals conditional on deubiquitylation of the p53 NLS by the ubiquitin hydrolase Herpesvirus-associated ubiquitin-specific protease (HAUSP) in parallel with degradation of the E3 ubiquitin protein ligase double minute 2 protein (MDM2), to enable p53 recognition by the Imp $\alpha$ 3/ $\beta$ 1 heterodimer (36). Modulation of target signal affinity can also occur by intra- or intermolecular masking, where nuclear target signal recognition by Imps is prevented by masking by domains within the cargo protein itself, or by heterologous factors. An example is the tetramerisation of p53 protein in the nucleus, which results in masking of the p53 NES and inhibition of Exp-1-mediated nuclear export essential to p53-dependent transcriptional function (155) (**Fig. 1.2c**). Finally, cargoes may also undergo nuclear or cytoplasmic retention through binding to nuclear or cytoplasmic anchoring proteins respectively. In the case of p53, its nuclear import can be inhibited by cytoplasmic sequestration to the p53-associated Parkin-like protein Parc (156) or Hsp70 family member mortalin (mot-2) (157). During cell stress mot-2 is displaced from p53 by upregulation and binding of the ubiquitin ligase like domain containing Ubxn2a to mot-2 (157), whilst Parc levels are downregulated by the calcium-dependent cysteine protease calpain through a proteosomal and caspase independent mechanism, which is associated with p53 nuclear translocation (158) (**Fig. 1.2c**).

Interestingly, Imps themselves can inhibit nuclear import of particular cargoes. For example, the Imp $\beta$  homologue Imp7 binds the Imp $\alpha$ / $\beta$ 1-recognised NLS of the androgen receptor (AR) retaining AR in the cytoplasm, until hormone stimulation with androgen displaces Imp7 to allow binding of Imp $\alpha$ / $\beta$ 1 enabling AR nuclear import/transcriptional activity (65). Another example is Imp $\alpha$ 1 that acts as a retention protein to inhibit the nuclear import of differentiation inducing factors Brn2 and

Oct6 to maintain pluripotency in mouse embryonic stem cells, via its C-terminal domain that prevents productive nuclear import although the mechanism is currently incompletely understood (159). Imp $\alpha$ s such as Imp $\alpha$ 1, have been reported to inhibit the nuclear translocation of distinct Imp $\beta$ 1-recognised cargoes such as chromatin associated factors telomeric repeat factor (TRF)-1 (57) and histone H1, hormone-related signalling factors parathyroid hormone related protein (PTHrP) (56) and sterol regulatory-element binding protein (SREBP)-2 (58, 160), DNA replication associated protein Cdc7 (161), and Snail, a transcriptional repressor regulating epithelial to mesenchymal phenotype switching and embryonic development (160), through a number of different mechanisms shown *in vitro* using reconstituted cell systems and binding assays. For example, Imp $\alpha$ s can compete with Imp $\beta$ 1 to bind the Snail NLS to inhibit Snail nuclear import (160), whereas for proteins imported into the nucleus by Imp $\beta$ 1 alone such as Cdc7 (161), SREBP-2 (58, 160), CREB (55), TRF-1 (57) and PTHrP (56), the addition of recombinant Imp $\alpha$  decreases their nuclear import efficiency even though the protein themselves do not bind Imp $\alpha$ , most likely due to competition of Imp $\alpha$  for Imp $\beta$ 1, decreasing the amount of available Imp $\beta$ 1 that is free to bind cargoes.

It is apparent that there are multiple distinct mechanisms that can regulate protein nuclear transport, either through direct modulation of individual cargoes, or of nuclear transport components themselves. In addition, the modulation of nuclear transport components may potentially bear relevance to non-nuclear transport roles of the nuclear transport components, which are discussed in the following Section.



**Figure 1.2 Regulation of nuclear protein transport (c) By modulation of cargo.** Nuclear localisation of the inducible tumour suppressor transcription factor p53 is regulated by a variety of mechanisms in response to stress signals. (Top) In resting/unstressed cells the p53 NLS is ubiquitinated by the E3 ubiquitin ligase MDM2 inhibiting Imp $\alpha 3/\beta 1$  recognition, leading to p53 cytoplasmic trapping and degradation in the proteasome. Other factors that prevent p53 NLS – Imp recognition are the p53-associated Parkin-like protein Parc and the Hsp70 family member mot-2, which bind and retain p53 in the cytoplasm. (Bottom) DNA damage/stress upregulates/activates the ubiquitin-like domain containing factor Ubxn2a and calcium-dependent cysteine protease calpain, relieving p53 cytoplasmic retention by sequestering mot-2 and degrading Parc respectively. MDM2 – p53 association is inhibited and MDM2 degraded by self-ubiquitylation leading to HAUSP-dependent deubiquitylation p53, recognition of the p53 NLS by the Imp $\alpha 3/\beta 1$  heterodimer and p53 nuclear import. In the nucleus, p53 forms tetramers masking the NES (155) leading to inhibition of Exp-1-dependent p53 nuclear export and the expression of growth arrest promoting and pro-apoptotic p53 targets. Other interacting factors of the MDM2/HAUSP/p53 complexes are not shown.

#### **1.4 NON-TRANSPORT FUNCTIONS OF THE COMPONENTS OF THE NUCLEAR TRANSPORT MACHINERY**

In addition to their well-established roles in nuclear transport during interphase, components of the nuclear transport machinery have transport-independent roles during cell cycle progression, namely through regulation of DNA replication at S-phase, mitotic spindle formation, kinetochore-microtubule attachment during prometaphase and nuclear envelope break down/reassembly during mitosis. In particular, the small GTPase Ran has emerged as a key regulator in this, signalling multiple chromatin-mediated events during the phases of mitosis (162). Not surprisingly, an essential role for numerous Ran-binding proteins such as Imps, CAS, Exp-1, RCC1, RanBP1, RanGAP1 and Nup358 has been implicated.

During S phase, dependent on high levels/activity of RanGTP and Cyclin-CDK2, Exp-1 binding to the DNA replication initiation factor MCM helicase in the nucleus inhibits its activity and restricts DNA replication to a single round; this inhibition is not relieved until after nuclear envelope breakdown (163, 164). During mitotic progression into prophase, phosphorylation of Nups such as Nup98 by CDK1 is important for NPC disassembly and nuclear membrane breakdown (141, 165), during which Imp $\alpha$  and Imp $\beta$ 1 localise at the spindle poles most likely through microtubule-dependent transport mechanisms, where they are found in association with the NLSs of a number of spindle assembly factors (SAFs) such as NUMA, lamin B, TPX and the kinesin XCK2, resulting in inactivation of SAF activity (164). The primary role of Ran at these localised centres is to release SAFs from an inhibitory complex with Imps, and relies on the conversion of RanGDP to RanGTP by the RCC1:RanBP3 complex, which are closely associated with chromatin and therefore mitotic chromosomes during mitosis, thereby providing the necessary spatiotemporal regulation of spindle formation (166).

One of the most important roles for Ran is its capability to regulate the mitotic spindle checkpoint. Ran regulates the attachment of microtubules to the kinetochores of mitotic chromosomes, the formation of stable  $\kappa$ -fibres (kinetochore fibres) as well as the segregation of sister chromatids during anaphase and telophase respectively, by regulating Exp-1-dependent recruitment of kinetochore regulatory factors, RanBP1 and Nup358-RanGAP1 complexes to kinetochores (148, 167). The timely release of factors from Exp-1 is ensured through RanGTP hydrolysis by the localised activity of kinetochore-binding RanGAP1, RanBP1 and Nup358 allowing progression to telophase (166, 168). Interestingly, increased ectopic expression of Imp $\beta$ 1 can relocalise RanGAP1-Nup358

complexes through Nup358 binding to inhibit Exp-1-mediated delivery of kinetochore regulatory factors through lack of RanGTP hydrolysis, resulting in G2/M arrest; an effect that can be overcome by increasing levels of Exp-1 or RanGAP1 (169) indicating nuclear transport component levels are in fine balance to regulate cell cycle progression. RCC1 is phosphorylated by cyclin B-CDK1 during chromosomal congression at the metaphase plate to prevent its binding to Imp $\alpha$ / $\beta$ 1 (147), most likely to ensue RanGTP production within close vicinity of chromatin and kinetochore associated factors such as Exp-1. The exportin CAS is also known to play a role in the mitotic spindle checkpoint in yeast (170) and in mammalian cells where it is found associated with the mitotic spindle (171). The silencing of CAS results in the inability to degrade cyclin B, an important event to trigger the progression through the mitotic spindle checkpoint leading to separation of sister chromatids during anaphase, leading to cell cycle arrest at the G2/M checkpoint (172). Distinct nuclear transport factors such as Imp $\beta$ 1, Ran and RCC1 regulate the assembly of the nuclear envelope and NPC formation during telophase through Imp $\beta$ 1-mediated binding of FG-repeat Nups that is inhibited by RanGTP found in high concentrations localised near chromatin-bound RCC1 (166).

Other roles for CAS have been described in tumour necrosis factor (TNF)- and toxin-induced apoptosis (173) (hence the name cellular apoptosis susceptibility protein), tumour cell migration (174), and in the regulation of transcription of p53-target genes (175). It is still unclear whether the roles of CAS in apoptosis, migration and proliferation are linked to CASs function as a nuclear export receptor for Imp $\alpha$ s, or whether CAS can mediate these activities through domains that are separate to its function as a nuclear transport factor, but CASs ability to localise at the plasma membrane and microvesicles (174, 176, 177) would suggest the latter is likely to be the case.

Nups have non-transport related functions, in that they can modify chromatin and regulate gene transcription both in a positive and negative manner (178). Studies in the fruitfly and worm show that NPCs/distinct Nups can dynamically associate with promoter regions and regulate transcription of developmental or stress-induced genes, either in the interior or periphery of the nucleus respectively (178). In mammalian cells, Nup98 is known to exist in a dynamic intranuclear form, or a tethered NPC-localised form (179). Whereas the tethered form of Nup98 is essential for multiple pathways of RNA export (180), the dynamic form of Nup98 is known to associate with the 3'UTR of the mRNA encoding the p53-induced protein p21<sup>cip1</sup>, preventing its degradation by the exosome,

enhancing its expression (181), and facilitates transcriptional reactivation of recently expressed IFN- $\gamma$ -induced genes (178).

Imp $\alpha$ 1 may also regulate transcription during certain circumstances. Cargo- and Imp $\beta$ 1-free Imp $\alpha$ 1 is able to translocate into the nucleus using an import mechanism that does not require ATP or ATP hydrolysis, and that does not overlap with that of Imp $\beta$ 1 (182) upon stress-induced Ran gradient collapse in cells treated with high doses of the oxidant H<sub>2</sub>O<sub>2</sub> (140). This is associated with depletion of cellular ATP levels that are normally required for nuclear transport and apoptotic progression, Imp $\alpha$ 1-DNA interaction and downregulation of histones, stress-responsive and apoptosis-related genes, as well as upregulation of caspase-independent serine/threonine kinase (STK)35 expression at the mRNA level, thought to occur through Imp $\alpha$ 1-mediated inhibition of an STK35 transcriptional repressor (159), although protein levels of these targets were not measured over time due to rapid cell death induced by the H<sub>2</sub>O<sub>2</sub> treatment. Ectopic expression of STK35 enhances caspase-independent cell death during H<sub>2</sub>O<sub>2</sub> treatment, suggesting a potential role for Imp $\alpha$ 1 in facilitating non-apoptotic cell death in response to lethal H<sub>2</sub>O<sub>2</sub> levels (159, 183).

It is clear from the above examples that nuclear transport components can serve roles outside of nuclear transport, especially during cell cycle/mitotic progression and/or transcriptional regulation. The following Section discusses how nuclear transport components become altered during malignant transformation and how this may relate to tumorigenesis.

### **1.5 ALTERED NUCLEAR TRANSPORT COMPONENTS IN CANCER CELLS**

That altered nuclear transport component activity may be linked to diseases is an emerging concept (184-186). Elucidating how this can contribute to and promote malignant transformation has become of significant interest due to the fact that nuclear transport components become altered in tumour cells as well as in patient tumour tissues when compared to their non-transformed, matched counterparts. The types of alterations commonly associated with tumorigenesis are outlined below.

1.5.1 Altered expression levels

1.5.1.1 Importins

There has been a large increase in recent publications reporting altered (usually elevated) levels of Imps and/or Exps in malignant cell types. The best studied is Imp $\alpha$ 1, with overexpression of transcript and/or protein reported in a surprisingly varied and large number of different cancers such as melanoma, invasive ductal breast carcinoma (IDC), carcinomas of the bladder, liver, lung (squamous and non-small cell types), stomach, oesophagus, in prostate, ovarian and cervical cancers and in infiltrative astrocytomas (see **Table 1.2**). The degree of Imp $\alpha$ 1 overexpression (including redistribution to the nucleus) and/or mRNA expression is associated to a significant ( $p < 0.05$ ) extent with tumour progression and aggressiveness in IDC, infiltrative astrocytoma, colon, head and neck carcinomas, gastric adenocarcinoma and in ovarian (germ and epithelial type), oesophageal and hepatocellular carcinoma patients, where levels correlate positively with tumour grade and/or stage, and inversely with patient outcomes such as disease-free and overall survival, and tumour relapse post-treatment (**Table 1.2**). As a consequence of these studies, the suitability of Imp $\alpha$ 1 levels as a prognostic marker for cancer is currently under examination. The precise mechanisms underlying increased levels of Imp $\alpha$ 1 in cancer are not well understood, although increased KPNA2 (the gene encoding Imp $\alpha$ 1) promoter activity and cDNA levels have been shown to occur in oesophageal carcinoma (187) and IDC (188), respectively. This may be through increased activity of the transcription factors Sp-1 or E2F that appear to upregulate Imp $\alpha$ 1 expression in normal fibroblast (189) and cervical cancer cell lines (190) respectively; indeed the activity of these factors is often found upregulated in cancers (191-193) and the fact that both transcription factors utilise Imp $\alpha$ 1 for their own nuclear translocation (23, 30), may represent a positive feedback loop to enhance Imp $\alpha$ 1 expression/their own nuclear localisation.

Imp $\alpha$ 1 expression has been shown to promote proliferation, tumorigenicity and the invasive potential of luminal type MCF-7 breast cancer cells (194), epithelial ovarian carcinoma (195) and non-small cell lung cancer cells (30). Whilst Imp $\alpha$ 1 silencing inhibits the proliferation of various types of cancer cell lines such as luminal and basal type MCF-7 and MDA-MB-231 breast cancer (194, 195), non-small cell lung cancer (30), CLI-5 lung adenocarcinoma (195), gastric carcinoma (196), and types of ovarian epithelial cells (HeLa, EF-O21, Sk-OV3), where it can be associated with G2/M phase arrest (195), Imp $\alpha$ 1 silencing does not alter the growth properties of CLI-0 lung

adenocarcinoma (195), or other ovarian epithelial carcinoma cell lines (CaSki, SiHa, C33A, MS751) (197), meaning that Imp $\alpha$ 1 activity may modulate growth/oncogenic potential in different cancer types and lines in different ways.

Fewer studies to date have reported overexpression of Imp $\beta$ 1, which occurs in cervical, gastric and bladder carcinomas, whilst Imp $\beta$ 2 and Imp7 mRNA levels appear to be upregulated in colorectal carcinoma patients and Imp $\alpha$ 7 mRNA levels are found elevated in chronic lymphoid leukaemia (CLL) patients (**Table 1.2**). It is clear that increased levels in malignant cells of Imps other than Imp $\alpha$ 1 have not been consistently reported, but whether this is because the mRNA levels of these Imps is not upregulated in cancers, or simply due to low coverage, is unclear. Most studies have attempted to characterise the gene expression profiles of cancer tissues by way of mRNA array analysis, but this obviously does not assess protein expression levels; clearly, investigation of the Imp protein levels in specific subsets of cancers are urgently needed to give the full picture of the nuclear transport machinery in malignant cells.

**Table 1.2: Components of the nuclear transport machinery found altered in tumour disease and cellular transformation.**

Protein	Tumour/transformed cell type (cell line/tissue)	Alteration/significance*	Reference/s (and proposed mechanism)
Imp $\alpha$ 1 / KPNA2 / Rch-1	Invasive ductal carcinoma (breast)	Overexpression (protein) is associated with high tumour grade, negative hormone receptor status, basal-like and Her-2Neu breast tumour types as well as event free and overall patient survival especially in Grade 2 but not Grade 3 tumours from high-risk breast cancer patients regardless of dosage of chemotherapy	(198)
		Overexpression (mRNA and protein) is an independent negative prognostic factor for overall survival especially in node positive patients One of the top 20 overexpressed (mRNA <sup>^</sup> ) genes in Grade 3 vs. Grade 1 tumours	cDNA <sup>^^</sup> increased in 32% of tested tumours suggesting CNV (188) (199)
	Ductal carcinoma <i>in situ</i> (breast)	Overexpression (protein) correlates positively with tumour grade, stage, lymph node and ER status and decreased disease-free survival in patients with invasive carcinoma and with high nuclear grade in patients with carcinoma <i>in situ</i>	(200)
	Lymph node negative breast cancer	Included in a 76-gene expression signature (mRNA <sup>^</sup> ) for prediction of unfavourable patient prognosis (distant recurrence) within 5 years	(201)

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Protein	Tumour/transformed cell type (cell line/tissue)	Alteration/significance*	Reference/s (and proposed mechanism)
Imp $\alpha$ 1 / KPNA2 contd.	Breast cancer cell line (ZR-75-1)	Mutation resulting in a C-terminally deleted truncation variant (comprising of the IBB domain) able to bind Imp $\beta$ 1 but unable to bind NLSs Expression of the IBB domain variant in cells results in increased cytoplasmic p53 mislocalisation	Nonsense mutation (202)
	Ovarian cancer tissues and cell lines (OVSAHO, OV56, OV90, COV644, CaO3, COVAR4, SKOV3, and EFO21)	Overexpression (mRNA <sup>^</sup> and protein) is associated with tumour de-differentiation, high stage and poor patient prognosis (decreased disease free survival/overall survival) and increased tumour volumes in mice	(195)
	Ovarian germ cell carcinoma	Overexpression (protein) is associated with high tumour grade, tumour relapse, increased resistance to therapy, and is an independent prognostic factor for 5 year overall survival	(203)
	Epithelial ovarian cancer	Overexpression (mRNA, protein) is associated with high tumour grade and stage, histologic type, tumour relapse, and poor 5-year overall survival rate	(204)
	Prostate cancer	High nuclear Imp $\alpha$ 1 expression (protein) is a strong and independent predictor of biochemical relapse <sup>8</sup> in patients treated by radical prostatectomy	(205)
	Colon cancer, head and neck cancer, lung, gastric, breast, oral, and pancreatic cancer	Overexpression (mRNA <sup>^</sup> /protein) is associated with high tumour grade and shorter overall survival in colon cancer and head and neck cancer patients	(206)
	Squamous oesophageal carcinoma, lung cancer	Positive Imp $\alpha$ 1 staining (protein) occurs in c. 50% of tested carcinoma samples correlating with poor differentiation, increased tumour depth, stage and invasiveness and poor patient prognosis Increased Imp $\alpha$ 1 expression (mRNA <sup>^</sup> ) correlates with nuclear mislocalisation	(187, 207, 208)
	Gastric carcinoma	Nuclear Imp $\alpha$ 1 expression (mRNA <sup>^</sup> /protein) in primary lesions and metastatic lymph nodes is associated with increased tumour stage and depth, venous invasion and poor patient survival	(196)
	Gastric adenocarcinoma	Overexpression (mRNA <sup>^</sup> /protein) is associated with increased tumour size and grade, lymph node involvement and tumour node metastasis and is an independent prognostic indicator of patient survival	(209)
	Bladder carcinoma	Overexpression (protein) is associated with tumour progression from non-invasive to muscle-invasive carcinoma and significantly shorter progression-free survival independent of tumour grade/stage in patients treated with transurethral resection of the bladder	(210)

Chapter 1: Literature Review

Protein	Tumour/transformed cell type (cell line/tissue)	Alteration/significance*	Reference/s (and proposed mechanism)
Imp $\alpha$ 1 / KPNA2 contd.	Hepatocellular carcinoma	Overexpression (protein) occurs in c. 36% of tested carcinoma tissues correlating with increased tumour stage, invasiveness, early recurrence after resection and poor patient survival	(211)
	Infiltrative astrocytoma	High (nuclear) Imp $\alpha$ 1 expression (protein) is associated with high tumour grade and decreased overall and progression-free survival	(212)
	Melanoma	Overexpression (mRNA, protein) is associated with poor patient outcome	(213)
	Cervical cancer (HPV-16 infected) cell lines (HeLa, SiHa, CaSki, ME180, MS751); SV40-transformed lung fibroblasts (SVW138)	Overexpression (mRNA, protein)	Increased E2F regulated KPNA2 promoter activity (190, 197)
Imp $\alpha$ 7 / KPNA6	Chronic lymphocytic leukaemia	Overexpression (mRNA)	(214)
Imp $\alpha$ 8 / KPNA7	Pancreatic cancer cell lines (AsPC-1, BxPC-3, Capan-1, Capan-2, CFPAC-1, HPAC, HPAF-II, Hs700T, Hs766T, MIA PaCa-2, PANC-1, Su.86.86, SW 1990)	Overexpression (mRNA)	Amplification of 7q22 amplicon (215)
Imp $\beta$ 1 / KPNB1 / NTF97	Cervical cancer (HPV-infected) cell lines (HeLa, SiHa, CaSki, ME180, MS751); SV40-transformed lung fibroblasts (SVW138)	Overexpression (mRNA, protein) is important for tumour cell viability	(197)
	Gastric carcinoma, bladder carcinoma	Overexpression (mRNA) ^	(216, 217)
Imp $\beta$ 2 / Tpn	Colorectal carcinoma	Overexpression (mRNA) ^ is an indicator of poor patient prognosis and survival	(218)
Imp7 / RanBP7	Colorectal carcinoma	Overexpression (mRNA) is associated with increased cell proliferation (PCNA stain)	(219)
Exp-1 / Crm-1	Ovarian cancer and ovarian cancer cell lines (OVCAR-3, SKOV-3, CAOV-3, ES-2, A2780, Mdah2744, OAW42, EFO21, EFO27, FU-OV-1, PA-1)	Overexpression (protein) is associated with high tumour stage and mitotic index and dedifferentiation  Cytoplasmic Exp-1 mislocalisation correlates with high tumour stage and grade	(220)

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Protein	Tumour/transformed cell type (cell line/tissue)	Alteration/significance*	Reference/s (and proposed mechanism)
Exp-1 / Crm-1 contd.	Ovarian cancer	Overexpression (mRNA, protein) is associated with cytoplasmic Topo II $\alpha$ localisation	(221)
	Cervical cancer (HPV-infected) cell lines (HeLa, SiHa, CaSki, ME180, MS751), SV40-transformed lung fibroblasts (SVW138)	Overexpression (mRNA, protein) is important for tumour cell viability	Increased HPV-associated Sp-1/NFY activity (197, 222)
	Pancreatic adenocarcinoma	Overexpression (protein) is associated with lymphadenopathy, increased tumour size, and decreased overall and progression-free patient survival	(223)
	Lung adenocarcinoma	Part of a 4 gene signature (mRNA) associated with poor overall survival in Stage 1 and 2 cancer patients from multiple cohorts	(224)
	Glioma	Overexpression (protein) is associated with high grade of malignancy and decreased overall patient survival	(225)
	Osteosarcoma	Overexpression (protein) <sup>#</sup> is associated with high tumour grade, and decreased overall and progression-free survival	(226)
Exp-4	Hepatocellular carcinoma and associated cell lines (not specified), breast carcinoma	Gene deletion results in loss of Exp-4-mediated nuclear export activity results in EIF5A and SMAD3 nuclear retention and transcription of TGF- $\beta$ gene targets leading to tumour growth in mice Associated with poor patient survival in breast carcinoma	XPO4 (Exp-4 gene) homozygous deletion (227)
	Hepatocellular carcinoma (primarily HBV-associated)	Downregulation (mRNA and protein) is associated with increased tumour size, de-differentiation and is an independent prognostic factor for patient survival	(228)
Exp-5	Urothelial carcinoma, malignant pleural mesothelioma	Overexpression (mRNA) <sup>^#</sup>	(229, 230)
Exp-T	Malignant pleural mesothelioma, hepatocellular carcinoma	Overexpression (mRNA/protein) <sup>^#</sup>	(230, 231)
CAS / Cse11 / Exp-2	Breast cancer	Overexpression (protein) is associated with increased tumour grade and aggressiveness Nuclear CAS expression is associated with increased tumour grade	Amplification of 20q13 locus (232, 233)
	Ovarian cancer	Overexpression (protein) is associated with high tumour grade and FIGO classified tumour stage and adverse patient outcome in terms of decreased overall survival	Amplification of 20q13 locus (234, 235)
	Serous ovarian cancer	Overexpression (protein) is associated with high tumour grade	(236)

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Protein	Tumour/transformed cell type (cell line/tissue)	Alteration/significance*	Reference/s (and proposed mechanism)
CAS / Cse1l / Exp-2 contd.	Endometrial carcinoma	Overexpression (protein) is associated with transition from simple and complex hyperplasia to carcinoma and decreased overall patient survival	(237)
	Liver neoplasms**	Overexpression (protein) is associated with tumour dedifferentiation	(238)
	Melanoma	Overexpression (protein) is associated with high UICC classified tumour stage	(239)
	Bladder carcinoma	High nuclear CAS expression level (protein) correlates with poor overall survival but not stage, tumour penetration or lymph node metastasis	(240, 241)
	Colorectal carcinoma	High cytoplasmic CAS expression (protein) correlates with increased tumour stage and depth of penetration, lymph node metastasis and overall patient survival CAS overexpression induces lung and liver metastasis of B16F10 melanoma and HT-29 colon cancer cells in mice, respectively	(242)
	Prostate tumour xenograft, colon cancer, lymphoid neoplasms	Overexpression (mRNA/protein) ^	Amplification of 20q13 locus (233, 243-247)
Nup88	Breast carcinoma	Overexpression (mRNA) & is associated with axillary node invasion, altered oncogene and tumour suppressor expression and DNA aneuploidy but not grade or stage	(248)
	Cervical carcinoma	Overexpression (protein) is associated with increased tumour grade	(249)
	Endometrial carcinoma	Overexpression (mRNA) is associated with myometrial invasion but not grade or stage	(250)
	Liver neoplasms	Overexpression (protein) is associated with high tumour grade and dedifferentiation	(251)
	Colon adenocarcinoma	Overexpression (protein) is associated with high tumour grade and dedifferentiation	(252)
	Colorectal carcinoma	Overexpression (protein) is associated with metastasis &	(253)
	Colorectal carcinoma	Increased secretion is associated with increased tumour depth and stage	(254)
	Melanoma	Overexpression (protein) is associated with transition of primary to metastatic melanoma	(255)

## Chapter 1: Literature Review

Protein	Tumour/transformed cell type (cell line/tissue)	Alteration/significance*	Reference/s (and proposed mechanism)
Nup88 contd.	Invasive ovarian carcinoma, cervical carcinoma, stomach and colon adenocarcinomas, carcinomas of the breast, lung and liver, prostate and endometrial cancer, HBV-positive hepatocellular carcinoma cell lines	Overexpression (mRNA/protein)	(251, 256)
	Breast carcinoma, bladder and prostate carcinomas, melanoma, several sarcomas and glioma	Overexpression (protein)/cytoplasmic mislocalisation	
Nup98	Leukaemia	Fusion to homeodomain (ie. HOXA9) or non-homeodomain (ie. Topo I and II $\beta$ , NSD1) genes In the case of HOXA9 fusions, this induces aberrant HOX target gene transcription through deregulated HOX-Nup98 nuclear import and transcription enhancing activities	Chromosomal translocations (257)
Nup214	Leukaemia	Fusion to SET and DEK chromatin binding protein genes; fusion to ABL1 In the case of SET/DEK fusions colocalisation of Exp-1 with SET-Nup214 may result in reduced nuclear protein export; fusion to ABL1 results in increased ABL1 tyrosine kinase activity	Chromosomal translocations 9q32:9q34 (SET) (258) 6p23:9q34 (DEK) 9q34 amplification and circularisation (ABL1)(257)

\*All stated findings are statistically significant ( $p < 0.05$ )

^ Based on cDNA microarray data

^^ Based on dot plot and RNA *in situ* hybridisation data

<sup>§</sup> Biochemical recurrence is a rise in the concentration of blood prostate specific antigen (PSA) after treatment with surgery or radiation and may mean that the cancer has relapsed

<sup>#</sup> Sample number ( $n$ ) for normal compared to tumour tissue group is low or  $n$  is not stated

\*\* Includes both viral hepatitis and hepatocellular carcinomas

& Data (or primary data) not shown

Abbreviations: ABL1, abelson murine leukaemia viral oncogene homologue 1; BRCA1, breast and ovarian cancer susceptibility protein 1; CAS, cellular apoptosis susceptibility protein; CNV, copy number variation; EIF5A, eukaryotic translation initiation factor 5A; Exp, exportin; FIGO, the international federation of gynaecology and obstetrics; HBV, hepatitis B virus; HOX, homeobox transcription factor; HPV, human papilloma virus; Imp, importin; KPN, karyopherin; Nup, nucleoporin; NTF97, nuclear transport factor 97; PCNA, proliferating cell nuclear antigen; SV40, simian Virus 40; TGF- $\beta$ , tumour growth factor  $\beta$ ; Tpn, transportin; Topo I and Topo II $\beta$ , topoisomerase I and topoisomerase II  $\beta$ ; UICC, the international union against cancer.

### 1.5.1.2 Exportins

In terms of Exps, Exp-1 is commonly overexpressed in tumours with the degree of overexpression correlating with overall survival in ovarian, cervical, pancreatic and lung cancer patients, and in osteosarcoma and glioma (**Table 1.2**). Although the basis of Exp-1 overexpression is not understood, NFY and Sp-1 transcription factors have been implicated in this context in cervical carcinoma cells and SV40-transformed fibroblasts (222). The high correlation between Exp-1 overexpression and cancer, and the availability of Exp-1 inhibiting leptomycin B (LMB)-derivative compounds has led to Exp-1 being the focus of anti-cancer strategies, with specific small molecular inhibitors currently under Phase I and II clinical investigation (259). A number of tumour suppressors (such as p53, pRb, FOXO, p21<sup>cip1</sup> and p27<sup>kip1</sup>) and chemotherapy drug targets (such as topoisomerases) use Exp-1 for nuclear export, meaning that elevated Exp-1 levels may result in cytoplasmic redistribution of these proteins, promoting tumour progression and drug resistance (260).

CAS (Exp-2) is another Exp showing increased levels in numerous cancers including colon, bladder, liver, breast and endometrial carcinomas and melanoma; CAS overexpression is implicated in tumour progression (**Table 1.2**). In many instances higher levels of CAS expression occur due to chromosomal amplification of the 20q13 locus, a region which is commonly found altered in cancers of the colon, breast and ovarian carcinomas and is associated with increased metastatic and invasive potential (234, 238, 242, 246). CAS is associated with matrix metalloprotease-2 (MMP-2)-dependent metastasis, as CAS and MMP-2 co-localise in microvesicles at the plasma membrane and are secreted more readily from invasive/metastatic colon cancers and melanomas than from non-invasive controls (176, 261, 262). CAS is found secreted in the urine of patients with bladder carcinoma or atypical urothelial growth but not in healthy controls (241). This has raised considerable interest in terms of CAS as a potential prognostic marker for cancer.

Ectopic overexpression of CAS and its RNAi-mediated silencing increases and decreases migration and invasion respectively, of melanoma cells *in vitro* and lung metastasis in mice (174). Given that CAS can translocate to the extracellular membrane where it is secreted in vesicles to increase MMP secretion, which are key players in metastatic tumour progression (263), and induces tubulin dephosphorylation and polymerisation associated with increased migration (264), it seems likely that CAS's role in metastasis may not relate directly to its nuclear transport function. Interestingly

high expression of CAS can lead to cell death, possibly through a p53-dependent mechanism as CAS is known to act as a co-factor in p53-mediated transcription through its ability to bind to chromatin (175), although induction of cell death by CAS is also known to occur in p53 null cells (265). Cancer cells that overexpress CAS appear to be able to circumvent apoptosis, presumably by altering the activity of apoptotic pathways that are regulated by CAS.

### *1.5.1.3 Nucleoporins*

The non-FG repeat Nup88 appears to be the only NPC component thus far found to be overexpressed in multiple tumour types including tumours of the colon, breast, cervix, endometrium and liver (**Table 1.2**); since its overexpression is associated with tumour grade, depth, invasion potential and dedifferentiation, it has been proposed as a tumour biomarker. Although Nup88 expression is associated with tumour progression, its relevance to tumour biology and the mechanisms by which Nup88 expression is enhanced in tumour cells has not been fully elucidated. Interestingly, Nup88 overexpression is not associated with a concomitant increase in levels of its binding partner Nup214 or other Nups such as Nup153, suggesting no general upregulation in NPC numbers/functionality (257). Nup88, important for structural integrity of NPCs, does not directly participate in nuclear transport of Imp-recognised cargoes, but together with Nup214 participates in the Exp-1-dependent export of pre-ribosomal complexes (266), although its importance to the general Exp-1-dependent export pathway in different cell system is currently unclear (257). Overexpressed Nup88 is found mislocalised in the cytoplasm of cancer cells (257); whether this can mislocalise Nup88-Nup214 complexes/their binding partner Nup358 to the cytoplasm affecting protein nuclear trafficking efficiency in tumours is not yet known. Interestingly, Nup88 is found complexed with Nup214 throughout the cell cycle and is found localised to spindles and spindle poles after nuclear envelope breakdown (267). Increased levels of Nup88 enhance the occurrence of multi nucleated cells, which may contribute to genetic instability through aneuploidy and polyploidy that is often associated with cancer cells, meaning Nup88 overexpression may influence cancer through a non-nuclear transport related mechanism (267).

## 1.5.2 Other alterations

### 1.5.2.1 Importins / Exportins

Other less common alterations to Imps in cancer include redistribution in subcellular localisation, homozygous gene loss and expression of truncation variants (**Table 1.2**). Re-distribution of both CAS and Imp $\alpha$ 1 occurs in breast cancer, both showing a change from a predominantly cytoplasmic to nuclear pattern in hyperplastic compared to invasive carcinoma respectively, with strong nuclear Imp $\alpha$ 1 expression being an independent adverse risk factor for overall survival and recurrence-free survival in primary and node positive invasive breast cancers (198, 232). An example of transport components affected by protein truncations in cancer include an Imp $\alpha$ 1 C-terminal truncation variant comprising only the IBB domain (also known as CAN/Rch1) derived from a breast cancer cell line, its expression resulting in nuclear import inhibition of Imp $\alpha$ / $\beta$ 1-recognised proteins such as p53 to promote tumorigenesis (151, 202). Exp-5 is another example of a transport component that is found mutated in a number of cancers (gastric, colon and endometrial) due to microsatellite instability in the XPO5 gene, resulting in the expression of a C-terminally truncated protein devoid of miRNA binding activity (268). A critical function of Exp-5 is to export pre-miRNAs into the cytoplasm for further processing into mature (active) miRNA; XPO5 mutations trap pre-miRNAs (such as tumour suppressive *let7* family members, miR-138 and miR-145) inside the nucleus preventing miR-dependent suppression of oncoprotein factors such as c-myc and *k-Ras* promoting tumour formation in mice (268).

Another Exportin, Exp-4 (XPO4) is found deleted in breast and liver cancer (227, 228). XPO4 deletion results in increased nuclear trapping and constitutive activity of the Exp-4-recognised transcription factors SMAD3 and EIF5A that drive the expression of TGF- $\beta$  target genes, causing the initiation of tumours in mice (227). The fact that EIF5A and SMAD3 expression are vital for full induction of tumorigenesis in XPO4 null cells, which is inhibited by the ectopic restoration of Exp-4 levels, strongly implies that the tumour suppressive activity of Exp-4 relates specifically to its role as an Exportin for its specific cargoes.

### 1.5.2.2 Nucleoporins

Apart from Nup88, which is found mislocalised in breast carcinoma cells, where its redistribution from the NPC into the cytoplasm is most likely affected by its increased expression

levels (269), several cancer-associated fusion proteins involving chromosomal rearrangement of Nups with transcription factors have been identified (**Table 1.2**) and implicated in playing a role in leukaemogenesis. These Nup chromosomal translocations are varied in type and have already been reviewed in detail elsewhere (257, 270). Briefly, certain chromosomal translocations in acute myeloid leukaemia, for example, result in the expression of fusion proteins comprising the hydrophobic FG/GLFG repeat domain of Nup98 and the DNA-binding and transcriptional domains of homeodomain transcription factors (most commonly HoxA9); through the Nup98 portion, nuclear translocation is enhanced, leading to constitutive Hox-induced transcription of dedifferentiation inducing factors (257). Another example is fusion of Nup214 to the nuclear phosphoproteins Dek and Set in AML patients, resulting in partial loss of the Nup88 binding domain on Nup214 required for Nup214 tethering to the NPC leading to its nuclear relocalisation and possibly increased Dek/Set-mediated chromatin modulation (257). The fact that Nup214-fusion proteins retain the ability to associate with Exp-1 may mean nuclear export dependent activity may be altered by the fusion protein (257).

As discussed above, Imps/Exps or NPC components can become altered in cellular transformation in a number of different ways. Overexpression of Imp $\alpha$ 1, CAS, Exp-1 or Nup88 correlates with tumour formation, progression and aggressiveness in many different types of cancers from different tissue origins, which could be stemming from a generalised mechanism; increased Imp/Exp levels, for example, may result in altered nuclear transport activity that in itself is a fundamental aspect of tumour disease progression. Misregulation of nuclear trafficking at the level of individual cargoes is already known to contribute to diseases such as cancer (see (184, 185)); strikingly the extent to which altered Imp/Exp activities can influence nuclear transport efficiency in tumour/transformed cell types and how this in turn relates to tumour progression is not clear.

## **1.6 CONCLUDING REMARKS**

That aberrant nuclear transport of individual proteins can contribute to cancer has been well documented (154, 186, 271-273). However, limited knowledge exists regarding the extent to which mechanisms of nuclear transport regulation might relate to more global effects on nuclear transport components themselves, and how this may influence the localisation of particular proteins

in tumorigenesis. As summarised here, a number of specific Imps and Exps are overexpressed in so many different types of cancers, implying that there may be some sort of selection in tumour progression for enhanced Imp activity, through its fundamental importance to the cancer cell biology. Although studies have begun to attempt to demonstrate altered Imp/Exp expression in various types of cancer cells, the effect of altered Imp/Exp levels on global nuclear transport efficiency in cancer has never been examined, with essentially nothing known about whether altered nuclear trafficking pathways may be associated with particular types of tumours or tumour origins, what types of cargoes mislocalise as a result, and how this relates, potentially mechanistically, to tumour progression.

A significant limitation in terms of model systems is the scarcity of isogenic human normal/tumour cell systems, which means that deciphering tumour-specific nuclear transport alterations in a panel of tumour types is difficult. Understanding the mechanistic basis of changes to the nuclear transport machinery may be paramount to understanding of much of tumour cell biology itself; simply pinpointing the contribution of specifically altered Imps/Exps to cargo re-distribution in cancer, although integral to understanding the impact of altered Imp/Exp levels, is an enormous challenge. Thus, a top down approach looking at the overall effects that altered nuclear transport components can have on the common features of tumour cells, would appear to be an important first step in furthering our understanding in this context.

### **1.7 HYPOTHESIS AND AIMS OF THIS THESIS**

The present study sets out to utilise a variety of isogenic non transformed/transformed cell line pairs and an *in vitro* model for breast tumour progression to examine in detail the comparative nucleocytoplasmic transport efficiencies in transformed compared to non-transformed cells, the underlying mechanism(s) for these alterations and tests the effects of Imp/Exp inhibition on viability of non-transformed compared to malignant cells.

The hypothesis to be investigated is ***that, through increased levels of Imps/Exps, transformed/ tumour cells display enhanced nuclear trafficking efficiency correlating with tumorigenic disease progression state.***

More specifically this study aimed:

1. To compare in detail the efficiency of nucleocytoplasmic transport in tumour/transformed and non-transformed cell pairs of isogenic origin
2. To identify components of the nuclear transport machinery, which show altered expression in tumour/transformed cells compared to non-transformed counterparts, as well as in breast tumour tissues
3. To use overexpression/RNAi approaches to modulate the levels of selected Imps/Exps identified in Aim 2, to monitor the extent to which this modifies nuclear import efficiency and cell viability in transformed cell type.

The nuclear import and export properties of various types of transformed/non-transformed cell pairs are compared in Chapter 2. These studies are extended to a breast tumour progression model in Chapter 3, in which nuclear transport component expression levels are modulated to identify the mechanistic basis for altered nuclear transport efficiency and inferences made to patient tumour tissues. The effects of RNAi-mediated Imp/Exp knockdown on viability of non-transformed compared to transformed cell types are documented in Chapter 4. Importantly, the results of this study are relevant to understanding of fundamental aspects in tumour disease biology and the development of novel tumour-targeted therapies, which is discussed in Chapter 5.



## CHAPTER 2

*Global enhancement of nuclear  
localisation signal-dependent nuclear  
transport in transformed cells*



## **DECLARATION FOR THESIS CHAPTER 2**

### **Declaration by candidate**

In the case of Chapter 2, the nature and extent of my contribution to the work was the following:

<b>Nature of contribution</b>	<b>Extent of contribution (%)</b>
Contributed to the conception and design of the study. Performed and was involved in all aspects of the experiments and prepared the manuscript for publication.	90%

The following co-authors contributed to the work:

<b>Name</b>	<b>Nature of contribution</b>
Kylie M. Wagstaff	Assistance with fluorescence recovery after photobleaching studies and cell culture. Critical review of the manuscript.
Daniela M. Roth	Assistance with fluorescence recovery after photobleaching studies. Critical review of the manuscript.
Gualtiero Alvisi	Contributed to the concept and design of the study. Critical review of the manuscript.
David A. Jans	Contributed to the concept and design of the study. Critical review of the manuscript.

The undersigned hereby certify that the above declaration correctly reflects the nature and extent of the candidate's and co-authors' contributions to this work\*.

<b>Candidate's Signature</b>		<b>Date</b>
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<b>Main Supervisor's Signature</b>		<b>Date</b>
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\*Note: Where the responsible author is not the candidate's main supervisor, the main supervisor should consult with the responsible author to agree on the respective contributions of the authors.



## **2 Global enhancement of nuclear localisation signal-dependent nuclear transport in transformed cells**

### **2.1 PREFACE**

Chapter 2 of this thesis is composed of a manuscript published in *The FASEB Journal* entitled “Global enhancement of nuclear localisation signal-dependent nuclear transport in transformed cells”.

### **2.2 ABSTRACT**

Fundamental to eukaryotic cell function, nucleocytoplasmic transport can be regulated at many levels, including through modulation of the Importin/Exportin (Imp/Exp) nuclear transport machinery itself. Although Imps/Exps are overexpressed in a number of transformed cell lines/patient tumour tissues, the efficiency of nucleocytoplasmic transport in transformed cell types compared to non-transformed cells has not been investigated. Here we use quantitative live cell imaging of three isogenic non-transformed/transformed cell pairs to show that nuclear accumulation of nuclear localisation signal (NLS)-containing proteins, but not their NLS-mutated derivatives, is increased up to 7-fold in MCF10CA1h human epithelial breast carcinoma cells and in Simian Virus 40 (SV40)-transformed fibroblasts of human and monkey origin, compared to their non-transformed counterparts. The basis for this appears to be a significantly faster rate of nuclear import in transformed cell types, as revealed by analysis using fluorescence recovery after photobleaching for the human MCF10A/MCF10CA1h cell pair. Nuclear accumulation of NLS/nuclear export signal-containing (shuttling) proteins was also enhanced in transformed cell types, experiments using the nuclear export inhibitor leptomycin B demonstrating that efficient Exp-1-mediated nuclear export was not impaired in transformed compared to non-transformed cells. Enhanced nuclear import and export efficiencies were found to correlate with 2- to 4-fold higher expression of specific Imps/Exps in transformed cells, as indicated by quantitative Western analysis, with ectopic expression of Imps able to enhance NLS nuclear accumulation levels up to 5-fold in non-transformed MCF10A cells. The findings indicate that transformed cells possess altered nuclear transport properties most likely due to the overexpression of Imps/Exps. The findings have

important implications for the development of tumour-specific drug nanocarriers in anti-cancer therapy.

### **2.3 INTRODUCTION**

Tumour cells are characterised by a plethora of changes in signal transduction, which affect tumour cell metabolism, as well as proliferative potential and resistance to apoptosis. Ultimately, these cellular responses to extra- and intracellular signalling pathways converge at the level of the nucleus to effect phenotypic changes in transcription/chromatin structure/cell cycle control/DNA repair etc. Protein transport into the nucleus through the nuclear envelope-embedded nuclear pore complexes (NPCs) is dependent on nuclear localisation signals (NLSs), which are recognised by members of the Importin (Imp) superfamily of cellular transport receptors, of which there are multiple  $\alpha$  and  $\beta$  types (13, 274). A well-characterised example is Imp $\beta$ 1 which, either directly or through an Imp $\alpha$  adapter, recognises basic-type NLSs, and mediates translocation of the Imp: cargo complex through the NPC into the nucleus, where the complex dissociates upon binding to Imp $\beta$ 1 of the monomeric guanine nucleotide binding protein Ran in activated GTP-bound form (275). Nuclear protein export occurs analogously, whereby generally hydrophobic nuclear export signals (NESs) are recognised by Exportins (Exps), homologues of Imp $\beta$ 1 in combination with RanGTP (275). The best characterised Exps include Exp-1 (Crm-1), which recognises leucine-rich NESs (91), and CAS (Cse1), which facilitates recycling of Imp $\alpha$ s to the cytoplasm to enable subsequent rounds of nuclear import (97).

Nucleocytoplasmic transport can be regulated by a number of distinct mechanisms (see (47)), which are central to cellular processes such as differentiation and oncogenesis (154). One mechanism appears to be through alterations in the levels of expression of specific Imps. For example, the levels of different Imp $\alpha$  isoforms alter during sperm development in the mouse testis (123, 125), embryonic stem (ES) cell differentiation (130), maintenance of pluripotency in ES cells (131), and in the central nervous system in the early postnatal and adult stages (276). Interestingly, recent reports indicate alterations in the expression levels of Imps/Exps in patient tumour tissue and in transformed cell lines, with elevation of Imp expression in breast (188, 198-201), bladder (210, 217), oesophageal (187, 207), cervical (197), hepatocellular (211), colon (277) and ovarian carcinomas

(204), and also in Simian Virus 40 (SV40) or Human Papilloma Virus-16 transformed cell lines (197), whilst Exps are also found overexpressed in carcinomas of the breast (232, 233), liver (238) and ovaries (220, 221, 234) and in numerous virally-transformed and cancer cell lines (197, 220, 232, 233, 238). To date, however, no study has analysed the effect of altered Imp/Exp expression on nuclear transport efficiency in transformed cells, with essentially nothing known regarding the comparative transport efficiency in tumour *versus* normal cells.

Here we examine nuclear transport efficiency quantitatively in three isogenic pairs of transformed/non-transformed cells for the first time, and relate this to levels of Imp/Exp expression. We show that Imp $\alpha$ / $\beta$ 1- and Imp $\beta$ 1-dependent nuclear transport efficiencies and rates are increased in transformed cells compared to non-transformed counterparts, and that this correlates with increased levels of Imp $\alpha$ 1, Imp $\beta$ 1 and the Ran guanine nucleotide exchange factor RCC1 in transformed cells. Exp-1 levels were also elevated in the transformed cell types, which was associated with an increased responsiveness to the Exp-1 specific inhibitor leptomycin B (LMB) of NLS-/NES-containing cargo proteins, implying higher Exp-1 activity in transformed compared to non-transformed cells. The results overall have important relevance to the development of tumour-specific drug nanocarriers in anti-cancer therapy (278-280).

## **2.4 MATERIALS AND METHODS**

### *2.4.1 Mammalian expression constructs*

Plasmid pEGFP (Clontech) encoding GFP alone was used as a control for transfection studies. The pEGFP-T-ag(114-135), pEGFP-T-ag(111-135), pEGFP-T-ag(111-135)NLSm (containing a K<sup>128</sup>T mutation), pEPI-UL54(1145-1161), pDEST53-UL54(1145-1161)NLSm (containing R<sup>1157</sup>A and R<sup>1159</sup>A mutations), pEPI-VP3(74-121), pEPI-VP3(74-121)NLS1/2m (containing KK<sup>86-87</sup>NN and RR<sup>117-118</sup>NN mutations), pEGFP-PTHrP(1-141) and pEPI-Rev(2-116) expression constructs have all been described previously (279, 281-286). The TRF-1 (337-440) fragment (57) was introduced into the Gateway™ system (Invitrogen, Carlsbad, CA, USA) (281) by PCR using *attB* site-containing primers, and subsequent BP and LR recombination reactions to generate to yield plasmid pEPI-TRF-1(337-440). The bicistronic pIRES-mCherry expression plasmid was generated using a modified version of the pIRES-GFP plasmid (a kind gift from KA Steiner, Children's Medical Research Institute, New South

Wales, Australia), in which the GFP fragment was first removed by XbaI/SmaI digestion before ligation with PCR amplified mCherry cDNA. pIRES-Imp-mCherry was generated by ligation of a PCR amplified Imp $\alpha$ 1 or Imp $\beta$ 1 cDNA fragment using the NotI/BamHI sites. The integrity of all plasmid constructs was verified by DNA sequencing.

#### 2.4.2 Mammalian cell culture, transfection and Leptomycin B treatment

The three isogenic non-transformed/transformed cell pairs used in this study are described in **Table 2.1**. In the case of the 1BR3/1BR3Neo (1BR3N) human skin fibroblast (287) and the CV-1/COS-7 African Green Monkey kidney fibroblast (288) cell pairs, the transformed derivative was generated by transfection with plasmids containing SV40 genomic sequences for either the early region (1BR3N, pre-crisis stage) or a replication defective (-ori) mutant (COS-7, post-crisis stage). In the case of the MCF10A/MCF10CA1h human breast epithelial cell pair, the non-transformed MCF10A cell line was isolated from a female patient suffering from fibrocystic disease, whereas the tumorigenic MCF10CA1h line was generated from *Ha*-Ras transformed MCF10A cells, passaged serially *in vivo*, in mice (289).

1BR3 and 1BR3N cells (both at passages 6-12) were cultured in DMEM supplemented with 15% foetal calf serum and 2 mM L-glutamine (all from Invitrogen). CV-1 and COS-7 cells were cultured in DMEM supplemented with 10% FCS and 2 mM L-Glutamine (282, 290), whilst MCF10A (passage < 72) and MCF10CA1h (passage < 62) cells were cultured in DMEM/F12 media supplemented with 5% horse serum (Invitrogen), 1 mM sodium pyruvate, 10 mM HEPES, 2 mM L-glutamine, 0.5  $\mu$ g/ml hydrocortisone, 10  $\mu$ g/ml insulin, 20 ng/ml human recombinant EGF and 100 ng/ml cholera toxin (all from Sigma-Aldrich, St. Louis, MO, USA), as previously (289). All of the cell line pairs were transfected using Lipofectamine 2000 Transfection Reagent (Invitrogen) as previously (282). Where appropriate, transfected cells were incubated for 3-4 h in the presence 2.8  $\mu$ g/ml of the Exp-1 specific nuclear export inhibitor LMB, prior to microscopic imaging.

#### 2.4.3 Confocal laser scanning microscopy and image analysis

Cells were imaged live (X100 oil immersion objective, zoom at 1.0, 60  $\mu$ m pinhole, 4 and 15% laser power for 488nm and 568nm lasers respectively, heated stage) 16-24 h post-transfection using a Nikon TSI 100 confocal laser scanning microscope (CLSM; Nikon, Tokyo, Japan) using a Kalman setting of 2. Image analysis was performed using the ImageJ v1.41 public domain software

(U.S. National Institutes of Health, Bethesda, MD, USA) to determine the nuclear (Fn), cytoplasmic (Fc) and background (Fb) fluorescence. Briefly, a mean density measurement of pixel numbers was made on a non-saturated region of interest (ROI) of equal size (ROI = 30 arbitrary units) in the nuclear and cytoplasmic compartments, respectively, whilst Fb measurements were made by placing the ROI on a non-transfected, autofluorescent region near the cells outer perimeter. The ratio of nuclear to cytoplasmic fluorescence (Fn/c) was then determined according to the formula:  $Fn/c = (Fn - Fb)/(Fc - Fb)$  (290). Fold-differences in nuclear accumulation between non-transformed and transformed cell lines were calculated according to the formula:  $[T Fn/c]/[NT Fn/c]$ , where T Fn/c is transformed Fn/c, and NT Fn/c is non-transformed Fn/c.

#### *2.4.4 Fluorescence recovery after photobleaching*

Fluorescence recovery after photobleaching (FRAP) experiments were performed using a modified method from (285). MCF10A/CA1h cells were transfected as above to express GFP-T-ag(114-135) and imaged live using an Olympus Fluoview 1000 CLSM (100x oil immersion objective; Olympus, Tokyo, Japan) 16-24 h later. Prior to bleaching, two images using 3% laser power were taken at 8  $\mu$ s/pixel and a zoom of 2-fold. By zooming 120-fold in the nuclear region and increasing the laser power to 100%, an area of c. 5% of the nucleus was photobleached (1 scan, 100  $\mu$ s/pixel, 2500 and 4000 ms for MCF10A and MCF10CA1h cells, respectively) and the cells then immediately scanned at 3% laser power (8  $\mu$ s/pixel and zoom of 2-fold) and images subsequently captured at 5 s intervals for up to 280 s to monitor the recovery of fluorescence in the nucleus, and the decay of fluorescence in the cytoplasm. The level of specific nuclear (Fn - Fb) and cytoplasmic (Fc - Fb) fluorescence at each time interval was determined by image analysis as above, and values expressed in terms of fractional recovery of fluorescence (values at each time interval divided by the maximal post-bleach value), with the data for fractional recovery of specific nuclear fluorescence fitted exponentially according to the formula ( $y = (1-a) e^{-bx}$ ) to determine the recovery half-times ( $t_{1/2}$ ), as previously (285). The rate of exponential decay of cytoplasmic fluorescence was also determined for Fc-b values at 0-280 s post bleach using the equation ( $y = y_0 + ae^{-bx}$ ).

#### *2.4.5 Preparation of cell extracts and Western blotting*

Equal numbers of living non-transformed and transformed cells, as determined by haemocytometer/trypan blue staining were harvested by trypsinisation and centrifugation, and washed once with PBS prior to freezing at -20°C for 30 min. Cell pellets were resuspended in ice-cold

lysis buffer (150 mM NaCl; 50 mM Tris-HCl pH 7.3; 1% Triton X-100 with complete, EDTA-free protease inhibitor cocktail; Roche, Indianapolis, IN, USA), lysed at 4°C for 30 min and centrifuged at 14,200 rpm for 30 min at 4°C (Hereaus Instruments, New Plainfield, SJ, USA) to pellet insoluble material. The total protein concentration in each extract was estimated using the Bradford Dye Reagent (Bio-RAD, Hercules, CA, USA). Equal amounts (25 µg) of protein from each cell extract was then separated by SDS-PAGE (12% reducing gel), transferred onto nitrocellulose membrane and probed with a pre-determined (optimised) concentration of anti-Imp $\alpha$ 1 (1:2000 dilution), Imp $\beta$ 1 (1:500 dilution), Exp-1 (1:500 dilution), CAS (1:500 dilution), Ran (1:2000 dilution), RCC1 (1:250 dilution) monoclonal (all from BD Biosciences, San Jose, CA, USA) or anti-Imp $\alpha$ 3 (1:250 dilution) and Imp $\alpha$ 4 (1:500 dilution) polyclonal (Abcam, Cambridge, MA, USA) primary antibodies, followed by the appropriate host IgG-HRP secondary antibody (Chemicon, Temecula, CA, USA) according to manufacturer's recommendations and protein visualised using the Chemiluminescence Reagent (Perkin-Elmer, Wellesley, MA, USA or Millipore, Bedford, MA, USA). Where necessary, the membranes were then stripped of antibody using Western strip buffer (25 mM glycine, 1% SDS, pH 2) at 60°C, blocked and re-probed with anti- $\alpha/\beta$  tubulin (Cell Signaling, Danvers, MA, USA) or anti-T-ag (Santa Cruz Biotechnology Inc., Santa Cruz, CA, USA) followed by the appropriate host-IgG-HRP secondary antibodies and visualised as previously. The intensity of the resulting bands for all proteins was estimated by densitometry using an Alpha Imager (Alpha Innotec, Santa Clara, CA, USA) for image capture, and the 1D electrophoresis gel analysis module from ImageQuant TL software (Amersham Biosciences, Piscataway, NJ, USA) for analysis of the resulting images; results are given as the ratio of the signal for nuclear transport factor relative to that for  $\alpha/\beta$  tubulin in transformed cells, relative to the respective value for the non-transformed cell line of the isogenic cell pair.

#### *2.4.6 Statistical analysis*

The significance of differences in results between transformed/non-transformed cells was determined using the Student's (or Welch corrected) *t* test for unpaired data (two-tailed *p* value) or Mann-Whitney test for non-parametric data, as appropriate.

## 2.5 RESULTS

To compare the efficiency of nuclear transport in transformed and non-transformed cells we utilised three distinct non-transformed/transformed cell pairs, in which the transformed and non-transformed cell lines both share the same genetic ('isogenic') background (see **Table 2.1**); 1) the primary human skin fibroblast cell line 1BR3, and its SV40-transformed counterpart 1BR3N (287), 2) the non-transformed monkey kidney fibroblast CV-1 cell line together with its SV40-transformed counterpart COS-7 (288), and 3) the non-transformed, spontaneously immortalised MCF10A breast epithelial cell line (291), and its fully malignant counterpart, MCF10CA1h, generated by *in vivo* passaging through serial implantation of a *Ha-Ras* transfected MCF10A cell line in mice (289).

**Table 2.1: Isogenic cell pairs used in this study.**

	<i>Transformed status</i>	<i>Origin</i>	<i>Derivation</i>
1BR3	Non-transformed	Human skin	Fibroblast cells derived from a skin biopsy (287).
1BR3N	Transformed	1BR3 cell line	1BR3 cells stably transfected with plasmid pSV3neo, which contains the early region of SV40 encoding for small, middle and large tumour antigens (287).
CV-1	Non-transformed	Monkey kidney	Fibroblast cells derived from the African Green monkey (292).
COS-7	Transformed	CV-1 cell line	CV-1 cells stably transfected with an origin defective mutant of SV40 plasmid (-ori) which encodes for SV40 proteins, including the tumour antigens (288).
MCF10A	Non-transformed	Human breast	Spontaneously immortalised line from non-malignant human breast epithelium (291).
MCF10CA1h	Transformed	MCF10A transfected with <i>Ha-Ras</i>	Derived from serial mouse xenograft implantations of MCF10A cells transfected with oncogenic <i>Ha-Ras</i> which progressed to a carcinoma. Majority of MCF10CA1h cells form well-differentiated carcinomas with low metastatic potential in mice (289).

The cell lines were transfected to express either GFP alone or various NLS-containing GFP-fusion proteins (see **Table 2.2**), which are transported into the nucleus by either Imp $\alpha$ / $\beta$ 1 (281, 293) or Imp $\beta$ 1 (57, 294), and subcellular localisation analysed by quantitative live cell confocal laser scanning microscopy (CLSM) 16-24 h later.

**Table 2.2: Nuclear targeting sequences within the fusion proteins used in this study.**

<i>GFP-fusion protein</i>	<i>NLSs (basic residues in bold)</i>	<i>NESs (hydrophobic residues underlined)</i>
<b>Imp<math>\alpha</math>/<math>\beta</math>1-recognised</b>		
T-ag(114–135)	PKKKRKV <sup>132</sup> (5, 293, 295)	N/A
T-ag(111–135)	As above	N/A
T-ag(111-135) NLSm	PKTKRKV <sup>132</sup> (282)	N/A
UL54(1145-1161)	PAKKRAR <sup>1159</sup> (281)	N/A
UL54(1145-1161) NLSm	PAKKAAA <sup>1159</sup> (281)	N/A
<b>Imp<math>\beta</math>1-recognised</b>		
TRF-1(337-440)	KKKKESRR <sup>356</sup> (57)	N/A
<b>Imp<math>\beta</math>1- and Exp-1-recognised</b>		
VP3(74-121)	KPPSKKR–12 aa spacer-RPRTAKRRIKL <sup>121</sup> (290, 294)	<u>V</u> SK <u>L</u> KES <u>L</u> <sup>105</sup> (279, 290)
VP3(74-121) NLS1/2m	KPPSNNR–12 aa spacer-RPRTAKNNIKL <sup>121</sup> (279)	As above
PTHrP(1-141)	RYLTQETNPKVETYEKQPLKTPGKKKKGK <sup>94</sup> (56, 296)	<u>L</u> SDTSTTS <u>L</u> E <u>L</u> <sup>136</sup> (56, 296)
Rev(2-116)*	RQARRNR <sup>51</sup> RRWRERQRQ <sup>51</sup> (297)	<u>L</u> PP <u>L</u> ER <u>L</u> T <sup>83</sup> (298, 299)

\* The HIV-1 Rev NLS is also recognised by Imp7, Imp $\beta$ 3, Imp9 and Imp $\beta$ 2 transporters (300, 301).

Abbreviations: GFP, green fluorescent protein; Imp, importin; N/A, not applicable; NES, nuclear export signal; NLS, nuclear localisation signal; NLSm, nuclear localisation signal mutant; PTHrP, parathyroid hormone-related protein; Rev, human immunodeficiency virus regulator of virion expression; T-ag, simian virus 40 large tumour antigen; TRF-1, telomeric repeat-binding factor-1; UL54, human cytomegalovirus DNA polymerase catalytic subunit UL54; VP3, chicken anaemia virus viral protein 3.

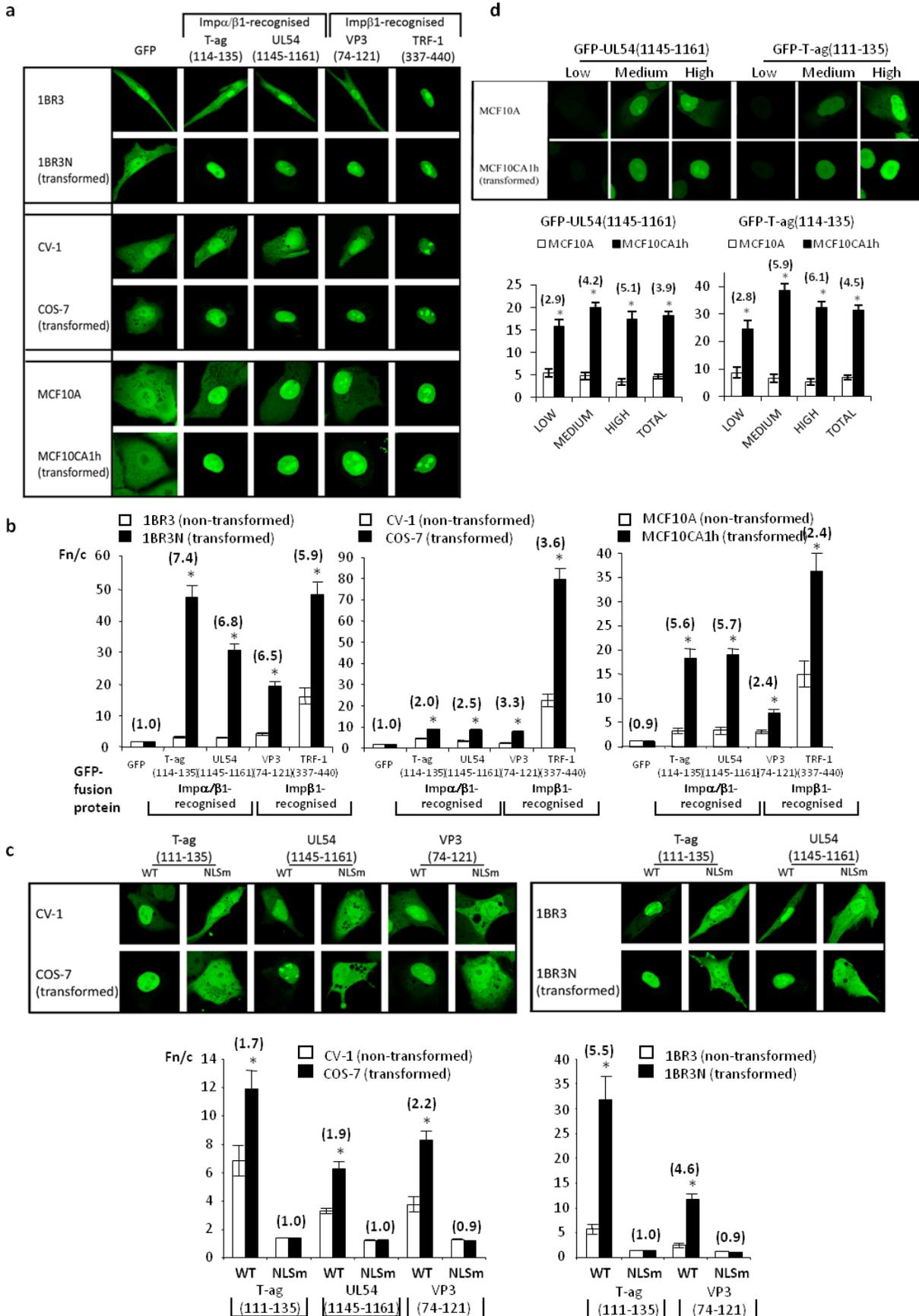
As expected, GFP localised uniformly throughout the nucleus and cytoplasm in all cell lines (**Fig. 2.1a**) due to its lack of nuclear targeting signals and small size (27 kDa) which is well below the molecular weight cut-off for passive-type transport through the NPC (c. 50-70 kDa) (3). In contrast, all of the Imp $\alpha$ / $\beta$ 1- and Imp $\beta$ 1-recognised NLS-containing proteins localised more strongly in the nucleus of the transformed 1BR3N, COS-7 and MCF10CA1h cells, compared to their non-transformed counterparts (**Fig. 2.1a**). Image analysis was performed to determine at the single cell level the mean ratio of nuclear-to-cytoplasmic fluorescence ( $F_n/c$ , see *Materials and Methods*), statistical ( $t$ -test) analysis confirming significantly ( $p < 0.0001$ ) higher levels of nuclear accumulation in the transformed cells compared to their non-transformed counterparts for all of the NLS-containing proteins (**Fig. 2.1b**), with the most pronounced differences in the levels of nuclear accumulation apparent for Imp $\alpha$ / $\beta$ 1-recognised NLSs in 1BR3N (c. 7-fold) and MCF10CA1h (c. 6-fold) cells compared to non-transformed counterparts (**Fig. 2.1b**). The extent of nuclear accumulation was similarly assessed for GFP-fusion constructs in which the NLSs carry inactivating mutations (see

**Table 2.2**); in all cases, the nuclear accumulation of the NLS-mutated fusion proteins as well as that of GFP alone was completely comparable between the transformed and non-transformed lines in each cell pair (**Fig. 2.1c**, MCF10A/CA1h cells not shown), indicating that the large-scale differences in nuclear accumulation efficiency were specific to NLS- (and thus Imp-) dependent transport and not due to altered passive diffusion properties of the NPC in transformed cells.

Western analysis (not shown) indicated essentially identical levels of expression of the various GFP fusion proteins in the transformed and non-transformed lines, but to assess formally at the single cell level whether the results for increased nuclear accumulation in transformed compared to non-transformed cells may be attributable to increased expression levels of ectopically expressed proteins in the transformed compared to the non-transformed cells, we compared the Fn/c values for several NLS-containing GFP fusion proteins in the MCF10A/CA1h cells, expressing the proteins at either high (Fn-b > 1500 arbitrary fluorescence units), medium (Fn-b = 500-1500) or low (Fn-b < 500) levels (see **Fig. 2.1d** and *Materials and Methods*). Completely consistent with the whole population analysis (**Fig. 2.1b**), 3- to-6-fold higher levels of nuclear accumulation were observed in transformed cells regardless of the levels of expression, clearly indicating that the extent of nuclear accumulation is not related to the concentration of nuclear import cargo expressed.

To test whether the increased nuclear accumulation in transformed cells is associated with an increase in the rate of nuclear import, we performed fluorescence recovery after photobleaching (FRAP) experiments as previously (285) on the MCF10A/CA1h cell pair expressing GFP-T-ag(114-135) whereby the nucleus of a single cell was bleached, and the return of non-bleached fluorescence from the cytoplasm to the nucleus monitored by CLSM for up to 280 s (**Figs. 2.2a, 2.2b**, also see *Materials and Methods*). The fractional recovery for specific nuclear fluorescence (Fn-b), calculated from the initial (pre-bleach) fluorescence and maximal (post-bleach) fluorescence recovery value, was then plotted for each time point to enable the half-time ( $t_{1/2}$ ) for 50% maximal recovery to be determined. Whilst the mean maximal fractional recoveries of GFP-T-ag(114-135) did not differ significantly between MCF10A/CA1h cells (**Table 2.3**), the  $t_{1/2}$  was significantly ( $p < 0.05$ ) reduced by about 2-fold in transformed MCF10CA1h cells ( $t_{1/2} = 16.0 \pm 1.9$  s) compared to the non-transformed MCF10A cells ( $t_{1/2} = 27.4 \pm 4.9$  s) (see **Fig. 2.2c; Table 2.3**).

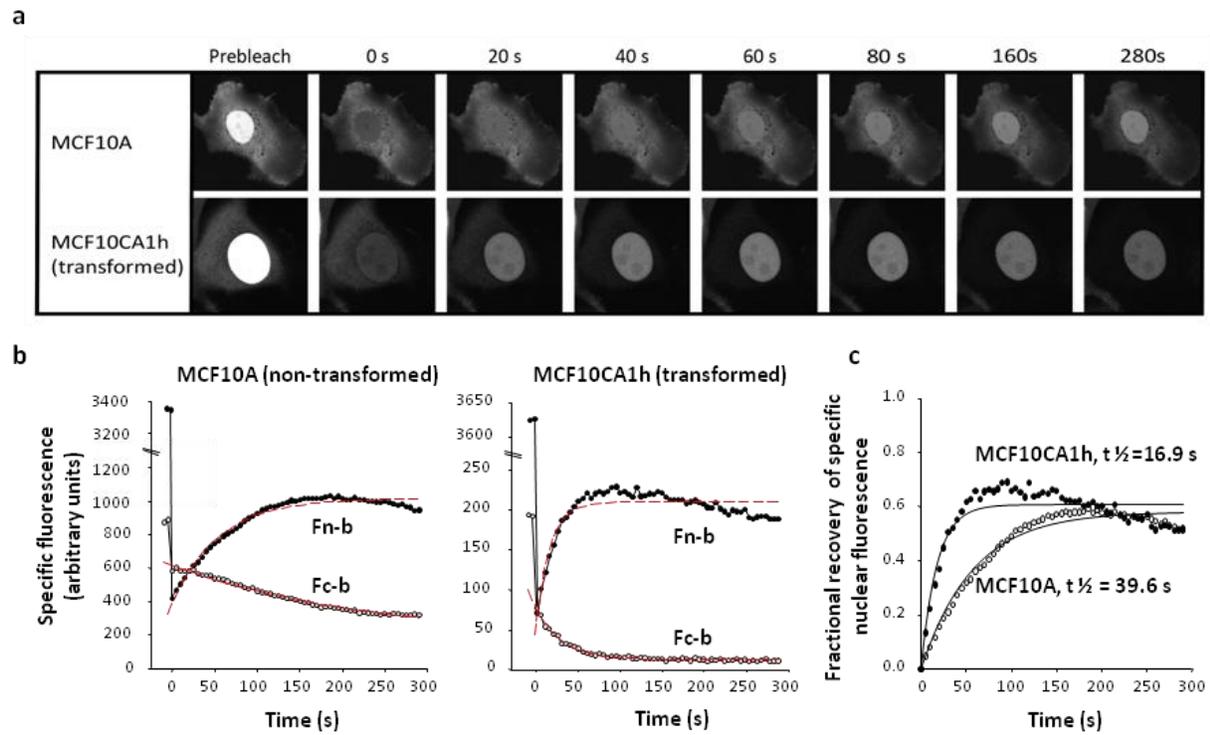
Chapter 2: Enhanced nuclear transport in transformed cells



**Figure 2.1 Imp $\alpha$ / $\beta$ 1- and Imp $\beta$ 1-dependent NLS-nuclear protein import is more efficient in transformed than in non-transformed cells.** (a) Typical CLSM images of 1BR3/1BR3N (top), CV-1/COS-7 (middle) and MCF10A/CA1h (bottom) isogenic cell line pairs (see **Table 2.1**), transfected to express GFP alone or the indicated NLS-containing GFP-fusion proteins (see **Table 2.2**) analysed live 16-24 h post-transfection (X100 oil immersion objective). (b) Quantitative analysis of the level of nuclear accumulation (Fn/c) of fusion proteins in digitised images such as those in (a) in 1BR3/1BR3N (left), CV-1/COS-7 (centre) and MCF10A/CA1h (right) isogenic cell lines. Results represent the mean  $\pm$  SE ( $n > 33$ , from a series of  $\geq 3$  different experiments); the fold-change in Fn/c ratio (indicated in parenthesis) represents the ratio of the Fn/c values in transformed vs. non-transformed cells. \*  $p < 0.0001$  vs. non-transformed cells. (c) Quantitative analysis of the relative levels of nuclear accumulation (Fn/c) of digitised images of CV-1/COS-7 (left) and 1BR3/1BR3N (right) cells transfected to express the indicated NLS-containing wild-type (WT) or NLS-mutated (NLSm) GFP-fusion proteins and analysed live 24 h post-transfection (X100 oil immersion objective). Results represent the mean  $\pm$  SE ( $n > 22$ ); the fold-change in Fn/c ratio for each cell pair is indicated in parentheses. \*  $p < 0.0001$  vs. non-transformed cells. (d) Quantitative analysis of the relative levels of nuclear accumulation (Fn/c) of digitised images of MCF10A/CA1h cells transfected to express the indicated NLS-containing GFP-fusion proteins in high (Fn-b  $> 1500$ ), medium (Fn-b = 1500-500) and low (Fn-b  $< 500$ ) expressing cell populations. Results represent the mean  $\pm$  SE ( $n > 14$ ). \*  $p < 0.009$  vs. MCF10A cells.

Consistent with this, the rate of loss of specific cytoplasmic fluorescence (Fc-b) was c. 3-fold faster in the transformed ( $t_{1/2} = 28.2 \pm 3.7$  s) than in the non-transformed ( $t_{1/2} = 101 \pm 24.8$  s) cells, indicating significantly ( $p < 0.0154$ ) faster transport from the cytoplasm to the nucleus in transformed cells (**Fig. 2.2b**; **Table 2.3**). The results indicate that the enhancement of NLS-dependent nuclear import efficiency observed in transformed cells is due to a faster nuclear import rate.

We also tested the subcellular localisation of a number of different NLS/NES-containing GFP-fusion proteins (**Table 2.2**; **Fig. 2.3a**), able to shuttle between the nucleus and cytoplasm dependent on Imp $\beta$ 1 and Exp-1 (56, 290, 294, 296-298) in the transformed/non-transformed cell pairs, in the absence and presence of the Exp-1-specific inhibitor leptomycin B (LMB), where the extent of the increase in protein nuclear accumulation (Fn/c) in the presence compared to absence of LMB was used as an indicator of the strength of nuclear export by Exp-1 in each cell line (see **Table 2.4**).



**Table 2.3** Pooled data from a single representative fluorescence recovery after photobleaching experiment for GFP-T-ag(114-135) in populations of non-transformed MCF10A and transformed MCF10CA1h breast epithelial cells.

	MCF10A	MCF10CA1h	Normal / tumour
Half-time ( $t_{1/2}$ ) for:			
Nuclear recovery (Fn-b)	$27.4 \pm 4.9$ s	$16.0 \pm 1.9$ s*	1.7
Cytoplasmic decay (Fc-b)	$101 \pm 24.8$ s	$28.2 \pm 3.7$ s*	3.6
Maximal fractional recovery	$0.82 \pm 0.06$	$0.91 \pm 0.05$	0.9
Initial Fn/c	$4.3 \pm 0.60$	$16.7 \pm 1.9$ *	N/A
Initial fluorescence (arbitrary units):			
Fn-b	$3218 \pm 69$	$3466 \pm 46$ *	0.93
Fc-b	$964 \pm 136$	$246 \pm 31$ *	3.9

Results are for the mean  $\pm$  SE ( $n = 13$ ). \*  $p < 0.05$  vs. MCF10A cells, Student's  $t$  test, unpaired data, 2-tailed.

**Figure 2.2** The rate of nuclear import is increased in transformed cells compared to non-transformed cells. (a) Representative CLSM images (X100 oil immersion objective) of photobleaching experiments in MCF10A/CA1h cells transfected to express GFP-T-ag(114-135). At 16-24 h post-transfection a small (zoom 120-fold) area of the nucleus was photobleached (2500-4000 ms, 100% laser power) and monitored for the return of fluorescence by CLSM at 5 s intervals for a total of 280 s. (b) Quantitative representation of the specific nuclear (Fn-b) and cytoplasmic (Fc-b) fluorescence values in pre-bleach (-10 to -5 s), bleach (0 s) and post-bleach (5 to 280 s) images from (a) in single typical MCF10A and MCF10CA1h cells. The percentage loss of specific fluorescence in the nuclear and cytoplasmic compartments (Fn-b and Fc-b at 0 s time-point, compared to initial Fn-b and Fc-b pre-bleach values) due to photobleaching was comparable between the two lines (data not shown). (c) Quantitative representation of the fractional recovery of specific nuclear fluorescence, where values for Fn-b at respective time points were divided by the post-bleach maximal value and normalised to the 0 s post-bleach time point at each time interval from digitised images such as those in (a), is shown for single typical cells from data sets obtained for MCF10A/CA1h cell pairs. The half-maximal time ( $t_{1/2}$ ) of recovery of specific nuclear fluorescence recovery is shown for MCF10A ( $r^2 = 0.84$ ) and MCF10CA1h ( $r^2 = 0.97$ ) cells.

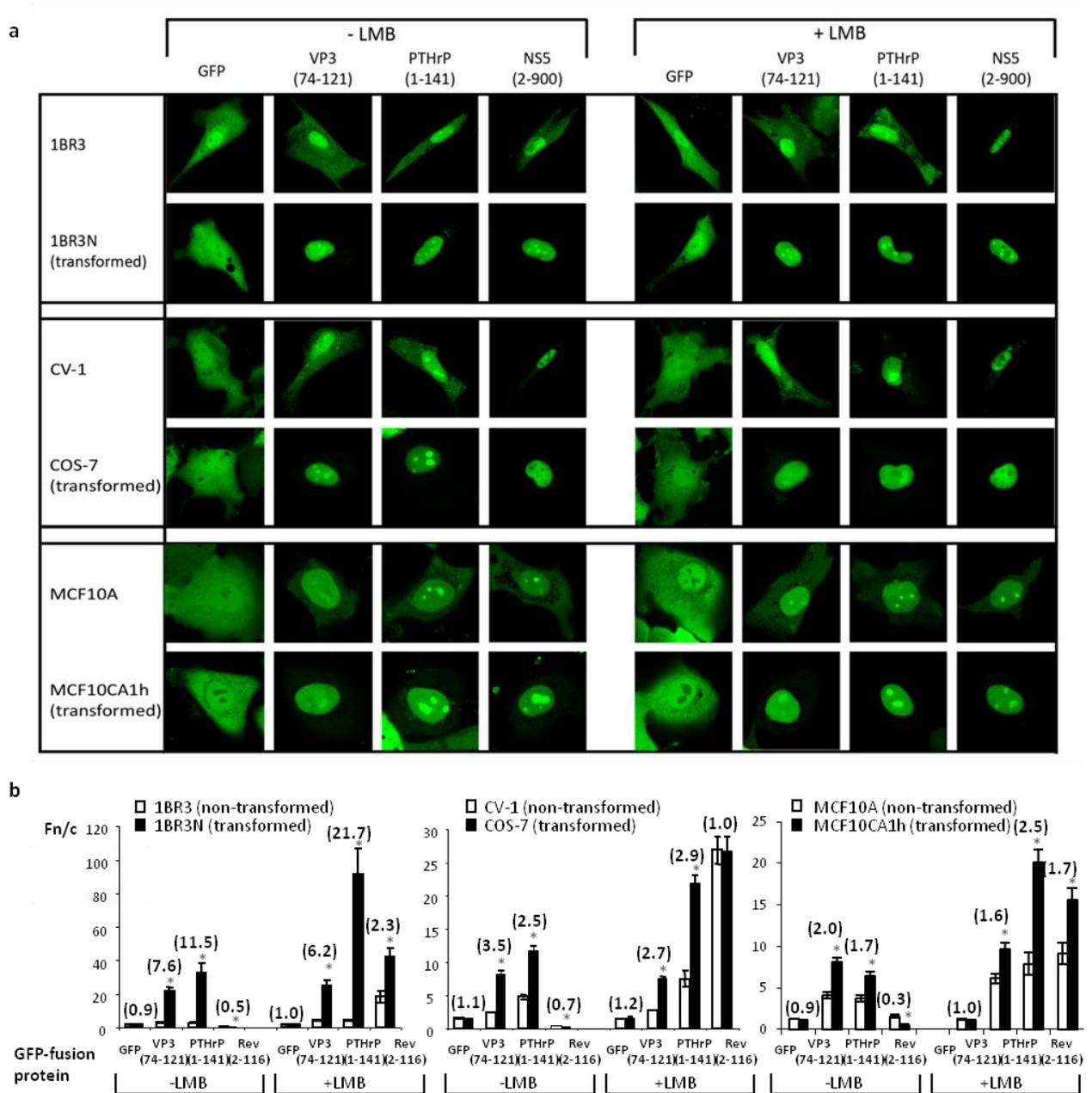
**Table 2.4: Quantitative analysis of nuclear accumulation of NLS/NES-containing GFP-fusion proteins in the absence as compared to the presence of LMB treatment in non-transformed and transformed cell lines; increased sensitivity to LMB treatment responsiveness in transformed cells.**

GFP-fusion protein	Non-transformed			Transformed			T [+LMB/-LMB] / NT [+LMB/-LMB]
	- LMB	+ LMB	+ LMB / - LMB	- LMB	+ LMB	+ LMB / - LMB	
	<b>1BR3</b>			<b>1BR3N</b>			
GFP alone	1.52 ± 0.04 (135)	1.57 ± 0.06 (58)	1.00	1.42 ± 0.02 (156)	1.70 ± 0.06 (41)	1.20	1.2
VP3(74-121)	2.89 ± 0.23 (114)	4.02 ± 0.54 (91)*	1.40	21.9 ± 1.94 (142)	24.8 ± 3.36 (110)	1.10	0.8
PTHrP(1-141)	2.85 ± 0.23 (112)	4.22 ± 0.58 (67)*	1.50	32.7 ± 5.73 (50)	91.6 ± 15.10 (21)*	2.80	1.9
Rev(2-116)	0.37 ± 0.04 (37)	18.2 ± 3.51 (50)*	50.00	0.16 ± 0.03 (67)	42.2 ± 5.00 (43)*	256.00	5.2
	<b>CV-1</b>			<b>COS-7</b>			
GFP alone	1.45 ± 0.062 (65)	1.38 ± 0.033 (51)	1.00	1.60 ± 0.05 (25)	1.68 ± 0.037 (41)	1.00	1.0
VP3(74-121)	2.34 ± 0.059 (205)	2.80 ± 0.123 (159)*	1.20	8.20 ± 0.40 (162)	7.52 ± 0.28 (80)	0.92	0.8
PTHrP(1-141)	4.78 ± 0.35 (44)	7.46 ± 1.22 (30)*	1.56	11.8 ± 0.60 (77)	21.9 ± 1.24 (77)*	1.85	1.2
Rev(2-116)	0.40 ± 0.013 (122)	26.8 ± 2.04 (102)*	67.30	0.28 ± 0.021 (75)	26.7 ± 2.23 (196)*	96.00	1.4
	<b>MCF10A</b>			<b>MCF10CA1h</b>			
GFP alone	1.24 ± 0.03 (41)	1.21 ± 0.02 (38)	1.00	1.14 ± 0.02 (61)	1.15 ± 0.02 (54)	1.00	1.0
VP3(74-121)	4.09 ± 0.43 (58)	3.73 ± 0.50 (63)	0.90	8.06 ± 0.61 (72)	9.71 ± 0.75 (85)	1.20	1.3
PTHrP(1-141)	3.67 ± 0.38 (75)	7.90 ± 0.56 (58)*	2.15	6.42 ± 0.47 (67)	20.14 ± 1.52 (60)*	3.14	1.5
Rev(2-116)	1.56 ± 1.79 (75)	9.17 ± 1.28 (59)*	5.90	0.50 ± 0.05 (69)	15.6 ± 1.37 (91)*	31.10	5.3

Values for - LMB and + LMB represent mean ± SE  $F_n/c$ ; numbers in parentheses indicate  $n$ . T [+LMB/-LMB], transformed +LMB/-LMB; NT [+LMB/-LMB], non-transformed +LMB/-LMB. \* $p < 0.0001$  vs. - LMB.

We found that the NLS/NES-containing proteins generally showed significantly ( $p \leq 0.003$ ) higher levels of nuclear accumulation in the transformed cell lines compared to their non-transformed counterparts in both the absence and presence of LMB treatment (**Fig. 2.3b**), consistent with the idea that nuclear import efficiency is enhanced in transformed compared to non-transformed cells. GFP-Rev(2-116) was an exception, failing to show significantly enhanced nuclear accumulation in the presence of LMB in transformed COS-7 compared to non-transformed CV-1 cells, probably as a result of the fact that Rev nuclear import can be modulated by a number of different Imps, including Imp $\beta$ 1 (297, 302), 7, 3, 9 and transportin (Imp $\beta$ 2) (300, 301). Most of the fusion proteins showed significantly ( $p \leq 0.03$ ) increased nuclear accumulation in the presence of LMB in both non-transformed and transformed cell lines, indicating robust nuclear export activity in its absence (**Table 2.4**). GFP-VP3(74-121) was the only exception to this, exhibiting nuclear accumulation that was not affected by LMB in any of the transformed cell lines, consistent with the fact that VP3-mediated nuclear export is inhibited in transformed cells as a result of tumour cell-specific phosphorylation near the VP3 NES (279, 290).

Importantly, we found that the extent of the increase in nuclear accumulation of NLS/NES-containing proteins apart from VP3 in the presence as compared to the absence of LMB ( $[\text{Fn}/c + \text{LMB}] / [\text{Fn}/c - \text{LMB}]$ ) was much greater in the transformed cell types compared to non-transformed cells (**Table 2.4**), implying enhanced Exp-1 activity in the transformed cell lines under normal conditions in the absence of LMB. Reduced nuclear export is clearly not the basis of higher nuclear accumulation of NLS-containing cargoes in the transformed compared to the non-transformed cell lines. Consistent with this, GFP-Rev(2-116), which preferentially localises in the cell cytoplasm due to the presence of a dominant, Exp-1-recognised NES, showed significantly ( $p < 0.0001$ ) lower levels of nuclear accumulation in the absence of LMB treatment in transformed as compared to non-transformed cells, but either equivalent or significantly higher nuclear accumulation in its presence (**Fig. 2.3b**). The clear implication is that the various transformed cells show higher intrinsic levels of Exp-1-dependent export activity, in addition to the observed increased nuclear import efficiency (see above).



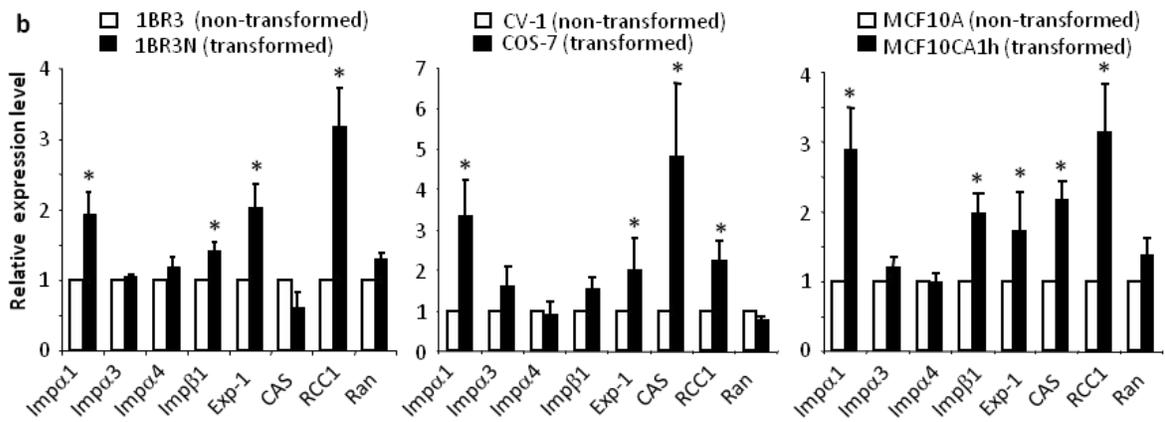
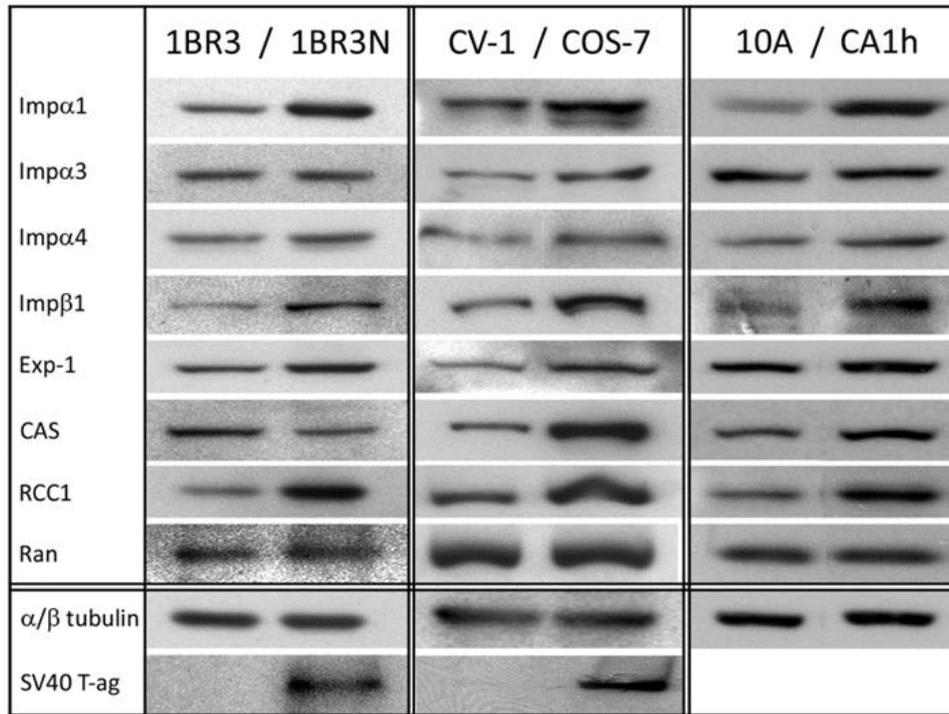
**Figure 2.3** Reduced nuclear export is not the basis of enhanced nuclear accumulation of NLS/NES-containing proteins in transformed compared to non-transformed cells. (a) Typical CLSM images of 1BR3/1BR3N (top), CV-1/COS-7 (middle) and MCF10A/CA1h (bottom) cells, transfected to express GFP alone or the indicated NLS/NES-containing GFP-fusion proteins (see Table 2.2), in the absence (-) or presence (+) of 2.8  $\mu$ g/ml of the specific Exp-1 inhibitor leptomycin B (LMB) 3-4 h prior to imaging and analysed live 16-24 h post-transfection (X100 oil immersion objective). (b) Quantitative analysis of the relative levels of nuclear accumulation (Fn/c) between transformed vs. non-transformed cells from digitised images such as those shown in (a) in 1BR3/1BR3N (left), CV-1/COS-7 (centre) and MCF10A/CA1h (right) isogenic cell lines. Results represent the mean  $\pm$  SE ( $n > 20$ , typical results from a series of  $\geq 2$  separate experiments); the fold-change in Fn/c ratio for each cell pair is indicated in parentheses. \*  $p \leq 0.003$  vs. non-transformed cells.

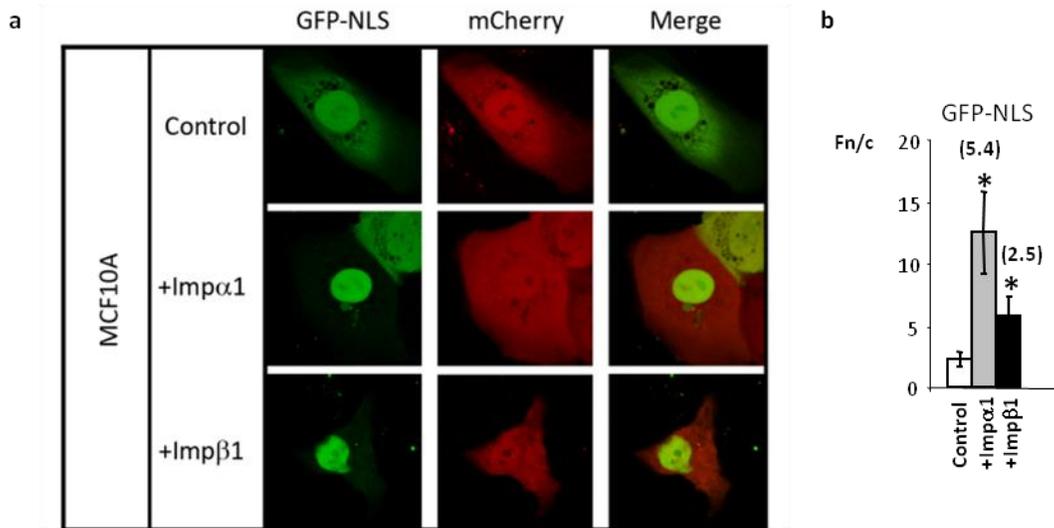
Since the globally enhanced nuclear transport efficiencies could relate to differences in the levels of components of the nuclear transport machinery, we assessed the levels of Imps/Exps/Ran/Ran regulatory proteins in our non-transformed/transformed cell pairs by Western analysis/densitometry. After optimisation, analysis was performed for a range of Imps (Imp $\alpha$ 1, Imp $\alpha$ 3, Imp $\alpha$ 4 and Imp $\beta$ 1), Exps (Exp-1 and CAS), Ran and RCC1 in whole cell extracts of 1BR3/1BR3N, CV-1/COS-7 and MCF10A/CA1h cells. We found Imp $\alpha$ 1, Imp $\beta$ 1, Exp-1, CAS and RCC1 proteins were all expressed at markedly higher levels in the transformed cells compared to their non-transformed counterparts (**Fig. 2.4a**), with densitometric analysis (values expressed relative to the respective levels of the control protein  $\alpha/\beta$  tubulin) revealing c. 2- to 4-fold higher levels of these components in transformed compared to non-transformed cells ( $p < 0.05$ ,  $n \geq 3$ , for Imp $\alpha$ 1, Exp-1 and RCC1; see **Fig. 2.4b**).

To test whether enhanced Imp levels can enhance nuclear accumulation of proteins we co-transfected non-transformed MCF10A cells to express a bicistronic pIRES-mCherry Imp $\alpha$ 1 or Imp $\beta$ 1-encoding plasmid and GFP-T-ag(114-135) and assessed nuclear accumulation in live cells 40 h post-transfection (**Fig. 2.5a**). Expression of Imp $\alpha$ 1 and Imp $\beta$ 1 significantly ( $p < 0.04$ ) increased GFP-NLS nuclear accumulation levels by c. 5- and 2.5-fold, respectively, compared to the control plasmid in MCF10A cells (**Fig. 2.5b**), demonstrating formally that the overexpression of either Imp $\alpha$ 1 or Imp $\beta$ 1 can enhance nuclear import efficiency in non-transformed cells.

**Figure 2.4 Imp $\alpha$ 1, Imp $\beta$ 1, Exp-1, CAS and RCC1 are overexpressed in transformed compared to non-transformed cells.** (a) Endogenous nuclear transport factors in whole cell extracts of 1BR3/1BR3N (left panel), CV-1/COS-7 (middle panel) and MCF10A/CA1h (right panel) cell lines were detected by Western analysis using the indicated antibodies, with  $\alpha/\beta$  tubulin as a loading control, and SV40 T-ag control denoting transformed/non-transformed cell status as appropriate. Results are from a single typical experiment from a series of  $\geq 3$  separate experiments. (b) Quantitative analysis of the relative expression levels of nuclear transport factors based on Western analysis as per (a) for 1BR3/1BR3N (left), CV-1/COS-7 (centre) and MCF10A/CA1h (right) cell pairs, as determined by densitometry is given as the ratio of the intensity of the signal obtained for the nuclear transport factor protein normalised to that for  $\alpha/\beta$  tubulin in transformed cells, relative to non-transformed cells. Results represent the mean  $\pm SE$  ( $n \geq 3$ ), \*  $p < 0.05$  vs. non-transformed cells.

a





**Figure 2.5 Overexpression of Imp $\alpha$ 1 or Imp $\beta$ 1 in non-transformed cells enhances protein nuclear accumulation.** (a) CLSM images of normal MCF10A cells co-transfected to express GFP-T-ag(114-135) and Imp $\alpha$ 1, Imp $\beta$ 1 or control (empty vector) imaged 40 h post-transfection. mCherry expression from the pIRES-Imp-mCherry bicistronic plasmid was used to identify transfected cells. (b) Quantitative analysis of the relative levels of nuclear accumulation (Fn/c) of control (empty vector-transfected) or Imp-expressing MCF10A cells. Results represent the mean  $\pm$  SE ( $n > 41$ , results are from a single typical experiment in a series of three separate experiments). \*  $p < 0.05$  vs. MCF10A control.

## 2.6 DISCUSSION

This study uses transformed/non-transformed cell pairs from various origins and high resolution quantitative approaches to demonstrate for the first time that conventional, NLS-/Imp-dependent nuclear protein import is globally enhanced in transformed cells compared to their non-transformed counterparts, correlating with elevated levels of specific components of the cellular nuclear transport machinery. Although elevated Imp/Exp levels have been previously reported in tumour/transformed cells and tissues (211, 220, 303, 304), this is the first study to document quantitative differences in non-transformed/transformed cell lines of isogenic origin, and hence enables conclusions to be drawn with respect to the nuclear transport machinery and transformation status without the complications of confounding factors associated with the use of cells of different types/origins/patients (20, 116, 122). Further, it is the first study to explore the

kinetic parameters of nuclear transport in living transformed and non-transformed cells, establishing through fluorescence-based approaches and FRAP studies that the efficiency and rate of nuclear import of various NLS-containing cargoes utilising conventional, Imp-dependent pathways is enhanced in three different transformed cell lines compared to their non-transformed counterparts. The analysis of control molecules (GFP alone and NLS-mutated derivatives) established that the passive diffusion properties of the nuclear pore are not altered in the various lines, and that the enhanced transport in the transformed lines is NLS-dependent, with analysis of cell sub-populations with varying expression levels clearly indicating that differences between the transformed/non-transformed lines are not attributable to differing expression levels of the respective heterologous transport cargoes (305, 306). Rather, we propose that the basis of enhanced nuclear transport relates directly to increased levels of a subset of Imp/Exp molecules (Imp $\alpha$ 1, Imp $\beta$ 1, CAS and Exp-1) and the Ran nucleotide exchange factor RCC1, wherein proof-of-principle experiments involving overexpression of specific Imps in non-transformed cells show increased nuclear transport efficiency. The clear implication is that increased nuclear transport efficiency may be a key feature of transformed cells.

Significantly increased nuclear import efficiencies and rates were observed for various different Imp $\alpha$ / $\beta$ 1 and Imp $\beta$ 1-recognised NLS-containing proteins in three different transformed cell lines compared to their non-transformed counterparts; two of the lines were SV40-transformed (1BR3N and COS-7) and the other (MCF10CA1h), representative of tumorigenic breast carcinoma cells. The observations with respect to the latter cell line represent the first report of enhanced nuclear import in non-virally transformed/tumour cell lines/tissues, but, consistent with our results here, enhanced nuclear import efficiency has previously been reported for SV40-transformed mouse fibroblasts (117, 307); enhanced nuclear import through conventional Imp $\alpha$ / $\beta$ 1 and Imp $\beta$ 1-dependent pathways may be a key feature of transformation by DNA tumour viruses such as SV40 to ensure the maximal nuclear concentration and activity of key host and viral gene products (see(308)). We also analysed the efficiency of nuclear protein export for the first time in our three transformed/non-transformed cell pairs, enhanced responsiveness to the Exp-1 inhibitor LMB in the transformed cells suggesting higher intrinsic Exp-1 activity than in non-transformed cells. This was consistent with significantly increased Exp-1 protein levels in all of the transformed lines compared to their non-transformed counterparts.

Several studies in model cell types have shown that nuclear import efficiency can be influenced by Imp concentration (113, 114, 306). Here we observed significantly higher levels of both Imp $\alpha$ 1 and Imp $\beta$ 1 in transformed as opposed to non-transformed cells, in parallel with increased levels of the Ran guanine exchange factor, RCC1 which is important for unloading of import cargoes from Imps in the nucleus, as well as the Exportins CAS, which is important for recycling Imp $\alpha$  back to the cytoplasm after a round of nuclear import, and Exp-1. Since enhanced nuclear import efficiency in the transformed cell lines is dependent on a functional NLS, and that ectopically expressed Imps clearly enhance nuclear transport efficiency in non-transformed MCF10A breast cells, it does not seem unreasonable to propose that the enhanced nuclear transport efficiency in the transformed cells observed here is a direct result of the increased levels of specific nuclear transport components, which are observed in many transformed cell lines and tumour samples (197, 200, 207, 216, 217, 220, 221, 309). Enhanced nuclear trafficking through higher levels of Imps/Exps could potentially impact on the nuclear targeting of many growth and proliferation regulating signalling molecules/transcription factors such as mitogen activated protein kinase (304), or cytoplasmic localisation of growth inhibitory factors such as p27<sup>kip</sup> or p21<sup>cip</sup>, and thereby promote the transformed cell phenotype. The extent to which enhanced (amplified) signalling between the nucleus and cytoplasm may influence tumour biology/cancer pathology remains to be determined, but it is an intriguing possibility that enhanced nuclear transport may be a critical factor in tumour cell growth/proliferation/progression, and hence a potential target for therapeutic intervention to treat cancer (eg. see (271, 310, 311)).

Importantly, the results here raise the exciting possibility that the enhanced nuclear transport properties of transformed cells may be exploited to facilitate tumour cell-specific drug delivery. It is already known that the addition of NLSs to modular recombinant transporters (MRTs, comprised of assemblies of independently functioning protein domains capable of mediating cellular entry, endosomal escape and efficient nuclear targeting of photosensitising drugs (278, 280, 312)) can improve cell killing over 50-fold by inducing the localised generation of DNA-damaging reactive oxygen species (ROS) in the cell nucleus, the most sensitive site for ROS-induced damage (313, 314). Since the efficacy of cell killing through MRTs relies to a large extent on the efficiency of drug delivery to the nucleus, the fact that certain transformed cells possess intrinsically more efficient nuclear import implies that MRT killing action should be greater in transformed than non-transformed cells, supporting the idea of the utility of nuclear drug delivery in anti-cancer therapy.

Finally, the findings here have strong relevance to studies where SV40-induced transformation is used to increase cellular life span and growth potential to generate apparently 'normal' model cell types ie. from primary cells/mouse embryonic fibroblasts for a whole range of different applications (eg. studies of development). Based on the results here, it would seem judicious to be cautious in interpreting results using such cells, since SV40-transformed cells will almost certainly have significantly enhanced nuclear transport properties, which in turn may alter cell phenotype in various, yet-to-be-identified ways. In short, it is important to note that the use of SV40 in cell lines may well not be suitable for many studies for which they are currently used as accepted practice.

In summary, the results show that several transformed cell types from different origins possess enhanced Imp- and also Exp-dependent nuclear transport, correlated with increased levels of Imp/Exp proteins. The results are important for future anti-cancer therapies relying on nuclear drug delivery, as well as cell biological studies relying on transformation by SV40. Our future work will focus on how altered nucleocytoplasmic transport efficiency can be exploited optimally to achieve tumour-selective nuclear drug delivery using non-viral delivery approaches.

## **2.7 ACKNOWLEDGEMENTS**

This work was supported by the National Health and Medical Research Council (Australia; grant 436614 and fellowship 384105). The authors thank Cassandra David for skilled technical assistance, and Caroline Garrett (Sussex Centre for Genome Damage and Stability, Sussex, UK) and Steven Santner (Karmanos Cancer Institute, Detroit, MI, USA) for the 1BR3/1BR3N and MCF10A/CA1h cell lines, respectively. All microscopic imaging was conducted using microscopes provided by the Monash Micro Imaging facility (Monash University, Clayton, VIC, Australia). The authors declare no conflicts of interest.

## ADDITIONAL INFORMATION NOT SUBMITTED WITH KUUSISTO ET AL. 2012

### 2.8 SUPPLEMENTARY FIGURES/DATA

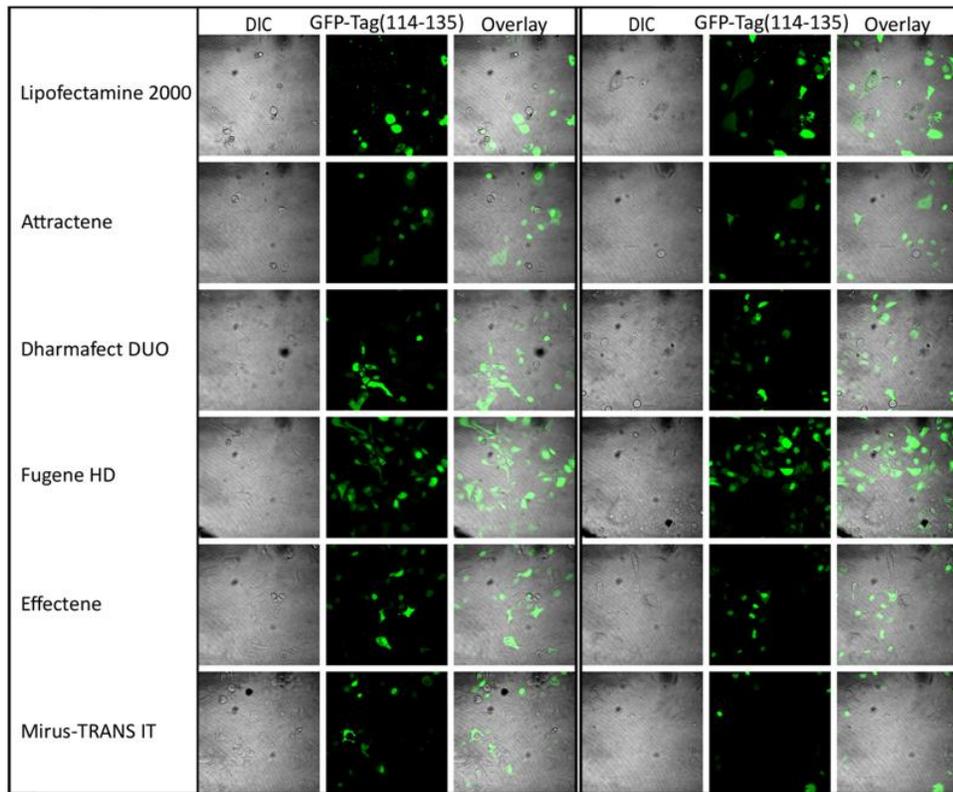
#### 2.8.1 Comparison of transgene expression efficiency and effect on Imp/CAS levels of commercially available transfection reagents in MCF10CA1h breast cells

Prior to performing the studies described in Chapter 3, the effects of a number of commercially available transfection reagents on 1) transgene expression levels and 2) Imp/Exp levels, were tested in the malignant MCF10CA1h cell line. The basis for these experiments was to select a reagent that was capable of achieving the highest transgene expression levels from an ectopically expressed plasmid, with minimal effects of endogenous Imp/Exp levels and cell viability. MCF10CA1h cells were transfected with a GFP-Tag(114-135)-encoding plasmid using either Lipofectamine 2000 (Invitrogen), Dharmafect DUO (Dharmacon), Fugene HD (Promega), Effectene, Attractene (both from Qiagen) or Mirus TRANS-IT (Mirus Bio) according to manufacturer's instructions, and transgene expression detected 24 h pt by live cell CLSM (X20 oil immersion lens, heated stage, Nikon; **Supp. Fig. 2.1a**) or Western analysis (**Supp. Fig. 2.1b**) using an anti-GFP antibody (1:1000, Roche). Highest levels of transgene expression were obtained using Fugene HD and Dharmafect DUO, whilst transgene expression in the Lipofectamine2000-transfected sample was barely detectable by Western analysis most likely due to high cell death in the latter (not shown).

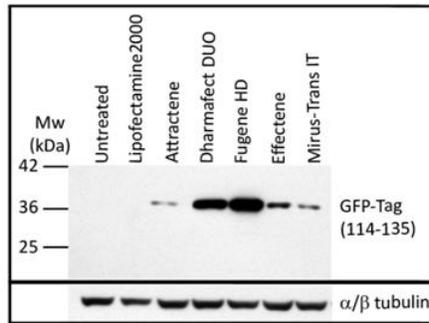
**Supplementary Figure 2.1 Comparison of transgene expression efficiency and effect on Imp/CAS levels of commercially available transfection reagents in malignant breast cells.** (a) MCF10CA1h cells were transfected to express GFP-T-ag NLS (114-135) using the indicated reagents and imaged live 24 h later by sequential DIC and CLSM. (b) Cell samples from (a) were lysed and whole cell extracts were subjected to Western analysis using an anti-GFP antibody (1:1000 dilution, Roche), followed by blotting for the endogenous control protein  $\alpha/\beta$  tubulin. The molecular weight (Mw) marker (numbers represent kDa) is given on the left and shows no degradation of GFP-T-ag(114-135) in the samples. (c) Western and (d) densitometric analysis showing levels of Imps/CAS in transfected samples from (a/b) normalised to the tubulin control protein and to corresponding values in untreated MCF10CA1h cells. Results are from a single representative experiment in a set of two separate experiments.

Chapter 2: Enhanced nuclear transport in transformed cells

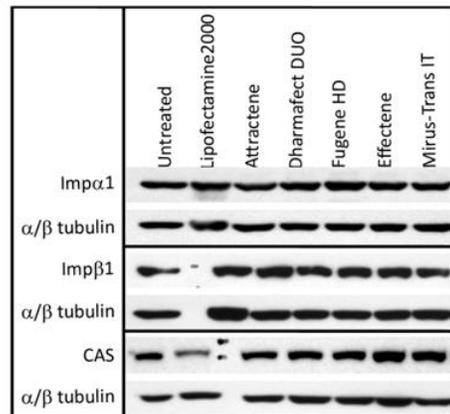
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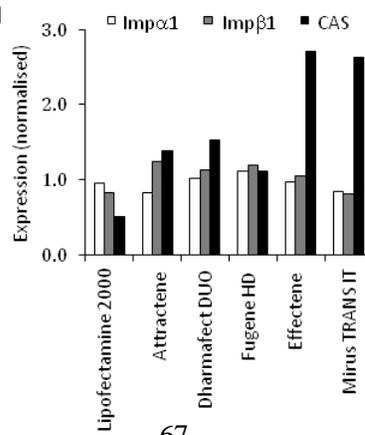
b



c



d



Imp $\alpha$ 1,  $\beta$ 1 and CAS levels were detected in the samples by Western and densitometric analysis (**Supp. Fig. 2.1cd**); levels of the nuclear transport components were minimally affected by Fugene HD, whereas all other reagents either increased (Effectene, Attractene, Dharmafect DUO, Mirus TRANS-IT) or decreased (Lipofectamine 2000) endogenous Imp/Exp levels. Reagents that induced the least cell death upon transfection as assessed by visual inspection were Attractene, Effectine and Mirus TRANS-IT followed by Dharmafect DUO and Fugene HD, whilst Lipofectamine 2000 induced the highest levels of cell death (not shown). Given that Fugene HD satisfied the requirements of high transgene expression with minimal effects on Imp/CAS levels where appropriate, all subsequent transfection studies in Chapter 3 were performed using Fugene HD.

# CHAPTER 3

*Enhanced Importin  $\alpha/\beta$ 1-dependent  
nuclear import efficiency in a  
model of breast tumour progression*



### **DECLARATION FOR THESIS CHAPTER 3**

#### **Declaration by candidate**

In the case of Chapter 3, the nature and extent of my contribution to the work was the following:

<b>Nature of contribution</b>	<b>Extent of contribution (%)</b>
Contributed to the conception and design of the study. Performed and was involved in all aspects of the experiments and prepared the manuscript for publication.	95%

The following co-authors contributed to the work:

<b>Name</b>	<b>Nature of contribution</b>
David A. Jans	Contributed to the conception and design of the study. Critical review of the manuscript.

The undersigned hereby certify that the above declaration correctly reflects the nature and extent of the candidate's and co-authors' contributions to this work\*.

<b>Candidate's Signature</b>		<b>Date</b>
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<b>Main Supervisor's Signature</b>		<b>Date</b>
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\*Note: Where the responsible author is not the candidate's main supervisor, the main supervisor should consult with the responsible author to agree on the respective contributions of the authors.



### **3 Enhanced Importin $\alpha/\beta$ 1-dependent nuclear import efficiency in a model of breast tumour progression**

#### **3.1 PREFACE**

Chapter 3 of this thesis is composed of a manuscript prepared for submission in *Molecular Oncology* entitled “Enhanced Importin  $\alpha/\beta$ 1-dependent nuclear import efficiency in a model of breast tumour progression”.

#### **3.2 ABSTRACT**

Trafficking into and out of the nucleus is conventionally mediated by the Importin (Imp) family of transporters, which are overexpressed in a variety of tumour cell types. Using the MCF10 cell model of human breast tumour progression, we show here that trafficking dependent on Imp $\alpha/\beta$ 1 but not Imp $\beta$ 1 is selectively enhanced in malignant breast (basal type invasive ductal carcinoma) cell types compared to isogenic non-transformed and hyperplastic (benign) counterparts, with the degree of enhancement correlating to advancing tumour disease state of the cells. Quantitative Western and RT-qPCR analysis indicate that this is associated with progressively increased expression of Imp $\alpha$ 1,  $\alpha$ 3,  $\beta$ 1 and CAS in malignant cell types, with RNAi/overexpression approaches establishing Imp $\alpha$ 1 levels to be the primary basis of elevated Imp $\alpha/\beta$ 1-dependent nuclear import efficiency. Underlining the physiological relevance of these observations, the degree of Imp $\alpha$ 1 expression was found to relate with increasing tumour grade, in clinical ductal carcinoma samples. This is the first study to describe altered Imp $\alpha/\beta$ 1-dependent nuclear import as a novel feature of tumour disease progression, with implications for the development of tumour-selective therapeutic approaches.

#### **3.3 INTRODUCTION**

Signal-dependent nuclear protein import is central to cellular signal transduction events including the regulation of altered gene expression and phenotype change. Protein translocation into the nucleus is dependent on the presence of nuclear localisation signals (NLSs), which are

recognised by the Importin (Imp) family of nuclear transporters, of which there are multiple  $\alpha$  and  $\beta$  forms (Imp $\alpha$ 1,  $\alpha$ 3-7 and Imp $\beta$ 1-5,  $\beta$ 7-9,  $\beta$ 11-13) in humans (12, 13). NLSs can be recognised by Imp $\beta$ s such as Imp $\beta$ 1 or the Imp $\alpha$ / $\beta$ 1 heterodimer, after which the Imp: cargo complex translocates through the nuclear envelope-embedded nuclear pore complexes (NPCs) into the nucleoplasm, where the complex dissociates upon binding to Imp $\beta$ 1 of the monomeric guanine nucleotide binding protein, Ran, in activated GTP-bound form to enable disassembly of the import complex (89). Nuclear protein export occurs analogously, whereby nuclear export signals (NESs) are recognised by Exportins (Exps), homologues of Imp $\beta$ 1, in combination with RanGTP (89). Of multiple Exps expressed in humans, the best characterised are Exp-1 (Crm-1), which recognises leucine-rich NESs (91) and whose activity is inhibited by the specific inhibitor leptomycin B (95), and CAS (cellular apoptosis susceptibility protein, Cse1), which facilitates recycling of Imp $\alpha$  to the cytoplasm for subsequent rounds of nuclear import, thereby playing a key role in Imp $\alpha$ / $\beta$ 1-dependent import (97).

An increasing body of evidence implicates altered Imp expression as a key driver and/or contributor to cellular processes, including male germ cell differentiation (spermatogenesis) (123, 125, 315), embryonic stem cell differentiation (34, 130) and pluripotency (131), induction of senescence in keratinocytes (119), responses to oxidative stress (316, 317) and cancer-related changes in breast (194) and ovarian cells (195). Tumorigenesis is a multi-step process that can be characterised by the accumulation of genotypical and phenotypical alterations to a cell, which allow cancer initiation, maintenance and progression to more aggressive phenotypes associated with metastasis. We previously showed that overexpression of Imp $\alpha$ 1 and  $\beta$ 1 occurs in a variety of transformed cell lines, correlating with increased nuclear protein import efficiencies (318). In breast carcinomas (invasive ductal carcinoma and ductal carcinoma *in situ*), the degree of Imp $\alpha$ 1 (nuclear) overexpression has been positively linked to tumour grade, stage, aggressiveness and inversely to patient prognosis such as disease free and overall survival (188, 199, 200), as well as to poor responses to chemotherapy in advanced (high stage) breast carcinoma patients (198). Surprisingly, the effects of Imp overexpression on the nuclear transport efficiencies during breast tumour progression have not been examined, with essentially nothing known about the comparative nuclear import efficiencies of cell arising from differing tumour progression states from isogenic origins.

Here we use a model of human breast tumour progression (289) to show for the first time that Imp $\alpha$ / $\beta$ 1- but not Imp $\beta$ -dependent nuclear import becomes selectively and progressively enhanced

with increasing cellular tumorigenicity, and is associated with a benign to malignant switch in phenotype. We demonstrate that the level of expression of Imp $\alpha$ 1, and to a lesser extent of Imp $\beta$ 1 and CAS, is a key driver of improved Imp $\alpha$ / $\beta$ 1-dependent import efficiency, and formally show that Imp $\alpha$ 1 expression can modulate the efficiency of nuclear import in cells. Results for altered Imp expression in patient samples of ductal carcinomas from different disease grades correlate with our findings in the model system, underlining the key importance of Imp expression in tumour progression, with implications for anti-cancer therapeutic development in the future.

### **3.4 MATERIALS AND METHODS**

#### *3.4.1 Mammalian expression constructs*

Plasmid pEGFP (Clontech, Mountain View, CA, USA) encoding GFP alone was used as a control for transfection studies. The mammalian cell GFP fusion protein expression vectors encoding pEGFP-T-ag (114-135), pEGFP-T-ag (111-135), pEGFP-T-ag (111-135) NLSm (containing a K<sup>128</sup>T mutation), pEPI-pUL54 (1145-1161), pDEST53-pUL54 (1145-1161) NLSm (containing R<sup>1157</sup>A and R<sup>1159</sup>A mutations), pEPI-p53 (2-394), pEGFP-pRb (766-928), pEPI-VP3 tNTS (74-121) NESm, pEGFP-PTHrP (66-108), pEPI-TRF-1 (337-441), pEPI-aF10 (696-794) and pEPI-Rev (2-74), as well as pIRES-Imp-mCherry expression constructs, such as those encoding Imp $\alpha$ 1 and Imp $\beta$ 1 in a polycistronic gene with an mCherry marker have all been described previously (279, 281-285, 318, 319). In the case of the pIRES-CAS-mCherry and pIRES-Imp $\alpha$ 3-mCherry constructs, the CAS and Imp $\alpha$ 3 cDNAs were a kind gift from Dr. Carol Prives (Columbia University, New York, USA) and Dr. Yoichi Miyamoto (Monash University, Victoria, Australia) respectively, and were ligated into the pIRES plasmid using NotI and BamHI sites.

#### *3.4.2 Mammalian cell culture, transfection and siRNA treatment*

The properties of the MCF10 isogenic breast tumour progression model are summarised in **Table 3.1** (289). MCF10A (passage < 72), MCF10AT (passage < 75), MCF10CA1h (passage < 62) and MCF10CA1a (passage < 106) cells were cultured in DMEM/F12 Ham's media supplemented with 5% horse serum (Invitrogen, Carlsbad, CA, USA), 1 mM sodium pyruvate, 10 mM HEPES, 2 mM L-glutamine, 0.5  $\mu$ g/ml hydrocortisone, 10  $\mu$ g/ml bovine insulin, 20 ng/ml human recombinant EGF and 100 ng/ml cholera toxin (all from Sigma-Aldrich, St. Louis, MO, USA), as previously (289).

Depending on the assay  $2.5-4.0 \times 10^5$  cells were seeded in 6 well tissue culture plates and transfected 24 h later using Fugene HD transfection reagent (Promega, Madison, WI, USA) according to manufacturer's instructions. Where appropriate, transfected cells were incubated for 3-4 h in the presence  $2.8 \mu\text{g/ml}$  of the Exp-1 specific nuclear export inhibitor leptomyacin B, prior to microscopic imaging.

For RNAi assays,  $1.15 \times 10^5$  cells were seeded into 6 well tissue culture plates, and treated 24 h later with 10 nM commercially available non-targeting (NT) siRNA control or Imp $\alpha$ 1, Imp $\alpha$ 3, CAS (Cse1l) or Imp $\beta$ 1 ONTarget SMARTPool siRNAs (Dharmacon, GE Healthcare, Little Chalfont, Buckinghamshire, UK) where appropriate, using RiboCellIn siRNA transfection reagent (BioCellChallenge, Toulon-Cedex, France) according to the manufacturer's instructions. Cells were incubated for 48 h before re-transfecting with a plasmid encoding pEGFP-T-ag (114-135), and subjected to live cell CLSM and Western analysis 24 h later.

### *3.4.3 Confocal laser scanning microscopy (CLSM) and image analysis*

Cells were imaged live (X100 oil immersion objective, zoom at 1.0, 60  $\mu\text{m}$  pinhole, 4 and 15% laser power for 488nm and 568nm lasers respectively, heated stage) 16-24 h (single transfection) or 41-48 h (dual transfection) pt using a Nikon TSI 100 confocal laser scanning microscope (Nikon, Tokyo, Japan). Image analysis was performed using the ImageJ v1.41 public domain software (U.S. National Institutes of Health, Bethesda, MD, USA) to determine the nuclear (Fn) cytoplasmic (Fc) and background (Fb) fluorescence. Briefly, a mean density measurement of pixel numbers was made on a region of interest (ROI, within linear range) of equal size (ROI = 30 arbitrary units) in the nuclear and cytoplasmic compartments, respectively, whilst Fb measurements were made by placing the ROI on a non-transfected, autofluorescent region near the cells outer perimeter. The ratio of nuclear to cytoplasmic fluorescence (Fn/c) was then determined according to the formula:  $\text{Fn}/\text{c} = (\text{Fn}-\text{Fb})/(\text{Fc}-\text{Fb})$  (318). Fold-differences in nuclear accumulation between non-transformed and transformed cell lines were calculated according to the formula:  $[\text{transformed Fn}/\text{c}] / [\text{non-transformed Fn}/\text{c}]$ .

### *3.4.4 Preparation of cell extracts and extracts from tissue samples for Western blotting/densitometric analysis*

Cells at log growth phase were detached, counted and washed twice in PBS prior to centrifugation and freezing at  $-80^\circ\text{C}$ . Thawed pellets were washed twice with ice cold PBS prior to

resuspension (100  $\mu$ l/10<sup>6</sup> cells) in ice-cold RIPA lysis buffer (50 mM Tris HCl pH 8.0, 150 mM NaCl, 1% Nonidet P-40, 0.5% sodium deoxycholate, 0.1% SDS and 1 mM EDTA) with fresh EDTA-free complete protease inhibitor cocktail (Roche, Indianapolis, IN, USA), homogenised through a fine 26G needle and lysed at on ice for 15 min followed by centrifugation at 10,000g for 30 min at 4°C to pellet insoluble material.

The human breast tumour tissue samples used in this project were provided by the Victorian Cancer Biobank (supported by the Victorian State Government) with appropriate ethics approval (HREC project #CF12/1949-2012001064). Samples comprised 12 DCIS (high grade), 15 IDC (grade 1), 19 IDC (grade 2) and 17 IDC (grade 3) samples (see **Supp. Table S3.1**). 15 mg of human breast tissue from each sample was homogenised using a TissueRuptor and extracts prepared using the AllPrep DNA/RNA/protein extraction kit (both from Qiagen, Venlo, Limburg, Netherlands) according to the manufacturer's instructions. Protein pellets from tissues were solubilised in Urea buffer (8 M Urea, 65 mM DTT, 2% CHAPS, 25 mM Tris-HCl pH 6.8) at 95°C for 5 mins.

The total protein concentration in each cell extract and tissue sample was estimated using the Bradford Dye Reagent (Bio-RAD, Hercules, CA, USA). 20  $\mu$ g of protein from each cell extract (or 30  $\mu$ g of protein from tissues) was then separated by SDS-PAGE (10 or 12% reducing gel), transferred onto nitrocellulose membrane (PALL Corporation, Port Washington, NY, USA) and probed with anti-Imp $\alpha$ 1, Imp $\beta$ 1, Imp $\beta$ 2, Imp9 monoclonal (BD Biosciences, San Jose, CA, USA), anti-Imp $\alpha$ 3, Imp $\alpha$ 4 (Abcam, Cambridge, MA, USA), Imp $\beta$ 1, Imp $\beta$ 3 (Santa Cruz Biotechnology Inc., Santa Cruz, CA, USA) or Imp7 (GeneTex Inc., Irvine, CA, USA) polyclonal primary antibodies as required, followed by the appropriate host IgG-HRP secondary antibody (Chemicon, Temecula, CA, USA) according to the manufacturer's recommendations and the proteins visualised using Western Lightning Chemiluminescence Reagents (from Perkin-Elmer, Wellesley, MA, USA or Millipore, Bedford, MA, USA). The nitrocellulose membranes were stripped of antibody using Western strip buffer (25 mM glycine, 1% SDS, pH 2), blocked and then re-probed either with anti- $\alpha$ / $\beta$  tubulin monoclonal (Cell Signaling, Danvers, MA, USA) or actin polyclonal (Santa Cruz Biotechnology Inc.) antibodies followed by the appropriate host-IgG-HRP secondary antibodies and visualised as previously.

In the case of the cell extracts, a 4-20% gradient gel was loaded in parallel for Coomassie staining to show total protein in each sample. The resulting bands for all HRP-visualised proteins for both

tumour tissues and cell extracts including Coomassie stained gels were scanned using an Alpha Imager (Alpha Innotec, Santa Clara, CA, USA), and the intensity of the bands was calculated using the Image Quant TL software (GE Healthcare, Little Chalfont, UK). For cell lines, the results are given as expression in terms of the ratio of the signal for Imp or Exp relative to that for  $\alpha/\beta$  tubulin in transformed/malignant cells, relative to the respective value for the non-transformed cell line of the isogenic cell set.

#### 3.4.5 Immunofluorescence assays

$0.4 \times 10^5$  MCF10A and MCF10CA1h cells were seeded onto coverslips in a 12 well tissue culture plate, then fixed and permeabilised with 4% paraformaldehyde and 0.1% Triton-X-100 (both from Sigma-Aldrich) respectively 48 h later. The samples were blocked using 1% BSA and immunostained using monoclonal anti-Imp $\alpha$ 1 (BD Biosciences), Imp $\beta$ 1 (NTF97, Abcam), CAS (Cse11, Sigma-Aldrich), and polyclonal anti-Imp $\alpha$ 3 (Abcam) antibodies followed by the appropriate host FITC-conjugated IgG secondary antibodies (Molecular Probes, Life Technologies). The samples were then mounted onto microscopic slides using Prolong Gold anti-fade mounting media containing DAPI (Molecular Probes) to allow counterstaining of nuclear DNA. Proteins were visualised using the TCS SP5 confocal microscope (Leica Microsystems, X60 oil immersion objective) and the Fn/c ratio calculated using the Image J software (NIH).

#### 3.4.6 Statistical significance

The significance ( $p < 0.05$ ) of differences in results between transformed and non-transformed cells/tissues was determined using the Student's (or Welch corrected)  $t$  test for unpaired data (2-tailed  $p$  value), as appropriate, using GraphPad Prism (GraphPad Software Inc., La Jolla, CA, USA).

### 3.5 RESULTS

#### 3.5.1 Imp $\alpha/\beta$ 1-dependent nuclear import efficiency is enhanced in fully malignant breast tumour cells but not in benign or non-transformed counterparts

We set out to examine changes in nuclear import during tumour disease progression utilising the well-characterised MCF10 *in vitro* model of human ductal breast tumour progression (Table 3.1) (289, 320) comprising of non-transformed, spontaneously immortalised MCF10A cells

(291, 321), their mutant *Ha-Ras* (oncogenic G<sup>12</sup>V variant) stably transfected MCF10AT counterpart, and two fully malignant counterparts MCF10CA1h and MCF10CA1a, generated by serial trocar implantation of the MCF10AT line in mice. The tumorigenicity of these cell types has been previously graded based on colony forming potential on soft agar, immunocytochemical expression and karyotypic profiles, hormone/growth receptor (ER/PR/HER/EGFR) status, tumour forming ability in mice and the histological appearance of these ensuing growths (289, 322). When injected into the flanks of mice, MCF10AT cells produce palpable growths representative of benign hyperplastic tissue of myoepithelial origin that can give rise to various different breast tumour types (323), whereas MCF10CA1h cells form generally well-differentiated glandular adenocarcinomas and MCF10CA1a cells form undifferentiated carcinomas with minimal ductal and squamous components that are fully metastatic to the lungs (289). As expected, non-transformed MCF10A cells do not form palpable growths. Apart from mimicking increasingly advanced tumour disease stages, the MCF10 series has the advantage that all of the lines are derived from the same progenitor thus sharing an identical genetic background, meaning that any differences in cell properties including those relating to nuclear import are likely to be attributable to transformation status, rather than confounding factors such as differences in cell type, tissue or patient origin.

**Table 3.1: The MCF10 breast tumour progression model.**

	<i>Transformed status</i>	<i>Origin</i>	<i>Derivation</i>
MCF10A	Non-transformed	Human breast	Spontaneously immortalised line from non-malignant human breast epithelium (291).
MCF10AT	Benign, hyperplastic	MCF10A	Derived from MCF10A stably transfected with mutant (G <sup>12</sup> V) <i>Ha-Ras</i> (under neomycin resistance), which were implanted into mice to form benign growths. When serially passaged in mice, gives rise to multiple different types of breast carcinomas (324).
MCF10CA1h	Fully malignant, non-metastatic	MCF10AT	Derived from serial mouse xenograft implantations of MCF10AT cells, which progressed to a carcinoma. MCF10CA1h cells form moderately-differentiated adenocarcinomas with low metastatic potential (289).
MCF10CA1a	Fully malignant, metastatic	MCF10AT	Derived from serial mouse xenograft implantations of MCF10AT cells, which progressed to a carcinoma. MCF10CA1a cells form poorly-differentiated carcinomas with high metastatic potential (289).

The various lines were transfected to express a number of well-characterised NLS-containing GFP fusion proteins recognised either by either the Imp $\alpha$ / $\beta$ 1 heterodimer (T-ag, pUL54, pRb and p53) (5, 281, 282, 295, 325-327), Imp $\beta$ 1 alone (VP3 tNTS, PTHrP, SREBP-1a and TRF-1) (56, 57, 290, 294, 296), or multiple Imp $\beta$ s (HIV-1 Rev) (300, 301) (see **Table 3.2; Fig. 3.1a**). None of the fusion proteins contain a functional nuclear export sequence, with the exception of p53, whose nuclear export can be inhibited by the Exp-1-specific inhibitor leptomycin B (LMB). Transfected cells were subjected to live cell confocal scanning laser microscopy (CLSM; **Fig. 3.1a**), and the extent of nuclear accumulation (the nuclear to cytoplasmic fluorescence ratio - Fn/c) determined by image analysis as previously (318) (**Fig. 3.1b**). Intriguingly, the levels of nuclear accumulation for all proteins recognised by the Imp $\alpha$ / $\beta$ 1 heterodimer were significantly increased ( $p < 0.002$ ) in both fully malignant cell types, MCF10CA1h and MCF10CA1a (> 2- and 4-fold respectively) compared to their non-transformed and benign counterparts (**Fig. 3.1b**). Surprisingly, this was not the case for the nuclear accumulation of Imp $\beta$ 1/Imp $\beta$ -recognised cargoes, some of which were significantly ( $p < 0.05$ ) decreased compared to non-transformed counterparts (**Fig. 3.1b**). The non-Imp-recognised GFP-aF10 and GFP alone control proteins did not show altered nuclear accumulation in the transformed cell types (**Fig. 3.1b**), implying that the observed enhanced nuclear localisation efficiency was NLS/Imp-dependent. To test this more formally non-transformed MCF10A and malignant MCF10CA1h cells were transfected to express NLS mutated (NLSm) derivatives of Imp $\alpha$ / $\beta$ 1 recognised T-ag and pUL54 proteins, neither showed differences in the extent of nuclear accumulation in malignant cells compared to non-transformed counterparts (**Fig. 3.1c**), implying that the results are not attributable to a difference in the passive diffusion properties of the NPC between the cell lines.

Complementing the fusion protein studies the nuclear localisation of several endogenous factors (the proto oncogene c-myc, the cell cycle protein cyclin D1 and the DNA repair factor Rad50) whose nuclear translocation is known to depend on the Imp $\alpha$ / $\beta$ 1 pathway (30, 195, 328, 329) was also found to be significantly ( $p < 0.0001$ ) up to almost 4-fold increased in malignant MCF10CA1h cells compared to non-transformed MCF10A counterparts (**Supp. Fig. 3.1ab**). This was in contrast to the Imp $\beta$ 2-recognised mRNA-binding factor hnRNP A1 (59, 330) that localised to similar levels in the nucleus of both cell types.

**Table 3.2: Nuclear targeting sequences within the fusion proteins used in this study.**

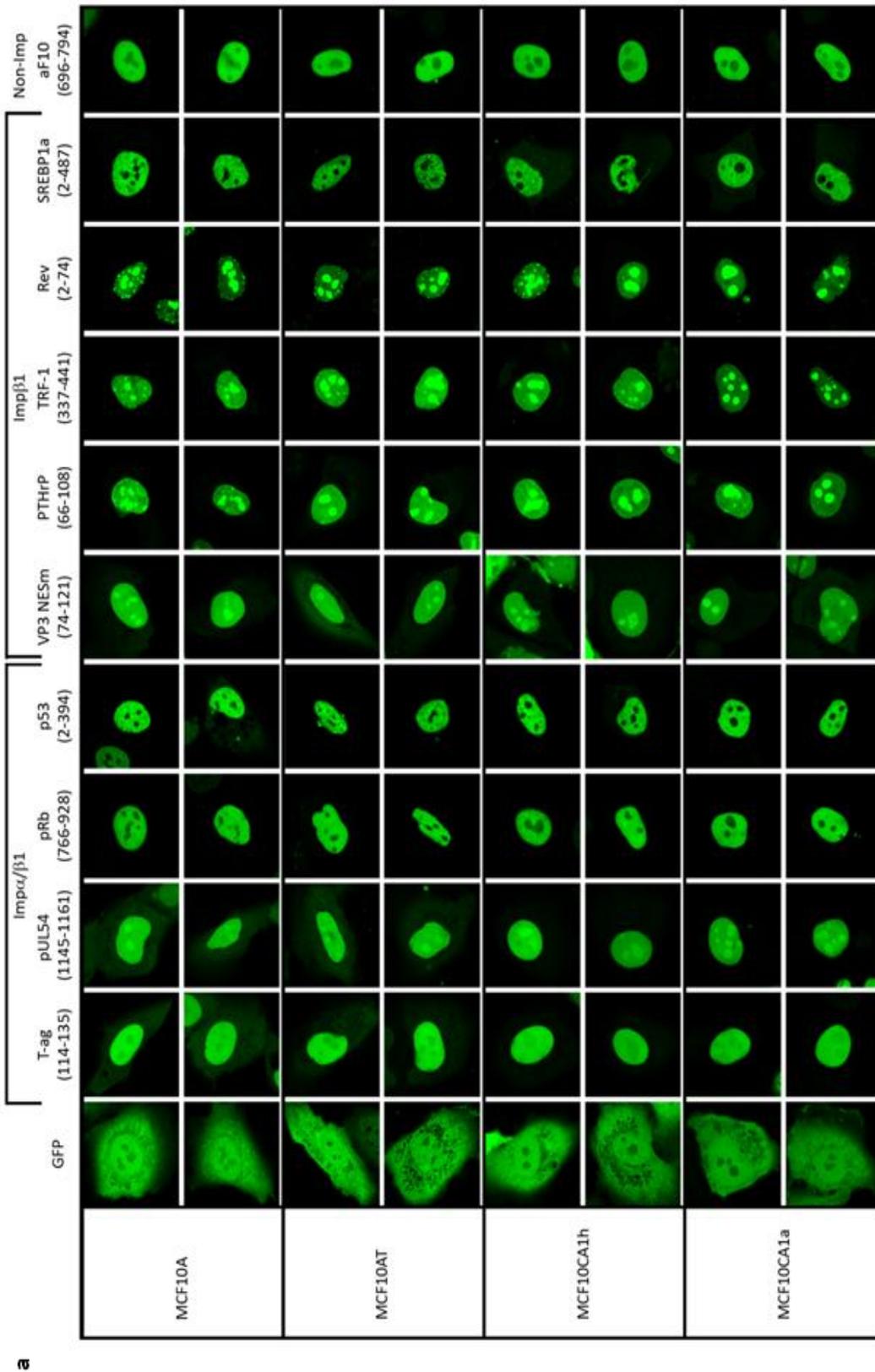
<i>NLS/s (basic residues in bold)</i>	
<b>Imp<math>\alpha</math>/<math>\beta</math>1-recognised</b>	
T-ag (114–135)	<b>PKKKRKV</b> <sup>132</sup> (5, 293, 295)
T-ag (111–135)	As above
T-ag (111-135) NLS mutant	<b>PKTKRKV</b> <sup>132</sup> (282)
pUL54 (1145-1161)	<b>PAKKRAR</b> <sup>1159</sup> (281)
pUL54 (1145-1161) NLS mutant	<b>PAKKAAA</b> <sup>1159</sup> (281)
pRb (766-928)	<b>KRSAEGSNPPKPLKCLR</b> <sup>877</sup> (325, 327)
p53 (2-394) <sup>^</sup>	<b>KRALPNNTSSSPQPKKKP</b> <sup>322</sup> ; <b>LKSKKGQ</b> <sup>375</sup> ; <b>RHKKLM</b> <sup>384</sup> (326)
<b>Imp<math>\beta</math>1-recognised</b>	
VP3 (74-121)	<b>KPPSKKR</b> –12 aa. spacer– <b>RPRTAKRRIKL</b> <sup>121</sup> (290, 294)
PTHrP (66-108)	<b>RYLTQETNKVETYKEQPLKTPGKKKKGK</b> <sup>94</sup> (56, 296)
TRF-1 (337-441)	<b>KKKESRR</b> <sup>356</sup> (57)
SREBP-1a (2-487)	Within the HLH-Zip domain (between aa. 343-460) (58)
<b>Imp<math>\beta</math>2-recognised*</b>	
HIV-1 Rev (2-74)	<b>RQARRRRRRWRERQRQ</b> <sup>51</sup> (300, 301).
<b>Non Imp-recognised</b>	
aF10 (696-794)	N/A (319)

<sup>^</sup> p53 contains Exp-1-recognised NESs.

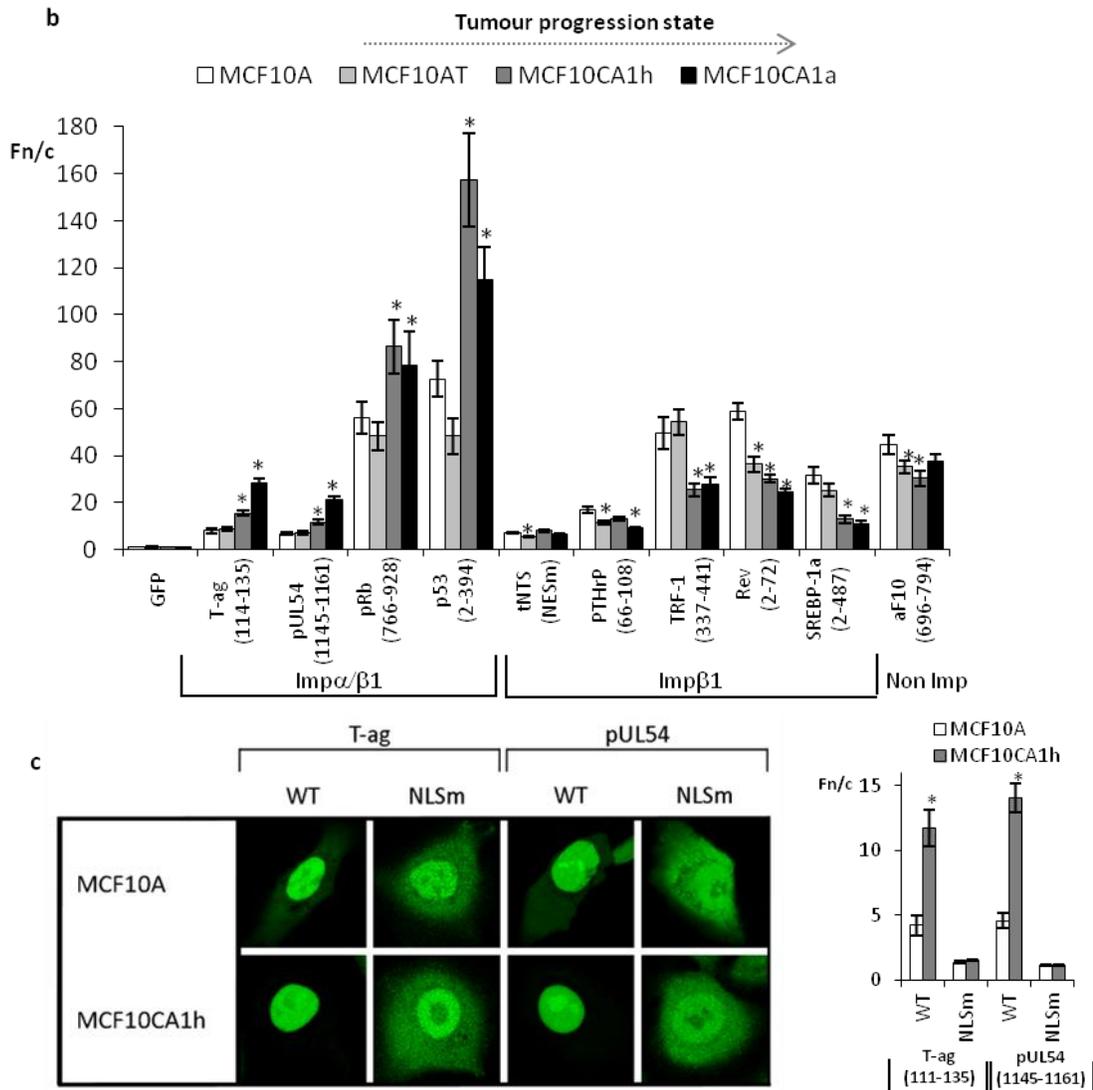
\* Apart from Imp $\beta$ 1, the HIV-1 Rev NLS is also recognised by Imp7, Imp $\beta$ 1, Imp $\beta$ 3, Imp9 and Imp $\beta$ 2.

Abbreviations: aa. amino acid; HIV-1 Rev, human immunodeficiency virus regulator of virion expression; Imp, importin; NES, nuclear export signal; NLS, nuclear localisation signal; p53, tumour suppressor protein 53; PTHrP, parathyroid hormone-related protein; pRb, retinoblastoma protein; SREBP-1a, sterol regulatory element-binding protein-1a; T-ag, simian virus 40 large tumour antigen; TRF-1, telomeric repeat-binding factor-1; pUL54, human cytomegalovirus DNA polymerase catalytic subunit UL54; VP3, chicken anaemia virus viral protein 3.

To exclude the possibility that the observed enhanced nuclear import may be the result of a faster cell proliferation in the malignant lines, we compared the growth properties of all of the lines in the MCF10 cell system (**Supp. Fig. S3.2**). Significantly, although the MCF10A line proliferated more slowly than the malignant cell lines at log growth phase (doubling time of 10 compared to 5-6 h, respectively), the benign MCF10AT cells proliferated as rapidly as the malignant MCF10CA1h and MCF10CA1a lines; since the latter both show significantly enhanced Imp $\alpha$ / $\beta$ 1-dependent nuclear import activity compared to MCF10AT, but all 3 lines proliferate at the same rate, the enhanced nuclear import efficiency must relate to the malignant transformation status of the cells, rather than proliferation rate *per se*. The results overall thus indicate a selective enhancement in Imp $\alpha$ / $\beta$ 1- but not Imp $\beta$ 1/Imp $\beta$ -dependent nuclear import in the malignant lines of the MCF10 breast tumour progression series, relating strongly to the tumour progression state of the cells.



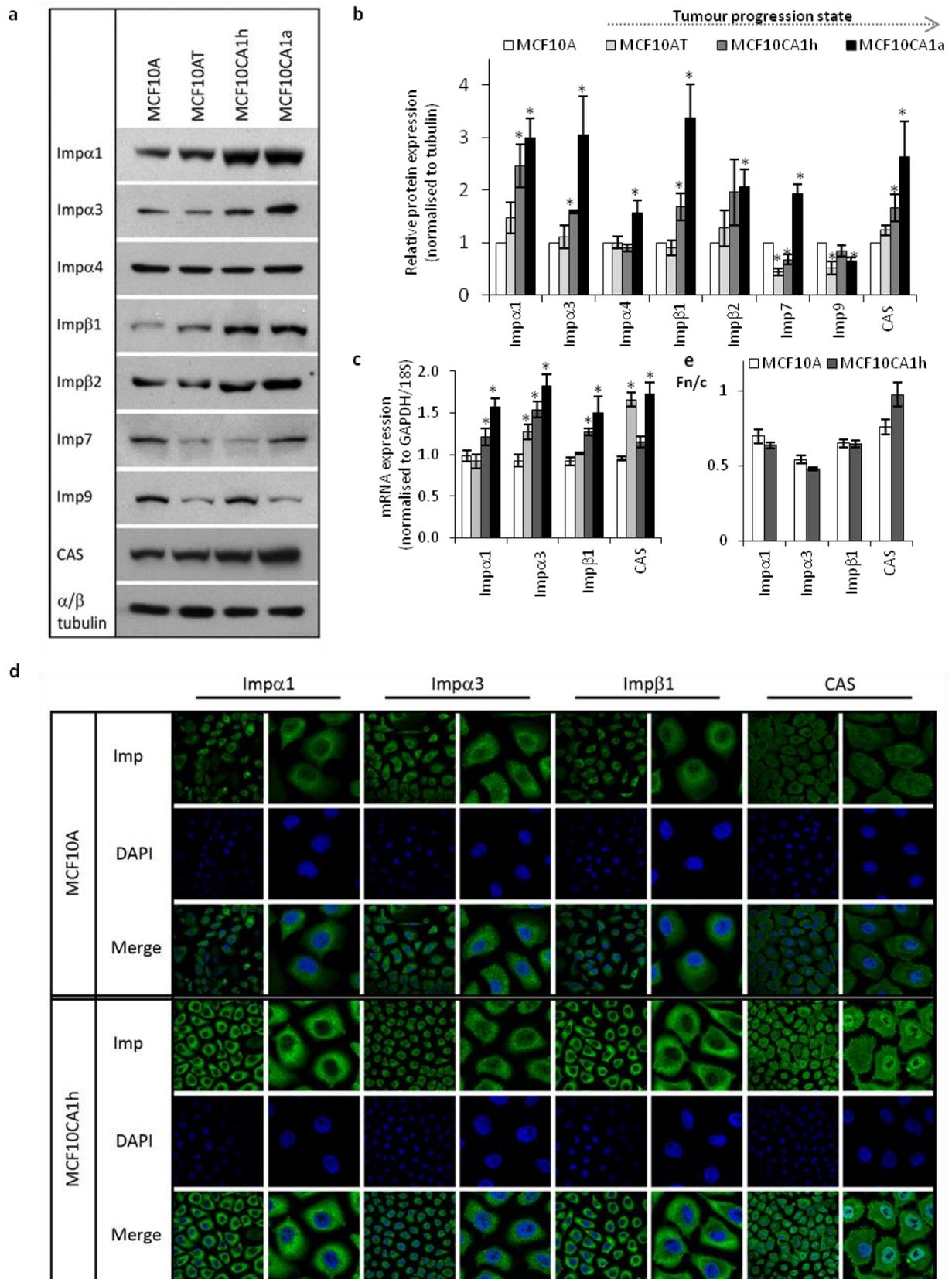
**Figure 3.1** Imp $\alpha$ / $\beta$ 1-dependent nuclear import efficiency is enhanced in malignant compared to benign/non-transformed breast cells. **(a)** The MCF10 series of cell lines was transiently transfected to express the indicated NLS-containing GFP fusion proteins recognised either by Imp $\alpha$ / $\beta$ 1 or Imp $\beta$ 1 alone or in the case of HIV-1 Rev, multiple Imp $\beta$ s, and imaged live 16-24 h post transfection (pt) by confocal laser scanning microscopy (CLSM). GFP alone or a non-Imp recognised nuclear localising construct (GFP-aF10) were used as controls. **(b)** Image analysis of images such as those shown in **(a)** was used to determine the nuclear to cytoplasmic fluorescence (Fn/c) ratio for each construct in each cell line (non-transformed, white bars; transformed, grey/black bars); results represent the mean  $\pm$  SE from a single typical experiment ( $n > 37$ ) in a set of three separate experiments. \*  $p < 0.05$  vs. MCF10A cells. **(c)** NLS-dependence of enhanced import efficiency. Non-transformed MCF10A (white bars) and malignant MCF10CA1h cells (grey bars) were transfected to express the indicated Imp $\alpha$ / $\beta$ 1-recognised WT and NLS-mutated (NLSm) proteins and the extent of nuclear accumulation determined by image analysis. Results are from a single typical experiment ( $n > 27$ ) in a set of three separate experiments. \*  $p < 0.05$  vs. MCF10A cells.



### 3.5.2 Increased expression of specific Imps with tumour progression

Our previous findings documented overexpression of specific Imps in a variety of tumour cell types (see Chapter 2) (318), but no formal analysis has been performed to examine Imp expression during stages of tumour progression. We determined the expression levels of Imp $\alpha$  1,  $\alpha$ 3 and  $\alpha$ 4, Imp $\beta$ 1,  $\beta$ 2,  $\beta$ 7 (Imp7) and  $\beta$ 9 (Imp9), and CAS in the MCF10 breast tumour progression series by Western/densitometric analysis, normalising results to the  $\alpha/\beta$  tubulin loading control (**Fig. 3.2a**); that  $\alpha/\beta$  tubulin was an internal control representative of total protein levels in each cell type, was confirmed by Coomassie staining of total protein, and Western/densitometric analysis for actin (**Supp. Fig. S3.3**). Strikingly, although we found all of the Imps except Imp9 to be overexpressed to some extent in the metastatic high grade MCF10CA1a cells compared to non-transformed counterparts (**Fig. 3.2a**), quantitative analysis revealed significantly ( $p < 0.035$ ) higher expression of Imp $\alpha$ 1,  $\alpha$ 3,  $\beta$ 1 and CAS in both fully malignant cell types (MCF10CA1h and MCF10CA1a; c. 2- and 3.5-fold increased respectively) compared to their benign and non-transformed counterparts (**Fig. 3.2b**).

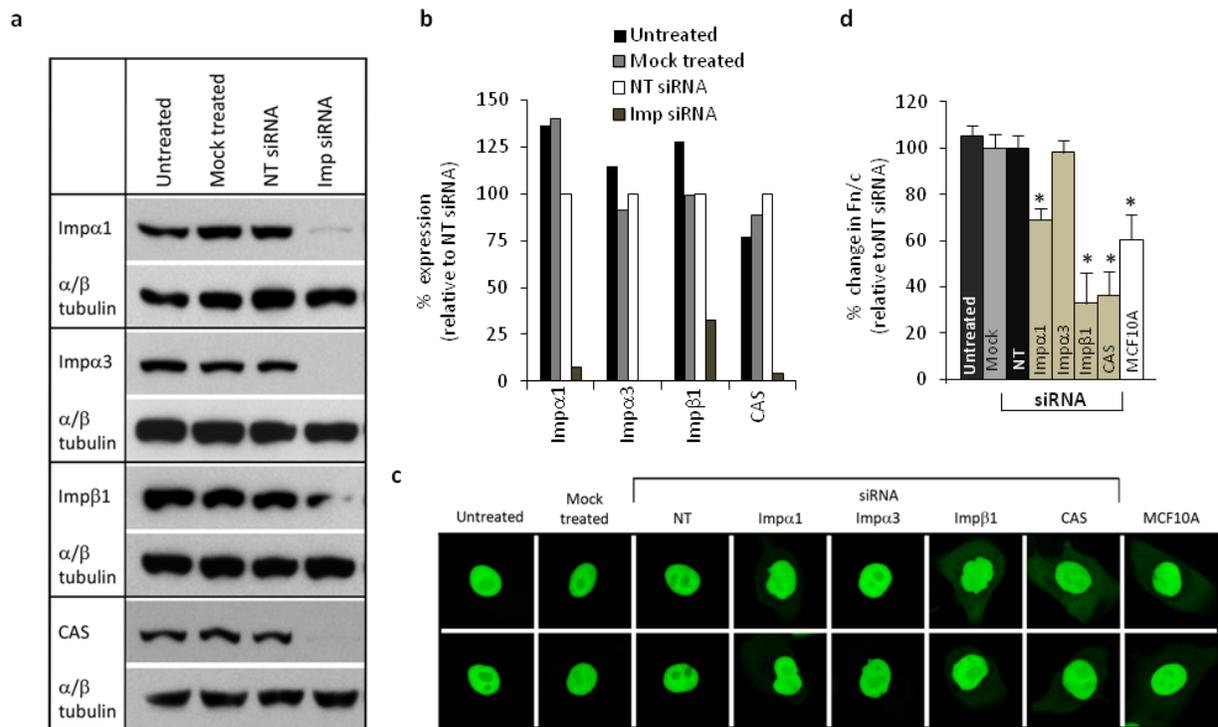
**Figure 3.2 Progressively elevated expression of Importins in malignant breast cells compared to benign and non-transformed counterparts.** (a) Western analysis of Importin (Imp) expression levels and control protein  $\alpha/\beta$  tubulin in MCF10 breast cancer cell series. (b) Digitised images such as those shown in (a) were subjected to densitometric analysis. Results represent the mean  $\pm$  SE ( $n = 8$ ) expression level normalised to the tubulin control in transformed/malignant cells (grey/black bars) relative to the normalised expression level in non-transformed MCF10A cells (white bars). \*  $p < 0.05$  vs. MCF10A cells. (c) Endogenous Imp $\alpha$ 1,  $\alpha$ 3,  $\beta$ 1 and CAS transcript levels in the MCF10 tumour progression series were detected by real-time qRT-PCR. Results represent the mean  $\pm$  SE ( $n = 4$ ) mRNA expression normalised to the geometric mean of GAPDH and 18S internal reference targets in transformed/malignant (grey or black bars) and non-transformed cells (white bars). (d) Endogenous Imp $\alpha$ 1,  $\alpha$ 3,  $\beta$ 1 and CAS proteins in non-transformed MCF10A (top) and malignant MCF10CA1h (bottom) cells were detected by immunostaining and CLSM (X20 objective, left panels; zoomed-in images of single cells shown in the right panels). (e) Digitised images such as those shown in (d) were analysed for the mean  $\pm$  SE Fn/c ( $n > 31$ ) as per the legend to **Fig. 3.1b**. Results are from a single experiment in a series of two experiments. \*  $p < 0.05$  vs. MCF10A cells.



Importantly, the extent of overexpression in the malignant cell types compared to the normal cells correlated with the extent of increased Imp $\alpha$ / $\beta$ 1 dependent nuclear import efficiency. Real time RT-qPCR confirmed significantly ( $p < 0.03$ ) increased Imp $\alpha$ 1,  $\alpha$ 3 and  $\beta$ 1 transcript abundance in the malignant cell types (**Fig. 3.2c**), whilst immunostaining analysis of non-transformed MCF10A and malignant MCF10CA1h cells indicated the localisation of the endogenous proteins was similar between the cell types for Imp $\alpha$ 1,  $\alpha$ 3 and  $\beta$ 1, although CAS appeared to localise to a greater extent in cytoplasmic structures in non-transformed cells (**Fig. 3.2de**). Interestingly, we found protein levels of other transport components such as Imp9 not increased in the malignant cell types, whilst Imp $\alpha$ 4, Imp $\beta$ 2 and Imp7 were all significantly ( $p < 0.05$ ) upregulated in the highly metastatic MCF10CA1a, but not in non-metastatic MCF10CA1h cells compared to non-transformed counterparts (**Fig. 3.2ab**). The data implicates Imp $\alpha$ 1,  $\alpha$ 3,  $\beta$ 1 and CAS levels as potential drivers of increased Imp $\alpha$ / $\beta$ 1-dependent nuclear import efficiency occurring during a benign to malignant phenotype switch, and implies that alterations to the level of expression of other Imps may also occur during tumour progression to highly malignant phenotypes.

### 3.5.3 Imp $\alpha$ 1 levels affect nuclear import efficiency in the MCF10 tumour progression model

To formally test whether Imp $\alpha$ 1,  $\alpha$ 3, Imp $\beta$ 1 or CAS levels can modulate nuclear import efficiency we knocked down their expression in malignant MCF10CA1h cells using RNAi (**Fig. 3.3ab**), and assessed the effect of this on the nuclear translocation efficiency of the Imp $\alpha$ / $\beta$ 1-recognised, NLS-containing GFP-T-ag (114-135) fusion protein (GFP-NLS) by live cell CLSM and image analysis. Interestingly, the silencing of Imp $\alpha$ 1, Imp $\beta$ 1 and CAS, but not Imp $\alpha$ 3 expression significantly ( $p < 0.05$ ) decreased nuclear accumulation to levels similar to those observed in non-transformed MCF10A cells (**Fig. 3.3cd**). That the extent of the reduction in nuclear accumulation of GFP-NLS in response to Imp $\alpha$ 1 knockdown was not greater than 30% compared to non-targeting (NT) siRNA-treated cells (**Fig. 3.3d**) is attributable to the fact that the GFP-NLS can be recognised by other Imp $\alpha$  isoforms (115, 331-333). In contrast, silencing of Imp $\beta$ 1 and CAS had much more pronounced effects in that they reduced nuclear accumulation of the fusion protein by up to 70% compared to NT siRNA-treated cells (**Fig. 3.3d**), most likely as the activities of these proteins are central to the Imp $\alpha$ / $\beta$ 1-dependent import pathway.



**Figure 3.3 Cancer-associated Imps modulate nuclear import efficiency.** (a) Malignant MCF10CA1h cells were treated with siRNA for Impα1, α3, β1, CAS or non-targeting (NT) controls, and knockdown assessed at 72 h pt by Western and (b) densitometric analysis as per the legend to Fig. 3.2b. Results represent the relative expression of Imps compared to NT siRNA-treated controls from a single typical experiment in a series of three separate experiments. (c) Cells were transfected 48 h post siRNA treatment to express the Impα/β1-recognised GFP-T-ag (114-135) fusion protein and (d) analysed as per the legend to Fig. 3.1b. Results represent the mean % change in Fn/c +/- SE (n > 146) of NT siRNA-treated controls in a series of three experiments. \* p < 0.05 vs. NT siRNA-treated cells.

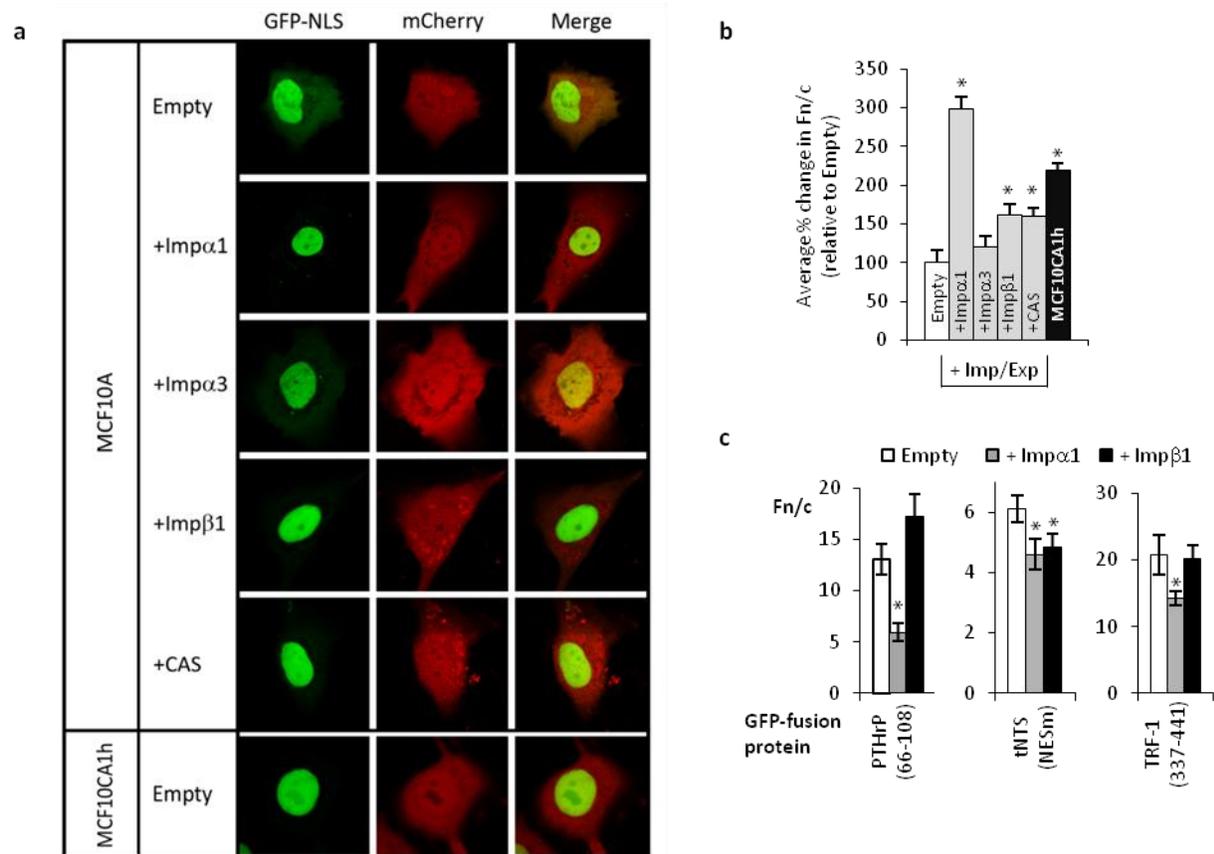
We complemented these studies by ectopically expressing these proteins in non-transformed MCF10A cells using a bicistronic pIRES expression plasmid capable of expressing two separate proteins from a single transcript through the presence of an internal ribosomal entry (IRES) site, encoding both the protein of interest and an mCherry reporter protein (Fig. 3.4a). Imp overexpression was confirmed by FACS (sorting for mCherry positive events) and Western/densitometric analysis (Supp. Fig. S3.4); a comparable level of overexpression was achieved in non-transformed MCF10A cells to that in malignant MCF10CA1h and MCF10CA1a cells. The nuclear accumulation of the GFP-NLS protein was significantly (p < 0.05) increased by

overexpression of Imp $\alpha$ 1, Imp $\beta$ 1 or CAS compared to control (empty plasmid transfected) MCF10A cells by c. 3- and 1.5-fold respectively, but consistent with our previous findings GFP-NLS nuclear accumulation was not affected by overexpression of Imp $\alpha$ 3 (**Fig. 3.4b**). Although comparable levels of overexpression of Imp $\alpha$ 1 and Imp $\beta$ 1 proteins were observed in MCF10CA1h and MCF10CA1a cells, Imp $\alpha$ / $\beta$ 1-dependent nuclear import appeared to be more sensitive to changes in Imp $\alpha$ 1 than Imp $\beta$ 1 levels. This, together with the fact that Imp $\alpha$ 1 becomes highly overexpressed early in malignant transformation, implicates Imp $\alpha$ 1 as being primarily responsible for the enhanced Imp $\alpha$ / $\beta$ 1-dependent nuclear import efficiency in MCF10CA1h and MCF10CA1a cells.

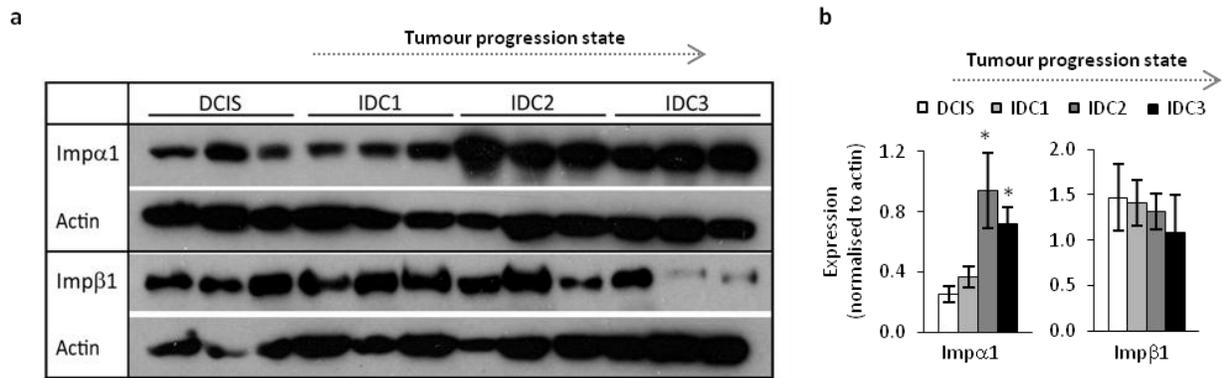
To test the effect of altered Imp $\alpha$ 1 or Imp $\beta$ 1 levels on Imp $\beta$ 1-dependent import, we overexpressed each protein in non-transformed MCF10A cells and tested the nuclear accumulation ability of Imp $\beta$ 1-recognised NLS-containing proteins GFP-tNTS (NESm), -PTHrP (66-108) and -TRF-1 (337-441). In contrast to the Imp $\alpha$ / $\beta$ 1-recognised cargo, increased expression of Imp $\alpha$ 1 significantly ( $p < 0.05$ ) decreased (c. 1.25 to 2.0-fold) nuclear accumulation of all of the Imp $\beta$ 1-recognised NLS-containing proteins compared to empty vector transfected controls (**Fig. 3.4c**). Unexpectedly and in contrast to the Imp $\alpha$ / $\beta$ 1-recognised cargoes, overexpression of Imp $\beta$ 1 did not significantly increase nuclear accumulation of the Imp $\beta$ 1-recognised cargoes (**Fig. 3.4c**). Overall, these results suggest that although increasing Imp $\alpha$ / $\beta$ 1-dependent import, the overall effect of overexpressing Imp $\alpha$ 1 appeared to be to inhibit Imp $\beta$ 1-dependent nuclear import.

#### 3.5.4 Imp $\alpha$ 1 overexpression is associated with increasing tumour grade in ductal carcinomas

To put the above results in a clinically relevant context, we investigated the expression of Imp $\alpha$ 1 and Imp $\beta$ 1 in patient samples of invasive ductal carcinoma (IDC) and ductal carcinoma *in situ* (DCIS). Protein was extracted from tissues from patient groups ( $n \geq 12$ ) which were selected according to tumour grade (BRE grading system 1-3, DCIS nuclear grading system, **Supp. Table 3.1**), and then subjected to Western (**Fig. 3.5a**) and densitometric (**Fig. 3.5b**) analysis for Imp $\alpha$ 1 and Imp $\beta$ 1 using actin as an internal control protein.



**Figure 3.4** Imp $\alpha$ 1 levels drive enhanced nuclear import efficiency in the MCF10 cell model. (a) Non-transformed MCF10A cells were transiently co-transfected to express Imp $\alpha$ 1,  $\alpha$ 3,  $\beta$ 1, CAS or a non-Imp-containing plasmid control (empty vector) all encoding the mCherry fluorescent marker, together with the Imp $\alpha$ / $\beta$ 1-recognised NLS-containing fusion protein GFP-T-ag (114-135), and imaged 41-48 h pt as per **Fig. 3.1a**. (b) The Fn/c ratio in the mCherry expressing population was then determined from the digitised images as per the legend to **Fig. 3.1b**; results are for the mean % change in Fn/c  $\pm$  SE ( $n > 256$ ) in Imp overexpressing cells in relation to empty vector control in a series of five experiments. \*  $p < 0.05$  vs. MCF10A control. (c) Non-transformed MCF10A cells were co-transfected to express Imp $\alpha$ 1 or Imp $\beta$ 1 and the indicated NLS-containing GFP fusion proteins recognised by Imp $\beta$ 1, and subjected to image analysis as per **Fig. 3.1b**. \*  $p < 0.05$  vs. MCF10A control.



**Figure 3.5 Increased Impα1 levels in invasive ductal carcinomas of the breast correlates with high tumour grade.** (a) Western analysis of Impα1 or Impβ1 levels in representative mammary samples taken from ductal carcinoma *in situ* (DCIS, high grade) or invasive ductal carcinomas (IDC1-3 representing BRE grades 1-3). (b) Digitised images such as those shown in (a) were subjected to densitometric analysis. Results are given for the mean  $\pm$  SE ( $n > 12$ ) Imp levels normalised to that of the actin internal control. \*  $p < 0.05$  vs. IDC1 sample.

The tumour tissues were chosen based on grade and hormone receptor status to mimic our *in vitro* model as closely as possible. We found significantly ( $p < 0.05$ ) increased Impα1 expression associated with high tumour grades (2.6- and 2-fold increased in grade 2 and grade 3 IDC respectively) compared to grade 1 IDC and DCIS controls (Fig. 3.5b). This was not the case for Impβ1, whose expression remained constant throughout the tumour samples (Fig. 3.5b). Our data thus indicates that increased Impα1, but not Impβ1 occurs in high compared to low grade IDC and DCIS.

### 3.6 DISCUSSION

The MCF10 model is an ideal test system for fundamental questions in cancer biology, as the study of clinical samples is complicated by cellular, genetic, environmental and treatment heterogeneities, whilst the commonplace use of non-isogenic *in vitro* cell models makes it difficult to determine whether changes observed were associated with cancer or due to variations in genetic backgrounds. We show here for the first time that Impα/β1- dependent but not Impβ1-dependent nuclear import pathways become selectively enhanced during the benign to malignant switch

phenotype in the isogenic MCF10 model of breast tumour progression. Interestingly, this is not a simple by-product of increased cellular proliferation as benign MCF10AT cells, which have acquired the ability to grow as fast as their fully malignant counterparts, did not exhibit altered nuclear import properties, implying that the changes in Imp $\alpha$ / $\beta$ 1 activity were specifically associated with malignant transformation. We found that overexpression primarily of Imp $\alpha$ 1, but also to a lesser degree Imp $\beta$ 1 and CAS were associated with improved nuclear import efficiency in malignant cells and identified Imp $\alpha$ 1 as the key driver of Imp-dependent nuclear import efficiency changes in tumour progression.

To our surprise, overexpression or silencing of Imp $\alpha$ 3 did not affect GFP-NLS nuclear import, even though the NLS of T-antigen is known to be recognised by Imp $\alpha$ 3 (115, 331-333). Preferential binding of Imp $\alpha$ s to certain NLSs is known to occur in cells and may differ in cell types due to the differential expression levels of competing cargo NLSs (20), so that it is possible that high expression of endogenous Imp $\alpha$ 3 recognised cargoes may saturate Imp $\alpha$ 3 dependent import in MCF10A/CA1h cells and/or that the T-ag NLS is simply recognised by Imp $\alpha$ s such as Imp $\alpha$ 1 with higher affinity *in vivo* than Imp $\alpha$ 3. Although Imp $\alpha$ 3 is reported to be important for nuclear import of Ran guanine exchange factor RCC1, essential for maintenance of high RanGTP levels in the nucleus, we did not detect any alterations to RCC1 protein nuclear localisation nor nuclear RanGTP accumulation when Imp $\alpha$ 3 expression was inhibited by siRNA (not shown). It is likely that multiple import pathways exist for important nuclear transport components such as RCC1, either through other Imps such as Imp $\alpha$ 4 or Imp-independent mechanisms (334), suggesting that cells have evolved multiple, redundant systems to maintain the integrity of the RanGTP gradient, which is so important to nucleocytoplasmic transport itself.

It is interesting to note that the Imp which had the most pronounced effects on GFP-NLS nuclear accumulation through Imp $\alpha$ / $\beta$ 1 pathway when overexpressed in non-transformed MCF10A cells was Imp $\alpha$ 1, with Imp $\beta$ 1 and CAS levels playing secondary “housekeeping” roles by way of accommodating nuclear import (through NPC binding) and re-export of Imp $\alpha$ s respectively. This is consistent with the findings of Riddick and Macara who found Imp $\alpha$  to be a limiting factor for nuclear import in model systems (76, 113); in contrast to Riddick and Macara we did not, however, observe inhibition of nuclear import due to increased Imp $\beta$ 1 or CAS expression in non-transformed cells (76, 113). It has been proposed from studies in intact cells that as the total cellular

concentration of Karyopherins (Imps/Exps) exceeds 15  $\mu\text{M}$ , the system becomes saturated resulting in reduced nuclear import kinetics/efficiency (306). This is especially true for Imps/Exps that bind to NPCs and RanGTP, such as Imp $\beta$ 1 and contributes to “futile cycling”; a non-productive import event where excess Imp $\beta$ 1 travels through the NPCs devoid of cargo, to bind RanGTP and Nups at the nuclear rim of the NPC before returning to the cytoplasm (76). Imp $\beta$ 1 must react with RanGTP prior to being released from the Nups inside the nuclear rim of the NPC, thus continuous cycling depletes the availability of RanGTP for disassembly of cargo:Imp complexes resulting in their arrest at the pore. As the concentration of Imps/Exps has not been measured in the MCF10 model we can only assume that total Imp/Exp levels are within a range (see (114)) that increased Imp $\beta$ 1/CAS concentrations act to increase Imp $\alpha$ / $\beta$ 1-dependent nuclear import efficiency, rather than inhibit it.

We also noted that Imp $\beta$ 1 expression is increased in malignant cells, but without a significant increase in Imp $\beta$ 1-dependent nuclear import. Rather, Imp $\beta$ 1-dependent nuclear import efficiency was decreased up to 2-fold in malignant cells expressing high levels of Imp $\alpha$ 1, implying that Imp $\beta$ 1 is preferentially directed to the Imp $\alpha$ / $\beta$ 1 pathway, presumably at the expense of Imp $\beta$ 1-dependent import. Consistent with this, we found that overexpression of Imp $\alpha$ 1 decreased whilst overexpression of Imp $\beta$ 1 failed to effect nuclear accumulation of Imp $\beta$ 1-recognised NLS-containing proteins such as TRF-1 and PTHrP, and both were able to increase nuclear accumulation of an Imp $\alpha$ / $\beta$ 1-recognised protein in non-transformed cells. Given that Imp $\alpha$ 1 does not directly bind to the aforementioned Imp $\beta$ 1-recognised factors but can competitively inhibit their nuclear translocation (57, 335) and itself has a high affinity for Imp $\beta$ 1 (c. 10nM) (115), it is conceivable that Imp $\alpha$ 1 levels may compete for Imp $\beta$ 1, reducing Imp $\beta$ 1 availability for its cargoes thereby decreasing the net efficiency of Imp $\beta$ 1-dependent import in cells that are overexpressing Imp $\alpha$ 1, such as cancer cells.

Our work shows overexpression of Imp $\alpha$ 1 in patient tumour tissues of ductal breast carcinomas with increasing disease severity and strongly suggests Imp $\alpha$ / $\beta$ 1- but not Imp $\beta$ 1-dependent nuclear import efficiency is upregulated *in vivo* in ductal carcinoma patients. Imp $\alpha$ 1 overexpression has been previously described in tumour tissues from invasive ductal carcinoma, where it is linked with tumour aggressiveness and poor patient prognosis (188, 198-200), presumably through enhanced nuclear localisation of numerous Imp $\alpha$ 1 recognised cargoes (such as transcription factors and proteins controlling cell cycle, invasion, apoptosis, proliferation and differentiation) that contribute

to tumour progression. Indeed, we showed increased nuclear localisation of cancer-associated endogenous proteins such as cyclin D1, c-myc and Rad50 is evident in malignant cells compared to non-transformed counterparts. Imp $\alpha$ 1 has been shown to promote oncogenic potential in both ovarian and luminal type breast carcinoma cells (194, 195), potentially through the upregulation of the transcriptional activity of c-myc targets, whilst Imp $\alpha$ 1 is implicated in inducing E2F1 transcription factor related proliferation/cell cycle progression in non-small cell lung carcinoma cells through regulating E2F1 nuclear import and expression of its downstream targets (30).

The fact that silencing of Imp $\alpha$ 1 expression is anti-proliferative in certain tumour cells such as luminal type breast carcinoma, CL1-5 non small cell lung cancer or epithelial ovarian carcinoma (30, 194, 195) but not in others (cervical, CL1-0 non small cell lung cancer (30) and MCF10 basal carcinoma breast (not shown) cells) indicates Imp $\alpha$ 1 may promote differential pathological functions in different types of cancers. Clearly, this may in part be related to the specialised cargoes that Imp $\alpha$ 1 is importing in the different cell types as well as the cargo abundance in these cells; the effect of Imp $\alpha$ 1 overexpression on cargo re-distribution of both Imp $\alpha$ 1 and  $\beta$ 1 recognised factors in cancer needs to be determined and compared in different types of malignancies before the broader impacts of elevated Imp $\alpha$ 1 activity on tumour formation, maintenance and progression can be properly assessed. Intriguingly, this study suggests that increased Imp $\alpha$ 1 levels can, apart from enhancing Imp $\alpha$ / $\beta$ 1-dependent import, decrease the nuclear localising ability of Imp $\beta$ 1-recognised cargoes in cells overexpressing it, such as malignant cells. Whether decreased efficiency in nuclear localisation of Imp $\beta$ 1-recognised factors is relevant to promoting tumorigenesis remains to be elucidated.

The fact that Imp $\alpha$ / $\beta$ 1 dependent nuclear import activity is enhanced during breast tumour progression and is specifically associated with malignant transformation *in vitro* and *in vivo* has implications for anti-cancer approaches. The study suggests improved Imp $\alpha$ 1 activity could be exploited to target drugs into the nucleus of malignant cells more efficiently, than their non-transformed or benign/rapidly proliferating counterparts. This could be achieved through the use of an Imp $\alpha$ 1-recognised NLS in either viral (336) or non-viral multi-modular delivery systems (278), which contain modules to target other tumour enhanced pathways (such as enhanced EGFR expression) on the cell surface, that are loaded with toxic nuclear-acting genes (337) or drugs (313).

In summary, this study represents the first comprehensive analysis of changes to nuclear trafficking properties during tumour progression, demonstrating that Imp $\alpha$ / $\beta$ 1-dependent import activity is selectively and progressively upregulated during tumour progression due to an increasing degree of Imp $\alpha$ 1 overexpression, and associated with a concomitant decrease in Imp $\beta$ 1-dependent import efficiency. The fact that overexpression of Imp $\alpha$ 1 is also observed in grade 2 and 3 compared to grade 1 invasive ductal carcinomas, correlating with the benign to malignant switch in the MCF10 model of ductal tumour progression, underlines the physiological importance and potential impact of the observations.

### **3.7 ACKNOWLEDGEMENTS**

The authors would like to thank Katharine Adcroft for skilled technical assistance with this study. This work was supported by the National Health and Medical Research Council (Australia; grant APP1032143 and Senior Principal Research Fellowship 384109/APP1002486). All microscopic imaging was performed at the Monash Micro Imaging facility and flow cytometry conducted using the cytometers provided by the Flowcore facility (both located at Monash University, Clayton, VIC, Australia).

### 3.8 SUPPLEMENTARY METHODS

#### 3.8.1 Immunofluorescence assays

$0.4 \times 10^5$  MCF10A and MCF10CA1h cells were seeded onto coverslips in a 12 well tissue culture plate, then fixed and permeabilised as per *Materials and Methods* and immunostained using monoclonal anti-hnRNP A1 (Sigma Aldrich), cyclin D1, c-myc (both from Santa Cruz Biotechnology) and Rad50 (Abcam) antibodies followed by the appropriate host FITC-conjugated IgG secondary antibodies (Molecular Probes, Life Technologies). The samples were mounted onto microscopic slides using Prolong Gold anti-fade mounting media containing DAPI (Molecular Probes) to counterstain nuclear DNA. Proteins were visualised using the TCS SP5 confocal microscope (Leica Microsystems; X60 oil immersion objective) and the Fn/c ratio calculated using the Image J software (NIH) as per *Materials and Methods*.

#### 3.8.2 Cell proliferation assays

$0.4 \times 10^5$  cells were seeded in triplicate wells onto a 12 well tissue culture plate and cell proliferation monitored over 6 days post-seeding. Adherent cells were detached by trypsinisation at 24 h intervals, centrifuged at 600g, and pellets resuspended in DMEM/F12 Ham's media without serum, containing 1 mM EDTA to prevent cell clumping prior to counting using a haemocytometer. Population doubling time was calculated at log growth phase using the formula:  $pdt = (0.693 * t) / \ln(Xe/Xb)$  where  $t$  is the incubation time, and  $Xe$  and  $Xb$  represent the number of cells at the end and beginning of the incubation time, respectively.

#### 3.8.3 Quantitative real-time RT-PCR

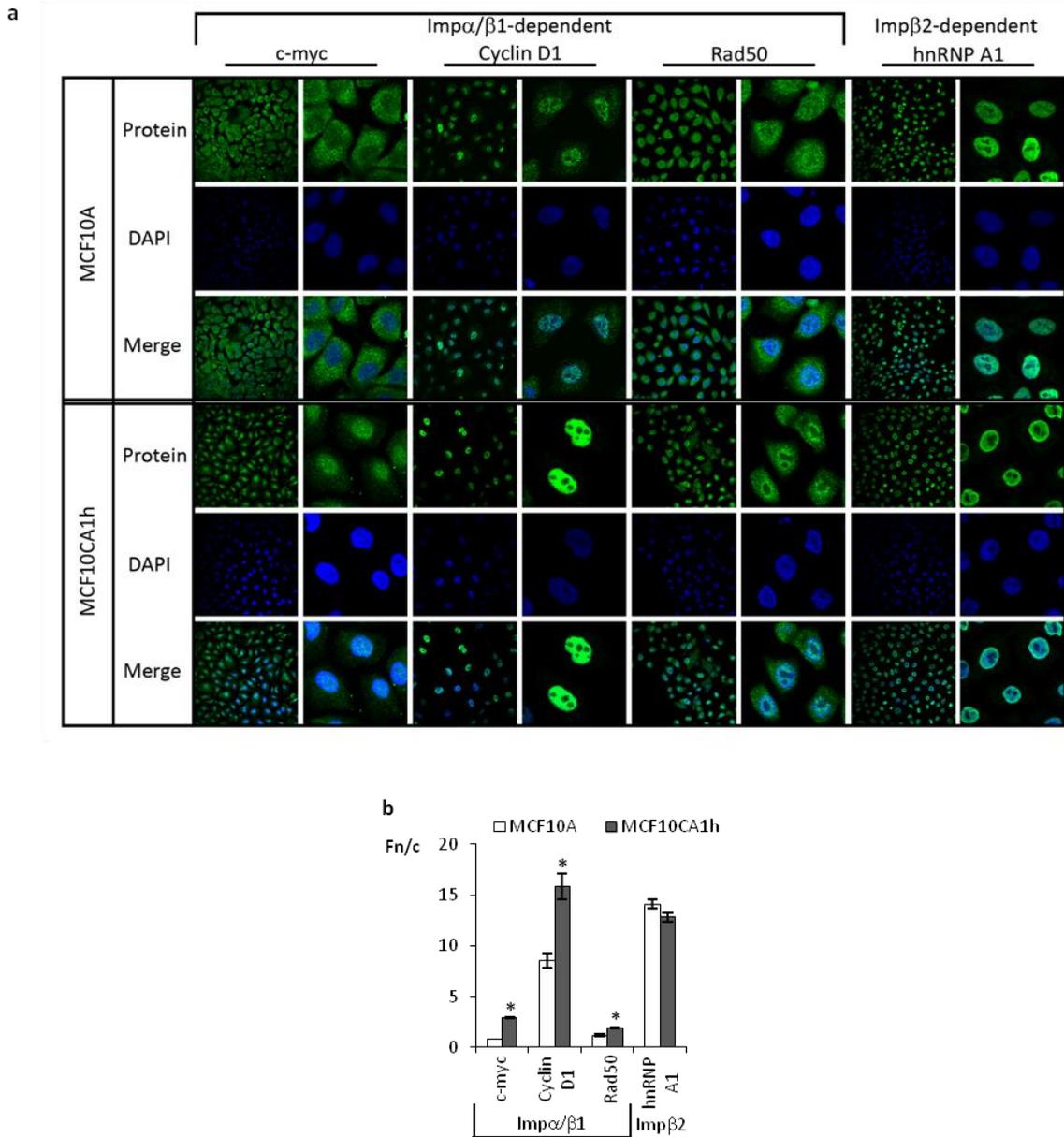
RNA was isolated from cells at 48 h post cell re-seeding using the RNeasy mini kit (Qiagen) according to manufacturer's instructions, DNase-digested using RNase-free DNase (Ambion) and the purity and yield of the RNA was measured using the Nanodrop 1000 spectrophotometer (Thermo Scientific, Wilmington, DE, USA). The RNA was reverse-transcribed by first strand cDNA synthesis using 500 ng of total RNA and Superscript III Reverse Transcriptase/random hexamer mix (Invitrogen), and 20 ng cDNA product was then amplified using the SensiMix SYBR Master Mix (Bioline, Alexandria, NSW, Australia) and 1  $\mu$ M forward and reverse primer mix for: Imp $\alpha$ 1 (sense: 5'-ACCAAGGCTGTGGTAGATGG-3'; anti-sense: 5'-GAACTGCAAGGAGAGCCAAC-3'), Imp $\alpha$ 3 (sense: 5'-ACTGATGCTGGCAATGAACA-3'; anti-sense: 5'-GGGATGTGTCAGGAGTGCTG-3', detects both

transcript variants 1 and 2), Imp $\beta$ 1 (sense: 5'-AAGCCGCAGATTCTGTCAGT-3'; anti-sense: 5'-TTCCAAGCAGCTTTCCCTTA-3'), CAS (sense: 5'-AACAGAATGTCCCCAATGA-3'; anti-sense: 5'-AAGTGACTGTGCCAGGTGAA-3', detects both transcript variants 1 and 2) and 18S (sense: 5'-TCCCCAACTTCTTAGAGG-3'; anti-sense: 5'-CTTATGACCCGCACTTACTG-3'). The additional primers used for determination of the appropriate reference targets (GAPDH, YWHAZ, UBC, HPRT1 and ACTB; not shown) have all been previously described (338). Quantitative real-time RT-PCR was performed using the Applied Biosystems 7900HT Fast Real-Time PCR system (Applied Biosystems, Life Technologies, Mulgrave, Victoria, Australia) for triplicate reactions. The comparative threshold cycle ( $C_t$ ) method for relative quantification from a calibration curve ( $r^2 \geq 0.99$ , efficiency = 90-110%) was used for the calculation of mean amplicon quantity in non-transformed and transformed cells, and was normalised to the geometric means of both GAPDH and 18S internal reference controls as determined from a set of tested genes/products by the *geNorm* function on qBase (not shown) (338), where the *geNormM* value represents the average expression stability of each target, where a target with the lowest *M* value has the highest stability. The specificity of the primers was tested *in silico* using a BLASTn search and was further verified by gel electrophoresis, melt curve analysis and sequencing.

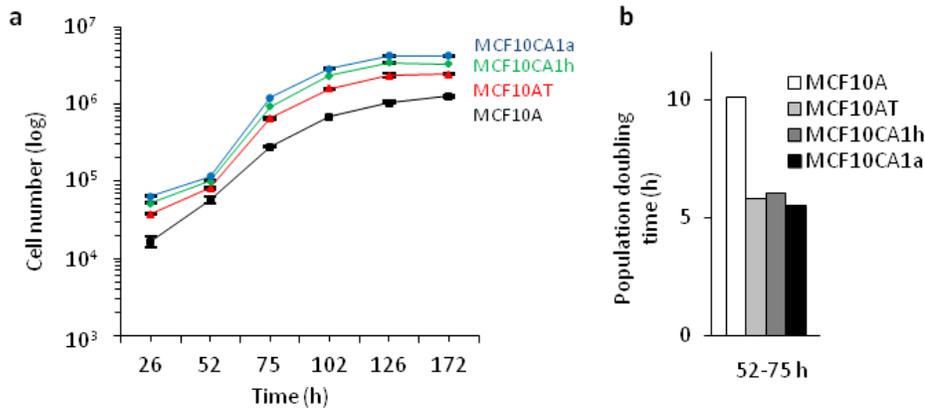
#### 3.8.4 Fluorescence-Activated Cell Sorting

$6 \times 10^5$  MCF10A cells were seeded onto 10 cm dishes and transfected to express pEGFP-T-ag (114-135) and pIRES-mCherry plasmids encoding Imps using Fugene HD (Promega) according to manufacturer's instructions. The cells were incubated for 41-48 h detached by trypsinisation and resuspended in FACS buffer (0.5% FCS, 2 mM EDTA and 10 mM HEPES in PBS, all from Invitrogen) and subjected to 2-way sorting into mCherry and non-mCherry-expressing populations using the BD Influx cell sorter (BD Biosciences). Cells were pelleted post sort at 600g and washed once in PBS at 4°C prior to lysis and Western/densitometric analysis.

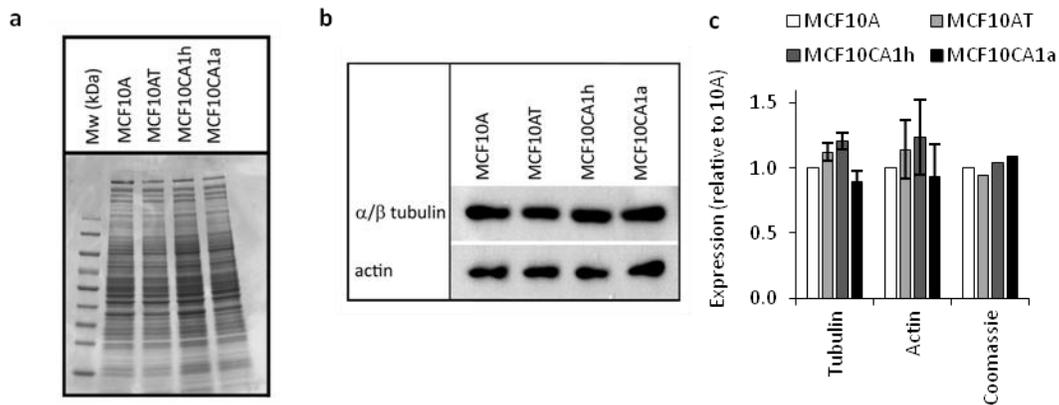
3.9 SUPPLEMENTARY FIGURES



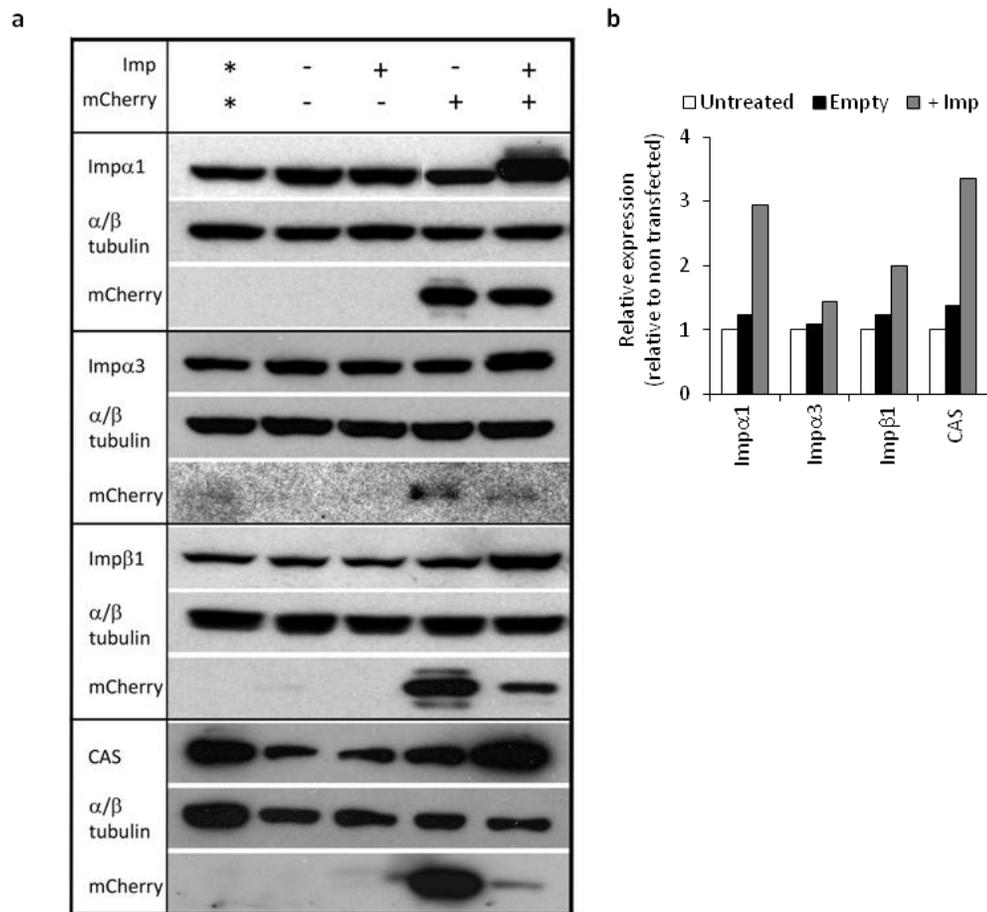
**Supplementary Figure S3.1** Nuclear localisation of endogenous proteins dependent on Imp $\alpha$ / $\beta$ 1-mediated trafficking is enhanced in malignant compared to non-transformed breast cells. **(a)** CLSM images of non-transformed MCF10A and malignant MCF10CA1h cells immunostained for the indicated proteins that depend on the Imp $\alpha$ / $\beta$ 1 heterodimer for their nuclear localisation. The Imp $\beta$ 2-recognised mRNA binding protein hnRNP A1 was used as a control. **(b)** Digitised images such as those shown in **(a)** were analysed for the Fn/c ratio as per **Fig. 3.1b**. Results are given as mean  $\pm$  SE ( $n > 32$ ), from a single experiment in a series of two experiments. \*  $p < 0.0001$  vs. MCF10A cells.



**Supplementary Figure S3.2 Cell growth and population doubling times of the MCF10 cell series.** (a) Cell growth in the MCF10 cell series was measured by way of counting Trypan blue excluding cells. Results are given as log cell number over 172 h (7 days) in 24 h increments. (b) Population doubling time (h) at log growth-phase (52-72 h). Results are from a single experiment in a series of two experiments.



**Supplementary Figure S3.3 Verification of the loading control for Western blotting in the MCF10 cell series.** (a) Total protein levels assessed by way of Coomassie Stain in the MCF10 cell series from a representative assay in two separate experiments. (b) Western and (c) densitometric analysis representing the mean  $\pm$  SE ( $n = 7$ ) for expression of  $\alpha/\beta$  tubulin or actin as per Fig. 3.2b, or total protein from (a).



**Supplementary Figure S3.4 Ectopic expression of Imps/CAS in non-transformed MCF10A breast cells.** Imp overexpressing and empty vector transfected cell populations were sorted by FACS at 41-48 h pt using a 2-way sort and levels of Imp $\alpha$ 1,  $\alpha$ 3,  $\beta$ 1 and CAS expression determined by (a) Western and (b) densitometric analysis in mCherry expressing (+) and non-expressing (-) populations. \* represents untreated cells whilst (-) Imp indicates empty vector-transfected samples. Densitometric analysis represent the intensity of the signal obtained for Imps normalised to that for  $\alpha/\beta$  tubulin relative to empty vector transfected controls in mCherry expressing (+) populations and are from a single experiment in a series of two experiments.

### 3.10 SUPPLEMENTARY TABLE

Supplementary Table S3.1: Human breast tumour tissues used in the study.

Group	Grade	N	ER/PR/Her2 status
DCIS	High (nuclear) <sup>^</sup>	12	Mixed types
IDC	1 (BRE)* Well differentiated	15	+ / + / -
IDC	2 (BRE)* Moderately differentiated	19	+ / + / -
IDC	3 (BRE)* Poorly differentiated	17	- / - / -

<sup>^</sup> Nuclear grade refers to the number of mitotic nuclei representing amount of proliferation.

\* BRE is Elsten's modification of the Bloom & Richardson grading system (339). The BRE score is represented by the number of tubules (ducts), nuclear pleiomorphisms and mitotic nuclei present in a tissue sample as assessed by way of histology/staining, and is used as a predictor of invasion/metastatic spread.

Abbreviations: DCIS, ductal carcinoma *in situ*; ER, estrogen receptor; Her2, Erb-B2 growth factor receptor; IDC, invasive ductal carcinoma; PR, progesterone receptor.

## CHAPTER 4

*Hypersensitivity of malignant breast cancer cell types to knockdown of the nuclear transporter Importin  $\beta$ 1*



### **DECLARATION FOR THESIS CHAPTER 4**

#### **Declaration by candidate**

In the case of Chapter 4, the nature and extent of my contribution to the work was the following:

<b>Nature of contribution</b>	<b>Extent of contribution (%)</b>
Contributed to the conception and design of the study. Performed and was involved in all aspects of the experiments and prepared the manuscript for publication.	95%

The following co-authors contributed to the work:

<b>Name</b>	<b>Nature of contribution</b>
David A. Jans	Contributed to the conception and design of the study. Critical review of the manuscript.

The undersigned hereby certify that the above declaration correctly reflects the nature and extent of the candidate's and co-authors' contributions to this work\*.

<b>Candidate's Signature</b>		<b>Date</b>
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<b>Main Supervisor's Signature</b>		<b>Date</b>
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\*Note: Where the responsible author is not the candidate's main supervisor, the main supervisor should consult with the responsible author to agree on the respective contributions of the authors.



## **4 Hypersensitivity of malignant breast cancer cell types to knockdown of the nuclear transporter Importin $\beta$ 1**

### **4.1 PREFACE**

Chapter 4 of this thesis is composed of a manuscript prepared for submission in *International Journal of Cancer* entitled “Hypersensitivity of malignant breast cancer cell types to knockdown of the nuclear transporter Importin  $\beta$ 1”.

### **4.2 ABSTRACT**

We and others have previously reported that Imp $\beta$ 1, a member of the Importin family of nuclear transporters, is overexpressed in a number of tumour cell lines and in patient tumour tissues. Here we show for the first time that the degree of Imp $\beta$ 1 overexpression correlates with disease progression state in the MCF10 human breast tumour progression system. Excitingly, we find that targeting Imp $\beta$ 1 activity through RNAi is up to 33-fold more efficient in decreasing the viability of malignant ductal carcinoma cells compared to isogenic non-transformed counterparts, and is highly potent with tumour selective activity at subnanomolar siRNA concentrations. Flow cytometric analysis in malignant cells treated with Imp $\beta$ 1 siRNAs implied this effect was due to increased cell death. Importantly, the tumour selective killing activity was found to be specific to Imp $\beta$ 1 siRNA, and was not observed for siRNAs targeting other Imps. Our study raises the exciting possibility of anti-cancer therapies targeted specifically at Imp $\beta$ 1.

### **4.3 INTRODUCTION**

Nuclear transport mediated by the Importin (Imp) superfamily of transport receptors is central to eukaryotic cell function, with regulated nuclear import and export of signalling molecules integral to processes such as transcription, translation, cell cycle progression and apoptosis. Imp expression is known to be altered in various types of cancers (such as cervical, breast, ovarian and lung (17)), but that this may impact on nuclear transport efficiency has only been demonstrated recently (318). Importin  $\beta$ 1 (Imp $\beta$ 1) is an important member of the Importin family of nuclear

transporters that is highly expressed in transformed cells such as in Simian Virus 40 (SV40) large T-antigen-mediated transformation, Human Papillomavirus-16 (HPV-16) E6/E7-transformed epithelial cells and in gastric, bladder, breast and cervical cancer cell lines (197, 216, 217, 318). Highly conserved in mammals, and ubiquitously expressed in human tissues and cells, Impβ1 is critical for early embryonic development in the mouse, worm and fly (340, 341) because of key roles in both interphase and mitosis (342). Through its ability to mediate interaction of transport complexes with the nucleoporin (Nup) proteins of the nuclear pore complex (NPC), Impβ1 mediates nuclear import during interphase of a range of different proteins, including of cargoes bound directly to Impβ1 such as the signalling molecule parathyroid hormone-related protein PTHrP (56), cyclin B1 (12), chromatin remodelling factors such as SOX9 (82) and SRY (81) and basic loop helix factors such as activator protein-1 AP-1 and cAMP-response element-binding protein CREB (55). Impβ1 can also mediate nuclear translocation of cargoes through the action of Impα adapters that directly recognise other cargoes, such as the tumour suppressor proteins pRb (325, 343) and p53 (326), inducible transcription factors such as the signal transducers and activators of transcription (STATs) (344) and NF-κB family members (45). Once in the nucleus, dissociation of the transport complexes is effected by binding to Impβ1 of the guanine binding protein Ran in activated GTP-bound form.

Impβ1 also plays a role after nuclear membrane breakdown during entry into mitosis, where either it alone or together with Impα regulates static spindle formation in a Ran-dependent manner by mediating the delivery of spindle assembly factors (such as the nuclear mitotic apparatus NuMA and microtubule associated TPX2 proteins) to spindle poles (274), and has indirect effects on dynamic microtubule attachment at kinetochores (169). Impβ1 is critical to the formation of the NPC/nuclear envelope architecture during telophase through its ability to recruit Nups and other nuclear envelope components (166).

In this study we use an isogenic breast tumour progression model to show for the first time that treatment of cells with Impβ1 siRNA is up to 33-fold more efficient in killing benign and malignant basal type ductal breast cells compared to non-transformed cell counterparts, with potent tumour-selective activity even at subnanomolar doses. Silencing RNA to other Imps did not show this effect. Impβ1 looms as an exciting target for selective and potent tumour cell killing approaches.

#### 4.4 MATERIALS AND METHODS

##### 4.4.1.1 Mammalian cell culture and siRNA treatment

The MCF10 breast tumour progression series was obtained from Prof. Fred Miller/Dr. Steven Santner at the Karmanos Cancer Institute, Detroit, USA. It comprises the non-transformed MCF10A ductal breast epithelial cell line, its *Ha-Ras* (G<sup>12</sup>V mutated) transformed benign counterpart MCF10AT, and two fully malignant counterparts, the non-metastatic MCF10CA1h and metastatic MCF10CA1a cell lines generated by serial trocar implantation (passaging) in mice (289). In the case of the 1BR3/1BR3.N human skin fibroblast (287) cell pair, the transformed derivative was generated by transfection with a plasmid containing SV40 genomic sequences for the early region comprising of the transforming small and large T-antigen products.

MCF10A (passage < 75), MCF10AT (passage < 71), MCF10CA1h (passage < 60) and MCF10CA1a (passage < 105) cells were cultured in DMEM/F12 Ham's media supplemented with 5% horse serum (Invitrogen, Carlsbad, CA, USA), 1 mM sodium pyruvate, 10 mM HEPES, 2 mM L-glutamine, 0.5 µg/ml hydrocortisone, 10 µg/ml bovine insulin, 20 ng/ml human recombinant EGF and 100 ng/ml cholera toxin (all from Sigma-Aldrich, St. Louis, MO, USA), as previously, whilst 1BR3 and 1BR3.N cells (both at passages < 18) were cultured in DMEM supplemented with 15% FCS and 2 mM L-glutamine (Invitrogen), as previously (318).

For *Impβ1* titration assays, comparative *Imp* silencing efficiency assays and high dose siRNA assays in the MCF10 cell system,  $2.3 \times 10^5$  cells were seeded into 6 cm dishes, treated 24 h later with ONTARGETplus SMARTPool siRNAs (Dharmacon, GE Healthcare, Little Chalfont, UK) specific for *Impα1*, *Impα3*, *Exp-1*, *CAS*, *Impβ1* or non-targeting control siRNA, where appropriate, at the indicated doses using RiboCellIn siRNA transfection reagent (BioCellChallenge, Toulon-Cedex, France) according to manufacturer's instructions, and incubated for 48 h before re-seeding onto 96- (3.3 x 10<sup>3</sup> cells) and 6- (1.0 x 10<sup>5</sup> cells) well culture plates for XTT and protein analysis respectively. 24 h later, cells were re-treated with another dose of siRNA, and incubated for the indicated time points prior to Western and/or XTT analysis.

4.4.1.2 Quantitative real-time reverse transcription-PCR

RNA was isolated from cells at 48 h post cell re-seeding using the RNeasy mini kit (Qiagen, Venlo, Limburg, Netherlands) according to the manufacturer's instructions, prior to DNase digestion using RNAase-free DNase (Ambion) and reverse-transcription by first strand cDNA synthesis using 500 ng of total RNA and Superscript III Reverse Transcriptase/random hexamers (Invitrogen). 20 ng cDNA was then amplified using the SensiMix SYBR Master Mix (Bioline, Alexandria, NSW, Australia) and 1  $\mu$ M Imp $\beta$ 1 forward and reverse primer mix (sense: 5'-AAGCCGCAGATTCTGTCACT-3'; anti-sense: 5'-TTCCAAGCAGCTTCCCTTA-3'). Quantitative RT-PCR was performed using the Applied Biosystems 7900HT Fast Real-Time PCR system (Applied Biosystems, Life Technologies, Mulgrave, Victoria, Australia) for triplicate reactions. The comparative threshold cycle ( $C_T$ ) method was used for the calculation of expression fold-change between normal and transformed cells, and normalised to GAPDH (sense: 5'-TGCACCACCAACTGCTTAGC-3'; anti-sense: 5'-GGCATGGACTGTGGTCATGAG-3') and 18S (sense: 5'-TCCCCAACTTCTTAGAGG-3'; anti-sense: 5'-CTTATGACCCGCACTTACTG-3') internal reference targets as determined from a set of tested genes by the *geNorm* function on qBase (not shown) (338).

4.4.1.3 Preparation of cell extracts and Western blotting

Cells were washed twice with ice-cold PBS prior to incubation in ice-cold RIPA lysis buffer (50 mM Tris HCl pH 8.0, 150 mM NaCl, 1% Nonidet P-40, 0.5% sodium deoxycholate, 0.1% SDS and 1 mM EDTA) with fresh 5 x EDTA-free complete protease inhibitor cocktail (Roche, Indianapolis, IN, USA), scraped and lysed at 4°C for 30 min and centrifuged at 10,000g for 30 min at 4°C to pellet insoluble material. The total protein concentration in each extract was estimated using the Bradford Dye Reagent (Bio-RAD, Hercules, CA, USA). 20  $\mu$ g of protein from each cell extract was then separated by SDS-PAGE (10% reducing gel), transferred onto nitrocellulose membrane (PALL Corporation, Port Washington, NY, USA) and probed with anti-Imp $\alpha$ 1, Imp $\beta$ 1, Exp-1, CAS monoclonal (all from BD Biosciences, San Jose, CA, USA) or anti-Imp $\alpha$ 3 polyclonal (Abcam, Cambridge, MA, USA) primary antibodies, followed by the appropriate host IgG-HRP secondary antibody (Chemicon, Temecula, CA, USA) according to the manufacturer's recommendations, and protein visualised using the Western Chemiluminescence Reagent (from Perkin-Elmer, Wellesley, MA, USA or Millipore, Bedford, MA, USA). The membranes were then stripped of antibody using Western strip buffer (25 mM glycine, 1% SDS, pH 2), blocked and re-probed with anti- $\alpha$ / $\beta$  tubulin

(Cell Signaling, Danvers, MA, USA) antibody followed by the appropriate host-IgG-HRP secondary antibodies and visualised as previously. The intensity of the resulting bands for all proteins was estimated by densitometry using an Alpha Imager (Alpha Innotec, Santa Clara, CA, USA) for image capture and the 1D electrophoresis gel analysis module from Image Quant TL software (GE Healthcare, Little Chalfont, Buckinghamshire, UK); results are expressed in terms of the ratio of the signal for Imp or Exp relative to that for  $\alpha/\beta$  tubulin in transformed cells, relative to the respective value for the non-transformed cell line of the isogenic cell pair/set.

#### 4.4.1.4 XTT assays

The effect of Imp $\beta$ 1 siRNA on cell proliferation was determined using the XTT assay as per the manufacturer's instructions. Briefly, siRNA-treated cell samples were re-seeded onto 96-well assay plates, re-treated with siRNA and washed at the indicated time points, and the XTT/PMS reagents (Sigma-Aldrich) incubated in phenol-free DMEM/F12 Ham's media for 6 h before reading the change in absorbance at 690 and 450nm using the FluoSTAR Optima plate reader (BMG LabTech). Specific absorbance was calculated by the following equation:  $(OD_{450}(\text{sample}) - OD_{450}(\text{blank})) - (OD_{690}(\text{sample}) - OD_{690}(\text{blank}))$  and given as the mean ( $\pm$  SD) absorbance calculated from 5 repeat wells/sample. The mean specific absorbance was normalised at each time point to that of the non-targeting siRNA-treated control in each cell line, and the value used as an indicator of cell viability.

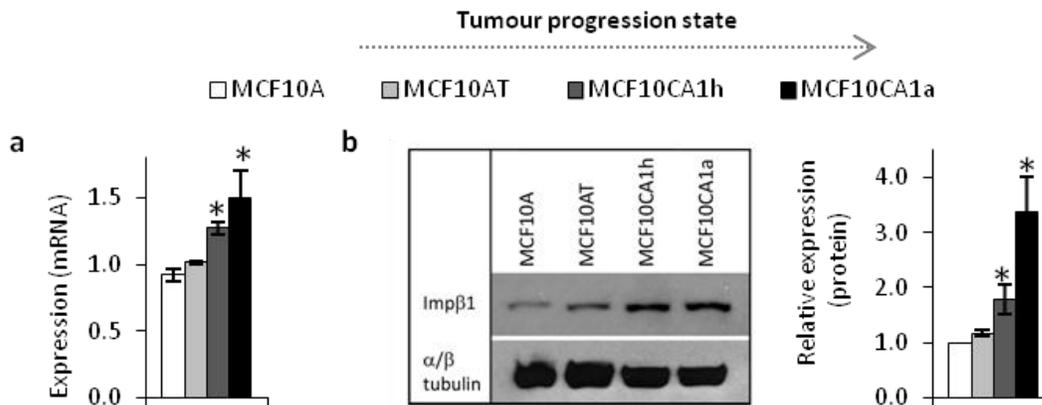
#### 4.4.1.5 Statistical significance

The significance ( $p < 0.05$ ) of differences in results between transformed and non-transformed cells was determined using the Student's (or Welch corrected)  $t$ -test for unpaired data (2-tailed  $p$  value), as appropriate using GraphPad Prism (GraphPad Software Inc., La Jolla, CA, USA).

## 4.5 RESULTS

### 4.5.1.1 *Impβ1* overexpression in malignant breast cells

Real-time RT-qPCR and Western analysis was applied to the MCF10 tumour progression model of invasive ductal carcinoma, which comprises the non-transformed ductal epithelial cell line MCF10A, its mutant ( $G^{12}V$ ) *Ha-Ras*-transfected benign counterpart MCF10AT and two fully malignant counterparts, the non-metastatic MCF10CA1h and metastatic MCF10CA1a lines generated from serial trocar implantation of MCF10AT cells in mice (289). Both malignant lines showed significantly ( $p < 0.05$ ) higher expression of *Impβ1* at both the transcript (**Fig. 4.1a**) and protein (**Fig. 4.1b**) levels compared to non-transformed and benign counterparts, with the degree of overexpression correlating with the tumour progression state of the cells.



**Figure 4.1 Elevated expression of *Impβ1* in malignant breast cancer cells.** (a) Levels for *Impβ1* mRNA in the MCF10 tumour progression series as detected by real-time qRT-PCR. Results represent the mean  $\pm$  SE ( $n = 4$ ), for expression normalised to the geometric mean of GAPDH and 18S internal reference targets in transformed (grey or black bars) and non-transformed cells (white bar). \*  $p < 0.05$  vs. non-transformed cells. (b) Western (left) and densitometric (right) analysis of *Impβ1* levels in the MCF10 cell series. Results represent the mean  $\pm$  SE ( $n = 6$ ) for the ratio of the intensity of the signal obtained for *Impβ1* normalised to that for  $\alpha/\beta$  tubulin control protein in transformed, relative to non-transformed cells. \*  $p < 0.05$  vs. MCF10A cells.

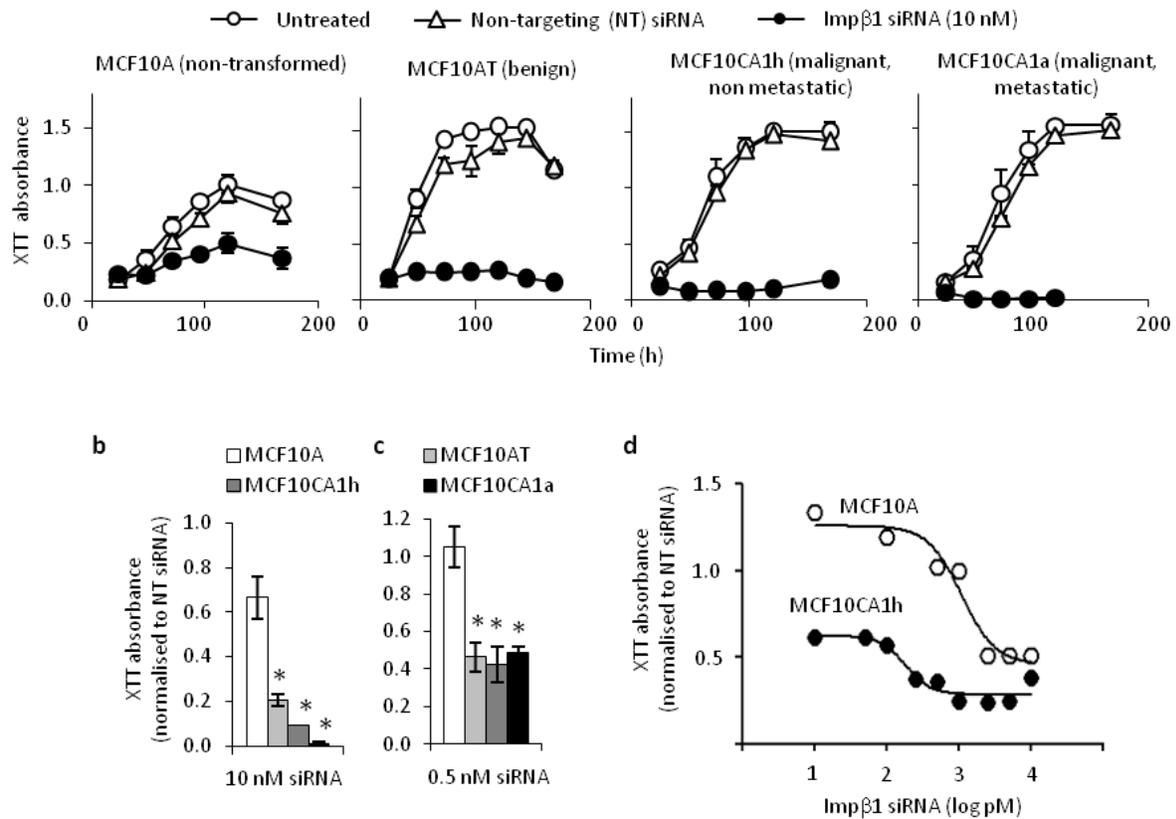
4.5.1.2 *Imp $\beta$ 1 siRNA inhibits the proliferation of transformed but not non-transformed breast cell types*

To test the effects of Imp $\beta$ 1 knockdown on cell proliferation and viability, we transiently treated the cells of the MCF10 series with 10 nM siRNA specific to Imp $\beta$ 1, followed by detachment at 48 h post treatment, and retreatment with siRNAs, prior to assessment of cell proliferation using the XTT assay (**Fig. 4.2a**) for up to 6 days post retreatment; direct cell counts (**Supp. Fig. S4.1**) yielded very similar results.

Although treatment with Imp $\beta$ 1 siRNA decreased the viability of both transformed and non-transformed cells compared to untreated and non-targeting siRNA-treated controls (**Fig. 4.2a**), this effect was significantly ( $p < 0.05$ ) more pronounced (c. 6-fold) in the transformed/malignant cell types compared to non-transformed counterparts (**Fig. 4.2b**). Strikingly, low doses (0.5 nM) of siRNA failed to affect the viability of non-transformed cells, but decreased tumour cell viability by 50% relative to non-targeting siRNA-treated controls (**Fig. 4.2c**). Dose-response experiments as per **Fig. 4.2a** revealed that while nM doses of Imp $\beta$ 1 siRNA decreased the number of viable cells for both non-transformed and malignant lines, doses at, or below 1 nM resulted in selective effects on malignant but not non-transformed cells (**Fig. 4.2d**), with c. 33-fold higher siRNA potency in tumour compared to non-transformed cells (estimated absolute LD<sub>50</sub> of 0.15 and 5 nM, respectively).

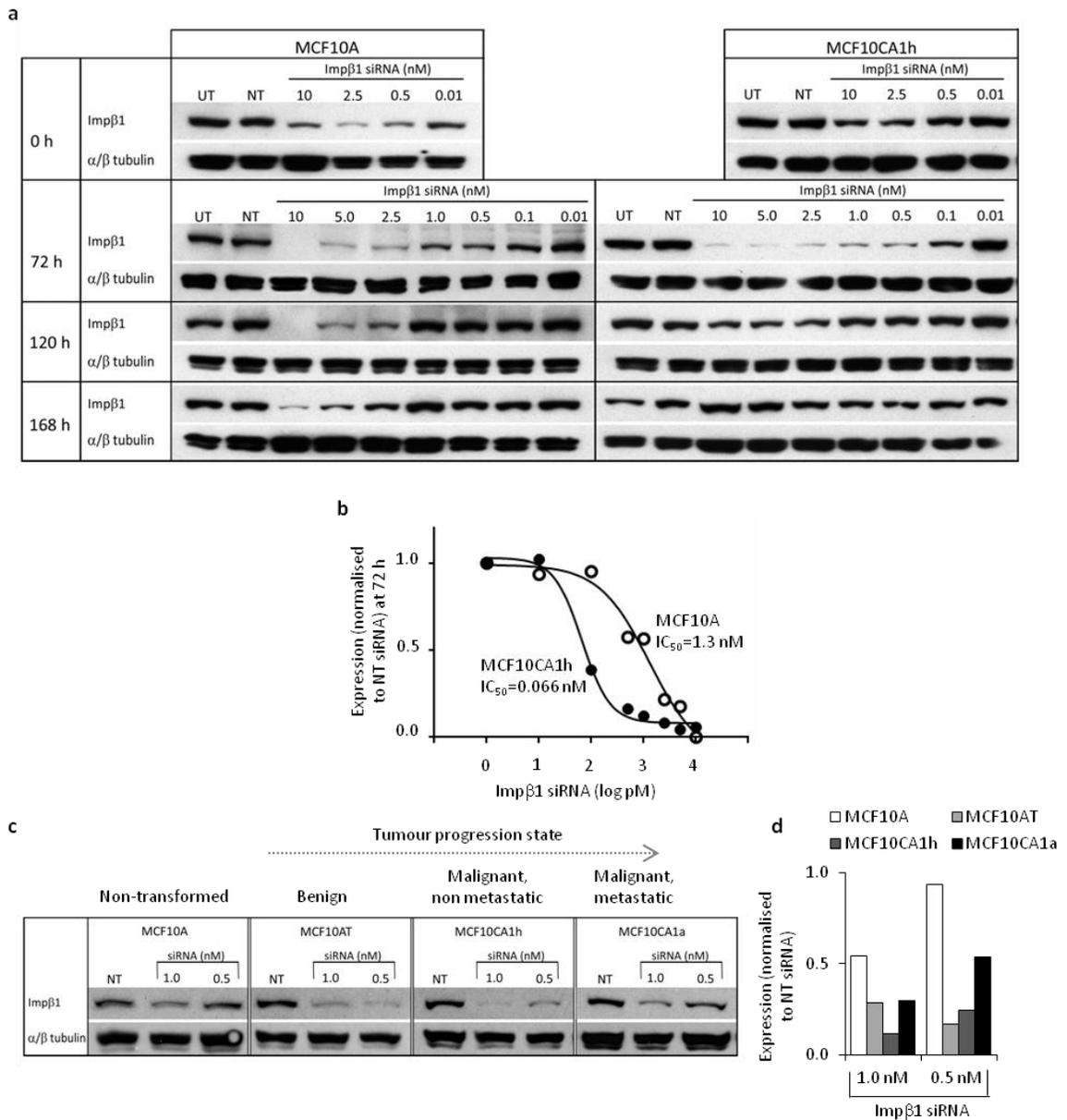
To confirm the findings in the MCF10 cell system, we used an additional isogenic transformed cell system; the primary human fibroblast cell line 1BR3 together with its SV40 large T-antigen-transformed counterpart 1BR3.N. Cells were treated with 1 and 10 nM Imp $\beta$ 1 siRNAs and viability measured at day 4 (3 days post siRNA re-treatment) using the XTT assay. Consistent with the findings above, 1 nM Imp $\beta$ 1 siRNA decreased viability of the transformed 1BR3.N, but not primary 1BR3 cells (**Supp. Fig. S4.2a**); Western/densitometric analysis confirming efficient silencing of Imp $\beta$ 1 expression in both primary and transformed cells (**Supp. Fig. S4.2bc**).

Testing the silencing efficiency of increasing concentrations of Imp $\beta$ 1 siRNA in the MCF10 cell series (**Fig. 4.3a**) revealed that Imp $\beta$ 1 silencing was c. 20-fold more efficient in malignant MCF10CA1h cells than non-transformed MCF10A cells at day 3 (72 h, log growth-phase; **Fig. 4.3b**, absolute IC<sub>50</sub> of 0.066 compared to 1.3 nM, respectively), with greater silencing efficiency observed also in benign



**Figure 4.2** Transformed but not non-transformed breast cell types are hypersensitive to Imp $\beta$ 1 knockdown. (a) XTT assays for cells of the MCF10 cell series treated twice with 10 nM Imp $\beta$ 1 or non-targeting (NT) siRNA, or for untreated (UT) controls at the indicated time points after replating. Results for XTT absorbance are for the mean  $\pm$  SD from a single representative experiment in a series of three experiments. (b) Results for cell viability measured on day 3 (72 h) from (a) in non-transformed (white bars) and transformed cells (grey/black bars) and (c) in cells treated with 0.5 nM siRNA. Results represent the ratio of the mean  $\pm$  SD absorbance relative to NT siRNA-treated cells. \*  $p < 0.0001$  vs. MCF10A cells. (d) LD<sub>50</sub> curves on day 3 (72 h) for non-transformed (white spheres) and malignant (black spheres) cells treated twice with the indicated concentrations of Imp $\beta$ 1 siRNA. Results are from a single typical experiment in a set of two independent experiments.

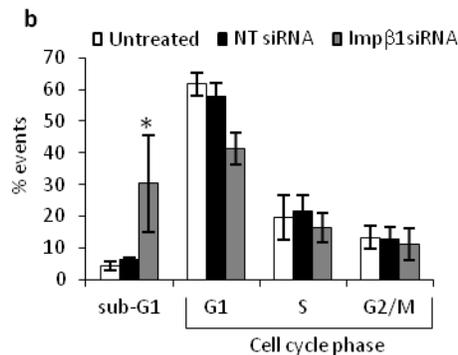
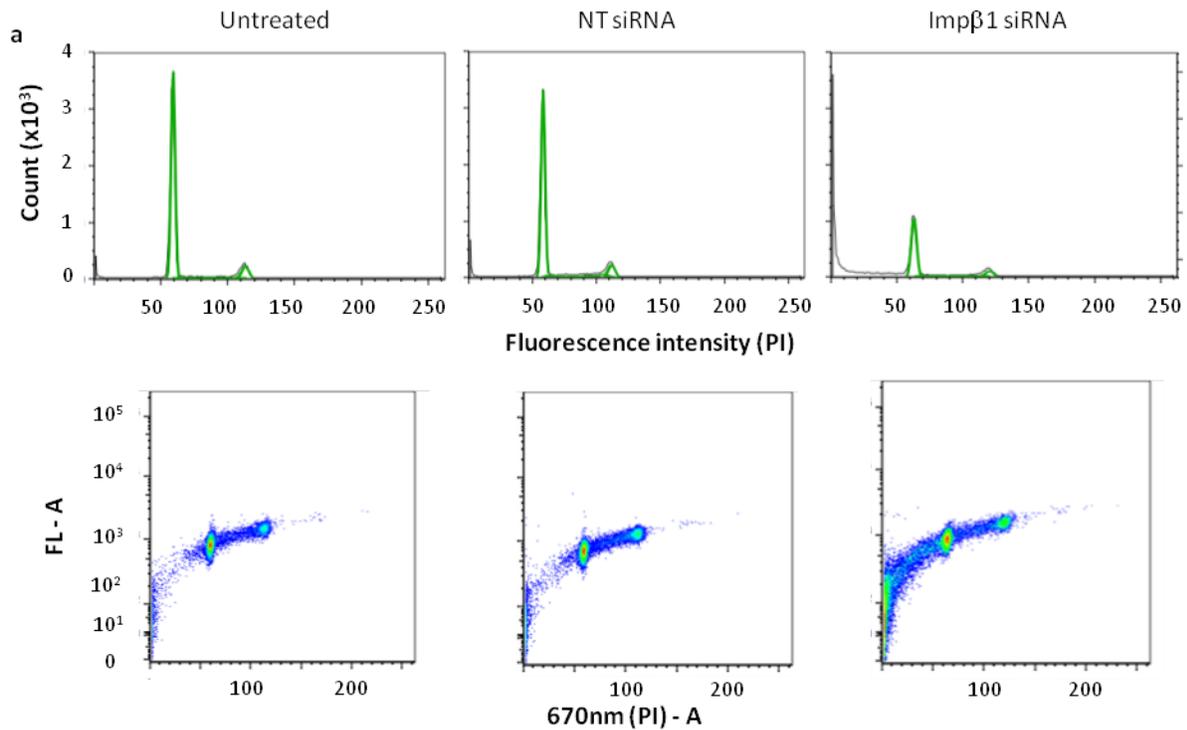
MCF10AT and malignant MCF10CA1a lines (Fig. 4.3cd). Efficiency of uptake of labelled siRNAs appeared to be identical in all of the lines of the MCF10 series (Supp. Fig. S4.3). To investigate whether the decreased viability in Imp $\beta$ 1 siRNA-treated cells may be due to a block in cell cycle progression and/or induction of cell death, we performed propidium iodide staining of malignant MCF10CA1h cells treated twice with 10 nM Imp $\beta$ 1 siRNA on day 4 (96 h) followed by flow cytometry



**Figure 4.3 Increased silencing of Impβ1 in transformed breast cells compared to isogenic non-transformed cells.** Analysis of Impβ1 expression in the MCF10 series treated with Impβ1 siRNA. **(a)** Results for Western analysis for Impβ1 expression in non-transformed MCF10A (left panel) and malignant MCF10CA1h (right panel) cells treated twice with increasing concentrations of Impβ1 siRNA or a non-targeting (NT) siRNA, and untreated (UT) controls at the indicated time points post cell replating (compare to **Fig. 4.2a** for corresponding XTT time course values). Results are from a single typical experiment in a set of two independent experiments. **(b)** Densitometric analysis from images such as those shown in **(a)**. Results represent the mean for the level of protein expression in Impβ1 siRNA-treated samples, normalised to NT siRNA-treated controls at day 3 (72 h) in non-transformed (white spheres) and malignant (black spheres) cells. The  $IC_{50}$  is given for both cell types. **(c)** Western and **(d)** densitometric analysis for effect of 0.5 or 1 nM Impβ1 siRNA on Impβ1 levels in the MCF10 cell series. Results are from a single typical experiment in a set of two independent experiments.

and DNA content analysis (**Fig. 4.4a**). *Impβ1* siRNA significantly ( $p = 0.0433$ ) increased the sub-G1 population compared to untreated and non-targeting siRNA-treated controls, with a corresponding but non-significant decrease in the G1 phase population (**Fig. 4.4b**). No significant differences were observed in the G2/M and S phase populations compared to non-targeting siRNA-treated controls. These data indicate *Impβ1* siRNA's anti-proliferative effect occurs through the induction of cell death in malignant cells.

Overall, the results imply that low doses of *Impβ1* siRNA selectively decrease viability of different transformed and malignant cell types but not primary/non-transformed counterparts.



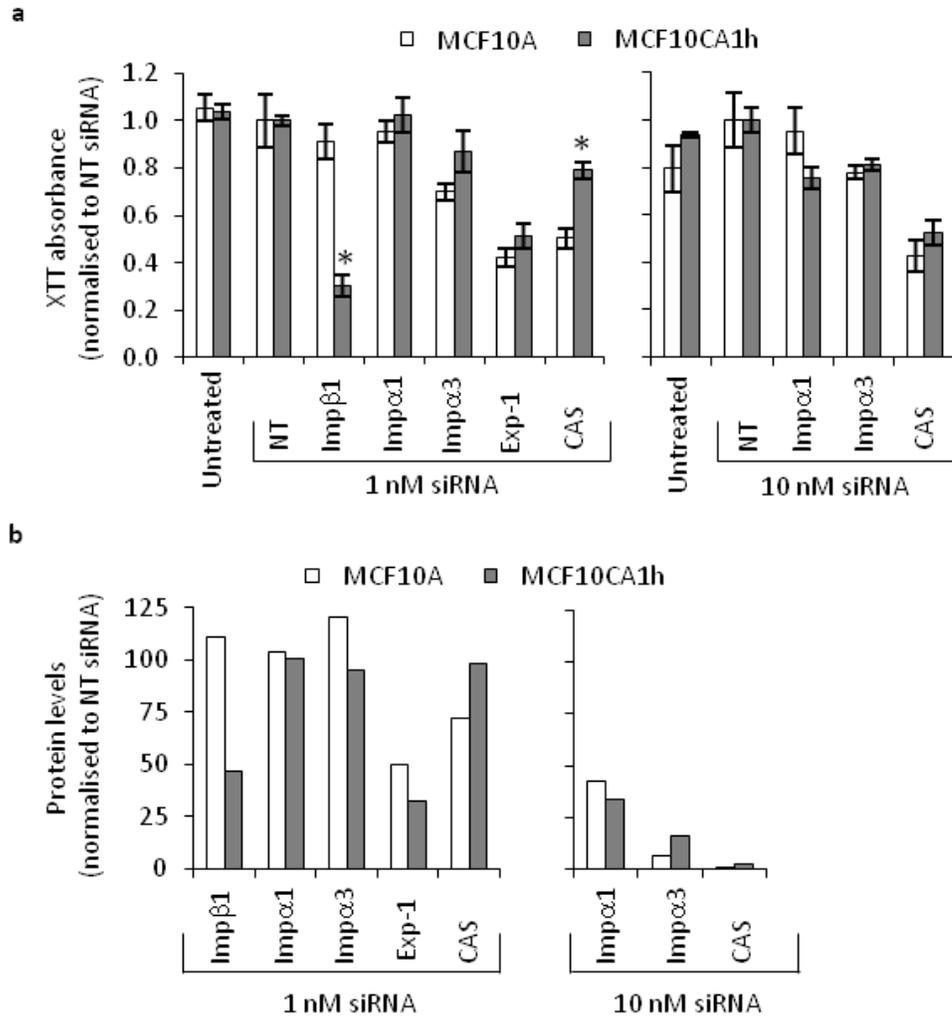
#### 4.5.1.3 Tumour-selective targeting activity is specific to Imp $\beta$ 1 siRNA

To test whether the tumour selectivity of Imp $\beta$ 1 siRNA induced killing activity reflected a general hypersensitivity of tumour cells to knockdown/inhibition of components of the cellular nuclear transport machinery, we treated non-transformed MCF10A and malignant MCF10CA1h cells twice with siRNAs targeting Imp $\alpha$ 1,  $\alpha$ 3,  $\beta$ 1, CAS (Cse1l) or Exp-1 (Crm-1) at 1 or 10 nM as required to induce efficient gene silencing, and monitored viability (**Fig. 4.5a**). Although silencing of Exp-1 and to some extent CAS resulted in significantly decreased viability ( $p < 0.05$ ) compared to non-targeting siRNA-treated controls, none of the Imp/Exp siRNAs other than that for Imp $\beta$ 1 ( $p < 0.05$ ) affected the malignant cells selectively (**Fig. 4.5a**). Imp $\beta$ 1 was efficiently silenced in malignant (c. 50%) but not in MCF10A cells (0%) as expected (1 nM siRNA), whilst all of the other Imp and Exp proteins showed similar silencing efficiency across both cell types (**Fig. 4.5b**). Based on these data, malignant cells appear to be uniquely hypersensitive to knockdown of Imp $\beta$ 1, and not affected specifically by siRNA to other Imps/Exps.

## 4.6 DISCUSSION

We show here for the first time that Imp $\beta$ 1 siRNA is highly potent in inhibiting the proliferation of basal type tumour cells arising from ductal epithelia and transformed primary cells, but not their non-transformed isogenic counterparts, although at higher ( $\geq 10$  nM) doses we observed partial decreases in viability even in normal/non-transformed cell types. Intriguingly, this hypersensitivity is evident even though malignant cells express higher levels of Imp $\beta$ 1 transcript/protein (197, 216, 217, 318).

**Figure 4.4 Silencing of Imp $\beta$ 1 induces cell death.** (a) Flow cytometric analysis of the DNA content in malignant MCF10CA1h cells treated twice with 10 nM Imp $\beta$ 1 or non-targeting (NT) siRNAs at day 3 from a single representative assay in a series of three experiments. (b) Cell cycle analysis of samples such as those shown in (a). Results are for the % of total events in sub-G1/the indicated cell cycle phases, representing the mean  $\pm$  SE ( $n = 3$ ). \*  $p < 0.05$  vs. NT siRNA-treated cells.



**Figure 4.5 Tumour enhanced silencing and cell killing activity is specific to *Impβ1* siRNA.** (a) Proliferation of non-transformed MCF10A and malignant MCF10CA1h cells treated twice with 1 (left) or 10 (right) nM siRNA for the indicated Imp/Exp or non-targeting (NT) control was measured using the XTT assay on day 3 as per **Fig. 4.2b**. \*  $p < 0.05$  vs. MCF10A cells. (b) Western (not shown)/densitometric analysis of Imp expression in samples from (a) in cells treated with 1 (left) or 10 (right) nM siRNAs as per **Fig. 4.3c**. Results are from a single representative experiment in a series of  $\geq 4$  separate experiments.

Imp $\beta$ 1 plays a central role in nuclear trafficking of important signalling molecules such as transcription factors through action alone, or as a heterodimer with adaptors such as Imp $\alpha$ , snurportin (75) and even Imp7. It is also a key driver of mitotic exit and G1 phase progression. The precise mechanism of tumour cell hypersensitivity to reduced levels of Imp $\beta$ 1 is not clear, but presumably relates to these key cellular roles of Imp $\beta$ 1 as opposed to those of other Imps/Exps, and the fact that faster growing tumour cells, although expressing higher levels of Imp $\beta$ 1, are more dependent on (“addicted to”) Imp $\beta$ 1 than non-transformed cells. Comparable tumour cell “addiction” has been observed for other cellular factors, oncogenic or otherwise, such as cyclin D1 (345), c-myc (346), DNA damage response kinases ATM and CHK1 (347), and the reactive oxygen species (ROS) inhibiting pyruvate dehydrogenase kinase (347), whereby targeting these factors by RNAi or small molecular inhibitors can result in selective cancer cell death. As for these factors, Imp $\beta$ 1 levels may be critical to support oncogenic tumour promoting functions driving cell cycle progression and proliferation in transformed cells, whilst being less critical in non-transformed cell types. The increased sensitivity to Imp $\beta$ 1 knockdown of the tumour cells at low siRNA concentrations is not clear, especially as the breast tumour cell types in this study replicate faster than normal MCF10A cells (not shown) which can result in the dilution of siRNA, and have a higher abundance of Imp $\beta$ 1 transcript and protein in untreated cells, both of which can negatively impact RNAi efficiency (348, 349). Significantly, this is clearly not a generalised mechanism, as we found silencing of other Imp and Exp proteins was similar in MCF10CA1h tumour compared to non-transformed cells thus improved silencing may relate to inherent differences in target recognition/individual siRNA potencies etc.

Targeting the components of the nuclear transport system through inhibition of nuclear transporter activity is already underway in preclinical and clinical trials for SINEs (selective inhibitors of nuclear export), which are small molecular inhibitors that target the activity of the Imp $\beta$ 1-homologue Exp-1 (Crm-1) (260), although the realistic utility of these novel leptomycin B (95) like molecules in a clinical context remains to be established, predominantly of their high toxicity (259). Our studies in transformed breast and skin cells indicate that targeting of Imp $\beta$ 1-dependent activity using siRNA is highly toxic to tumour but not non-transformed cell types, which raises the question of whether Imp $\beta$ 1 siRNAs may prove useful in other cancer cell and animal models, and whether targeting of Imp $\beta$ 1 may also be used in therapy. Indeed, inhibition of Imp $\beta$ 1 activity by way of RNAi is a potent

killer of lung carcinoma, head and neck carcinoma cells (350) and cervical cancer lines (40, 197). Imp $\beta$ 1 has been suggested as a novel candidate for next generation mitotic exit inhibitors for cancer therapy (351), presumably through effects on mitotic exit. Whether small molecule inhibitors targeting Imp $\beta$ 1 transport activity may have comparable effects to siRNAs remains to be established in the future.

#### **4.7 CONCLUSION**

In conclusion, this is the first comprehensive demonstration of hypersensitivity of isogenic tumour compared to normal cell types to Imp $\beta$ 1 inhibition in a disease progression model of human basal type breast carcinoma. Future work in this laboratory is aimed at pursuing the observations here to assess their potential application in tumour selective therapies.

#### **4.8 ACKNOWLEDGEMENTS**

The authors would like to thank Katharine Adcroft for skilled technical assistance with this study. This work was supported by the National Health and Medical Research Council (Australia; grant APP1032143 and Senior Principal Research Fellowship 384109/APP1002486). Microscopic imaging was performed at the Monash Micro Imaging Facility and flow cytometry conducted using the cytometers provided by the Flowcore Facility (both located at Monash University, Clayton, VIC, Australia).

## 4.9 SUPPLEMENTARY METHODS

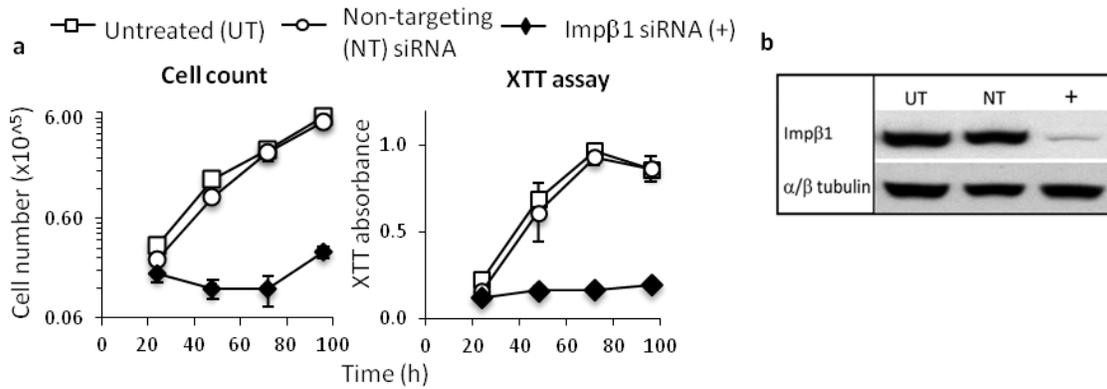
### 4.9.1 Cell proliferation assays

MCF10CA1h cells were treated once with siRNAs and 48 h later  $0.4 \times 10^5$  cells were replated onto 12 well tissue culture plates in triplicate wells/sample and retreated 24 h later using 10 nM non-targeting or Imp $\beta$ 1 siRNAs. At 24 h intervals, the cells were detached by trypsinisation, and counted using a haemocytometer for a total of four days.

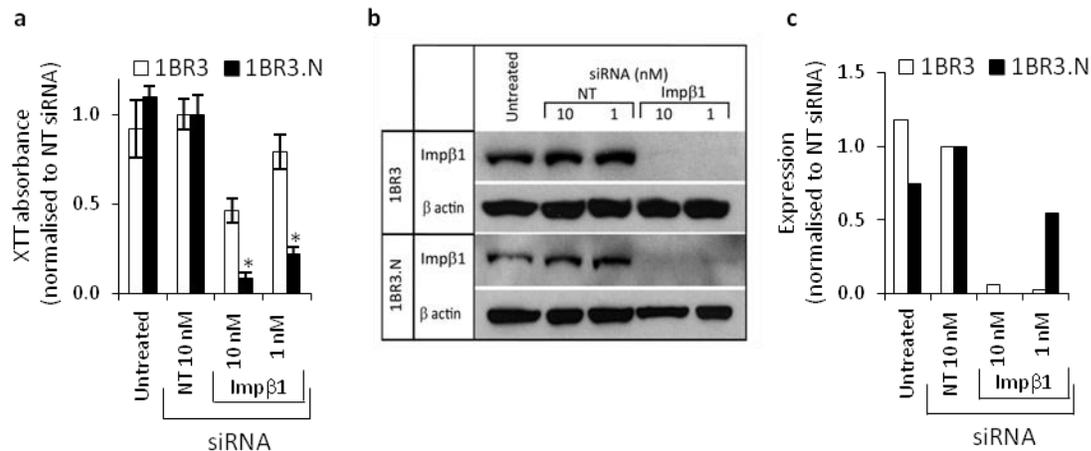
### 4.9.2 Flow cytometric analysis and siRNA uptake assay

For analysis of the effect of the siRNAs on cell death and the cell cycle, MCF10CA1h cells were plated at  $2.3 \times 10^5$  cells in 6 cm dishes, treated with 10 nM Imp $\beta$ 1 siRNA and 48 h later  $6 \times 10^5$  cells re-seeded onto 10 cm dishes. Cells were re-treated with siRNA and fixed in 80% ethanol 3 days post-treatment. Subsequent to fixing and RNase A treatment, cells were stained using propidium iodide to indicate the DNA content and the cell cycle/death profiles were analysed using the LSR II flow cytometer (BD Biosciences). Quantification of the percentage of singlet cells at different stages of the cell cycle was performed using FlowJo software (TreeStar Inc., Ashland, OR, USA) and Dean Jett Fox analysis. For analysis of siRNA uptake in MCF10 cell panel,  $2.3 \times 10^5$  cells were seeded onto 6 cm dishes and transfected with 1 or 10 nM Cy3-labelled ONTARGETplus SMARTPool siRNAs (Dharmacon) using RiboCellIn siRNA transfection reagent (BioCellChallenge) according to the manufacturer's instructions, and incubated for 24 h before subjecting the cells to flow cytometric analysis using a BD Biosciences LSR II cytometer (Flowcore, Monash University, Clayton, Victoria, Australia). Silencing RNA localisation was analysed in parallel by fluorescence and bright field microscopy using 10x and 40x objectives (Olympus IX71 invert microscope, dry lens; Olympus, Tokyo, Japan).

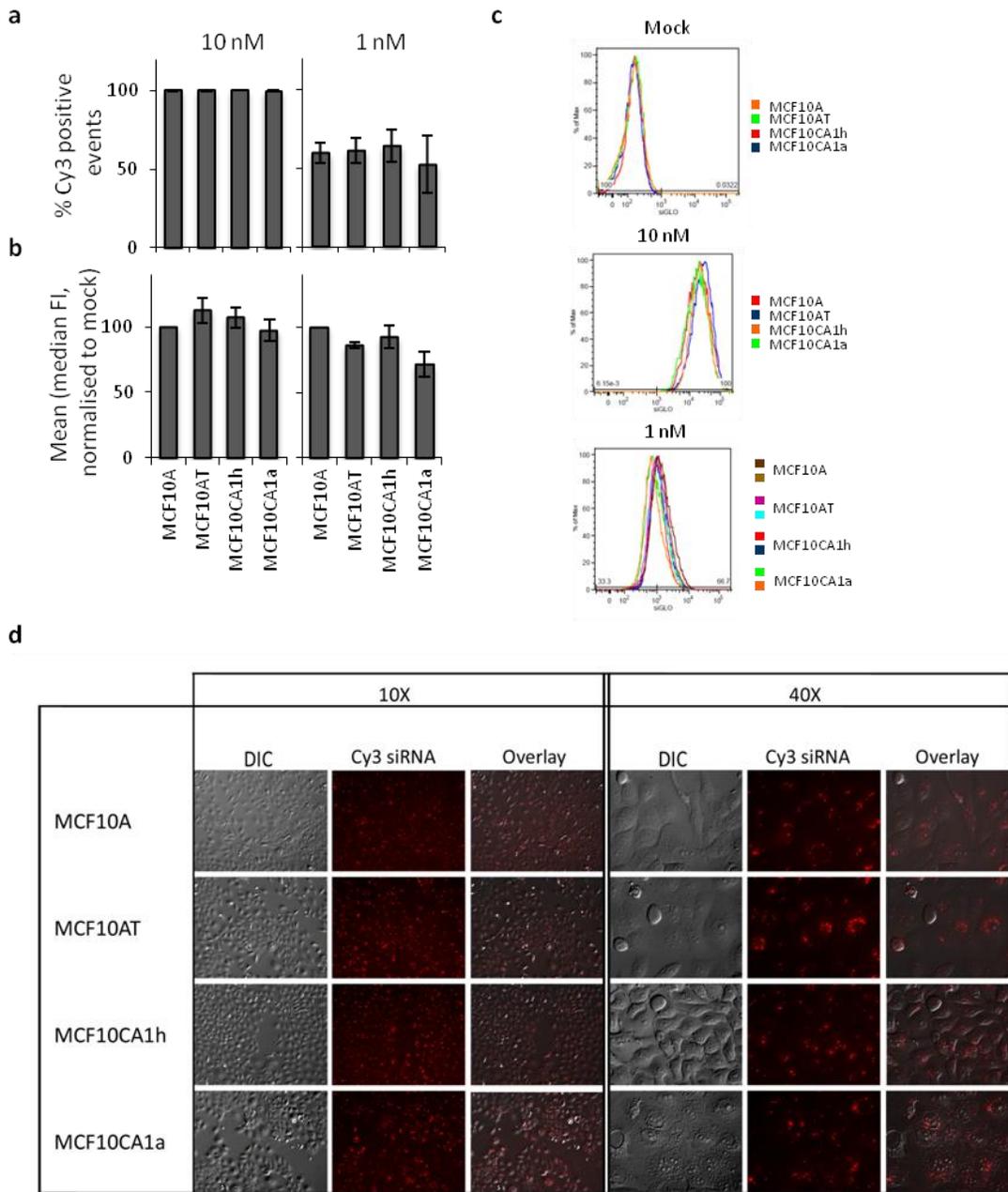
4.10 SUPPLEMENTARY FIGURES



**Supplementary Figure S4.1 Comparison of XTT and cell count assays for the effect of Impβ1 siRNA.** (a) Malignant MCF10CA1h cells were treated twice with 10 nM non-targeting (NT) or Impβ1 siRNAs and cell numbers monitored over four days post cell replating by cell counting (left) or an XTT assay (right). Results represent the mean  $\pm$  SE cell number (left) or mean  $\pm$  SD specific XTT absorbance (right), from a single representative experiment in two separate experiments. (b) Western analysis for Impβ1 expression in siRNA-treated samples from (a). + refers to Impβ1 siRNA-treated cells. UT, untreated.



**Supplementary Figure S4.2 Silencing of Impβ1 decreases viability in transformed fibroblasts but not in their normal primary counterparts.** (a) Cell proliferation measured on day 4 (96 h) using the XTT assay as per Fig. 4.2 in normal 1BR3 (white bars) and transformed 1BR3.N cells (black bars) treated with 1 or 10 nM Impβ1 or non-targeting (NT) siRNAs. Results are for the mean  $\pm$  SD absorbance normalised to the NT siRNA-treated controls ( $n = 3$ ). \*  $p < 0.0001$  vs. 1BR3 cells. (b) Western and (c) densitometric analysis for Impβ1 expression in samples from (a). Results are from a single typical experiment in a series of three separate experiments.



**Supplementary Figure S4.3 siRNA uptake in the MCF10 cell series.** (a) Uptake of Cy3-labelled siRNA in cell lines of the MCF10 series treated with the indicated concentrations of Cy3-labelled siRNA. Results for the % Cy3 positive events represent the mean  $\pm$  SE ( $n = 4$ ). (b) Median fluorescence intensities (FI) in the MCF10 cell panel of samples from (a) are presented as the mean  $\pm$  SE, relative to MCF10A cells. (c) Overlaid curves of Cy3 signals in MCF10 cell series in mock- and Cy3-transfected cells from a single typical experiment. (d) Fluorescence microscopic images of Cy3-labelled siRNAs in the MCF10 series.



# CHAPTER 5

## *General Discussion*



## **5 General Discussion**

### **5.1 INTRODUCTION**

Cancer is one of the most common causes of morbidity and mortality in developed countries (352, 353), because there remains a dire need for therapies that selectively kill tumour but not normal healthy bystander cells, and that can overcome the phenomenon of tumour-mediated drug resistance. In order to discover novel ways in which to target tumours selectively, a basic understanding of the types of cellular changes that are fundamental to tumour diseases is required. Nuclear trafficking of proteins through Imps is central to cell function. Altered nuclear protein localisation through changes in Imp levels is known to occur during phenotypic changes associated with cell differentiation, maintenance of pluripotency, tissue development (14, 131) while aberrations in this process are associated with diseases such as atherosclerosis (18), viral infection, neurodegenerative and inflammatory-related disease (184, 354).

At the commencement of the work described in this thesis, there were several reports based on qualitative approaches of overexpression of CAS (232, 234, 238, 246) or Imp $\alpha$ 1 (188, 198, 199, 213) in patient tumour tissues associated with high tumour grade and poor patient prognosis. Subsequent studies have largely focussed on these proteins, seeking to establish their potential as disease progression biomarkers in cancer patients (17, 177). Surprisingly, no studies have addressed the functional consequences of Imp overexpression in cancer, with essentially nothing known about comparative nuclear transport efficiencies between transformed/tumour and non-transformed cells.

### **5.2 INVESTIGATING NUCLEAR TRANSPORT PROPERTIES OF TRANSFORMED CELL TYPES USING ISOGENIC CELL SYSTEMS**

The aim of this thesis was to investigate the types of alterations to nuclear transport properties occurring in tumour compared to non-transformed cell types using sets of isogenic non-transformed/transformed cell systems, to determine whether altered Imp activity is a common feature of transformed cell types, which transport pathways may become altered as a consequence, what might be the mechanisms thereof, and whether inhibiting the activity of overexpressed

nuclear transport components could selectively kill tumour cells. The use of isogenic cell systems is a powerful way to address questions relating to fundamental tumour biology, by avoiding the confounding variables associated with the use of non-isogenic cell types from different patients, tissue sources or cell types. The studies here were aimed at examining nuclear import and export efficiencies through conventional Imp $\alpha$ / $\beta$ 1, Imp $\beta$ 1 and Exp-1-dependent pathways in a variety of cell types (discussed in Chapters 2 and 3) using quantitative methods at the single cell level.

This study shows for the first time that Imp $\alpha$ / $\beta$ 1-dependent and to a lesser degree Imp $\beta$ 1-dependent import efficiency and rate is enhanced in a range of tumour/transformed cell types (**Table 5.1**), and that this correlates with overexpression of Imp $\alpha$ 1, Imp $\beta$ 1, and the Ran guanine nucleotide exchange factor RCC1 in all of the transformed cell types, with proof-of-principle type experiments showing Imp $\alpha$ 1 and Imp $\beta$ 1 levels as the key contributions for increased nuclear import activity through the Imp $\alpha$ / $\beta$ 1-mediated pathway (**Fig. 2.5**, **Fig. 3.3** and **Fig. 3.4**). The fact that a number of transformed cell types display similar characteristics with respect to increased nuclear transport properties, and that Imp $\alpha$ 1 and to a lesser degree Imp $\beta$ 1, are all found overexpressed in numerous types of cancers (**Table 1.2**), indicate that enhanced nuclear import may be an as yet to be identified hallmark feature (355) of cellular transformation.

### **5.3 ENHANCED NUCLEAR EXPORT IN TRANSFORMED CELLS**

This study apart from investigating nuclear import, was also the first to test Exp-1-dependent nuclear export efficiencies in transformed cell types, to document enhanced nuclear protein export activity occurs in a variety of transformed cell types, and that this relates with increased Exp-1 expression in transformed compared to non-transformed counterparts. Exp-1 has been reported to be overexpressed in many tumour types (**Table 1.2**), making this finding highly relevant to human cancer. Exp-1 mediates the nuclear export of multiple tumour suppressor factors (including p53, pRb, FOXO, p21<sup>cip</sup> and p27<sup>kip</sup>). Nuclear localisation of tumour suppressors is vital for their proliferation inhibiting and/or apoptosis promoting activities; elevated Exp-1 activity may

## Chapter 5: General Discussion

**Table 5.1: Summary of investigations testing nuclear import efficiency of NLS-containing fusion proteins in transformed/tumour versus isogenic non-transformed cell types.**

### a) SV40/T-ag-transformed systems

GFP-fusion protein	Import pathway	Efficiency (fold change) vs. non-transformed	
		1BR3.N	COS-7
T-ag (114-135)	Imp $\alpha$ / $\beta$ 1	Increased (7.4)*	Increased (2.0)*
pUL54 (1145-1161)	Imp $\alpha$ / $\beta$ 1	Increased (6.8)*	Increased (2.5)*
VP3 (74-121) <sup>#</sup>	Imp $\beta$ 1	Increased (6.5)*	Increased (3.3)*
TRF-1 (337-441)	Imp $\beta$ 1	Increased (5.9)*	Increased (3.6)*

### b) Breast tumour progression series<sup>%</sup>

GFP-fusion protein	Import pathway	Efficiency (fold change) vs. non-transformed		
		MCF10AT <sup>1</sup>	MCF10CA1h <sup>2</sup>	MCF10CA1a <sup>3</sup>
T-ag (114-135)	Imp $\alpha$ / $\beta$ 1	No change (1.1)	Increased (1.9)*	Increased (3.6)*
pUL54 (1145-1161)	Imp $\alpha$ / $\beta$ 1	No change (1.0)	Increased (1.7)*	Increased (3.1)*
pRb (766-928)	Imp $\alpha$ / $\beta$ 1	No change (0.9)	Increased (1.5)*	Increased (1.4)*
p53 (2-394) <sup>^</sup>	Imp $\alpha$ / $\beta$ 1	Decreased (0.7)*	Increased (2.2)*	Increased (1.6)*
pUL44 (425-433)	Imp $\alpha$ / $\beta$ 1 (v. weak NLS)	No change (0.9)	Increased (1.2)*	Increased (1.1)*
VP3 (74-121) NESm	Imp $\beta$ 1	Decreased (0.8)*	No change (1.1)	No change (0.9)
PTHrP (66-108)	Imp $\beta$ 1	Decreased (0.7)*	Decreased (0.8)*	Decreased (0.5)*
TRF-1 (337-441)	Inhibition by Imp $\alpha$			
	Imp $\beta$ 1	No change (1.1)	Decreased (0.5)*	Decreased (0.6)*
Rev (2-74)	Inhibition by Imp $\alpha$			
	Imp $\beta$ 1	Decreased (0.6)*	Decreased (0.5)*	Decreased (0.4)*
SREBP-1a (2-487)	Imp $\beta$ 2, Imp $\beta$ 3, Imp7, Imp9			
	Imp $\beta$ 1	Decreased (0.5)*	Decreased (0.4)*	Decreased (0.5)*
aF10 (696-794)	Non Imp	Decreased (0.8)*	Decreased (0.7)*	No change (0.9)

\* $p < 0.05$  vs. non-transformed cells, Student's  $t$  test, 2 tailed.

<sup>#</sup> VP3 (74-121) contains the tumour specific nuclear targeting signal from chicken anaemia virus.

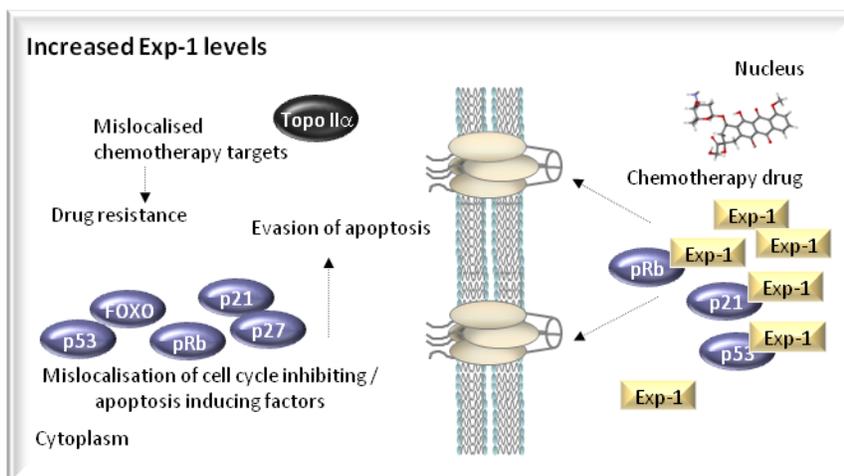
<sup>%</sup>Change of transfection reagent as per **Supp. Fig. S2.1**.

<sup>1</sup>Benign, hyperplastic; <sup>2</sup>Malignant, moderately to well differentiated, non-metastatic; <sup>3</sup>Malignant, poorly differentiated, metastatic.

<sup>^</sup>In leptomycin B treated samples (+LMB) as the p53 (2-394) construct contains Exp-1-recognised NESs.

Abbreviations: GFP, green fluorescent protein; Imp, importin; NESm, nuclear export signal mutant; NLS, nuclear localisation signal; PTHrP, parathyroid hormone-related protein; pRb, retinoblastoma protein; SREBP-1a, sterol regulatory element-binding protein-1a; SV40, simian virus 40; T-ag, large tumour antigen; TRF-1, telomeric repeat-binding factor-1; VP3, viral protein 3.

favour increased nuclear export of these factors where cytoplasmic redistribution renders them inactive, promoting tumour progression and resistance to therapies (93, 260) (**Fig. 5.1**). Enhanced Exp-1 activity can also influence the subcellular distribution of Exp-1-recognised chemotherapeutic targets such as topoisomerases in myeloma cells, where inhibition of Exp-1 sensitises the cells to chemotherapy-induced killing (356) (**Fig. 5.1**). Inhibiting Exp-1 activity through selective inhibitors of nuclear export (SINEs) are currently under investigation as anti-cancer therapies (see below) (260).



**Figure 5.1 Potential effects of increased Exp levels on tumour progression.** Increased Exp-1-dependent nuclear export of factors (such as p53 and FOXO) important for apoptosis induction may be a contributing factor to therapy resistance in Exp-1 overexpressing tumour cells. Increased Exp-1 levels can lead to increased nuclear export of chemotherapy targets such as Topoisomerase II $\alpha$  (Topo II $\alpha$ ) resulting in acquired drug resistance of Topo II $\alpha$  targeting chemotherapeutic agents such as doxorubicin and VP-16.

### 5.3.1 Targeting Exp-1 activity through small molecular inhibitors

SINEs are leptomycin B (LMB)-related compounds, in that they bind and inhibit the reactive site C<sup>528</sup> in the NES binding groove of Exp-1 resulting in proteosomal degradation of Exp-1, but unlike LMB they exhibit higher potency, reversible (transient) inhibition and oral bioavailability (357). SINEs can induce nuclear re-distribution of tumour suppressors (ie. FOXO, p53, pRb) leading to amplified growth suppressing activity and initiation of death in many types of tumour cells (260, 358-360), although it is still unclear whether this occurs in a tumour selective fashion. KPT-335, KPT-251 and

Selinexor (KPT-330) are SINEs that are currently being tested in pre-clinical and Phase 1 clinical trials respectively, for blood and other types of cancers (259). Selinexor and KPT-251, due to their transient, reversible nature are claimed to possess tumour selective killing activity *in vivo* thus circumventing some of the toxic side-effects commonly associated with first generation Exp-1 inhibitors (such as LMB and analogues thereof) that led to their withdrawal from clinical trials in the first place. The basis of this tumour selectivity, however, is not clear. Significantly, mice bearing acute myeloid leukaemia (AML) treated with either agent do not survive past 35 days and have a significantly elevated haematocrit, platelet count, and decreased reticulocyte and lymphocyte counts compared to vehicle treated controls (361, 362). Furthermore, Phase 1 trials in dogs bearing non-Hodgkin's lymphoma (NHL) resulted in numerous gastrointestinal side effects during oral treatment with KPT-335 (259), suggesting that development of selective tumour therapies through Exp-1 targeting drugs may not be achievable. Supporting this idea, the studies presented in this thesis showed there was no selectivity of treatment when Exp-1 activity was transiently inhibited using Exp-1 siRNAs, in that the viability of both non-transformed and malignant breast cells was similarly affected (**Fig. 4.5**).

#### **5.4 ENHANCEMENT OF $IMP\alpha/\beta$ 1-DEPENDENT NUCLEAR IMPORT DURING BREAST TUMOUR PROGRESSION**

Breast cancer is the most common cancer in women. About 15% of diagnosed tumours are of the triple negative breast cancer (TNBC) subtype meaning the tumours do not express progesterone, or estrogen receptors and lack genetic amplification of the HER-2/Neu (Erb-B2) growth factor receptor. TNBC can be early onset, is the most severe and aggressive form of breast cancer (363). In contrast to non-TNBC tumours that are responsive to highly selective hormonal (ie. Tamoxifen) and/or antibody conjugated therapies (ie. Herceptin), current therapies for TNBC are limited to use of conventional approaches such as chemotherapy, and mastectomy (364, 365), although TNBC is also known to have high recurrence rates post tumour resection (363). Our study aimed to investigate nuclear transport properties in this particular subset of breast tumours using the basal TNBC type MCF10 invasive ductal breast tumour progression model (289, 366) (discussed in Chapter 3), and to test whether targeting nuclear transport components overexpressed in transformed cells by way of siRNA would enable tumour selective killing.

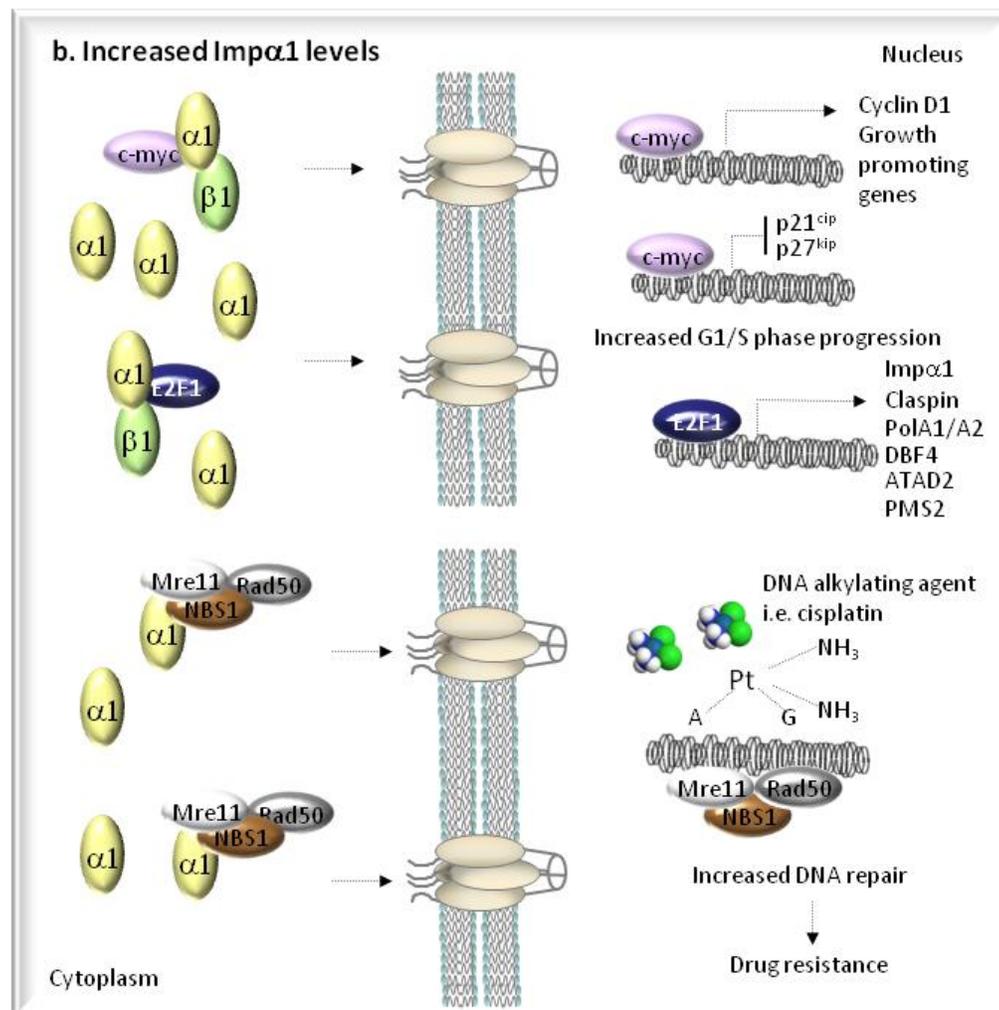
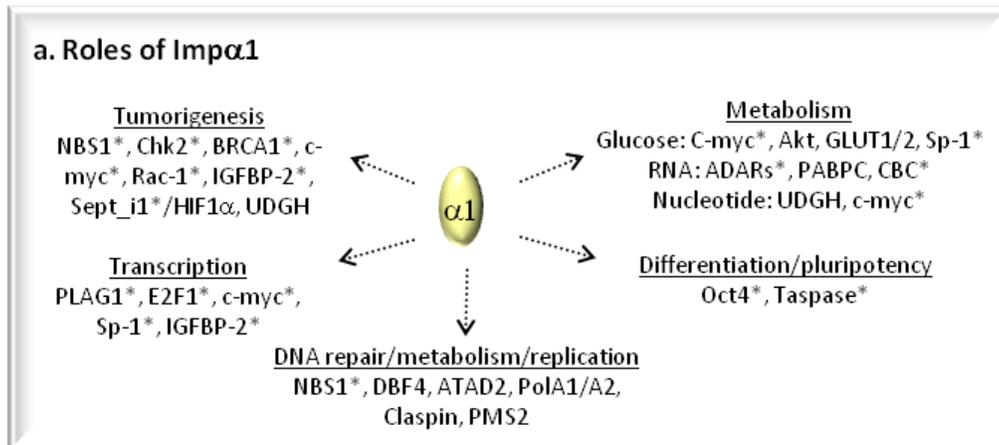
This study showed Imp $\alpha$ / $\beta$ 1-dependent nuclear import efficiency to be greatly enhanced in malignant MCF10 breast cell types but not in benign or non-transformed counterparts, with some notable decreases in Imp $\beta$ 1-dependent import efficiency especially for cargoes that are known to be strongly nuclear such as TRF-1, PTHrP and SREBP-1a (**Table 5.1b**). Interestingly, the fact that nuclear import efficiency through the Imp $\alpha$ / $\beta$ 1 heterodimer was found unaltered in the benign cell type that proliferates at the same rate as its malignant counterparts (**Supp. Fig. S3.2**) indicates altered nuclear transport properties may not be a simple byproduct of a faster doubling rate, but associated specifically with changes related to malignant transformation. Consistent with the findings presented in Chapter 2 (318), enhanced nuclear import efficiency was associated with progressively increased levels of Imp $\alpha$ 1 and Imp $\beta$ 1 (among others) with Imp $\alpha$ 1 identified as a key component modulating altered nuclear import efficiencies in the MCF10 series (**Fig. 3.4**). Increased Imp $\alpha$ 1 levels were also detected in grade 2 and 3 patient samples of invasive ductal carcinoma (IDC), compared to benign IDC grade 1 counterparts (**Fig. 3.5**) implying that the findings presented in this study are clinically relevant. Imp $\alpha$ 1 overexpression has been recently described in IDC (see **Table 1.2**), where the severity of the disease (grade, stage, recurrence post therapy) is known to correlate with degree of Imp $\alpha$ 1 overexpression (188, 198). This is true for several different types of cancers (**Table 1.2**), suggesting that Imp $\alpha$ 1 driven enhancement of Imp $\alpha$ 1/ $\beta$ 1 dependent import efficiency may be present in many tumour types. Our findings in the MCF10 tumour progression model are significant in terms of examining the importance of altered Imp $\alpha$ 1 activity to tumorigenesis (see below), and are highly relevant to the design of tumour selective agents (see Section 5.5).

#### 5.4.1 *Relevance of enhanced Imp $\alpha$ / $\beta$ 1-dependent protein nuclear import efficiency to tumorigenesis*

To date, seven Imp $\alpha$  isoforms (Imp $\alpha$ 1,  $\alpha$ 3-8) have been identified in human cells, which are divided into 3 subfamilies comprising of Imp $\alpha$ 1/8, Imp $\alpha$ 3/4 and Imp $\alpha$ 5/6/7 (see Section 1.2.2.2). The findings presented in this study indicate activity of Imp $\alpha$ 1, but not of other Imp $\alpha$  isoforms tested is enhanced during the benign to malignant transformation switch, and modulates enhanced import capacity during tumour progression. Imp $\alpha$ 1 has very specific nuclear transport (see **Table 1.1**, Section 1.3.1.1.2.2 and **Fig. 5.2a**) and non-transport roles in cells (see Section 1.4). Imp $\alpha$ 1 maintains pluripotency in mouse embryonic stem cells through nuclear import of pluripotency-associated transcription factors (130, 131), while its C-terminal domain acts as a retention factor for

differentiation inducing factors (34). During oxidative stress Imp $\alpha$ 1 appears to be able to translocate into the nucleus without Imp $\beta$ 1, where it facilitates the transcription of stress-related genes and induction of non-apoptotic cell death (159). Imp $\alpha$ 1 is also involved in maintaining cellular homeostasis through supporting DNA, RNA, and nucleotide metabolism. For instance, Imp $\alpha$ 1 binds to capped polyAAA mRNAs through the cap binding complex (CBC) to facilitate CBC-mediated mRNA export and translation (29) through Imp $\beta$ 1, where Imp $\beta$ 1 binding to the Imp $\alpha$ 1:CBC:capped mRNA complex in the cytoplasm displaces capped mRNA from the CBC allowing EIF4-dependent mRNA translation and CBC nuclear import. In the nucleus the Imp $\alpha$ 1/ $\beta$ 1:CBC complex dissociates upon RanGTP binding to Imp $\beta$ 1 allowing CBC to re-bind capped RNAs for re-export (29). Imp $\alpha$ 1 supports DNA repair through importing the NBS1/Mre11/Rad50 DNA repair factor complex (21) and transcription factors E2F1 (30) and c-myc (195), resulting in altered transcriptional programming to support increased metabolism and replication. Growth during oxidative stress, altered DNA replication, repair and metabolic processes, and the degree of cellular (de)differentiation all relate to tumour disease progression (355, 367, 368) and may be linked to altered Imp $\alpha$ 1 activity (**Fig. 5.2a**).

In terms of cargo nuclear re-distribution, our studies in transformed systems show enhancement of nuclear import and export mediated by the Imp $\alpha$ 1/ $\beta$ 1 heterodimer and Exp-1 respectively can occur simultaneously, implying that endogenous proteins carrying an Imp $\alpha$ 1-recognised NLS, and an Exp-1-recognised NES would shuttle between the nucleus and the cytoplasm more efficiently, while proteins carrying one or the other sequence only (eg. where one targeting signal has become inactive), would be predominantly enhanced in nuclear or cytoplasmic accumulation respectively. Such redistribution presumably influences tumour progression, formation and/or maintenance by increasing the nuclear translocation efficiency of Imp $\alpha$ 1-recognised factors that are involved in differentiation/pluripotency, motility, metabolism, DNA repair/replication and tumorigenesis such as Imp $\alpha$ 1-recognised transcription factors whose downstream targets themselves are involved in these processes (see **Table 1.1** and **Fig. 5.2a**).



**Figure 5.2 Potential effects of increased Imp $\alpha$ 1 levels on tumour progression.** (a) Imp $\alpha$ 1 increases the expression levels/activity of numerous signalling factors involved in metabolism, transcription, differentiation, and DNA repair and replication. \* denotes factors that translocate into the nucleus through Imp $\alpha$ 1 (see **Table 1.1**). (b) Increased levels of Imp $\alpha$ 1 in tumour cells may promote tumorigenesis indirectly by enhancing the nuclear import and transcriptional activity of tumour-associated proteins such as c-myc and E2F1 resulting in altered expression of their downstream targets known to be involved in DNA replication (Claspin, Pol1, DBF4, ATAD2, PMS2) and cell cycle regulation (p21<sup>cip</sup>, p27<sup>kip</sup>, cyclin D1), to promote increased G1/S phase transition. Increased activity of E2F1 can further promote increased expression of Imp $\alpha$ 1 indicating a positive feedback loop may exist between the two proteins. Imp $\alpha$ 1 levels may increase the severity of tumours directly by playing a role in acquired cisplatin drug resistance, due to enhanced nuclear import of DNA repair factors such as the NBS1/Mre11/Rad50 complex, resulting in improved repair of purine crosslinking adducts in cisplatin-treated cells.

Consistent with this idea, increased Imp $\alpha$ 1 levels by ectopic expression have been shown to promote proliferation, tumorigenicity and invasive potential of luminal type MCF-7 breast cancer cells *in vitro* where increased Imp $\alpha$ 1 levels are associated with upregulation of its recognised cargoes involved in tumour progression such as the oncogenic pluripotency factor Oct4, NF- $\kappa$ B family member RelA (p65) and Rho-GTPase Rac1 (194). In ovarian cells, Imp $\alpha$ 1 upregulation can act in an oncogenic manner by increasing the levels, nuclear localisation and transcriptional activity of c-myc with multiple changes in signal pathways such as increased Akt and FOXO3a activity and decreased expression of cell cycle inhibitors p21<sup>cip</sup> and p27<sup>kip</sup> through c-myc-mediated transcriptional repression, to promote formation of epithelial ovarian carcinoma *in vitro* and *in vivo* (195) (**Fig. 5.2b**). In non-small cell lung carcinoma cells, Imp $\alpha$ 1 levels are critical for nuclear translocation and activity of E2F1 resulting in transcription of its downstream targets involved in DNA metabolism, replication, repair and/or cell cycle control that are required for initiation and progression through the S-phase (such as Claspin, PolA1 and PolA2, DBF4 and ATAD2), which are known to be involved in lung tumour progression (30). Interestingly, enhanced E2F1 activity itself can upregulate Imp $\alpha$ 1 expression, which may result in a positive feedback loop, further amplifying the effects of Imp $\alpha$ 1-mediated E2F1 nuclear import (30, 190) (**Fig. 5.2b**).

It should be noted that the exact outcomes of increased Imp $\alpha$ 1 expression may vary according to different cancer cell types, as the Imp binding affinity of targeting signals can be influenced indirectly by competing factors other than those governed by the targeting signal itself. It is now

evident that different Imp $\alpha$  isoforms display distinct NLS binding properties (7, 369), which appears to be influenced strongly by both the nature of the NLS and protein context (370). For instance the nuclear transcription factor STAT1 is preferentially recognised by Imp $\alpha$ 5, but not Imp $\alpha$ 1 (48), whilst RCC1, the nuclear RanGTP nucleotide exchange factor utilises Imp $\alpha$ 3 far more efficiently than Imp $\alpha$ 5 or any other Imp $\alpha$  isoform for its nuclear entry (40) (**Table 1.1**). More reflective of *in vivo* circumstances where multiple cargoes would compete for Imp $\alpha$ s, when two known substrates of Imp $\alpha$ 3/4 are added together in competition binding assays (RCC1 and the nucleoplasmin (NPM)) one (RCC1) will preferentially bind to Imp $\alpha$ 3/4, whilst the other (NPM) will bind the remaining Imp $\alpha$  isoforms (Imp $\alpha$ 1,  $\alpha$ 5 and  $\alpha$ 7) despite the fact that both are known to bind Imp $\alpha$ 3/4. Since studies here (and see (76)) implicate Imp $\alpha$ 1 as a limiting component, the cellular concentration of NLS containing proteins, their Imp $\alpha$  binding affinities and the levels of other Imp $\alpha$  isoforms may all influence the activity of Imp $\alpha$ 1, and in turn the nuclear transport efficiency of its recognised cargoes, meaning that Imp $\alpha$ 1 levels alone may not be the only factor determining the extent of altered cargo nuclear translocation and activity in cancer cells.

To add to this complexity, the levels of negative regulators of nuclear import (NRNI) such as EI24, BRAP2 or ARHI (discussed in Section 1.3.1.3) may also influence Imp $\alpha$ 1 activity in cells. For example, increased levels of EI24 (151) or ARHI (152) in tumour cells may prevent enhanced Imp $\alpha$ / $\beta$ 1-dependent nuclear import activity even in the presence of increased levels of Imp $\alpha$ 1, through their ability to inhibit Imp $\alpha$ / $\beta$ 1-dependent import. Although increased/decreased Imp $\alpha$ 1 levels were sufficient to modulate the nuclear import efficiency through the Imp $\alpha$ / $\beta$ 1 pathway, indicating a simple relationship between Imp expression and nuclear import efficiency in this study, NRNI-mediated regulation cannot be excluded as an added layer of complexity that may be present in other cell types.

#### 5.4.2 Relevance of decreased Imp $\beta$ 1-dependent protein nuclear import efficiency to tumorigenesis

That Imp $\alpha$ 1 overexpression may inhibit the nuclear import of certain Imp $\beta$ 1-recognised cargoes such as TRF-1 and PTHrP (**Table 5.1b** and **Fig. 3.4c**) in the MCF10 breast tumour progression model is intriguing. An interesting question arises from the findings of this study; could the negative regulation of Imp $\beta$ 1-dependent nuclear import by Imp $\alpha$ 1 be of significance to tumour disease progression or are the tumour associated functions of Imp $\alpha$ 1 primarily due to increased Imp $\alpha$ 1/ $\beta$ 1-

dependent nuclear import efficiency? Nuclear PTHrP plays a role in mammary cells by inhibiting growth and enhancing differentiation (335), whilst TRF-1 protects telomere stability and length, and mice deficient in TRF-1 develop preneoplastic skin lesions as early as 1-6 days after birth (371); reduced nuclear localisation of PTHrP and TRF-1 may act to promote growth and dedifferentiation associated with increasingly malignant cell types and telomeric damage associated with neoplastic lesions, respectively. Whether the nuclear import of other Imp $\beta$ 1-recognised proteins may be affected by Imp $\alpha$ 1 overexpression was not examined here. Since neither PTHrP nor TRF-1 bind Imp $\alpha$  and yet both show inhibition of nuclear import by addition of recombinant Imp $\alpha$  in *in vitro* reconstituted transport systems (56, 57), is consistent with the idea that Imp $\alpha$  levels may compete with Imp $\beta$ 1 to increase Imp $\alpha$ / $\beta$ 1-dependent import at the expense of Imp $\beta$ 1-dependent import. Consistent with this, we found nuclear localisation of other Imp $\beta$ 1-recognised fusion proteins such as SREBP-1a, significantly decreased in Imp $\alpha$  overexpressing malignant MCF10CA1h and MCF10CA1a cells (**Table 5.1b**). Whether inhibition of Imp $\beta$ 1-dependent import occurs in tumour cell types other than in the MCF10 system has not been extensively examined here, although the Imp $\beta$ 1-recognised TRF-1 appeared to localise to a much stronger extent in the nucleus of Imp $\alpha$ 1 overexpressing SV40-transformed cell types compared to non-transformed counterparts (**Table 5.1b** and **Fig. 2.1**). Clearly, further studies are required to test the nuclear import efficiency of Imp $\beta$ 1-recognised factors in various normal/transformed cell systems overexpressing Imp $\alpha$ 1 to determine whether Imp $\alpha$ 1 modulates Imp $\beta$ 1-dependent trafficking of specific proteins (see Section 1.3.2), or on a global scale.

#### 5.4.3 Targeting Imp $\alpha$ 1 in anti-cancer therapy

Increased Imp $\alpha$ 1 activity in tumours has been proposed as a novel target for anti-cancer therapy after several studies concluded that silencing of Imp $\alpha$ 1 expression by way of RNAi inhibits the proliferation of several types of cancer cells (see Section 1.5.1.1). Molecular inhibitors of the Imp $\alpha$ / $\beta$ 1-mediated pathway currently comprise of supraphysiological NLSs that bind many Imp $\alpha$  isoforms such as bimax1 and 2 (372) and the broad spectrum anti-parasite agent Ivermectin (373). However none of these agents are likely to be targeted specifically at Imp $\alpha$ 1 but rather, inhibit the activity of multiple Imp $\alpha$  isoforms. Whether specific inhibition of Imp $\alpha$ 1 or in fact any of the Imp $\alpha$  isoforms could induce selective death of tumour but not normal cells is not yet clear. In fact the studies here in the MCF10 breast tumour progression model show that silencing Imp $\alpha$ 1 expression had very little to no effect on the growth of malignant cell types (**Fig. 4.5**), which is entirely

consistent with findings in CL1-0 lung adenocarcinoma (195) and ovarian epithelial carcinoma cell lines (CaSki, SiHa, C33A, MS751) (197). This suggests that cancer types and lines from different origins have differential sensitivities to Imp $\alpha$ 1 inhibition.

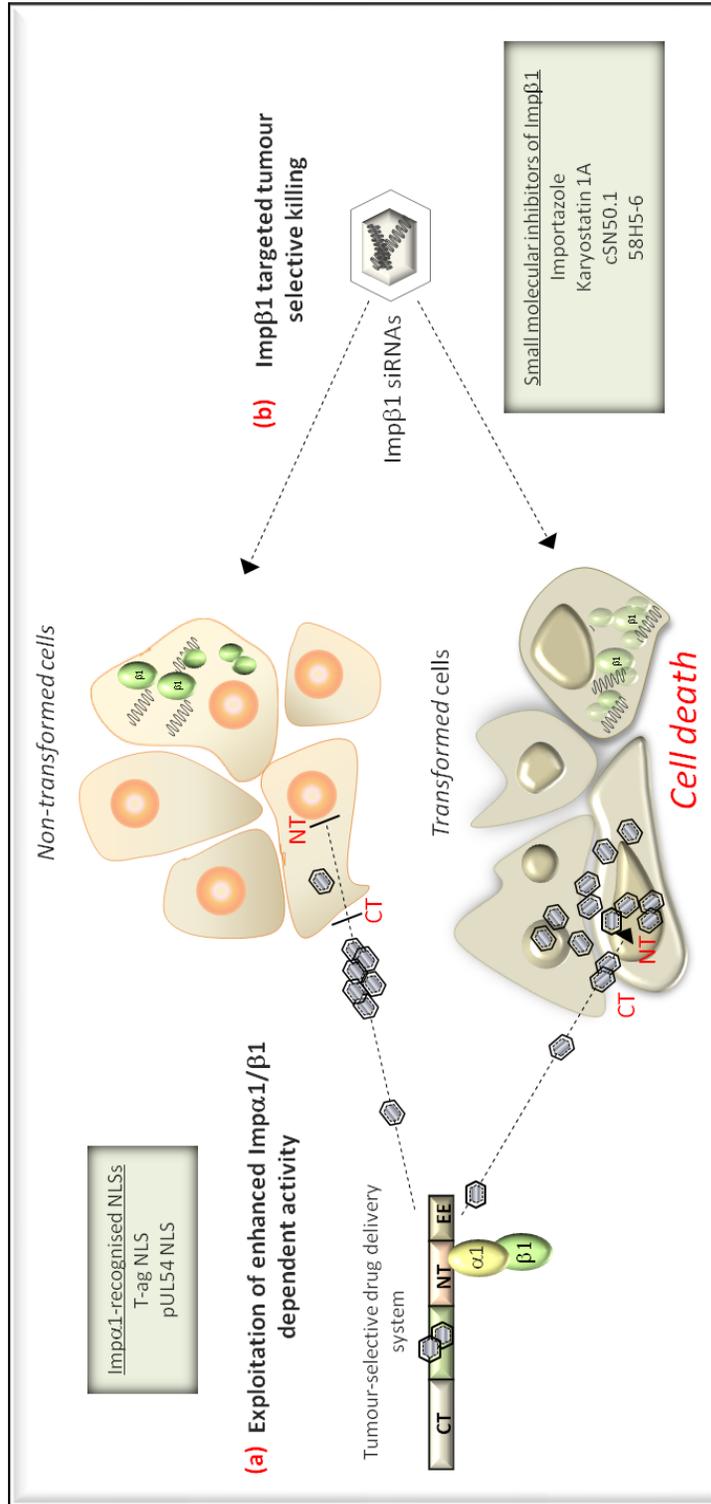
## **5.5 EXPLOITATION OF ENHANCED NUCLEAR IMPORT EFFICIENCY FOR TUMOUR SELECTIVE DRUG DELIVERY**

There remains an urgent need for discovery and development of novel tumour-selective agents. Nanocarriers termed modular recombinant transporters (MRTs) (314) and other variations thereof (374) have great potential in achieving targeted delivery of cytotoxic agents to tumour cells. The premise behind this tumour selective action is through the inclusion of multiple tumour enhanced targeting domains, to produce a polypeptide/vehicle in which each domain mediates the progressive amplification of delivery of nuclear acting agents into tumour, but not to non-tumour cells. These domains include, but are not restricted to the use of a cellular targeting domain in the form of a receptor binding ligand, an endosomal escape domain for pH-dependent release from endosomes into the cytoplasm, a drug binding domain, which facilitates the attachment of nuclear-acting drugs and finally, a nuclear targeting domain allowing for efficient drug nuclear translocation (314, 375). Nuclear-acting drugs suitable for delivery include photosensitising agents such as chlorin e6, suicide genes such as the herpes simplex virus thymidine kinase (337), and chemotherapies such as topoisomerase inhibitors and DNA damaging agents. This study indicates a previously unrecognised potential that upregulation of Imp $\alpha$ 1/ $\beta$ 1-dependent import may have, in terms of improving selective drug delivery into tumours to aid tumour selective killing by MRTs (**Fig. 5.3a**). The inclusion specifically of Imp $\alpha$ 1-recognised NLSs as a part of the multimodular complex, may provide an extra layer of selectivity in targeted drug delivery and is relevant to therapies utilising both non-virally (376), virus-like (377) and oncolytic virus-derived (378) vectors, which inherently rely on Imps for efficient drug delivery or virus production (**Fig. 5.3a**). Furthermore, as upregulation of Imp $\alpha$ 1/ $\beta$ 1-dependent nuclear import activity appears to be a general feature of cellular transformation (Chapter 2) and is likely to be associated with high grade malignancies (Chapter 3), the findings presented here are highly relevant to improving tumour selective drug delivery approaches especially aimed at advanced forms of the disease, for which there is often very little in the way of therapies.

## 5.6 TUMOUR SELECTIVE HYPERSENSITIVITY TO IMP $\beta$ 1 SILENCING

Apart from exploitation of enhanced Imp $\alpha$ 1 activity in tumour cells described above, inhibition of nuclear transport component activity has also been proposed as a potential target for anti-cancer therapy (185, 260, 271, 272). As discussed earlier (see Sections 5.3.1 and 5.4.3), Exp-1 inhibition by SINEs (Karyopharm), the most advanced agents in terms of the drug development pipeline results in potent tumour cell killing activity across a multitude of cancer types, whilst Imp $\alpha$ 1 has been recently proposed as a novel anti-cancer target, although whether Imp $\alpha$ 1 inhibition can induce killing across a broad range of tumours remains questionable. Significantly, it is unclear whether approaches targeting either Exp-1 or Imp $\alpha$ 1 activity may result in targeted killing of tumour cells leaving non-tumour cells unharmed, limiting their usability.

Non-transformed and malignant cell models were compared here for the first time to show that targeting Imp $\beta$ 1, in contrast to a panel of other nuclear transport components (including Exp-1 or Imp $\alpha$ 1) by way of RNAi is 33-fold more potent in killing transformed/malignant breast cell types compared to non-transformed counterparts, and at nanomolar/subnanomolar siRNA concentrations induced selective death of transformed cell types whilst leaving non-transformed cells unaffected (**Fig. 4.2**). Whereas the transformed MCF10 breast cells displayed more efficient Imp $\beta$ 1-dependent silencing compared to its non-transformed counterpart after treatment, the transformed non-breast 1BR3.N line, despite showing less efficient silencing compared to its normal counterpart, still showed increased sensitivity to treatment (**Fig. 4.3** and **Supp. Fig. S4.2**). It is quite possible that the tumour selective action of Imp $\beta$ 1 siRNA may be due to increased sensitivity to changes in either Imp $\alpha$ / $\beta$ 1- or Imp $\beta$ 1-dependent nuclear import efficiencies, and/or mitotic progression in transformed cells, as Imp $\beta$ 1 is known to play a role in both (379) (see Sections 1.2.2 and 1.4). Irrespective of the mechanism/s (which were beyond the scope of this thesis) and consistent with the findings shown here, treatment with Imp $\beta$ 1 siRNA has been shown to inhibit the proliferation of various types of cervical carcinoma cell lines (40, 197, 350) and nerve sheath tumour cells (380), although none of the aforementioned studies addressed the anti-proliferative effects and silencing efficiencies of Imp $\beta$ 1 siRNA in non-transformed cell types, especially those from isogenic origins.



**Figure 5.3. Exploitation of altered nuclear import properties in tumour cells; potential for targeted cancer therapies?** (a) Enhanced Imp $\alpha$ 1/ $\beta$ 1-dependent import activity occurring during the benign to malignant switch may be exploited through the inclusion of Imp $\alpha$ 1-recognised NLSs (ie. Tag or pUL54 NLS) in targeted drug delivery systems to further drive tumour selective nuclear drug delivery. Shown by way of example are modular recombinant transporters, non-viral nanocarriers comprised of domains/ligands that confer cell targeting (CT; through receptor mediated endocytosis), endosomal escape (EE), drug attachment of nuclear acting drugs such as photosensitisers (hexagons), suicide genes or DNA targeting agents, and nuclear targeting (NT; through NLS-dependent Imp binding), where selective delivery could be progressively amplified through the combined activity of tumour targeting CT and NT domains. (b) Targeting of Imp $\beta$ 1 activity through siRNA-mediated silencing can result in enhanced killing of transformed cell types compared to non-transformed counterparts through tumour hypersensitivity to Imp $\beta$ 1 knockdown. The potential for small molecular inhibitors (in particular cSN50.1 and 58H5-6) to induce selective killing of transformed cell types is not yet known.

Silencing of Imp $\beta$ 1 in malignant breast cells in the study here was associated with a significant increase in sub-G1 cell population and a concomitant decrease in G1-phase cells, indicative of cell death without cell cycle arrest (**Fig. 4.4**). This is entirely consistent with the findings in cervical carcinoma cells, where cell death induced by Imp $\beta$ 1 silencing was associated with PARP cleavage, caspase 3/7 activation, elevated p53/p21 expression and cytochrome c release from the mitochondria suggestive of apoptosis (197, 379). Using highly sensitive real-time imaging methods at the single cell level, silencing of Imp $\beta$ 1 has been shown to result in a delay from post-mitotic exit (G2/M progression) (351), which may be attributable to Imp $\beta$ 1's role in nuclear envelope assembly during mitosis (381). Mitotic delay was not able to be detected in this study as the DNA content analysis showing cell cycle progression was conducted using end point measurements from cell populations.

Imp $\beta$ 1 is an exciting target for novel tumour-selective therapies (**Fig. 5.3b**). The preliminary findings in this study need to be extended to include larger panels of non-transformed/malignant cell lines and a mouse tumour xenograft model derived from the MCF10 series (289), in order to assess the feasibility of Imp $\beta$ 1 inhibiting agents (siRNA or other) for cancer therapy. The efficacy of siRNA in cancer therapy has been limited due to poor intracellular delivery and is dependent on the development of nanocarrier delivery systems. An exciting application would be to utilise Imp $\beta$ 1 siRNAs in delivery systems comprising of tumour-selective components that target factors overexpressed at the tumour cell membrane. Examples of these approaches include siRNA encapsulated with liposomes displaying growth receptor ligands (ie. vascular endothelial growth

factor (VEGF)) at the exterior (382), antibody-mediated cell targeting of siRNAs through Erb-B2 binding and internalisation (383) or DNA-siRNA aptamer chimeras (384). The latter is a particularly attractive approach for targeted siRNA delivery as DNA is highly stable, elicits very little immunogenicity, and is easy to produce. In aptamer-form, DNA is comprised of short strands of nucleic acids assembled in conformations that can bind factors present at the cell surface such as the prostate specific membrane antigen overexpressed in prostate cancer cells, mediating tumour selective targeting as well as modifications allowing for siRNA attachment and processing in cells (384).

### 5.6.1 Targeting *Impβ1* through small molecular inhibitors

Small molecular inhibitors are an attractive choice for anti-cancer therapies as they are easily produced, readily cross the plasma membrane, have defined mechanisms of action and are orally active. The concept and applicability of *Imp*-targeting small molecular inhibitors for disease therapy has already been demonstrated in a mouse model of atherosclerosis where the intraperitoneal delivery of a peptide comprising of a 10 aa. NLS from NF-κB p50, with flanking cysteines for circularisation attached to a hydrophobic cell penetrating peptide (cSN50.1), resulted in inhibition of *Impα/β1*- and *Impβ1*-dependent nuclear import of proteins involved in inflammatory and lipid metabolism, and lowered cholesterol and atherosclerosis in treated compared to control animals (18). However, lack of selectivity is by far the biggest challenge that is hindering the development of novel *Impβ1*-targeting compounds. Novel inhibitors of *Impβ1* include the pyrrole compound karyostatin 1A (385), the 2,4-diaminoquinazoline Importazole (386) and the alpha helix mimetic 58H5-6 (387) (**Fig. 5.3b**). Both karyostatin 1A and Importazole inhibit the binding of RanGTP to *Impβ1* thus preventing cargo release in the nucleus, and have the potential to arrest the activity of import pathways other than those mediated by *Impβ1* through clogging of *Impβ1* transport complexes at the NPC through preventing cargo release by RanGTP (385, 386). The alpha helix mimetic 58H5-6 has a different mechanism of action in that it appears to bind the FxFG-repeat binding region of *Impβ1*, preventing *Impβ1* translocation through the NPC (387); the fact that treatment of cells with 58H5-6 does not alter the nuclear import of *Impβ2*-recognised M9 protein whilst efficiently inhibiting nuclear import of an *Impα/β1*-recognised cargo (an *Impβ1*-recognised cargo was not tested) indicates it may be a more suitable candidate for future studies, in terms of maximising tumour cell selectivity and minimising side effects, compared to karyostatin 1A and

Importazole. Whether or not these compounds can be utilised instead of Imp $\beta$ 1 siRNAs to kill cancer cells in a selective fashion is unknown, but would be of interest to determine in future studies (Fig. 5.3b).

### **5.7 MECHANISM/S FOR NUCLEAR TRANSPORT COMPONENT OVEREXPRESSION IN TRANSFORMED CELLS**

The study here using transformed cell types from a number of different origins indicate that overexpression of several nuclear transport components (namely Imp $\alpha$ 1 and possibly Imp $\alpha$ 3, Imp $\beta$ 1, Exp-1, CAS and the Ran component RCC1) are generally associated with malignant transformation. The fact that the expression of multiple components increases concurrently both at the protein and transcript levels suggests altered activity of a shared factor, such as a transcription factor or miRNA, both of which are known to be deregulated in cancer may be responsible (388, 389). Transcription factors known to target Imps are E2F/Dp1 (Imp $\alpha$ 1 and Imp $\beta$ 1) (190), Sp-1 and the NFY transcriptional complex (Exp-1) (222), whilst miR-30, miR-137, miR-26 and miR-181b have been proposed to target Imp $\beta$ 1 (380), CAS (390), Imp $\alpha$ 1 (391) and Imp $\alpha$ 3 (392) respectively. miRNA deregulation in particular provides an attractive explanation by which multiple Imps might become upregulated at any one time during a switch from benign to malignant transformation. Interestingly, Imps such as Imp $\alpha$ 3,  $\alpha$ 5, and  $\beta$ 1 are known to possess identical miRNA binding sites in their 3' UTR that become affected by single nucleotide polymorphisms in human diseases such as breast cancer (393), although this isn't surprising considering Imps possess long (ie. 1900bp, 5100bp and 1200bp for Imp $\alpha$ 3,  $\alpha$ 5, and  $\beta$ 1 respectively) 3'UTR regions. Future studies need to concentrate on identifying miRNAs whose expression becomes altered in malignant cells compared to non-transformed counterparts by microarray and qPCR verification, analysing their Imp targeting potential by TargetScan software and whether altering the expression of these miRNAs can influence levels of Imp $\alpha$ 1,  $\alpha$ 3,  $\beta$ 1, Exp-1 or CAS contributing to their altered activities in malignant cells. Enhanced transcription factor activity may also be a consequence of altered miRNA expression/activity. Analysis of transcription factor binding sites on 5' UTR regions of Imp genes to identify shared transcription factors may be useful, followed by molecular studies measuring promoter activity of predicted sites in non-transformed compared to malignant cell types.

## 5.8 CONCLUDING REMARKS

A number of recent studies show that nuclear import is not only essential for cell function and constitutive housekeeping roles, but rather that the activity of Imp isoforms is tightly regulated during specific developmental stages, which in turn can modulate nuclear import efficiencies critical to phenotypic change. Cancer appears to be similar, in that deregulation of Imp/Exp expression and activity may represent a key contribution to tumour disease progression. The studies presented here suggest that enhanced Imp $\alpha$ 1/ $\beta$ 1-dependent nuclear import efficiency is associated with a switch from benign to malignant transformation due to overexpression of Imp $\alpha$ 1 in malignant but not in non-transformed/benign cells and correlates these findings to those in tissues of increasing tumour grade from patient samples. Questions that remain include the identity of the key endogenous proteins that become mislocalised as a result of altered Imp activity, the effects on the pathways they regulate and how this impacts on tumour progression to highly malignant cell types. As increased levels of Imp $\alpha$ 1 are known to correlate with increased tumour grade, stage, metastasis and decreased overall patient survival, future studies should primarily focus on determining whether Imp $\alpha$ 1 contributes to the most significant features of disease progression, such as metastasis and invasive potential, which have the most profound impact on patient survival/prognosis.

That altered nuclear trafficking may be a hallmark of cell transformation, raises the exciting possibility of exploring this as a novel way to amplify selective killing of tumour cells. Enhanced Imp $\alpha$ / $\beta$ 1-dependent import activity could be exploited to improve tumour selective targeting of nuclear-acting drugs by the inclusion of Imp $\alpha$ 1-recognised NLSs in a broad range of delivery systems, regardless of whether they are viral, non-viral or virus-like in origin. Alternatively, inhibiting the activity of nuclear transport components that tumour cells have become addicted to, such as Imp $\beta$ 1, by way of siRNA or other agents may yet be another way to selectively eradicate tumours. Future studies are required to determine the feasibility of either approach across a panel of cancers from various origins starting with those from TNBC, and in mouse xenografts representing aggressive/higher grade tumour types, where the ultimate goal would be to provide viable treatment options for the most vulnerable patients. Exploiting altered Imp activities in cancers may be a significant advancement towards achieving tumour selective killing and importantly towards safer and more effective anti-cancer strategies.

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***Appendices***



# Global enhancement of nuclear localization-dependent nuclear transport in transformed cells

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**ABSTRACT** Fundamental to eukaryotic cell function, nucleocytoplasmic transport can be regulated at many levels, including through modulation of the importin/exportin (Imp/Exp) nuclear transport machinery itself. Although Imps/Exps are overexpressed in a number of transformed cell lines and patient tumor tissues, the efficiency of nucleocytoplasmic transport in transformed cell types compared with nontransformed cells has not been investigated. Here we use quantitative live cell imaging of 3 isogenic nontransformed/transformed cell pairs to show that nuclear accumulation of nuclear localization signal (NLS)-containing proteins, but not their NLS-mutated derivatives, is increased up to 7-fold in MCF10CA1h human epithelial breast carcinoma cells and in simian virus 40 (SV40)-transformed fibroblasts of human and monkey origin, compared with their nontransformed counterparts. The basis for this appears to be a significantly faster rate of nuclear import in transformed cell types, as revealed by analysis using fluorescence recovery after photobleaching for the human MCF10A/MCF10CA1h cell pair. Nuclear accumulation of NLS/nuclear export signal-containing (shuttling) proteins was also enhanced in transformed cell types, experiments using the nuclear export inhibitor leptomycin B demonstrating that efficient Exp-1-mediated nuclear export was not impaired in transformed compared with nontransformed cells. Enhanced nuclear import and export efficiencies were found to correlate with 2- to 4-fold higher expression of specific Imps/Exps in transformed cells, as indicated by quantitative Western blot analysis, with ectopic expression of Imps able to enhance NLS nuclear accumulation levels up to 5-fold in nontransformed MCF10A cells. The findings indicate that transformed cells possess altered nuclear transport properties, most likely due to the overexpression of Imps/Exps. The findings have important implications for the development of tumor-specific drug nanocarriers in anticancer therapy.—**Kuusisto, H. V., Wagstaff, K. M., Alvisi, G., Roth, D. M., Jans, D. A. Global enhancement of nuclear localization-**

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*Key Words:* importins • exportins • neoplastic transformation • isogenic • specific drug delivery

TUMOR CELLS ARE CHARACTERIZED by a plethora of changes in signal transduction, which affect tumor cell metabolism, as well as proliferative potential and resistance to apoptosis. Ultimately, these cellular responses to extra- and intracellular signaling pathways converge at the level of the nucleus to effect phenotypic changes in transcription, chromatin structure, cell cycle control, DNA repair, *etc.* Protein transport into the nucleus through the nuclear envelope-embedded nuclear pore complexes (NPCs) is dependent on nuclear localization signals (NLSs), which are recognized by members of the importin (Imp) superfamily of cellular transport receptors, of which there are multiple  $\alpha$  and  $\beta$  types (1, 2). A well-characterized example is Imp $\beta$ 1, which, either directly or through an Imp $\alpha$  adapter, recognizes basic-type NLSs and mediates translocation of the Imp: cargo complex through the NPC into the nucleus, where the complex dissociates on binding to Imp $\beta$ 1 of the monomeric guanine nucleotide binding protein Ran in activated GTP-bound form (3). Nuclear protein export occurs analogously, whereby generally hydrophobic nuclear export signals (NESs) are recognized by exportins (Exps), homologs of Imp $\beta$ 1, in combination with RanGTP (3). The best-characterized Exps include Exp-1 (Crm-1), which recognizes leucine-rich NESs (4), and CAS (Cse1), which facilitates recycling of Imp $\alpha$ s to the cytoplasm to enable subsequent rounds of nuclear import (5).

Nucleocytoplasmic transport can be regulated by a number of distinct mechanisms (see ref. 6), which are central to cellular processes such as differentiation and oncogenesis (7). One mechanism appears to be through alterations in the levels of expression of specific Imps. For example, the levels of different Imp $\alpha$

Abbreviations: CLSM, confocal laser scanning microscope; Exp, exportin; FRAP, fluorescence recovery after photobleaching; Imp, importin; LMB, leptomycin B; MRT, modular recombinant transporter; NES, nuclear export signal; NLS, nuclear localization signal; NPC, nuclear pore complex; ROI, region of interest; SV40, simian virus 40.

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isoforms alter during sperm development in the mouse testis (8, 9), embryonic stem cell (ESC) differentiation (10), and maintenance of pluripotency in ESCs (11) and in the central nervous system in the early postnatal and adult stages (12). Interestingly, recent reports indicate alterations in the expression levels of Imps/Exps in patient tumor tissue and in transformed cell lines, with elevation of Imp expression in breast (13–17), bladder (18, 19), esophageal (20, 21), cervical (22), hepatocellular (23), colon (24) and ovarian carcinomas (25), and also in simian virus 40 (SV40) or human papilloma virus-16-transformed cell lines (22), while Exps are also found overexpressed in carcinomas of the breast (26, 27), liver (28), and ovaries (29–31) and in numerous virally transformed and cancer cell lines (22, 26–28, 31). To date, however, no study has analyzed the effect of altered Imp/Exp expression on nuclear transport efficiency in transformed cells, with essentially nothing known regarding the comparative transport efficiency in tumor *vs.* normal cells.

Here we examine nuclear transport efficiency quantitatively in 3 isogenic pairs of transformed and nontransformed cells for the first time and relate this to levels of Imp/Exp expression. We show that Imp $\alpha$ / $\beta$ 1- and Imp $\beta$ 1-dependent nuclear transport efficiencies and rates are increased in transformed cells compared with nontransformed counterparts, and that this correlates with increased levels of Imp $\alpha$ 1, Imp $\beta$ 1, and the Ran guanine nucleotide exchange factor RCC1 in transformed cells. Exp-1 levels were also elevated in the transformed cell types, which was associated with an increased responsiveness to the Exp-1-specific inhibitor leptomycin B (LMB) of NLS- and NES-containing cargo proteins, implying higher Exp-1 activity in transformed compared with nontransformed cells. The results overall have important relevance to the development of

tumor-specific drug nanocarriers in anticancer therapy (32–34).

## MATERIALS AND METHODS

### Mammalian expression constructs

Plasmid pEGFP (Clontech, Palo Alto, CA, USA) encoding GFP alone was used as a control for transfection studies. The pEGFP-T-ag(114–135), pEGFP-T-ag(111–135), pEGFP-T-ag(111–135)NLSm (containing a K<sup>128</sup>T mutation), pEPI-UL54(1145–1161), pDEST53-UL54(1145–1161)NLSm (containing R<sup>1157</sup>A and R<sup>1159</sup>A mutations), pEPI-VP3 (74–121), pEPI-VP3(74–121)NLS1/2m (containing KK<sup>86–87</sup>NN and RR<sup>117–118</sup>NN mutations), pEGFP-PTHrP(1–141), and pEPI-Rev(2–116) expression constructs have all been described previously (33, 35–39). The TRF-1(337–440) fragment (40) was introduced into the Gateway system (Invitrogen, Carlsbad, CA, USA; ref. 35) by PCR using *attB* site-containing primers and subsequent BP and LR recombination reactions to generate plasmid pEPI-TRF-1(337–440). The bicistronic pIRES-mCherry expression plasmid was generated using a modified version of the pIRES-GFP plasmid (a kind gift from K. A. Steiner, Children's Medical Research Institute, Westmead, NSW, Australia), in which the GFP fragment was first removed by *Xba*I/*Sma*I digestion before ligation with PCR-amplified mCherry cDNA. pIRES-Imp-mCherry was generated by ligation of a PCR-amplified Imp $\alpha$ 1 or Imp $\beta$ 1 cDNA fragment using the *Not*I/*Bam*HI sites. The integrity of all plasmid constructs was verified by DNA sequencing.

### Mammalian cell culture, transfection, and LMB treatment

The three isogenic nontransformed/transformed cell pairs used in this study are described in **Table 1**. In the case of the 1BR3/1BR3Neo (1BR3N) human skin fibroblast (41) and the CV-1/COS-7 African green monkey kidney fibroblast (42) cell pairs, the transformed derivative was generated by transfection with plasmids containing SV40 genomic sequences for

TABLE 1. *Isogenic cell pairs used in this study*

Cells	Transformed status	Origin	Derivation
1BR3 1BR3N	Nontransformed Transformed	Human skin 1BR3 cell line	Fibroblast cells derived from a skin biopsy (41) 1BR3 cells stably transfected with plasmid pSV3neo that contains the early region of SV40 encoding for small, middle, and large tumor antigens (41)
CV-1 COS-7	Nontransformed Transformed	Monkey kidney CV-1 cell line	Fibroblast cells derived from the African green monkey kidney (77) CV-1 cells stably transfected with an origin defective mutant of SV40 plasmid (–ori) that encodes for SV40 proteins, including the tumor antigens (42)
MCF10A MCF10CA1h	Nontransformed Transformed	Human breast MCF10A transfected with <i>Ha</i> -Ras	Spontaneously immortalized line from nonmalignant human breast epithelium (46) Derived from serial mouse xenograft implantations of MCF10A cells transfected with oncogenic <i>Ha</i> -Ras that progressed to a carcinoma; majority of MCF10CA1h cells form well-differentiated carcinomas with low metastatic potential in mice (43)

\*T-ag, SV40 large T antigen. Numbers in parenthesis indicate references.

either the early region (1BR3N, precrisis stage) or a replication-defective (−) mutant (COS-7, postcrisis stage). In the case of the MCF10A/MCF10CA1h human breast epithelial cell pair, the nontransformed MCF10A cell line was isolated from a female patient with fibrocystic disease, whereas the tumorigenic MCF10CA1h line was generated from *Ha-Ras* transformed MCF10A cells, passaged serially *in vivo* in mice (43).

1BR3 and 1BR3N cells (both at passages 6–12) were cultured in DMEM supplemented with 15% FCS and 2 mM L-glutamine (all from Invitrogen). CV-1 and COS-7 cells were cultured in DMEM supplemented with 10% FCS and 2 mM L-glutamine (36, 44), while MCF10A (passage <72) and MCF10CA1h (passage <62) cells were cultured in DMEM/F12 medium supplemented with 5% horse serum (Invitrogen), 1 mM sodium pyruvate, 10 mM HEPES, 2 mM L-glutamine, 0.5 μg/ml hydrocortisone, 10 μg/ml insulin, 20 ng/ml human recombinant EGF, and 100 ng/ml cholera toxin (all from Sigma-Aldrich, St. Louis, MO, USA), as described previously (43). All of the cell line pairs were transfected using Lipofectamine 2000 transfection reagent (Invitrogen) as previously described (36). Where appropriate, transfected cells were incubated for 3–4 h in the presence of 2.8 μg/ml of the Exp-1-specific nuclear export inhibitor LMB before microscopic imaging.

### Confocal laser scanning microscopy and image analysis

Cells were imaged live (100× oil-immersion objective, zoom at 1.0, 60-μm pinhole, 4 and 15% laser power for 488- and 568-nm lasers, respectively, heated stage) at 16–24 h post-transfection using a Nikon TSI 100 confocal laser scanning microscope (CLSM; Nikon, Tokyo, Japan) using a Kalman setting of 2. Image analysis was performed using the ImageJ 1.41 public domain software (U.S. National Institutes of Health, Bethesda, MD, USA) to determine the nuclear ( $F_n$ ) cytoplasmic ( $F_c$ ) and background ( $F_b$ ) fluorescence. Briefly, a mean density measurement of pixel numbers was made on a nonsaturated region of interest (ROI) of equal size (ROI=30 arbitrary units) in the nuclear and cytoplasmic compartments, respectively, while  $F_b$  measurements were made by placing the ROI on a nontransfected, autofluorescent region near the cells outer perimeter. The ratio of nuclear to cytoplasmic fluorescence ( $F_{n/c}$ ) was then determined according to the formula  $F_{n/c} = (F_n - F_b)/(F_c - F_b)$  (44). Fold differences in nuclear accumulation between nontransformed and transformed cell lines were calculated according to the formula  $[T_{F_{n/c}}]/[NT_{F_{n/c}}]$ , where  $T_{F_{n/c}}$  is transformed  $F_{n/c}$  and  $NT_{F_{n/c}}$  is nontransformed  $F_{n/c}$ .

### Fluorescence recovery after photobleaching (FRAP)

FRAP experiments were performed using a modified method from Roth *et al.* (45). MCF10A/CA1h cells were transfected as above to express GFP-T-ag(114–135) and imaged live using an Olympus Fluoview 1000 CLSM (×100 oil-immersion objective, heated stage; Olympus, Tokyo, Japan) 16–24 h later. Before bleaching, 2 images using 3% laser power were taken at 8 μs/pixel and a zoom of 2-fold. By zooming 120-fold in the nuclear region and increasing the laser power to 100%, an area of ~5% of the nucleus was photobleached (1 scan, 100 μs/pixel, 2500 and 4000 ms for MCF10A and MCF10CA1h cells, respectively); the cells were then immediately scanned at 3% laser power (8 μs/pixel and zoom of 2-fold), and images were subsequently captured at 5-s intervals for up to 280 s to monitor the recovery of fluorescence in the nucleus and the decay of fluorescence in the cytoplasm. The level of specific nuclear ( $F_{n-b}$ ) and cytoplasmic ( $F_{c-b}$ ) fluorescence at

each time interval was determined by image analysis as above, and values were expressed in terms of fractional recovery of fluorescence (values at each time interval divided by the maximal postbleach value), with the data for fractional recovery of specific nuclear fluorescence fitted exponentially according to the formula  $y = (1 - a)e^{-bx}$  to determine the recovery half-times ( $t_{1/2}$ ), as previously reported (45). The rate of exponential decay of cytoplasmic fluorescence was also determined for  $F_{c-b}$  values at 0–280 s postbleach using the equation  $y = y_0 + ae^{-bx}$ .

### Preparation of cell extracts and Western blotting

Equal numbers of living nontransformed and transformed cells, as determined by hemocytometer/trypan blue staining, were harvested by trypsinization and centrifugation and washed once with PBS before freezing at −20°C for 30 min. Cell pellets were resuspended in ice-cold lysis buffer (150 mM NaCl; 50 mM Tris-HCl, pH 7.3; and 1% Triton X-100 with complete, EDTA-free protease inhibitor cocktail; Roche, Indianapolis, IN, USA), lysed at 4°C for 30 min, and centrifuged at 14,200 rpm for 30 min at 4°C (Hereaus Instruments, South Plainfield, NJ, USA) to pellet insoluble material. The total protein concentration in each extract was estimated using the Bradford dye reagent (Bio-Rad, Hercules, CA, USA). Equal amounts (25 μg) of protein from each cell extract were then separated by SDS-PAGE (12% reducing gel), transferred onto nitrocellulose membrane, and probed with a predetermined (optimized) concentration of anti-Impα1 (1:2000 dilution), Impβ1 (1:500 dilution), Exp-1 (1:500 dilution), CAS (1:500 dilution), Ran (1:2000 dilution), RCC1 (1:250 dilution) monoclonal (all from BD Biosciences, San Jose, CA, USA), or anti-Impα3 (1:250 dilution) and Impα4 (1:500 dilution) polyclonal (Abcam, Cambridge, MA, USA) primary antibodies, followed by the appropriate host IgG-HRP secondary antibody (Chemicon, Temecula, CA, USA) according to the manufacturer's recommendations, and protein was visualized using the Chemiluminescence reagent (Perkin Elmer-Cetus, Wellesley, MA, USA or Millipore, Bedford, MA, USA). Where necessary, the membranes were then stripped of antibody using Western strip buffer (25 mM glycine and 1% SDS, pH 2) at 60°C, blocked, and reprobbed with anti-α/β-tubulin (1:1000 dilution; Cell Signaling, Danvers, MA, USA) or anti-T-ag (1:1000 dilution; Santa Cruz Biotechnology, Santa Cruz, CA, USA) followed by the appropriate host-IgG-HRP secondary antibodies and visualized as previously. The intensity of the resulting bands for all proteins was estimated by densitometry using an Alpha Imager (Alpha Innotec, Santa Clara, CA, USA) for image capture and the 1D electrophoresis gel analysis module from ImageQuant TL software (Amersham Biosciences, Piscataway, NJ, USA) for analysis of the resulting images; results are given as the ratio of the signal for nuclear transport factor relative to that for α/β tubulin in transformed cells, relative to the respective value for the nontransformed cell line of the isogenic cell pair.

### Statistical analysis

The significance of differences in results between transformed and nontransformed cells was determined using the Student's (or Welch corrected) *t* test for unpaired data (2-tailed *P* value) or Mann-Whitney test for nonparametric data, as appropriate.

## RESULTS

To compare the efficiency of nuclear transport in transformed and nontransformed cells, we utilized 3

distinct nontransformed/transformed cell pairs, in which the transformed and nontransformed cell lines both share the same genetic (isogenic) background (see Table 1): the primary human skin fibroblast cell line 1BR3, and its SV40-transformed counterpart 1BR3N (41); the nontransformed monkey kidney fibroblast CV-1 cell line together with its SV40-transformed counterpart COS-7 (42); and the nontransformed, spontaneously immortalized MCF10A breast epithelial cell line (46) and its fully malignant counterpart, MCF10CA1h, generated by *in vivo* passaging through serial implantation of a *Ha-Ras* transfected MCF10A cell line in mice (43).

The cell lines were transfected to express either GFP alone or various NLS-containing GFP-fusion proteins (see Table 2), which are transported into the nucleus by either Imp $\alpha$ / $\beta$ 1 (35, 47) or Imp $\beta$ 1 (40, 48), and subcellular localization was analyzed by quantitative live cell CLSM 16–24 h later. As expected, GFP localized uniformly throughout the nucleus and cytoplasm in all cell lines (Fig. 1A) due to its lack of nuclear targeting signals and small size (27 kDa), which is well below the molecular mass cutoff for passive-type transport through the NPC (~50–70 kDa; ref. 49). In contrast, all of the Imp $\alpha$ / $\beta$ 1- and Imp $\beta$ 1-recognized NLS-containing proteins localized more strongly in the nucleus of the transformed 1BR3N, COS-7, and MCF10CA1h cells, compared with their nontransformed counterparts (Fig. 1A). Image analysis was performed to determine at the single cell level the mean ratio of nuclear-to-cytoplasmic fluorescence  $F_{n/c}$  (see Materials and Methods), statistical (*t* test) analysis confirming significantly ( $P < 0.0001$ ) higher levels of nuclear accumulation in the transformed cells compared with their nontransformed counterparts for all of the NLS-containing proteins (Fig. 1B), with the most pronounced differences in the levels of nuclear accumulation apparent for Imp $\alpha$ / $\beta$ 1-recognized NLSs in 1BR3N (~7-fold) and MCF10CA1h (~6-fold) cells compared with nontrans-

formed counterparts (Fig. 1B). The extent of nuclear accumulation was similarly assessed for GFP-fusion constructs in which the NLSs carry inactivating mutations (see Table 2); in all cases, the nuclear accumulation of the NLS-mutated fusion proteins, as well as that of GFP alone, was completely comparable between the transformed and nontransformed lines in each cell pair (Fig. 1C, MCF10A/CA1h cells not shown), indicating that the large-scale differences in nuclear accumulation efficiency were specific to NLS (and thus Imp)-dependent transport and not due to altered passive diffusion properties of the NPC in transformed cells.

Western blot analysis (not shown) indicated essentially identical levels of expression of the various GFP fusion proteins in the transformed and nontransformed lines, but to assess formally at the single cell level whether the results for increased nuclear accumulation in transformed compared with nontransformed cells may be attributable to increased expression levels of ectopically expressed proteins in the transformed compared with the nontransformed cells, we compared the  $F_{n/c}$  values for several NLS-containing GFP fusion proteins in the MCF10A/CA1h cells, expressing the proteins at either high ( $F_{n-b} > 1500$  arbitrary fluorescence units), medium ( $F_{n-b} = 500$ –1500) or low ( $F_{n-b} < 500$ ) levels (see Fig. 1D and Materials and Methods). Completely consistent with the whole population analysis (Fig. 1B), 3- to 6-fold higher levels of nuclear accumulation were observed in transformed cells regardless of the levels of expression, clearly indicating that the extent of nuclear accumulation is not related to the concentration of nuclear import cargo expressed.

To test whether the increased nuclear accumulation in transformed cells is associated with an increase in the rate of nuclear import, we performed FRAP experiments as previously (45) on the MCF10A/CA1h cell pair expressing GFP-T-ag(114–135), whereby the nucleus of a single cell was bleached, and the return of

TABLE 2. Nuclear targeting sequences within the fusion proteins used in this study

GFP-fusion protein	NLS	NES
Imp $\alpha$ / $\beta$ 1-recognized		
T-ag(114–135)	PKKKRKV <sup>132</sup> (47, 78, 79)	NA
T-ag(111–135)	As above	NA
T-ag(111–135) NLSm	PKTKRKV <sup>132</sup> (36)	NA
UL54(1145–1161)	PAKKRAR <sup>1159</sup> (35)	NA
UL54(1145–1161) NLSm	PAKKA <sup>1159</sup> (35)	NA
Imp $\beta$ 1-recognized		
TRF-1(337–440)	KKKKESRR <sup>356</sup> (40)	NA
Imp $\beta$ 1- and Exp-1-recognized		
VP3(74–121)	KPPSKKR–12 aa spacer–RPRTAKRRRIKL <sup>121</sup> (33, 37)	VSKLKE <u>SLI</u> <sup>105</sup> (33, 44)
VP3(74–121) NLS1/2m	KPPSNNR–12 aa spacer–RPRTAKNNIKL <sup>121</sup> (33)	As above
PTHrP(1–141)	RYLTQETNKVETYKEQPLKTPGKKKKGKP <sup>94</sup> (50, 51)	LSDTSTTS <u>LEL</u> <sup>136</sup> (50, 51)
Rev(2–116) <sup>a</sup>	RQARRNRRRWRE <u>RQRQ</u> <sup>51</sup> (53)	LPPLER <u>LT</u> <sup>83</sup> (52, 80)

For NLS, basic residues are in italics; for NES, hydrophobic residues are underscored. NA, not applicable; Exp, exportin; Imp, importin; NES, nuclear export signal; NLS, nuclear localization signal; NLSm, nuclear localization signal mutant; PTHrP, parathyroid hormone related protein; Rev, human immunodeficiency virus Rev protein; T-ag, SV40 large T antigen; TRF-1, telomeric repeat binding factor-1; UL54, human cytomegalovirus DNA polymerase catalytic subunit UL54; VP3, chicken anemia virus viral protein 3. <sup>a</sup>HIV-1 Rev NLS is also recognized by Imp $\beta$ 3, Imp $\beta$ 9 and Imp $\beta$ 2 import receptors (55, 56).

nonbleached fluorescence from the cytoplasm to the nucleus was monitored by CLSM for up to 280 s (**Fig. 2A, B**; also see Materials and Methods). The fractional recovery for  $F_{n-b}$ , calculated from the initial (prebleach) fluorescence and maximal (postbleach) fluorescence recovery value, was then plotted for each time point to enable the  $t_{1/2}$  value for 50% maximal recovery to be determined. While the mean maximal fractional recoveries of GFP-T-ag(114–135) did not differ significantly between MCF10A/CA1h cells (**Table 3**), the  $t_{1/2}$  value was significantly ( $P < 0.05$ ) reduced by ~2-fold in transformed MCF10CA1h cells ( $t_{1/2} = 16.0 \pm 1.9$  s) compared with the nontransformed MCF10A cells ( $t_{1/2} = 27.4 \pm 4.9$  s; see **Fig. 2C** and **Table 3**). Consistent with this, the rate of loss of specific cytoplasmic fluorescence  $F_{c-b}$  was ~3-fold faster in the transformed ( $t_{1/2} = 28.2 \pm 3.7$  s) than in the nontransformed ( $t_{1/2} = 101 \pm 24.8$  s) cells, indicating significantly ( $P < 0.0154$ ) faster transport from the cytoplasm to the nucleus in transformed cells (**Fig. 2B**; **Table 3**). The results indicate that the enhancement of NLS-dependent nuclear import efficiency observed in transformed cells is due to a faster nuclear import rate.

We also tested the subcellular localization of a number of different NLS/NES-containing GFP-fusion proteins (see **Table 2**), able to shuttle between the nucleus and cytoplasm dependent on Imp $\beta$ 1 and Exp-1 (44, 48, 50–53) in the transformed/nontransformed cell pairs in the absence and presence of the Exp-1-specific inhibitor LMB (**Fig. 3A**), where the extent of the increase in protein nuclear accumulation  $F_{n/c}$  in the presence compared with absence of LMB was used as an indicator of the strength of nuclear export by Exp-1 in each cell line (see **Table 4**). We found that the NLS/NES-containing proteins generally showed significantly ( $P \leq 0.003$ ) higher levels of nuclear accumulation in the transformed cell lines compared with their nontransformed counterparts in both the absence and presence of LMB treatment (**Fig. 3B**), consistent with the idea that nuclear import efficiency is enhanced in transformed compared with nontransformed cells. GFP-Rev(2–116) was an exception, failing to show significantly enhanced nuclear accumulation in the presence of LMB in transformed COS-7 compared with nontransformed CV-1 cells, probably as a result of the fact that Rev nuclear import can be modulated by a number of different Imps, including Imp $\beta$ 1 (53, 54), Imp $\beta$ 7, Imp $\beta$ 3, Imp $\beta$ 9, and transportin (Imp $\beta$ 2) (55, 56). Most of the fusion proteins showed significantly ( $P \leq 0.03$ ) increased nuclear accumulation in the presence of LMB in both nontransformed and transformed cell lines, indicating robust nuclear export activity in its absence (**Table 4**). GFP-VP3(74–121) was the only exception to this, exhibiting nuclear accumulation that was not affected by LMB in any of the transformed cell lines, consistent with the fact that VP3-mediated nuclear export is inhibited in transformed cells as a result of tumor cell-specific phosphorylation near the VP3 NES (33, 44).

Notably, we found that the extent of the increase in nuclear accumulation of NLS/NES-containing proteins

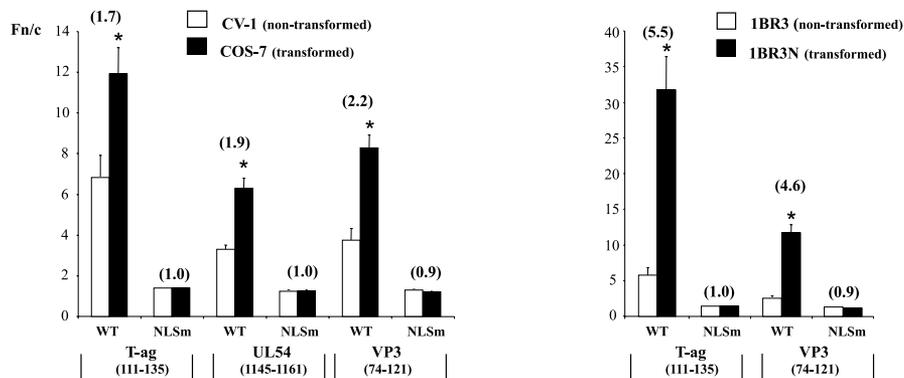
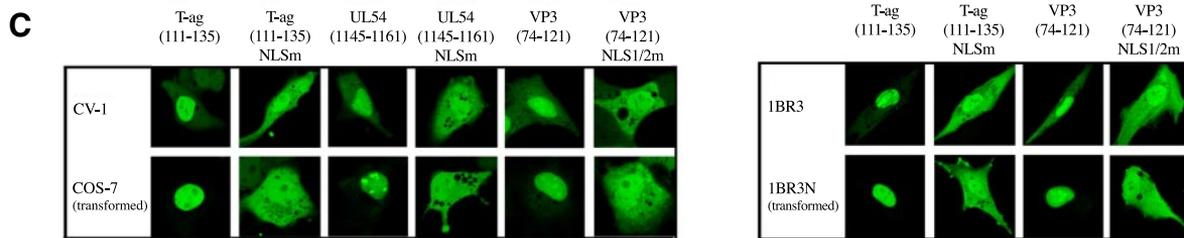
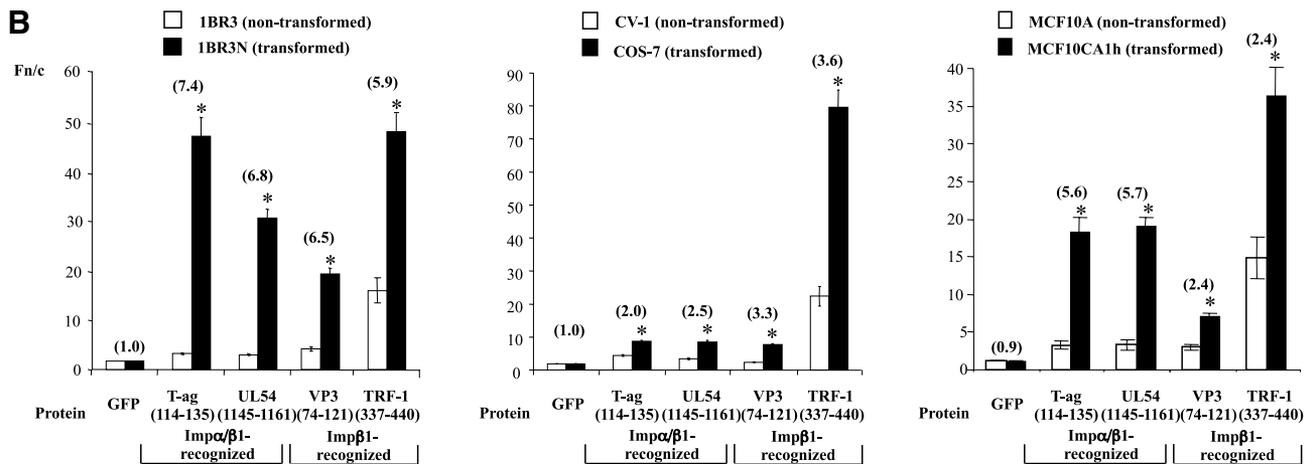
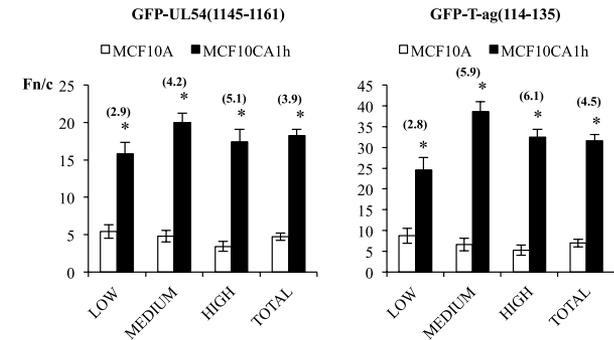
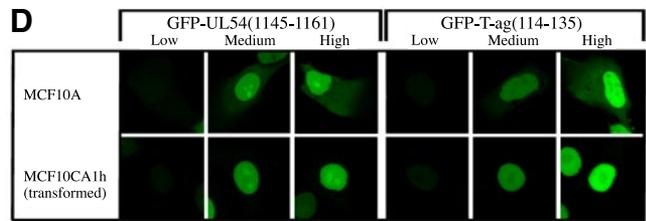
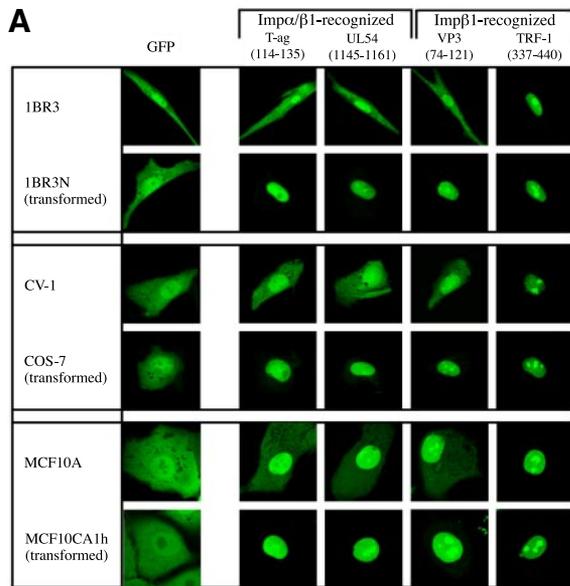
(apart from VP3) in the presence as compared with the absence of LMB [ $(F_{n/c} + \text{LMB}) / (F_{n/c} - \text{LMB})$ ] was much greater in the transformed cell types compared with nontransformed cells (**Table 4**), implying enhanced Exp-1 activity in the transformed cell lines under normal conditions in the absence of LMB. Reduced nuclear export is clearly not the basis of higher nuclear accumulation of NLS-containing cargoes in the transformed compared with the nontransformed cell lines. Consistent with this, GFP-Rev(2–116), which preferentially localizes in the cell cytoplasm due to the presence of a dominant, Exp-1-recognized NES, showed significantly ( $P < 0.0001$ ) lower levels of nuclear accumulation in the absence of LMB treatment in transformed as compared with nontransformed cells, but either equivalent or significantly higher nuclear accumulation in its presence (**Fig. 3B**). The clear implication is that the various transformed cells show higher intrinsic levels of Exp-1-dependent export activity, in addition to the observed increased nuclear import efficiency (see above).

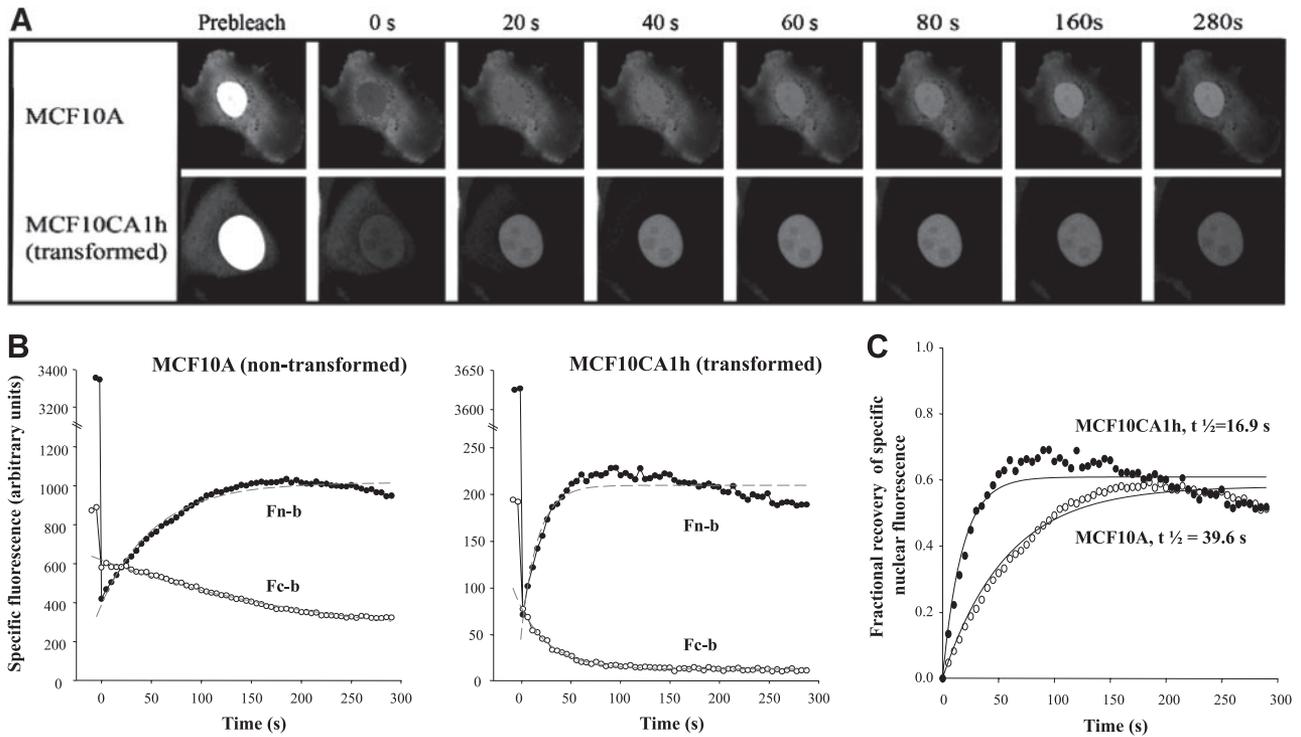
Since the globally enhanced nuclear transport efficiencies could relate to differences in the levels of components of the nuclear transport machinery, we assessed the levels of Imps, Exps, Ran, and Ran regulatory proteins in our nontransformed/transformed cell pairs by Western blot analysis/densitometry. After optimization, analysis was performed for a range of Imps (Imp $\alpha$ 1, Imp $\alpha$ 3, Imp $\alpha$ 4, and Imp $\beta$ 1), Exps (Exp-1 and CAS), Ran, and RCC1 in whole-cell extracts of 1BR3/1BR3N, CV-1/COS-7, and MCF10A/CA1h cells. We found that Imp $\alpha$ 1, Imp $\beta$ 1, Exp-1, CAS, and RCC1 proteins were all expressed at markedly higher levels in the transformed cells compared with their nontransformed counterparts (**Fig. 4A**), with densitometric analysis (values expressed relative to the respective levels of the control protein  $\alpha/\beta$ -tubulin) revealing ~2- to 4-fold higher levels of these components in transformed compared with nontransformed cells ( $P < 0.05$ ,  $n \geq 3$ , for Imp $\alpha$ 1, Exp-1, and RCC1; see **Fig. 4B**).

To test whether enhanced Imp levels can enhance nuclear accumulation of proteins, we cotransfected nontransformed MCF10A cells to express a bicistronic pIRES-mCherry Imp $\alpha$ 1- or Imp $\beta$ 1-encoding plasmid and GFP-T-ag(114–135) and assessed nuclear accumulation in live cells at 40 h post-transfection (**Fig. 5A**). Expression of Imp $\alpha$ 1 and Imp $\beta$ 1 significantly ( $P < 0.04$ ) increased GFP-NLS nuclear accumulation levels by ~5- and 2.5-fold, respectively, compared with the control plasmid in MCF10A cells (**Fig. 5B**), demonstrating formally that the overexpression of either Imp $\alpha$ 1 or Imp $\beta$ 1 can enhance nuclear import efficiency in nontransformed cells.

## DISCUSSION

This study uses transformed/nontransformed cell pairs from various origins and high-resolution quantitative approaches to demonstrate for the first time that





**Figure 2.** Rate of nuclear import is increased in transformed cells compared with nontransformed cells. *A*) Representative CLSM images ( $\times 100$  oil-immersion objective) of photobleaching experiments in MCF10A/CA1h cells transfected to express GFP-T-ag(114–135). At 16–24 h post-transfection, a small (zoom 120-fold) area of the nucleus was photobleached (2500–4000 ms, 100% laser power) and monitored for the return of fluorescence by CLSM at 5-s intervals for a total of 280 s. *B*) Quantitative representation of the specific nuclear ( $F_{n-b}$ ) and cytoplasmic ( $F_{c-b}$ ) fluorescence values in prebleach (–10 to –5 s), bleach (0 s), and postbleach (5 to 280 s) images from *A* in single typical MCF10A and MCF10CA1h cells. Percentage loss of specific fluorescence in the nuclear and cytoplasmic compartments ( $F_{n-b}$  and  $F_{c-b}$  at 0 s time point, compared with initial  $F_{n-b}$  and  $F_{c-b}$  prebleach values) due to photobleaching was comparable between the 2 lines (data not shown). *C*) Quantitative representation of the fractional recovery of specific nuclear fluorescence (where values for  $F_{n-b}$  at respective time points were divided by the postbleach maximal value and normalized to the 0 s postbleach time point) at each time interval from digitized images such as those shown in *A*, as is shown for single typical cells from data sets obtained for MCF10A/CA1h cell pairs. Half-maximal time  $t_{1/2}$  of recovery of specific nuclear fluorescence recovery is shown for MCF10A ( $r^2=0.84$ ) and MCF10CA1h ( $r^2=0.97$ ) cells.

conventional, NLS- and Imp-dependent nuclear protein import is globally enhanced in transformed cells compared with their nontransformed counterparts, correlating with elevated levels of specific components of the cellular nuclear transport machinery. Although elevated Imp and Exp levels have been previously reported in tumor and transformed cells and tissues (23, 31, 57, 58), this is the first study to document quantitative differences in nontransformed and trans-

formed cell lines of isogenic origin and hence enables conclusions to be drawn with respect to the nuclear transport machinery and transformation status without the complications of confounding factors associated with the use of cells of different types, origins, and patients (59–61). Further, it is the first study to explore the kinetic parameters of nuclear transport in living transformed and nontransformed cells, establishing through fluorescence-based approaches and FRAP

**Figure 1.** Imp $\alpha$ / $\beta$ 1- and Imp $\beta$ 1-dependent NLS-nuclear protein import is more efficient in transformed than in nontransformed cells. *A*) Typical CLSM images of 1BR3/1BR3N (top), CV-1/COS-7 (middle), and MCF10A/CA1h (bottom) isogenic cell line pairs (see Table 1), transfected to express GFP alone or the indicated NLS-containing GFP-fusion proteins (see Table 2) analyzed live at 16–24 h post-transfection ( $\times 100$  oil-immersion objective). *B*) Quantitative analysis of the level of nuclear accumulation ( $F_{n/c}$ ) of fusion proteins in digitized images such as those in *A* in 1BR3/1BR3N (left), CV-1/COS-7 (center) and MCF10A/CA1h (right) isogenic cell lines. Results represent means  $\pm$  SE ( $n>33$ , from a series of  $\geq 3$  different experiments); fold change in  $F_{n/c}$  ratio (indicated in parenthesis) represents the ratio of the  $F_{n/c}$  values in transformed *vs.* nontransformed cells.  $*P < 0.0001$  *vs.* nontransformed isogenic cells. *C*) Quantitative analysis of the relative  $F_{n/c}$  of digitized images of CV-1/COS-7 (left) and 1BR3/1BR3N (right) cells transfected to express the indicated NLS-containing wild-type (WT) or NLS-mutated (NLSm) GFP-fusion proteins and analyzed live at 24 h posttransfection ( $\times 100$  oil-immersion objective). Results represent means  $\pm$  SE ( $n>22$ ); fold change in  $F_{n/c}$  ratio for each cell pair is indicated in parentheses.  $*P < 0.0001$  *vs.* nontransformed isogenic cells. *D*) Quantitative analysis of the relative  $F_{n/c}$  of digitized images of MCF10A/CA1h cells transfected to express the indicated NLS-containing GFP-fusion proteins in high-expressing ( $F_{n-b}>1500$ ), medium-expressing ( $F_{n-b}=1500-500$ ), and low-expressing ( $F_{n-b}<500$ ) cell populations. Results represent means  $\pm$  SE ( $n>14$ ).  $*P < 0.009$  *vs.* nontransformed cells.

TABLE 3. Pooled data from a single representative fluorescence recovery after photobleaching experiment for GFP-T-ag(114–135) in populations of nontransformed MCF10A and transformed MCF10CA1h breast epithelial cells

Parameter	MCF10A	MCF10CA1h	Normal/tumor
Half-time, $t_{1/2}$ (s)			
Nuclear recovery, $F_{n-b}$	27.4 ± 4.9 s	16.0 ± 1.9 s*	1.7
Cytoplasmic decay, $F_{c-b}$	101 ± 24.8 s	28.2 ± 3.7 s*	3.6
Maximal fractional recovery	0.82 ± 0.06	0.91 ± 0.05	0.9
Initial $F_{n/c}$	4.3 ± 0.60	16.7 ± 1.9*	NA
Initial fluorescence (arbitrary units)			
$F_{n-b}$	3218 ± 69	3466 ± 46*	0.93
$F_{c-b}$	964 ± 136	246 ± 31*	3.9

Results are presented as means ± SE ( $n=13$ ). \* $P < 0.05$ ; Student  $t$  test, unpaired data, 2-tailed.

studies that the efficiency and rate of nuclear import of various NLS-containing cargoes utilizing conventional, Imp-dependent pathways are enhanced in 3 different transformed cell lines compared with their nontransformed counterparts. The analysis of control molecules (GFP alone and NLS-mutated derivatives) established that the passive diffusion properties of the nuclear pore are not altered in the various lines and that the enhanced transport in the transformed lines is NLS dependent, with analysis of cell subpopulations with varying expression levels clearly indicating that differences between the transformed/nontransformed lines are not attributable to differing expression levels of the respective heterologous transport cargoes (62, 63). Rather, we propose that the basis of enhanced nuclear transport relates directly to increased levels of a subset of Imp/Exp molecules (Imp $\alpha$ 1, Imp $\beta$ 1, CAS, and Exp-1) and the Ran nucleotide exchange factor RCC1, wherein proof-of-principle experiments involving over-

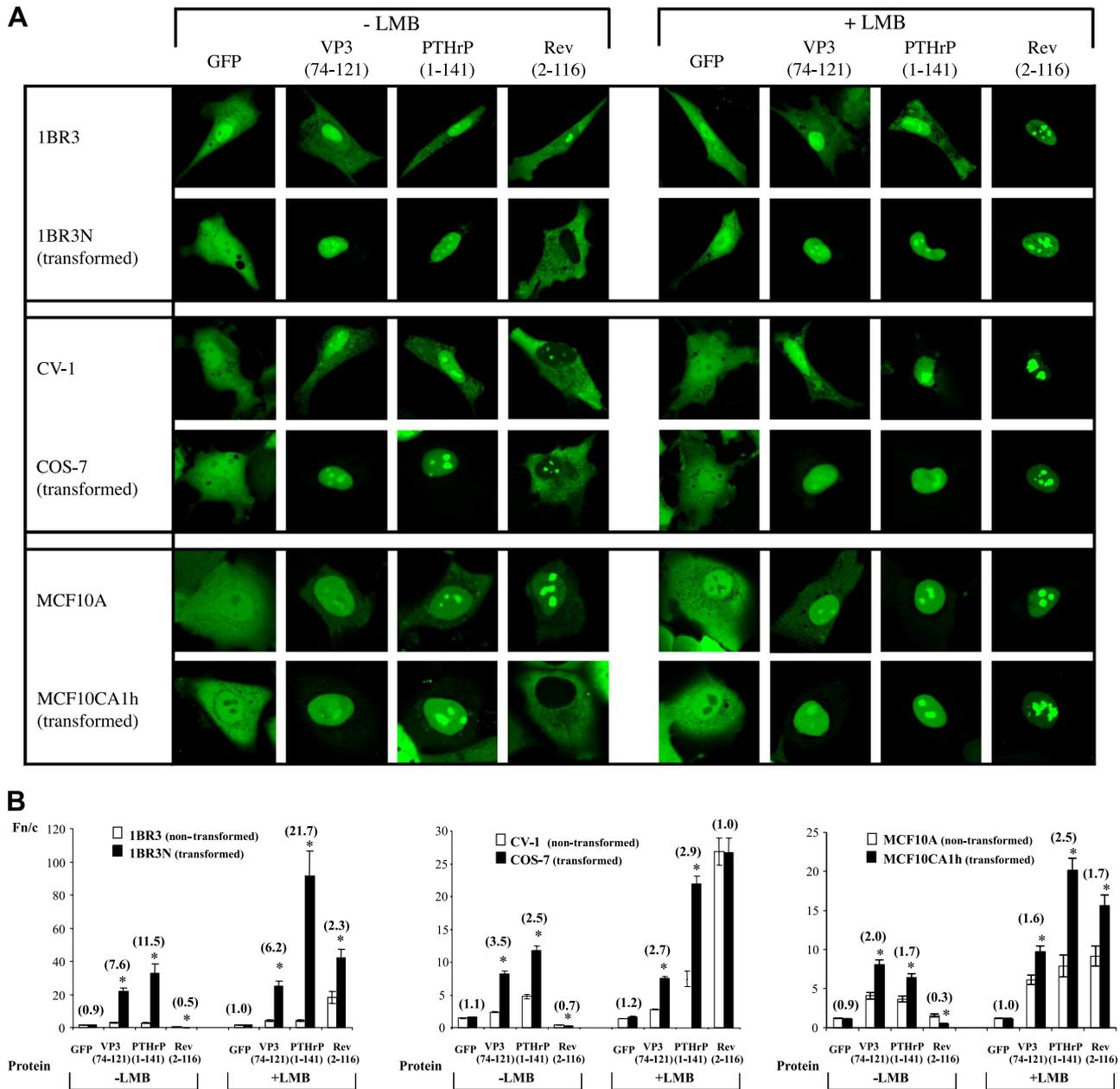
expression of specific Imps in nontransformed cells show increased nuclear transport efficiency. The clear implication is that increased nuclear transport efficiency may be a key feature of transformed cells.

Significantly increased nuclear import efficiencies and rates were observed for various different Imp $\alpha$ / $\beta$ 1- and Imp $\beta$ 1-recognized NLS-containing proteins in 3 different transformed cell lines compared with their nontransformed counterparts; 2 of the lines were SV40 transformed (1BR3N and COS-7) and the other (MCF10CA1h) was representative of tumorigenic breast carcinoma cells. The observations with respect to the latter cell line represent the first report of enhanced nuclear import in nonvirally transformed tumor cell lines and tissues, but, consistent with our results here, enhanced nuclear import efficiency has previously been reported for SV40-transformed mouse fibroblasts (64, 65); enhanced nuclear import through conventional Imp $\alpha$ / $\beta$ 1- and Imp $\beta$ 1-dependent path-

TABLE 4. Quantitative analysis of nuclear accumulation of NLS/NES-containing GFP-fusion proteins in the absence as compared to the presence of LMB treatment in nontransformed and transformed cell lines; increased sensitivity to LMB treatment responsiveness in transformed cells

GFP-fusion protein	Nontransformed			Transformed			$\frac{T_{[+LMB/-LMB]}}{NT_{[+LMB/-LMB]}}$
	-LMB	+LMB	+LMB/ -LMB	-LMB	+LMB	+LMB/ -LMB	
	1BR3			1BR3N			
GFP alone	1.52 ± 0.04 (135)	1.57 ± 0.06 (58)	1.00	1.42 ± 0.02 (156)	1.70 ± 0.06 (41)	1.20	1.2
VP3(74–121)	2.89 ± 0.23 (114)	4.02 ± 0.54 (91)*	1.40	21.9 ± 1.94 (142)	24.8 ± 3.36 (110)	1.10	0.8
PTHrP(1–141)	2.85 ± 0.23 (112)	4.22 ± 0.58 (67)*	1.50	32.7 ± 5.73 (50)	91.6 ± 15.10 (21)*	2.80	1.9
Rev(2–116)	0.37 ± 0.04 (37)	18.2 ± 3.51 (50)*	50.00	0.16 ± 0.03 (67)	42.2 ± 5.00 (43)*	256.00	5.2
	CV-1			COS-7			
GFP alone	1.45 ± 0.062 (65)	1.38 ± 0.033 (51)	1.00	1.60 ± 0.05 (25)	1.68 ± 0.037 (41)	1.00	1.0
VP3(74–121)	2.34 ± 0.059 (205)	2.80 ± 0.123 (159)*	1.20	8.20 ± 0.40 (162)	7.52 ± 0.28 (80)	0.92	0.8
PTHrP(1–141)	4.78 ± 0.35 (44)	7.46 ± 1.22 (30)*	1.56	11.8 ± 0.60 (77)	21.9 ± 1.24 (77)*	1.85	1.2
Rev(2–116)	0.40 ± 0.013 (122)	26.8 ± 2.04 (102)*	67.30	0.28 ± 0.021 (75)	26.7 ± 2.23 (196)*	96.00	1.4
	MCF10A			MCF10CA1h			
GFP alone	1.24 ± 0.03 (41)	1.21 ± 0.02 (38)	1.00	1.14 ± 0.02 (61)	1.15 ± 0.02 (54)	1.00	1.0
VP3(74–121)	4.09 ± 0.43 (58)	3.73 ± 0.50 (63)	0.90	8.06 ± 0.61 (72)	9.71 ± 0.75 (85)	1.20	1.3
PTHrP(1–141)	3.67 ± 0.38 (75)	7.90 ± 0.56 (58)*	2.15	6.42 ± 0.47 (67)	20.14 ± 1.52 (60)*	3.14	1.5
Rev(2–116)	1.56 ± 1.79 (75)	9.17 ± 1.28 (59)*	5.90	0.50 ± 0.05 (69)	15.6 ± 1.37 (91)*	31.10	5.3

Values for +LMB and -LMB represent mean ± SE  $F_{n/c}$ ; numbers in parentheses indicate  $n$ .  $\frac{T_{[+LMB/-LMB]}}{NT_{[+LMB/-LMB]}}$ , transformed [+LMB/-LMB];  $NT_{[+LMB/-LMB]}$ , nontransformed [+LMB/-LMB]. \* $P < 0.0001$  vs. -LMB.

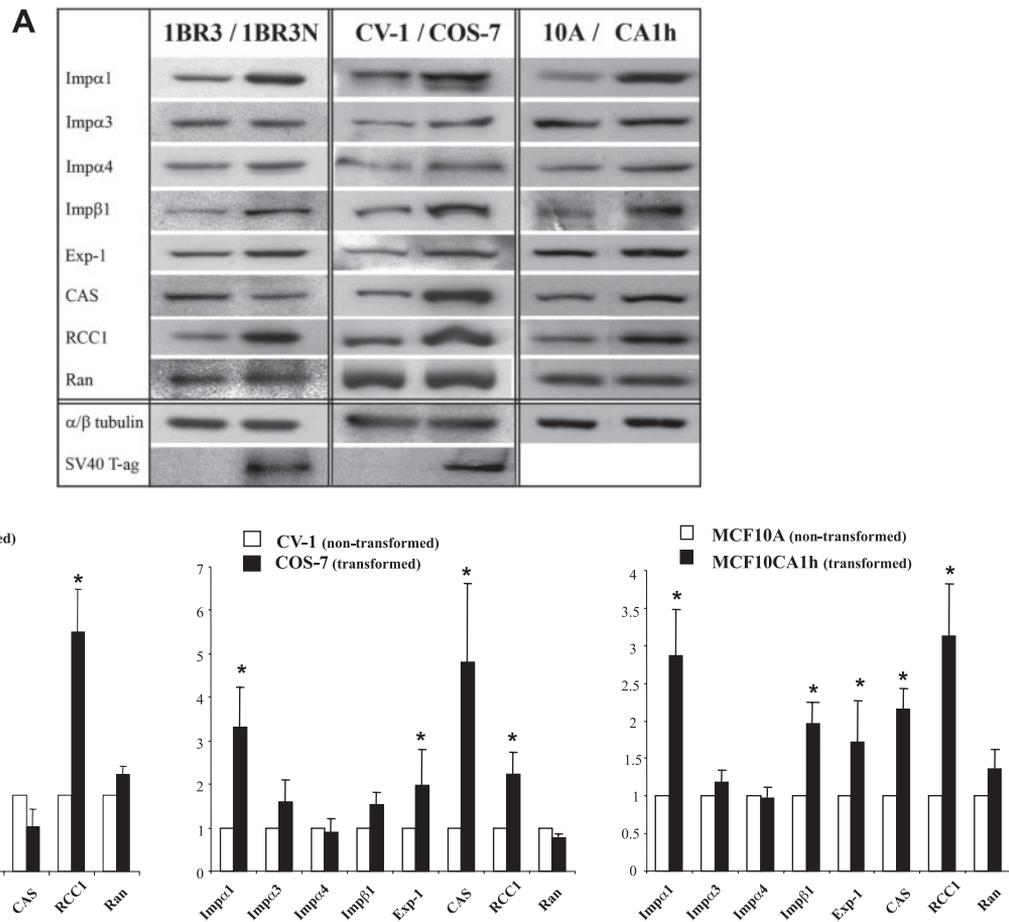


**Figure 3.** Reduced nuclear export is not the basis of enhanced nuclear accumulation of NLS/NES-containing proteins in transformed compared with nontransformed cells. *A*) Typical CLSM images of 1BR3/1BR3N (top), CV-1/COS-7 (middle), and MCF10A/CA1h (bottom) cells, transfected to express GFP alone or the indicated NLS/NES-containing GFP-fusion proteins (see Table 2), in the absence (–) or presence (+) of 2.8  $\mu\text{g}/\text{ml}$  of the specific Exp-1 inhibitor LMB at 3–4 h before imaging and analyzed live at 16–24 h post-transfection ( $\times 100$  oil-immersion objective). *B*) Quantitative analysis of the relative levels of nuclear accumulation  $F_{n/c}$  between transformed *vs.* nontransformed cells from digitized images such as those shown in *A* in 1BR3/1BR3N (left), CV-1/COS-7 (center), and MCF10A/CA1h (right) isogenic cell lines. Results represent means  $\pm$  SE ( $n > 20$ , typical results from a series of  $\geq 2$  separate experiments); fold change in  $F_{n/c}$  ratio (see Fig. 1*B* legend) for each cell pair is indicated in parentheses.  $*P \leq 0.003$  *vs.* nontransformed cells.

ways may be a key feature of transformation by DNA tumor viruses such as SV40 to ensure the maximal nuclear concentration and activity of key host and viral gene products (see ref. 66). We also analyzed the efficiency of nuclear protein export for the first time in our 3 transformed/nontransformed cell pairs and found enhanced responsiveness to the Exp-1 inhibitor LMB in the transformed cells, suggesting higher intrinsic Exp-1 activity than in nontransformed cells. This was

consistent with significantly increased Exp-1 protein levels in all of the transformed lines compared with their nontransformed counterparts.

Several studies in model cell types have shown that nuclear import efficiency can be influenced by Imp concentration (63, 67, 68). Here we observed significantly higher levels of both Imp $\alpha$ 1 and Imp $\beta$ 1 in transformed as opposed to nontransformed cells, in parallel with increased levels of the Ran guanine ex-

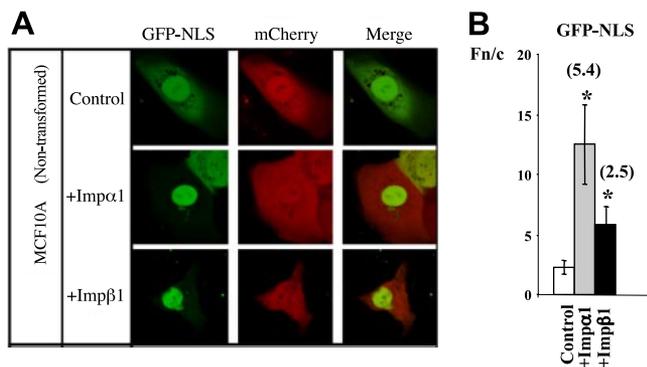


**Figure 4.** Imp $\alpha$ 1, Imp $\beta$ 1, Exp-1, CAS, and RCC1 are overexpressed in transformed compared with nontransformed cells. *A*) Endogenous nuclear transport factors in whole cell extracts of 1BR3/1BR3N (left panel), CV-1/COS-7 (middle panel) and MCF10A/CA1h (right panel) cell lines were detected by Western blot analysis using the indicated antibodies, with  $\alpha/\beta$ -tubulin as a loading control, and SV40 T-ag control denoting transformed/nontransformed cell status as appropriate. Results are from a single typical experiment from a series of  $\geq 3$  separate experiments. *B*) Quantitative analysis of the relative expression levels of nuclear transport factors based on Western blot analysis as per *A* for 1BR3/1BR3N (left), CV-1/COS-7 (center), and MCF10A/CA1h (right) cell pairs, as determined by densitometry, is given as the ratio of the intensity of the signal obtained for the nuclear transport factor protein normalized to that for  $\alpha/\beta$  tubulin in transformed cells, relative to nontransformed cells. Results represent means  $\pm$  SE ( $n \geq 3$ ). \* $P < 0.05$  vs. nontransformed cells.

change factor, RCC1, which is important for unloading of import cargoes from Imps in the nucleus, as well as the exportin CAS, which is important for recycling Imp $\alpha$  back to the cytoplasm after a round of nuclear import, and Exp-1. Since enhanced nuclear import efficiency in the transformed cell lines is dependent on a functional NLS, and ectopically expressed Imps clearly enhance nuclear transport efficiency in non-transformed MCF10A breast cells, it does not seem unreasonable to propose that the enhanced nuclear transport efficiency in the transformed cells observed here is a direct result of the increased levels of specific nuclear transport components, which are observed in many transformed cell lines and tumor samples (14, 19, 20, 22, 30, 31, 69, 70). Enhanced nuclear trafficking through higher levels of Imps and Exps could potentially affect the nuclear targeting of many growth- and proliferation-regulating signaling molecules and transcription factors, such as mitogen-activated protein kinase (58), or cytoplasmic localization of growth in-

hibitory factors such as p27<sup>KIP</sup> or p21<sup>CIP</sup>, and thereby promote the transformed cell phenotype. The extent to which enhanced (amplified) signaling between the nucleus and cytoplasm may influence tumor biology and cancer pathology remains to be determined, but it is an intriguing possibility that enhanced nuclear transport may be a critical factor in tumor cell growth, proliferation, and progression, and hence a potential target for therapeutic intervention to treat cancer (*e.g.*, see refs. 71–73).

Notably, the results here raise the exciting possibility that the enhanced nuclear transport properties of transformed cells may be exploited to facilitate tumor cell-specific drug delivery. It is already known that the addition of NLSs to modular recombinant transporters (MRTs, comprised of assemblies of independently functioning protein domains capable of mediating cellular entry, endosomal escape, and efficient nuclear targeting of photosensitizing drugs; refs. 32, 34, 74) can improve cell killing >50-fold by inducing the localized



**Figure 5.** Overexpression of Imp $\alpha$ 1 or Imp $\beta$ 1 in nontransformed cells enhances protein nuclear accumulation. *A*) CLSM images of normal MCF10A cells cotransfected to express GFP-T-ag(114–135) and Imp $\alpha$ 1, Imp $\beta$ 1, or control (empty vector) imaged at 40 h post-transfection. mCherry expression from the pIRES-Imp-mCherry bicistronic plasmid was used to identify transfected cells. *B*) Quantitative analysis of the relative levels of nuclear accumulation  $F_{n/c}$  of control (empty vector-transfected) or Imp-expressing MCF10A cells. Results represent means  $\pm$  se ( $n > 41$ , results are from a single typical experiment in a series of 3 separate experiments). Fold changes in  $F_{n/c}$  compared to MCF10A control are indicated in parenthesis. \* $P < 0.05$  vs. MCF10A control.

generation of DNA-damaging reactive oxygen species (ROS) in the cell nucleus, the most sensitive site for ROS-induced damage (75, 76). Since the efficacy of cell killing through MRTs relies to a large extent on the efficiency of drug delivery to the nucleus, the fact that certain transformed cells possess intrinsically more efficient nuclear import implies that MRT killing action should be greater in transformed than nontransformed cells, supporting the idea of the utility of nuclear drug delivery in anticancer therapy.

Finally, the findings here have strong relevance to studies where SV40-induced transformation is used to increase cellular life span and growth potential to generate apparently “normal” model cell types, *i.e.*, from primary cells and mouse embryonic fibroblasts for a whole range of different applications (*e.g.*, studies of development). Based on the results here, it would seem judicious to be cautious in interpreting results using such cells, since SV40-transformed cells will almost certainly have significantly enhanced nuclear transport properties, which in turn may alter cell phenotype in various, yet-to-be-identified ways. In short, it is important to note that the use of SV40 in cell lines may well not be suitable for many studies for which they are currently used as accepted practice.

In summary, the results show that several transformed cell types from different origins possess enhanced Imp- and also Exp-dependent nuclear transport, correlated with increased levels of Imp and Exp proteins. The results are important for future anticancer therapies relying on nuclear drug delivery, as well as cell biological studies relying on transformation by SV40. Our future work will focus on how altered nucleocytoplasmic transport efficiency can be exploited optimally to achieve tumor-selective

nuclear drug delivery using nonviral delivery approaches. FJ

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