



Alfred P. Sloan FOUNDATION

Leisle Lin

Senior Vice President, Finance & Operations

630 Fifth Avenue, Suite 2200, New York, NY 10111
212.649.1649 | lin@sloan.org | www.sloan.org

August 6, 2018

Mark Nave
Assistant Director
Office of Research Services
University of Pennsylvania
3451 Walnut Street
Philadelphia, Pennsylvania, 19104-6205

Grant Number: G-2018-11163

Dear Mr. Nave:

It is a pleasure to inform you that the Foundation has authorized a grant of \$48,784.00 to the University of Pennsylvania for the following purpose: To support continued development of Manubot, a git-native authoring tool for scientific manuscripts. Daniel Himmelstein has already been advised of this grant by Joshua Greenberg.

Please ensure that this grant award is executed (on last page) by an appropriate officer of the University of Pennsylvania and then returned to us via email.

When reviewing the grant summary page, please pay particular attention to the dates when you are required to submit reports. We expect that all expenses incurred will be consistent with your institution's policy on such expenditures and expense reimbursements. If any of the key personnel identified in the grant application leave the institution(s) to which the grant was made, the Alfred P. Sloan Foundation must be notified within 30 days of that person's departure.

When reviewing the grant conditions, please note the condition regarding acknowledgement of support. As a condition for granting an interview for any Foundation-supported project, grantees are responsible for assuring in advance that Foundation support is explicitly acknowledged in any print or online publication or in any other media. Please see the General Conditions, Article 11, for specific guidelines.

In all correspondence with us concerning this grant, reference should be made to the grant number assigned by the Foundation. As part of our follow-up process, we would like to receive copies of articles and press releases relating to this project or the principal investigator.

We wish the University of Pennsylvania every success in the use of the grant funds.

Sincerely,

Leisle Lin
Senior Vice President
Finance and Operations

cc: Mr. Daniel Himmelstein; Ms. Elizabeth Peloso
Enclosure: Grant Form

**ALFRED P. SLOAN FOUNDATION
GRANT SUMMARY**

Grant Number: G-2018-11163

Approved on:	August 2, 2018	
Program Director:	Joshua Greenberg	
Grantee:	TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA	
Tax Status:	501(c)(3) Public Charity	
Principal Investigator / Primary Contact:	Daniel Himmelstein	
Purpose:	To support continued development of Manubot, a git-native authoring tool for scientific manuscripts	
Duration:	12 months 9/1/2018 - 8/31/2019	
Amount:	\$48,784.00	
Payment Schedule:	\$48,784.00	Date: 8/15/2018
Check Payable to:	TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA Mail to: Office of Research Services University of Pennsylvania 3451 Walnut Street Philadelphia, PA 19104	
Grant Agreement and Report Due Dates:	Grant Agreement	Date: 8/10/2018
	Financial Final	Date: 10/1/2019
	Substantive Final	Date: 10/1/2019

Please upload reports at sloan.fluxx.io

GENERAL CONDITIONS

- Purpose:** This grant is provided only for the purpose described on the grant summary and the grantee agrees to use grant funds only for this purpose. The grant may be terminated if the Foundation, in its sole discretion, determines that the grantee failed to comply with the purpose or the terms and conditions of the grant.
- Accounting and Audit:** The grantee will record the receipt of this grant, together with any expenditures that relate to it, in such a manner (e.g. in a separate ledger account) as to enable the Foundation to verify that the funds received have been expended for the purpose for which the grant was made. All expenditures must be in accordance with the established policies of the grantee.
- Records:** Records pertaining to this grant, along with copies of reports submitted to the Foundation, must be retained in the grantee's files for four years after the termination of the grant. The Foundation reserves the right to audit these records during and after the term of the grant or to have an audit made by independent auditors.
- Budget:** If this grant is made on the basis of an approved budget, it is understood that no substantial variations will be made in the budget without the Foundation's prior approval.
- Unused Funds:** Upon termination of the grant, funds in excess of U.S. \$100 not expended for the purposes of this grant shall be returned to the Foundation in U.S. dollars. Unused funds of U.S. \$100 or less may be retained and used for general purposes.

6. **Tax Exemption:** The grantee will notify the Foundation immediately of any change or any expected change in its status as a not-for-profit tax-exempt organization or as an organization not classified as a private foundation as defined in the Internal Revenue Code.

7. **Reporting:** Within 30 days after the end of the grant period, or the completion of the use of the grant funds, whichever comes sooner, the grantee agrees to give the Foundation a final written report (in English) summarizing the work done and appraising the results. The grantee also agrees to give the Foundation a final financial report (in U.S. dollars) containing a statement of payments received, and expenditures made or incurred. If for any reason the period of the grant exceeds one year, the grantee agrees to give the Foundation interim narrative reports of progress to date and interim financial reports. The grant summary notes the dates by which the Foundation should receive these reports.

8. **Lobbying:** The Grantee agrees that no portion of these funds will be used for any attempt to influence legislation, to influence the outcome of any specific election or to carry on directly or indirectly any voter registration drive. Should the results of the project be used for technical assistance, the Grantee agrees that it shall be at the written request of such body or duly constituted committee thereof, and the results will be made available to the entire body.

9. **CounterTerrorism:** The Grantee agrees that it will use the grant in compliance with all applicable antiterrorist financing and asset control laws and regulations.

10. **Key Personnel:** If any of the key personnel identified in the grant application leave the institution(s) to which the grant was made, the Alfred P. Sloan Foundation must be notified within 30 days of that person's departure.

11. **Acknowledgment of Support:** The grantee will acknowledge the Foundation and its contribution to the project supported by the grant (the *Project*) in any materials (in any media) produced in connection with the Project (*Materials*) and in any public statements made regarding the Project, including but not limited to interviews, speeches, press releases, Web site announcements and publications (*Media Releases*). The grantee agrees to establish the Foundation's acknowledgment rule prior to interviews or other promotional efforts. The grantee also agrees to provide the Foundation the form of its acknowledgment in all Materials and Media Releases prior to distribution of any Materials or Media Release. Acknowledgments in all Media Releases and Materials will refer to the Foundation by its full name *Alfred P. Sloan Foundation.*

12. **Use of Name:** Neither party shall use the name, trade name, trademark or other designation of the other party or its affiliates in connection with any products, promotion or advertising without the prior written permission of the other party.

13. **Equal Employment Opportunity:** The grantee institution certifies that it complies with the principles of Equal Employment Opportunity and that it has a policy against discriminatory harassment in place. The grantee institution will ensure that any individual engaged in work pursuant to this grant will act in accordance with those policies and professional norms and ethics.

14. **Termination:** This grant may be terminated by either party upon thirty (30) days prior written notice to the other party. The Foundation shall reimburse the Grantee for any non-cancellable obligations incurred by the Grantee prior to termination.

ALFRED P. SLOAN FOUNDATION
630 Fifth Avenue, Suite 2200
New York, NY 10111
www.sloan.org

Grant Number: G-2018-11163

The Alfred P. Sloan Foundation has authorized a grant to

TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA

Subject to the stated terms and conditions of this document and upon signing by an appropriate officer of the grantee:



Senior Vice President, Alfred P. Sloan Foundation

Purpose: To support continued development of Manubot, a git-native authoring tool for scientific manuscripts

Grant Amount: \$48,784.00

Accepted and Agreed by Grantee: TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA

Accepted and Agreed

TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA

Officer's Full Name:

Title

Signature

Date