

Supporting Information

Process Research and Impurity Control Strategy of Esketamine

Shenghua Gao,[†] Xuezhi Gao,[†] Zhezhou Yang,^{,†} and Fuli Zhang^{*,†}*

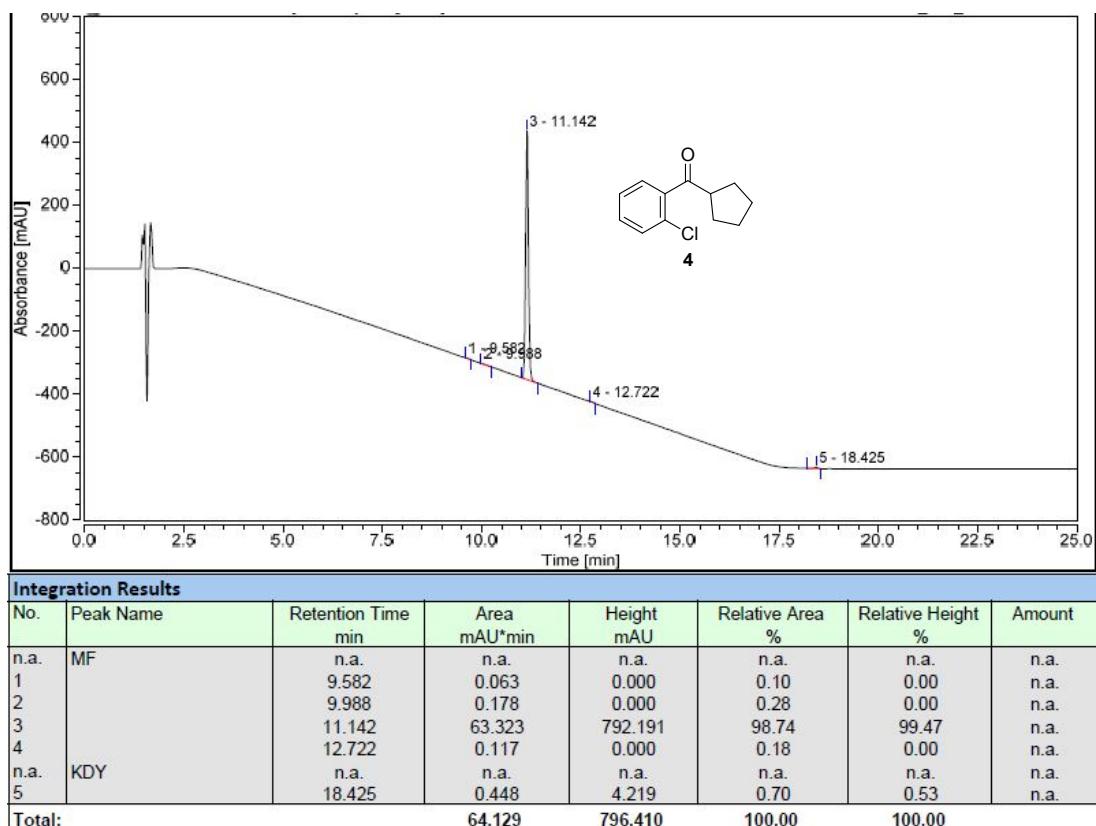
[†]Shanghai Institute of Pharmaceutical Industry, China State Institute of
Pharmaceutical Industry, 285 Gebaini Road, Pudong District, Shanghai
201203, China

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1.1 HPLC data, HRMS, and NMR of Compound 4

1.1.1 HPLC data after vacuum distillation



1.1.2 HRMS

Elemental Composition Report

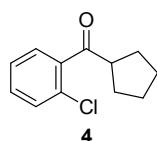
Page 1

Multiple Mass Analysis: 3 mass(es) processed

Tolerance = 10.0 PPM / DBE: min = -1.5, max = 50.0

Element prediction: Off

Number of isotope peaks used for i-FIT = 3



Exact Mass: 208.07

Monoisotopic Mass, Even Electron Ions

175 formula(e) evaluated with 2 results within limits (up to 50 closest results for each mass)

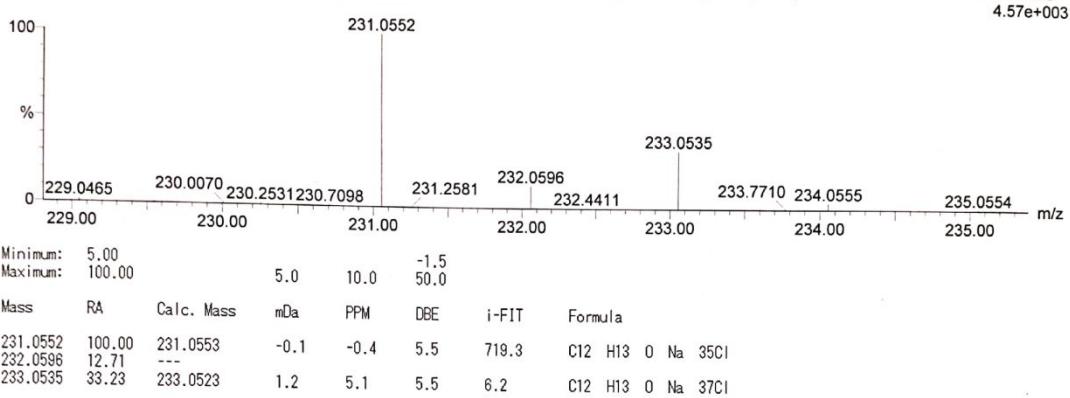
Elements Used:

C: 0-30 H: 0-30 O: 0-1 Na: 1-1 35Cl: 0-3 37Cl: 0-3

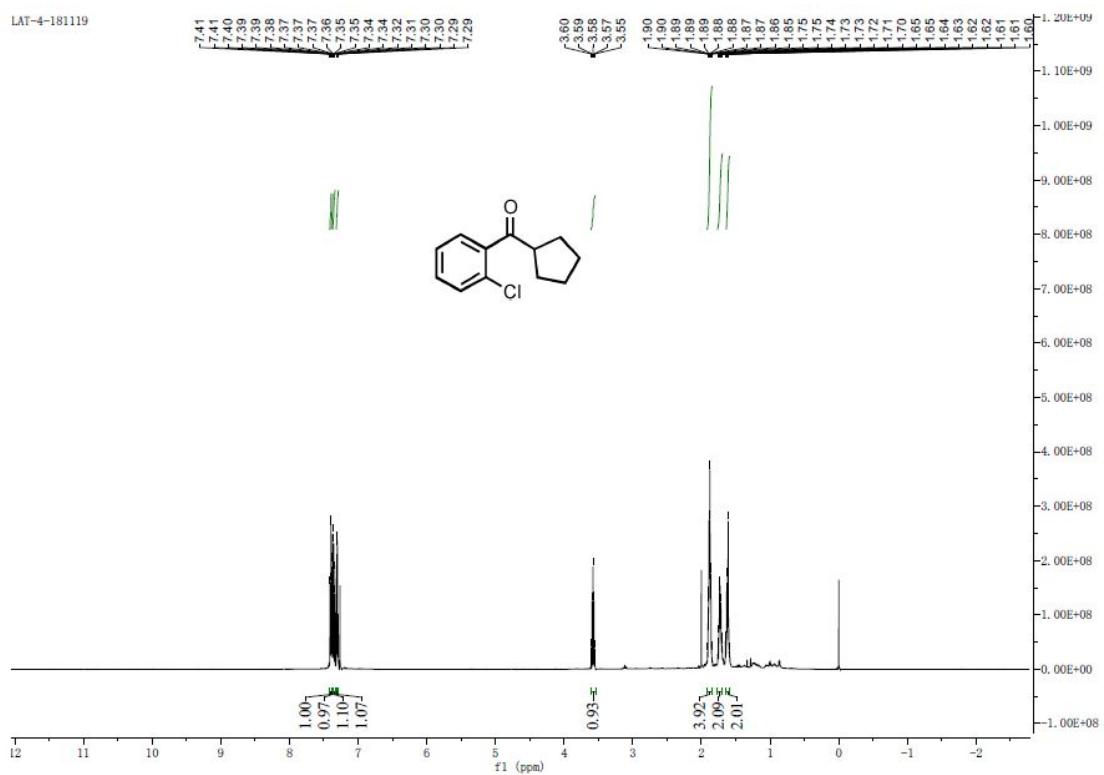
SPIPI Q-ToF micro
4-190910 YA019

15:04:16,17-Oct-2019

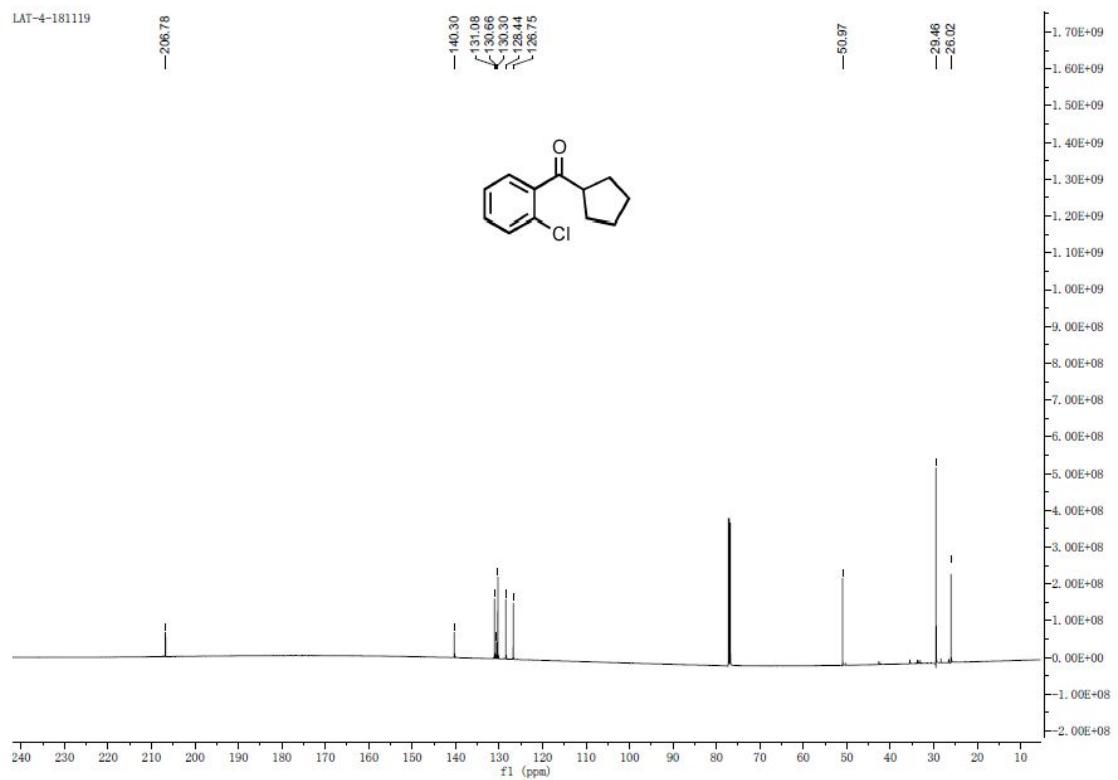
TOF MS ES+
4.57e+003



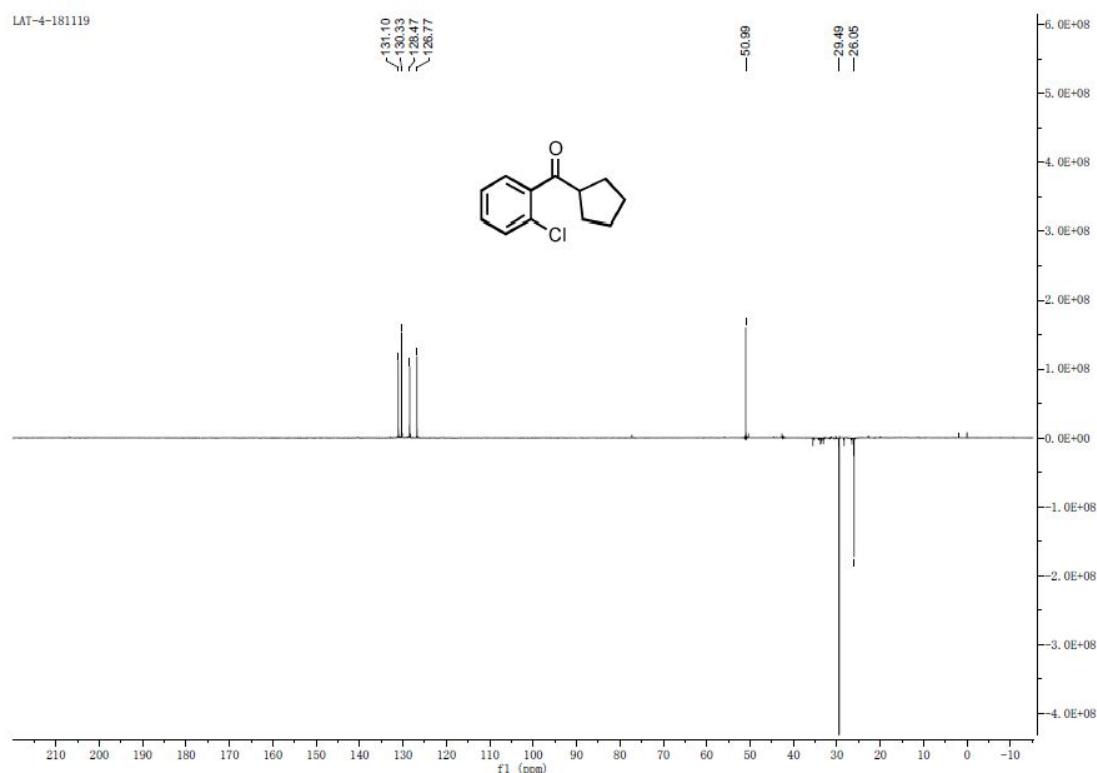
1.1.3 ^1H NMR (CDCl_3)



1.1.4 ^{13}C NMR (CDCl_3)

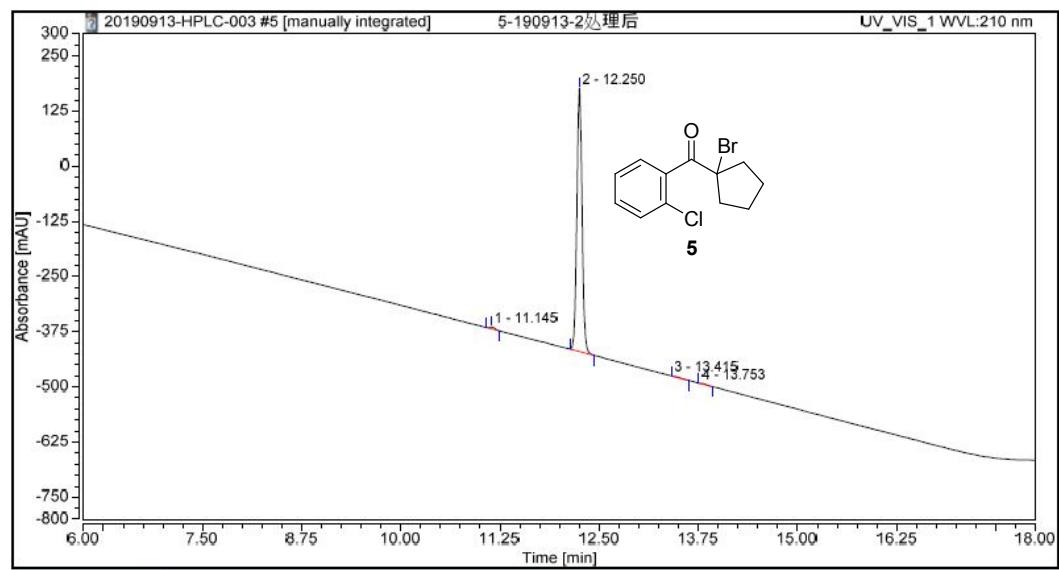


1.1.5 DEPT (CDCl_3)



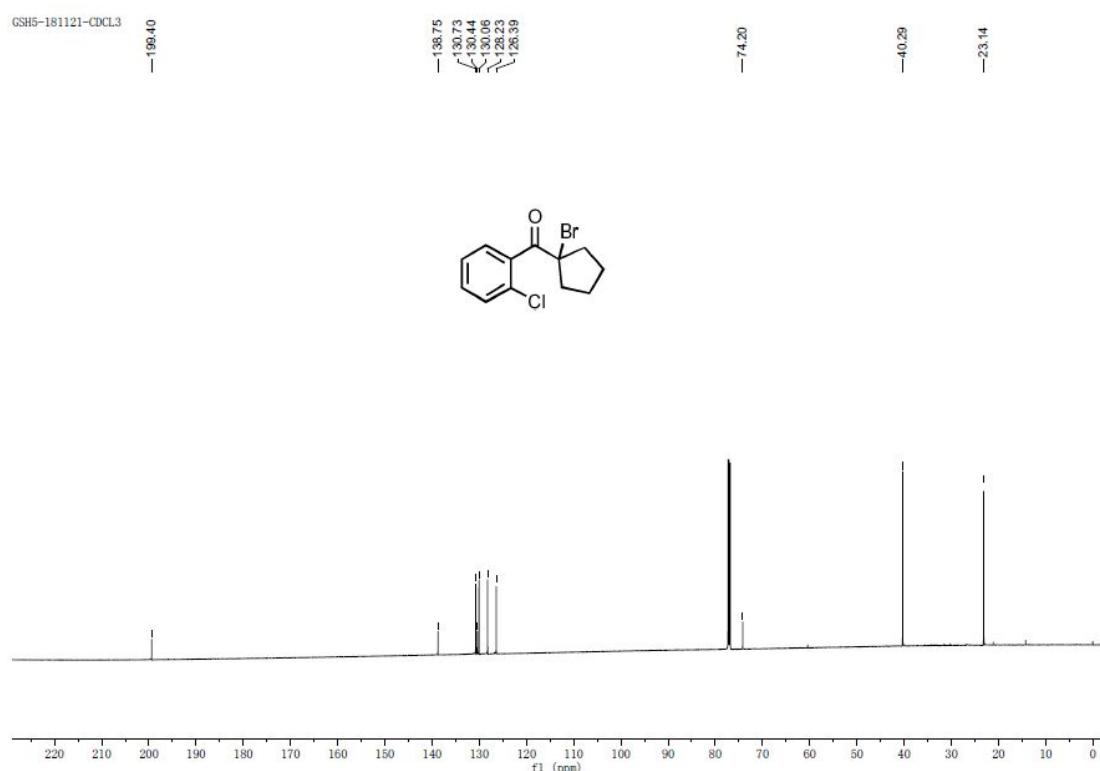
1.2 HPLC, HRMS, and NMR spectra of Compound 5

1.2.1 HPLC

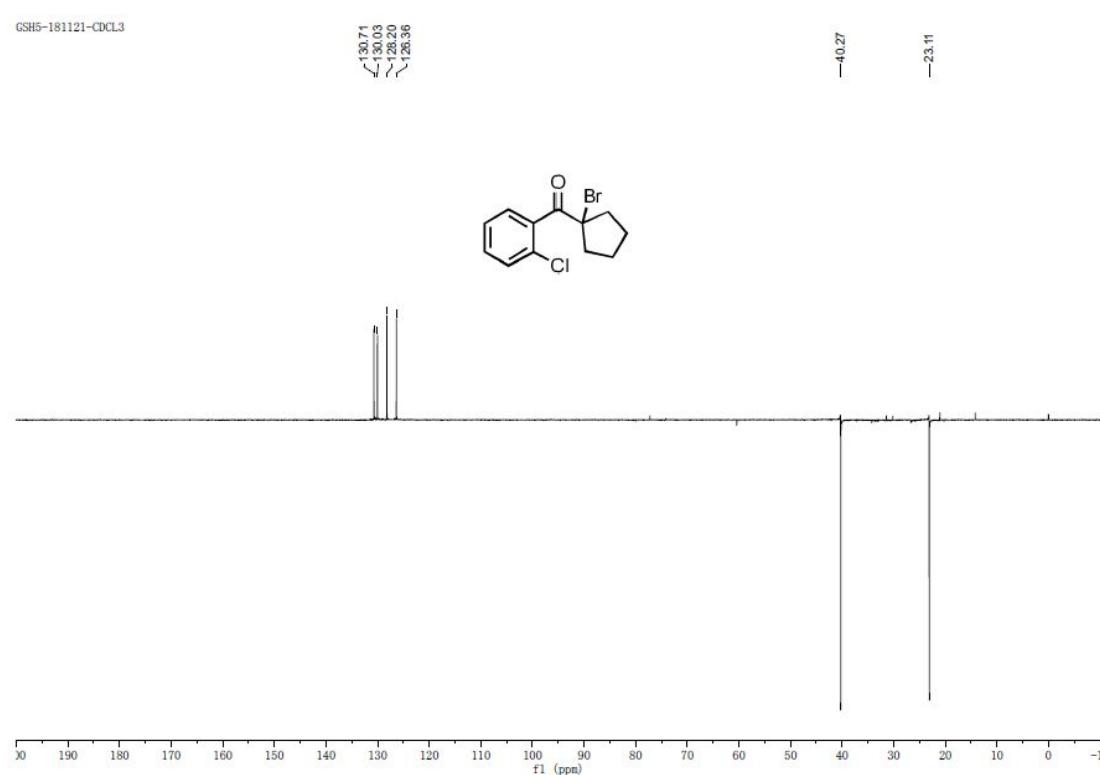


Integration Results							
No.	Peak Name	Retention Time min	Area mAU*min	Height mAU	Relative Area %	Relative Height %	Amount
n.a.	MF	n.a.	n.a.	n.a.	n.a.	n.a.	n.a.
1		11.145	0.335	4.695	0.74	0.78	n.a.
2		12.250	45.031	597.145	98.93	99.22	n.a.
3		13.415	0.059	0.000	0.13	0.00	n.a.
4		13.753	0.095	0.000	0.21	0.00	n.a.
n.a.	KDY	n.a.	n.a.	n.a.	n.a.	n.a.	n.a.
Total:		45.520	601.840	100.00	100.00	100.00	

1.2.4 ^{13}C NMR (CDCl_3)

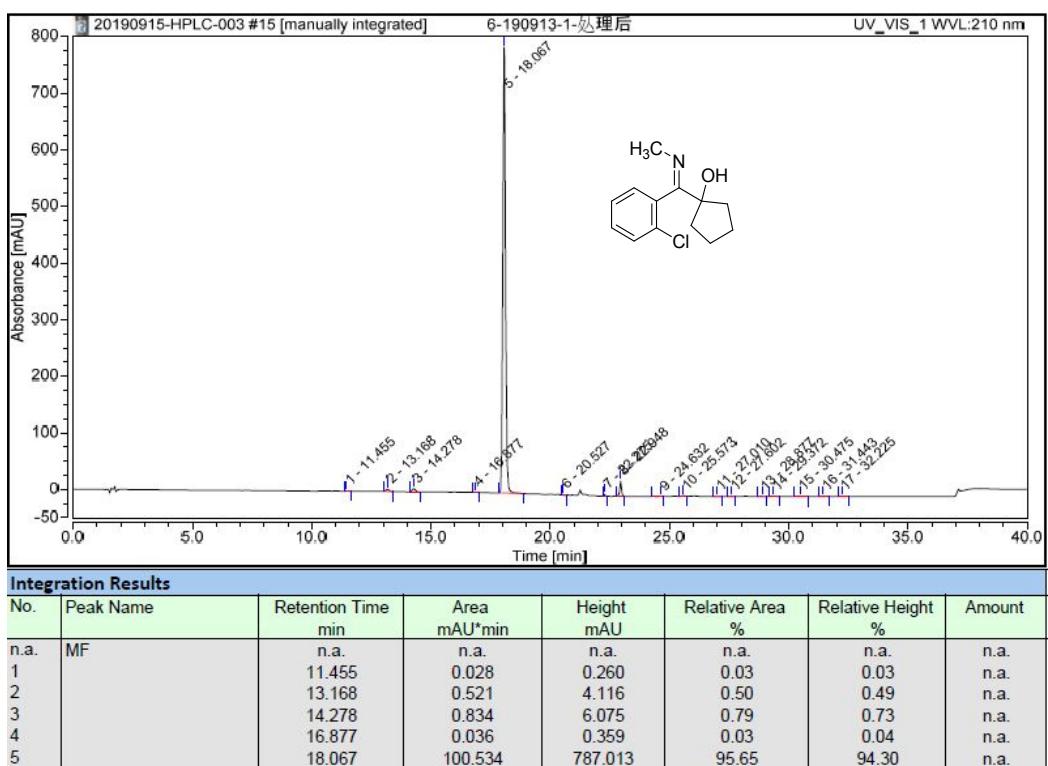


1.2.5 DEPT (CDCl_3)

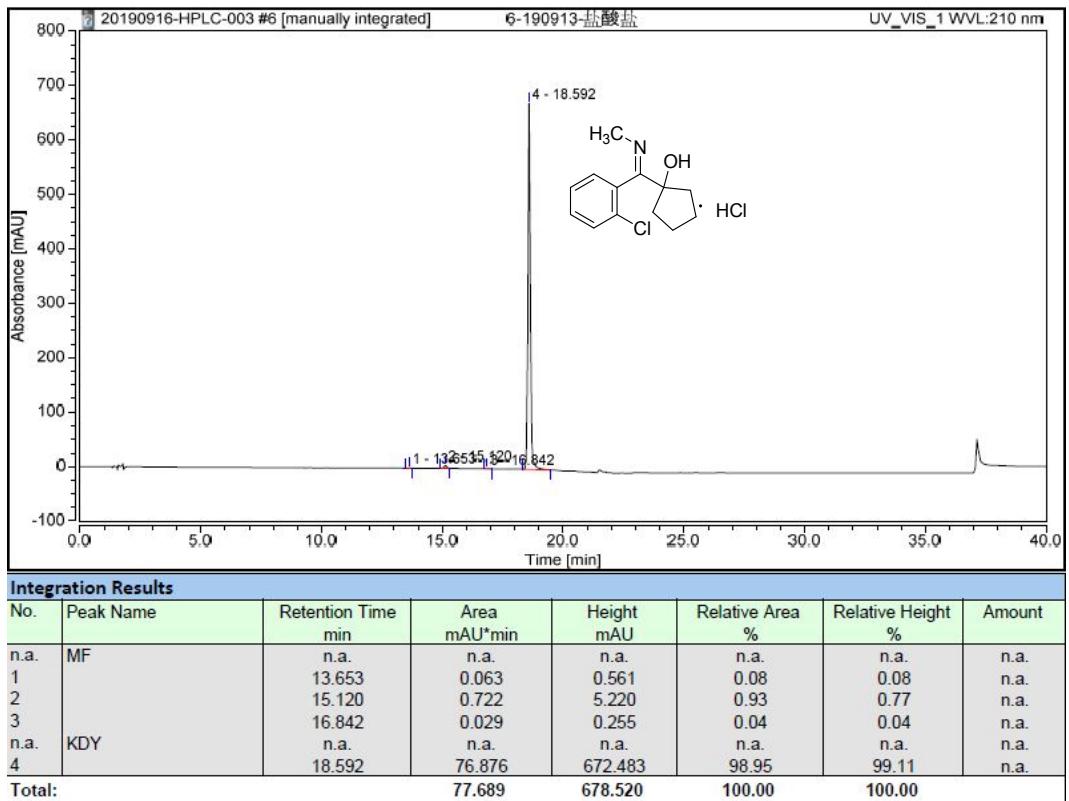


1.3 HPLC data, HRMS, and NMR of Compound 6

1.3.1.1 HPLC (Base)



1.3.1.1 HPLC (Hydrochloride)



1.3.2 HRMS

Elemental Composition Report

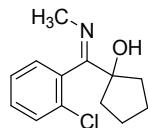
Page 1

Multiple Mass Analysis: 4 mass(es) processed

Tolerance = 10.0 PPM / DBE: min = -1.5, max = 50.0

Element prediction: Off

Number of isotope peaks used for i-FIT = 3



Exact Mass: 237.09

Monoisotopic Mass, Even Electron Ions

159 formula(e) evaluated with 2 results within limits (up to 50 closest results for each mass)

Elements Used:

C: 0-30 H: 0-30 N: 0-1 O: 0-1 35Cl: 0-1 37Cl: 0-1

SIFI

6-190916

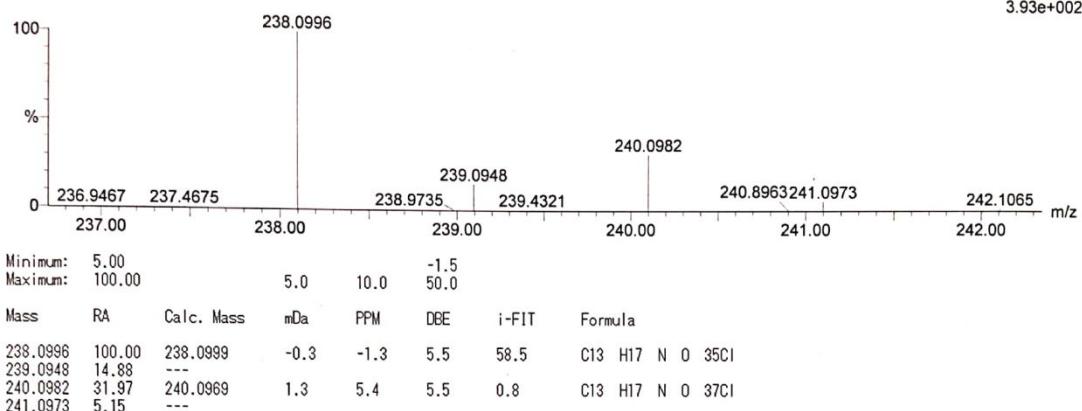
Q19-1427HR 15 (0.279) AM (Cen,2, 80.00, Ar,5000.0,233.17,2.00); Sm (Mn, 2x3.00); Sb (1,5.00); Cm (15:18)

Q-Tof micro

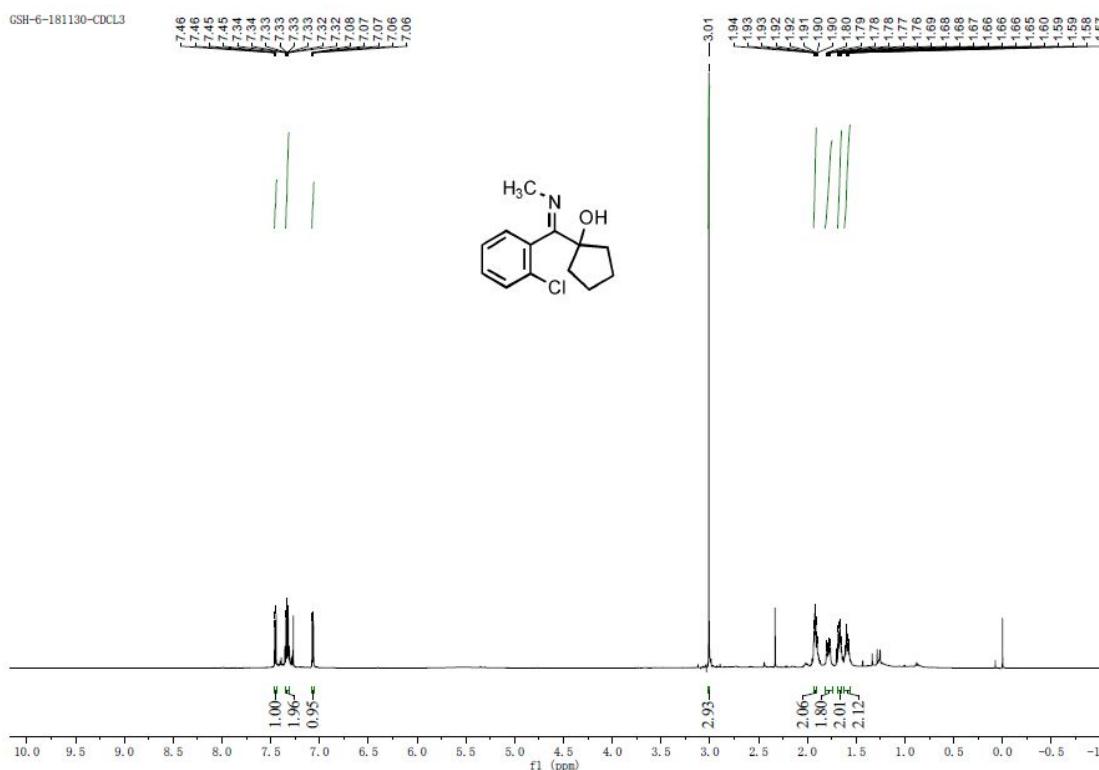
YA019

16:02:25,17-Oct-2019

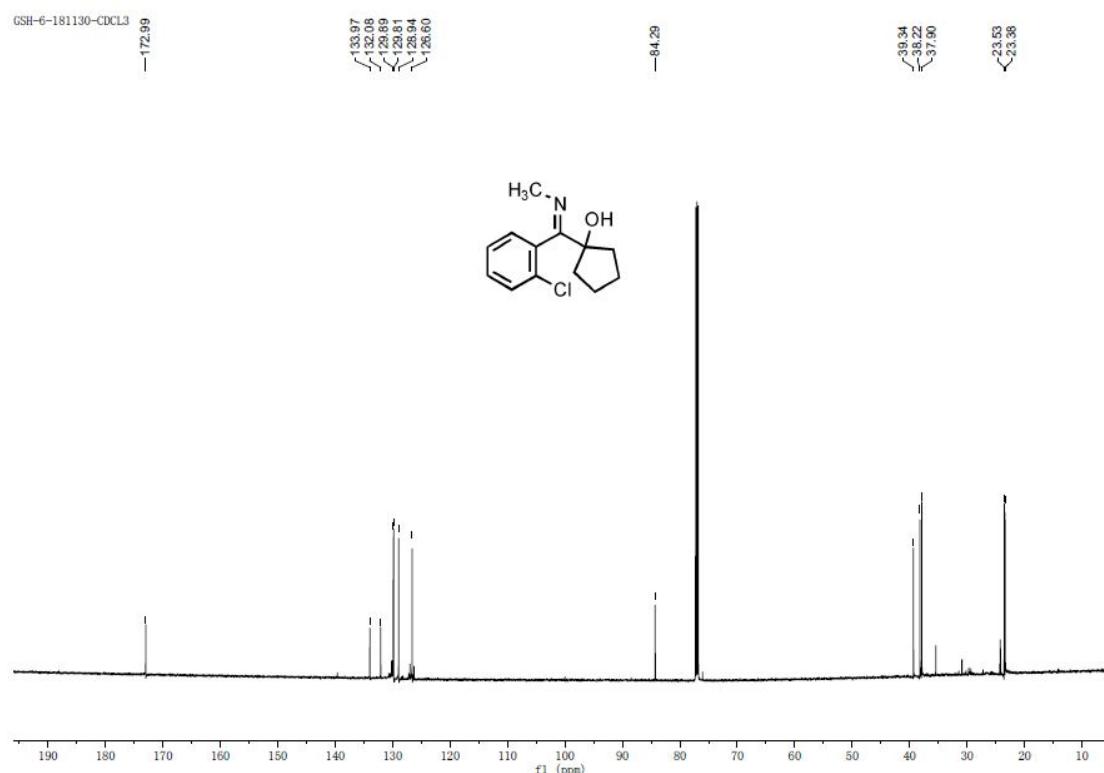
TOF MS ES+
3.93e+002



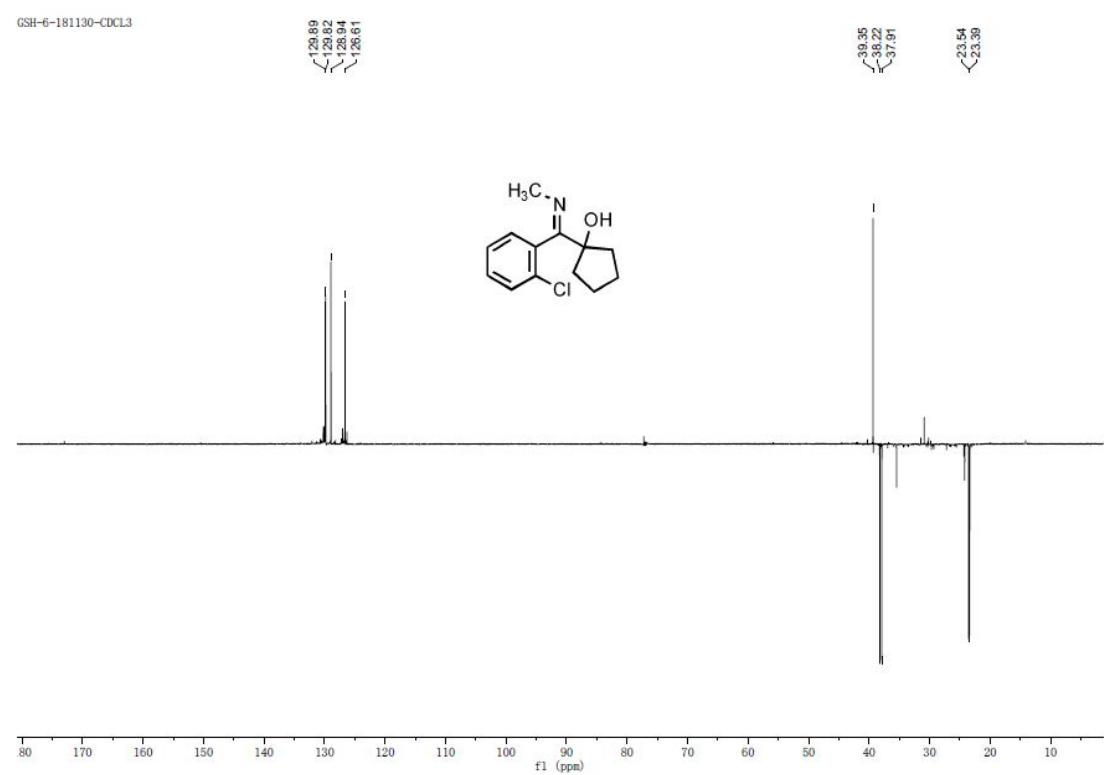
1.3.3 ^1H NMR



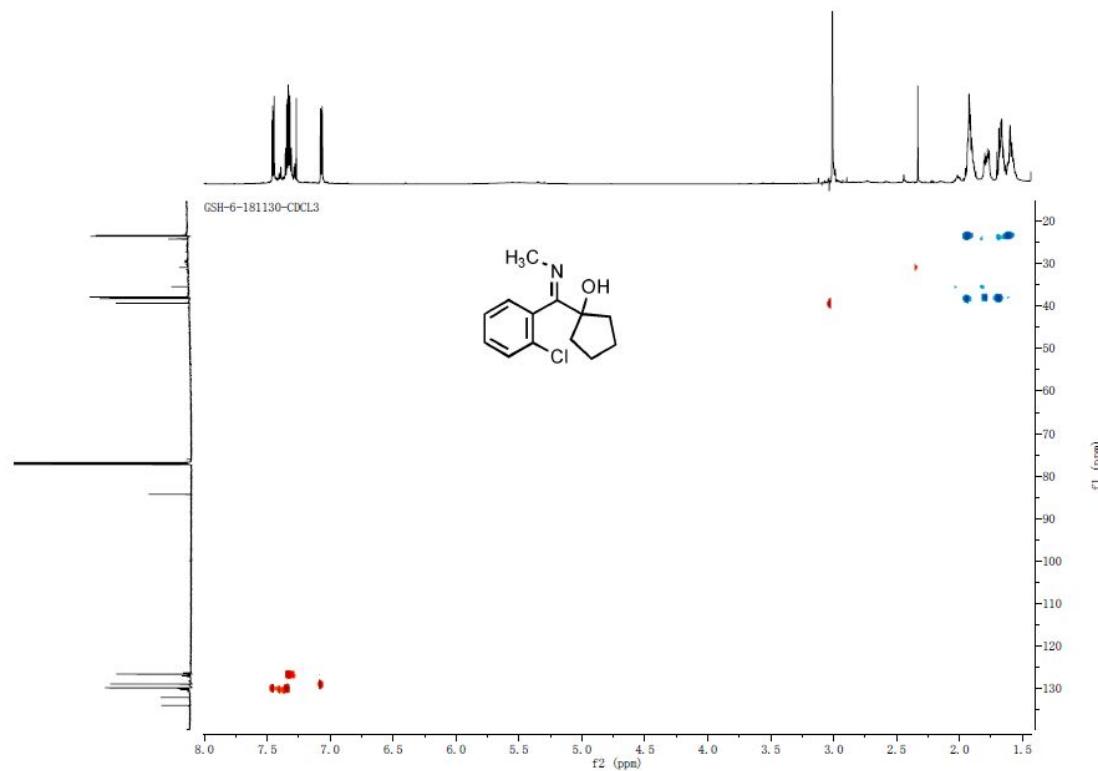
1.3.4 ^{13}C NMR



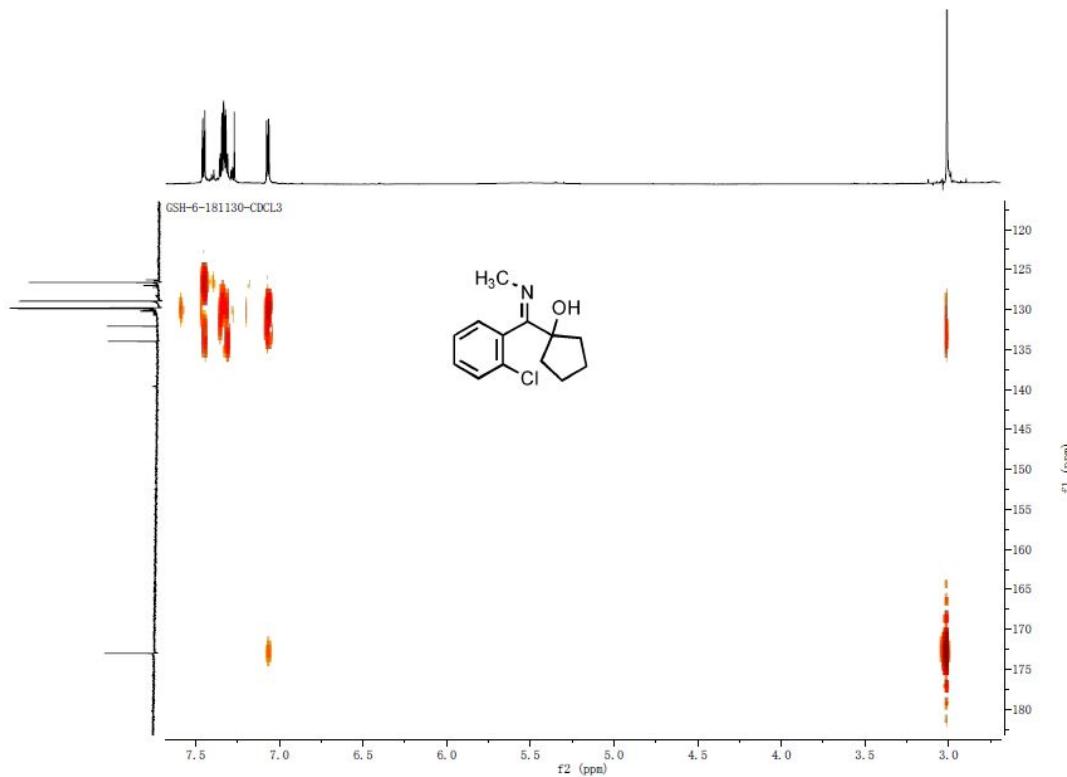
1.3.5 DEPT



1.3.6 HSQC

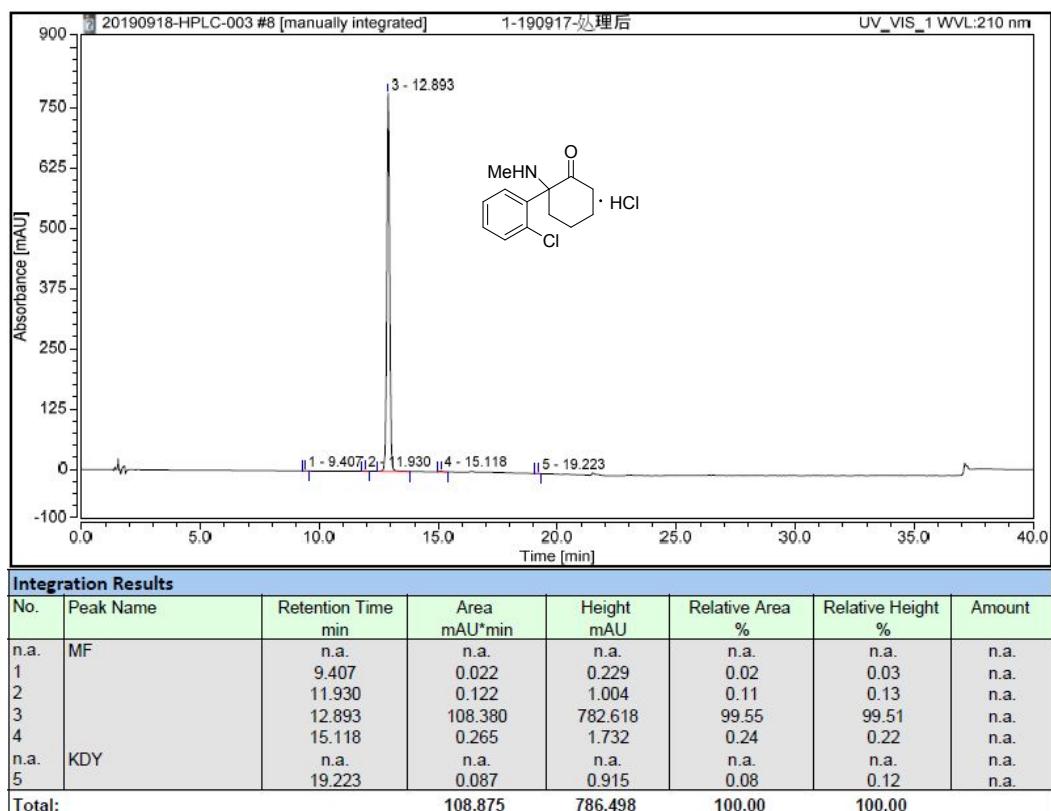


1.3.7 The enlarged view of HMBC

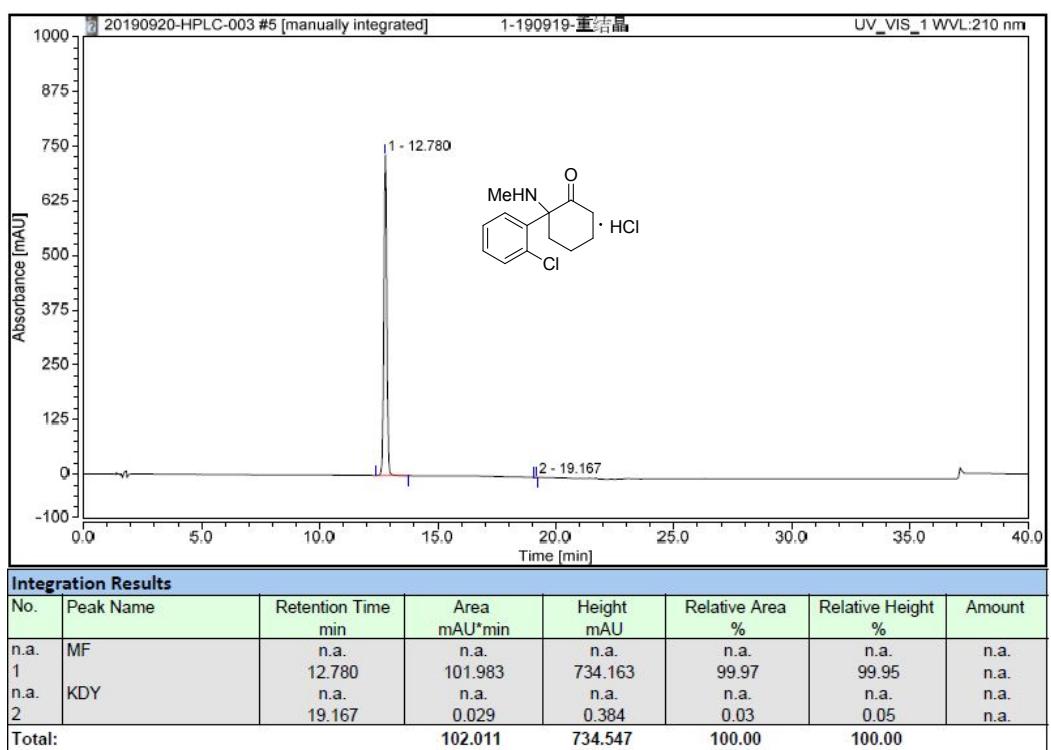


1.4 HPLC, HRMS, NMR, DSC and Elemental analysis spectra of Ketamine

1.4.1.1 HPLC data (Crude product)



1.4.1.2 HPLC data (Recrystallized product by acetone/H₂O)

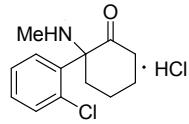


1.4.2 HRMS

Elemental Composition Report

Page 1

Multiple Mass Analysis: 4 mass(es) processed
 Tolerance = 10.0 PPM / DBE: min = -1.5, max = 50.0
 Element prediction: Off
 Number of isotope peaks used for i-FIT = 3



Monoisotopic Mass, Even Electron Ions
 159 formula(e) evaluated with 2 results within limits (up to 50 closest results for each mass)

Elements Used:

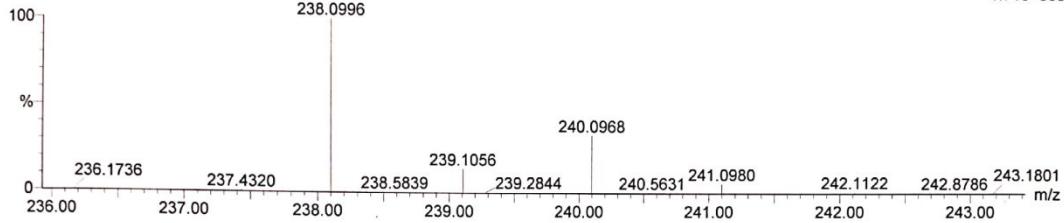
C: 0-30 H: 0-30 N: 0-1 O: 0-1 35Cl: 0-1 37Cl: 0-1

SPI: LAT-190922 Q-ToF micro YA019

16:19:16,17-Oct-2019

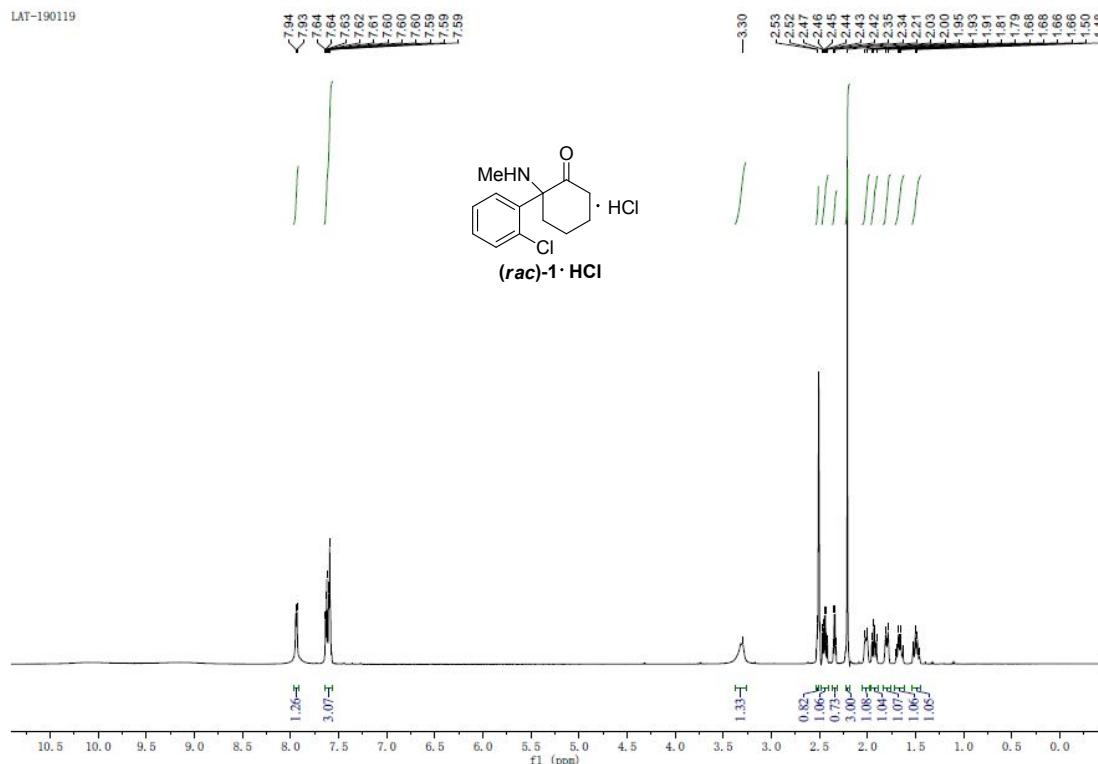
Q19-1428HR 39 (0.724) AM (Cen,2, 80.00, Ar,5000.0,233.17,2.00); Sm (Mn, 2x3.00); Sb (1,5.00); Cm (34:47)

TOF MS ES+
 1.71e+003

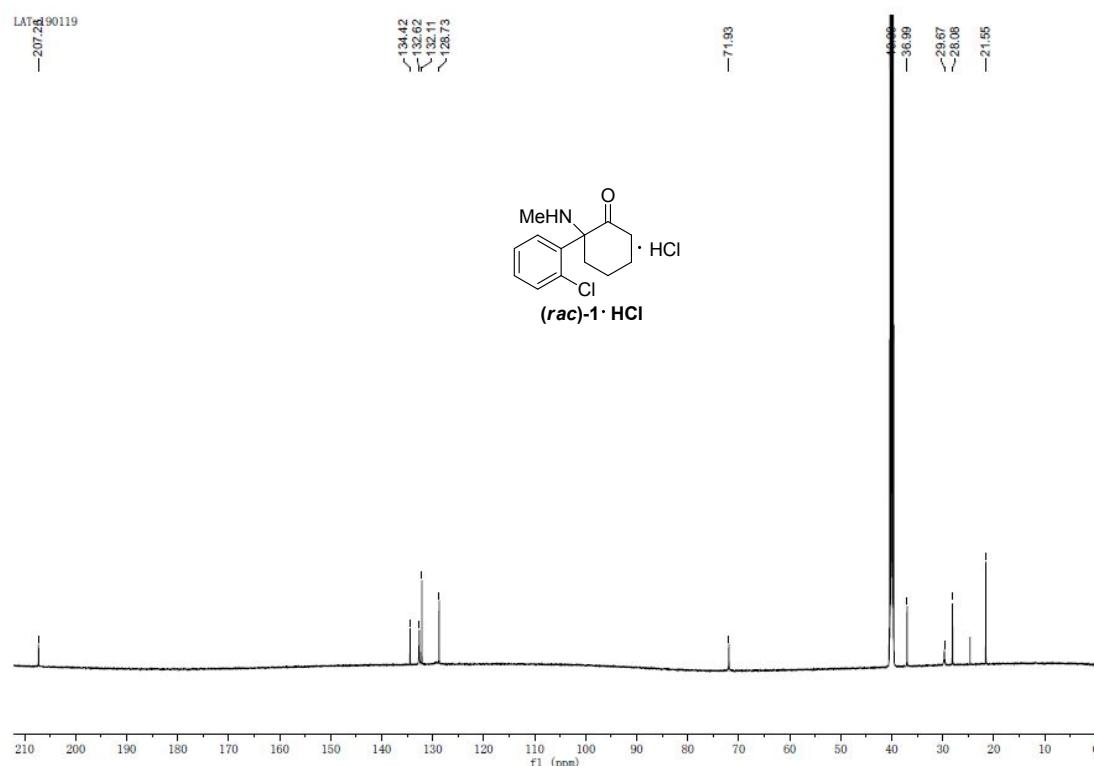


	Minimum:	5.00	Maximum:	100.00		5.0	10.0	-1.5	50.0			
Mass	RA	Calc. Mass	mDa	PPM	DBE	i-FIT	Formula					
238.0996	100.00	238.0999		-0.3	-1.3	5.5	266.3	C13	H17	N	O	35Cl
239.1056	13.56	---		13.56	13.56	5.5	266.3					
240.0968	33.13	240.0969		-0.1	-0.4	5.5	0.6	C13	H17	N	O	37Cl
241.0980	5.32	---		5.32	5.32							

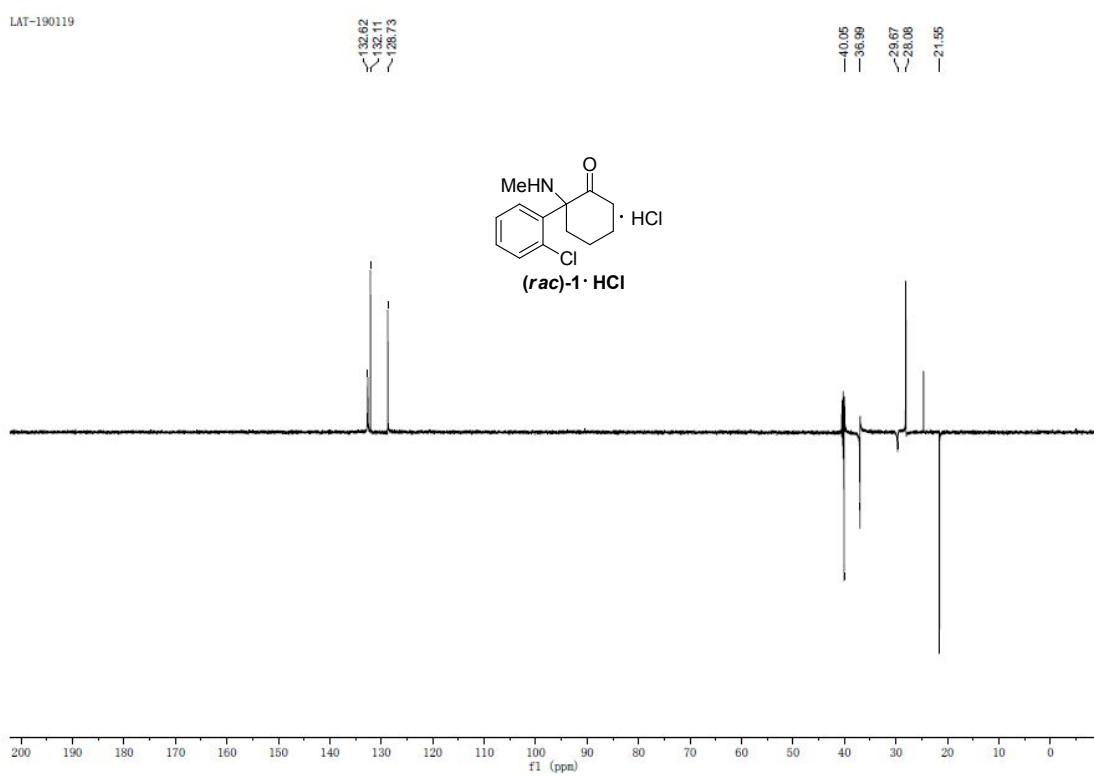
1.4.3 ^1H NMR (DMSO)



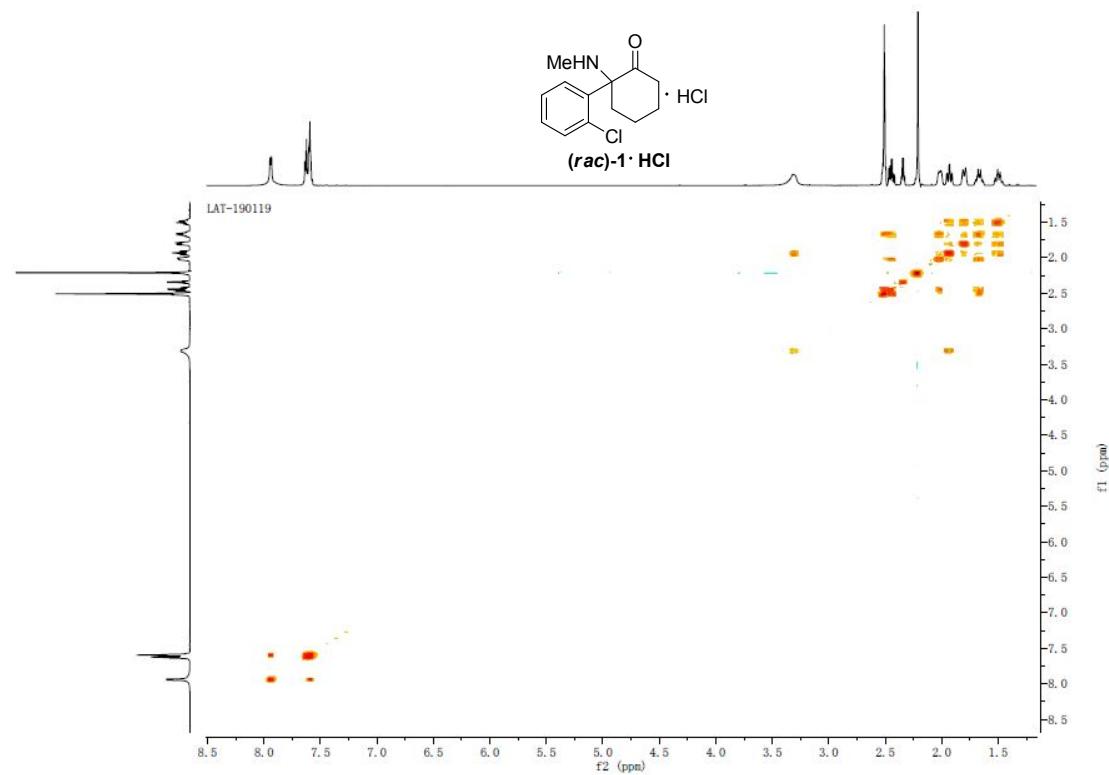
1.4.4 ^{13}C NMR (DMSO)



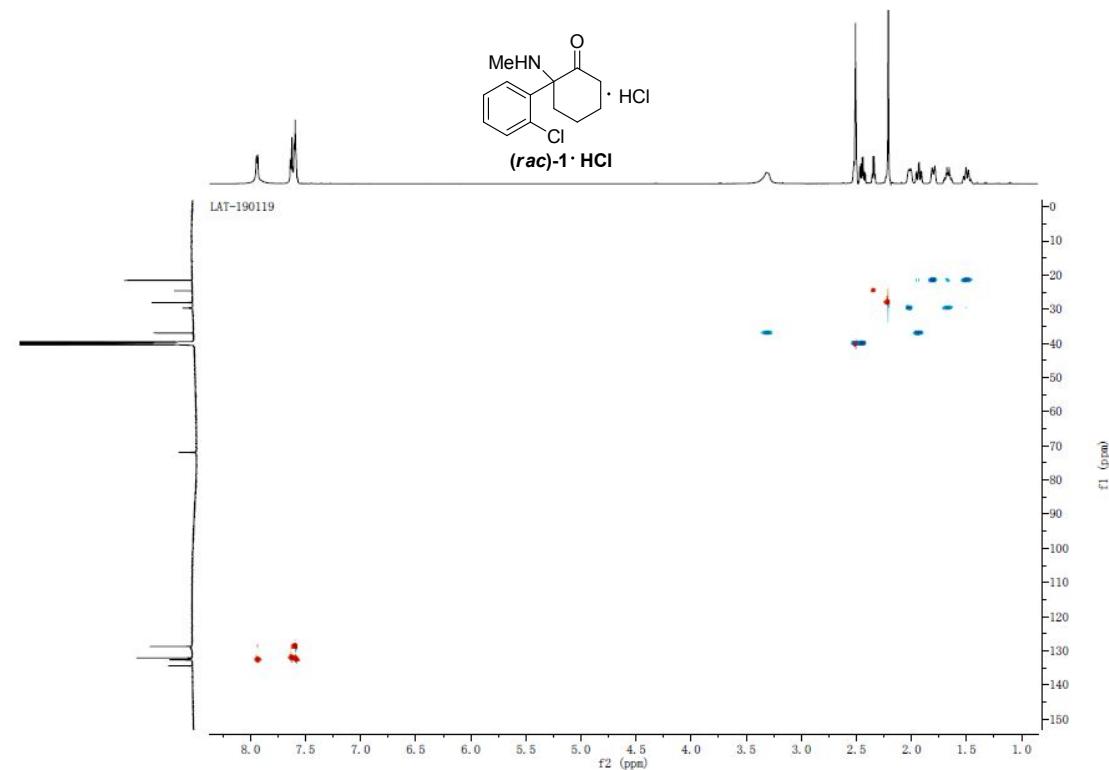
1.4.5 DEPT (DMSO)



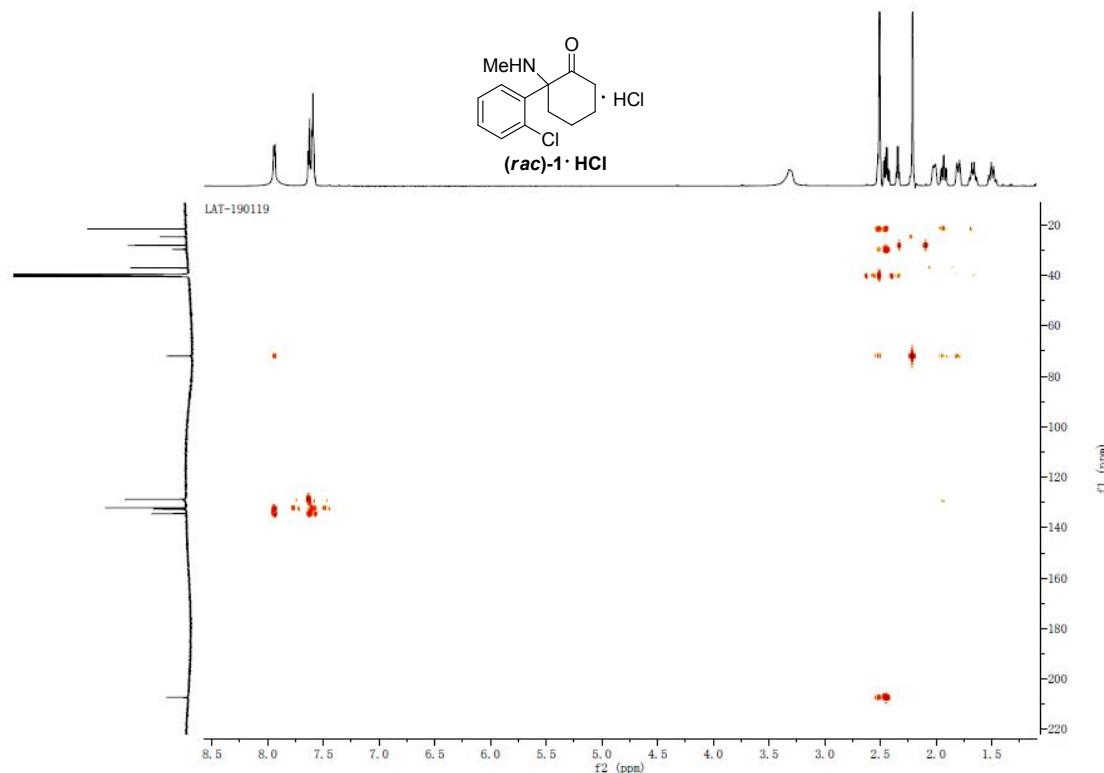
1.4.6 H-H COSY (DMSO)



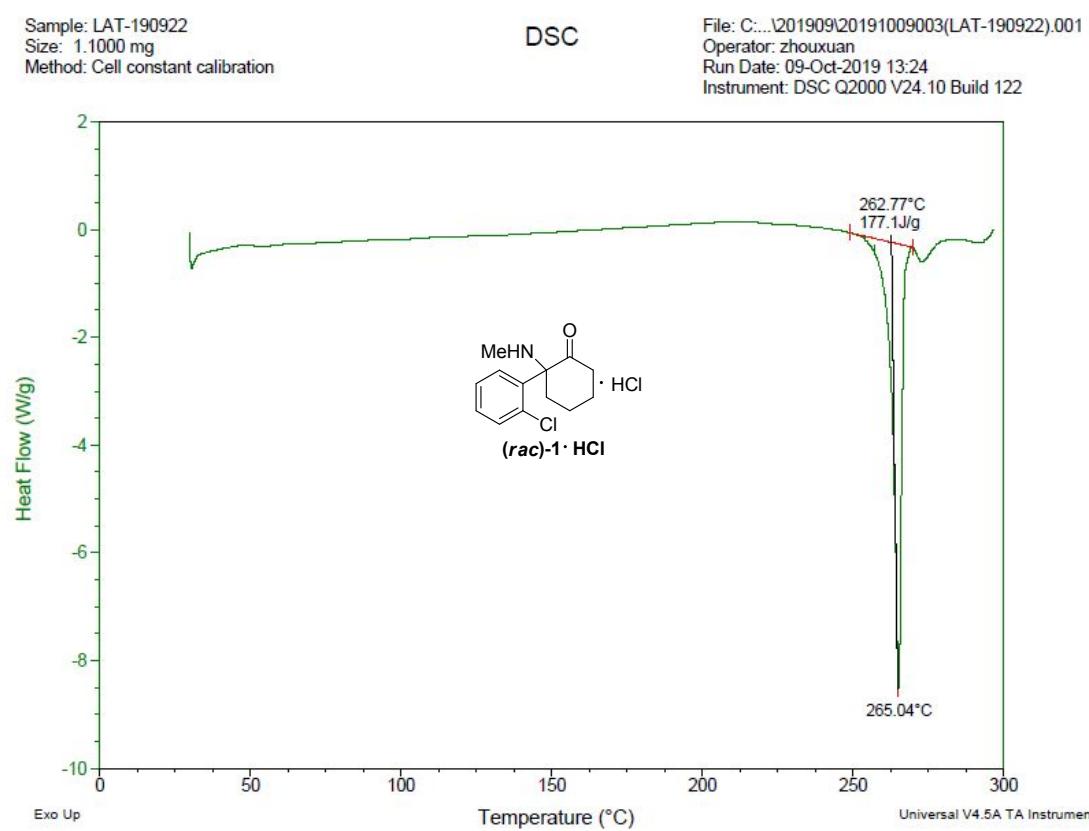
1.4.7 HSQC



1.4.8 HMBC



1.4.9 DSC



1.4.10 Elemental Analysis

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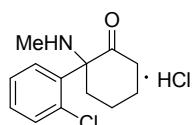
TEST REPORT

Sample Name	LAT-190922	Batch Number	190922
Sample Number	20191009003	Sample Amount	20 mg
Chemical Formula:	C ₁₃ H ₁₇ Cl ₂ NO	Sample Received	2019-10-09
Molecular Weight:	274.19	Test Items	C, H, N
Reference Methods	JYT017-1996		

Test Items	Specification	Test Results
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C	56.95	56.88/56.87
H	6.25	6.27/6.28
N	5.11	5.13/5.12

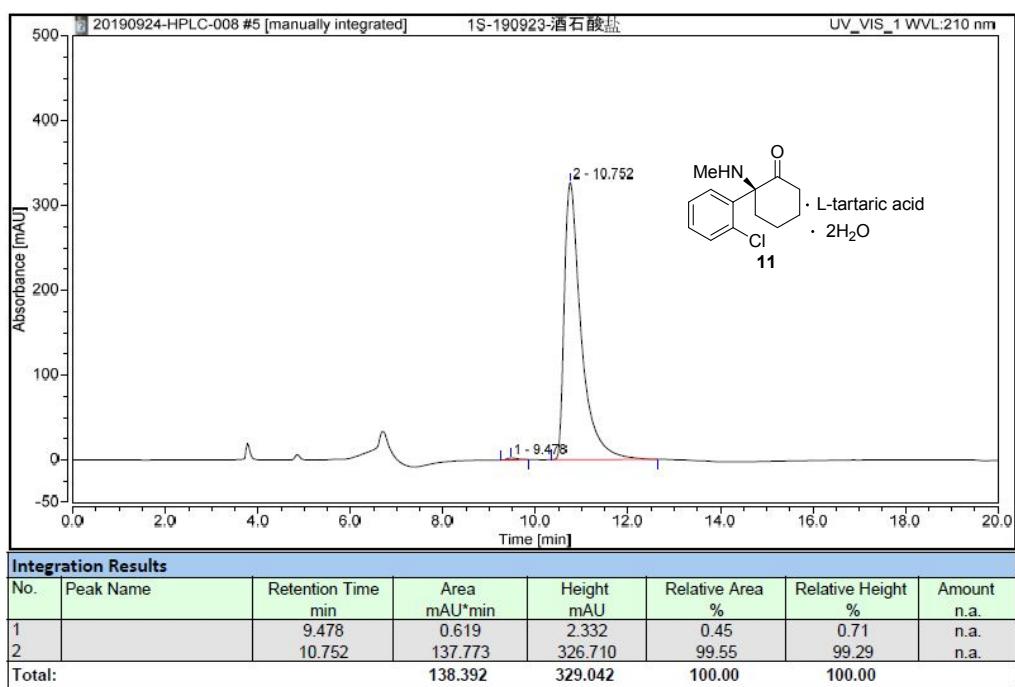
End of Report



Elemental Analysis: C, 56.95; H, 6.25; N, 5.11

2. Analytical spectrograms and data of Esketamine

2.1.1 Normal phase HPLC (Esketamine tartrate, 11)



2.1.2 SCXRD of Esketamine tartrate (11)

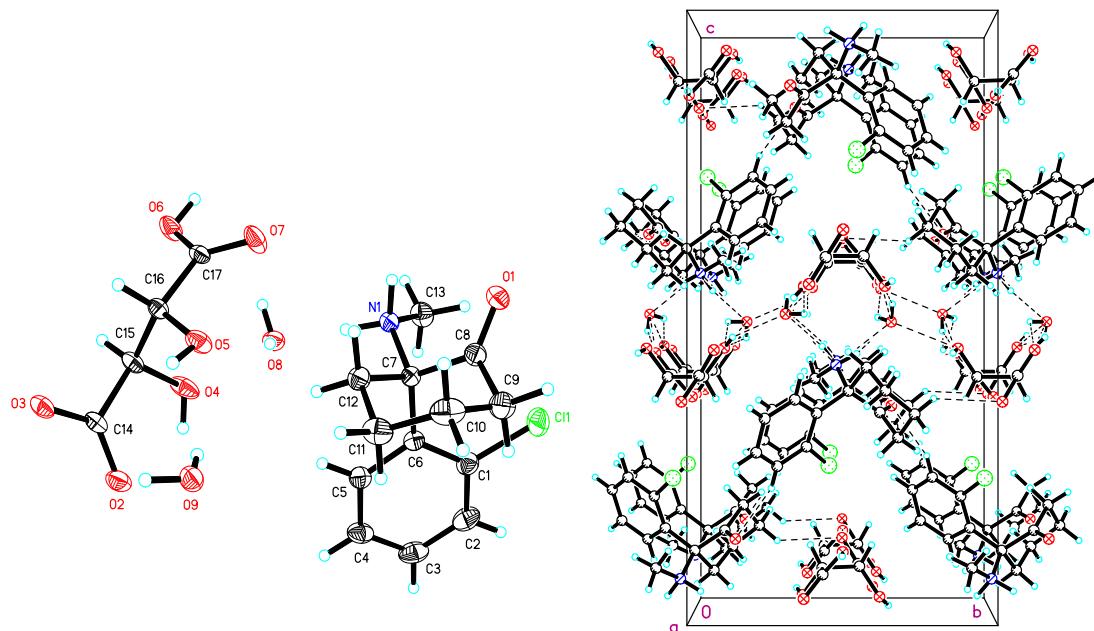
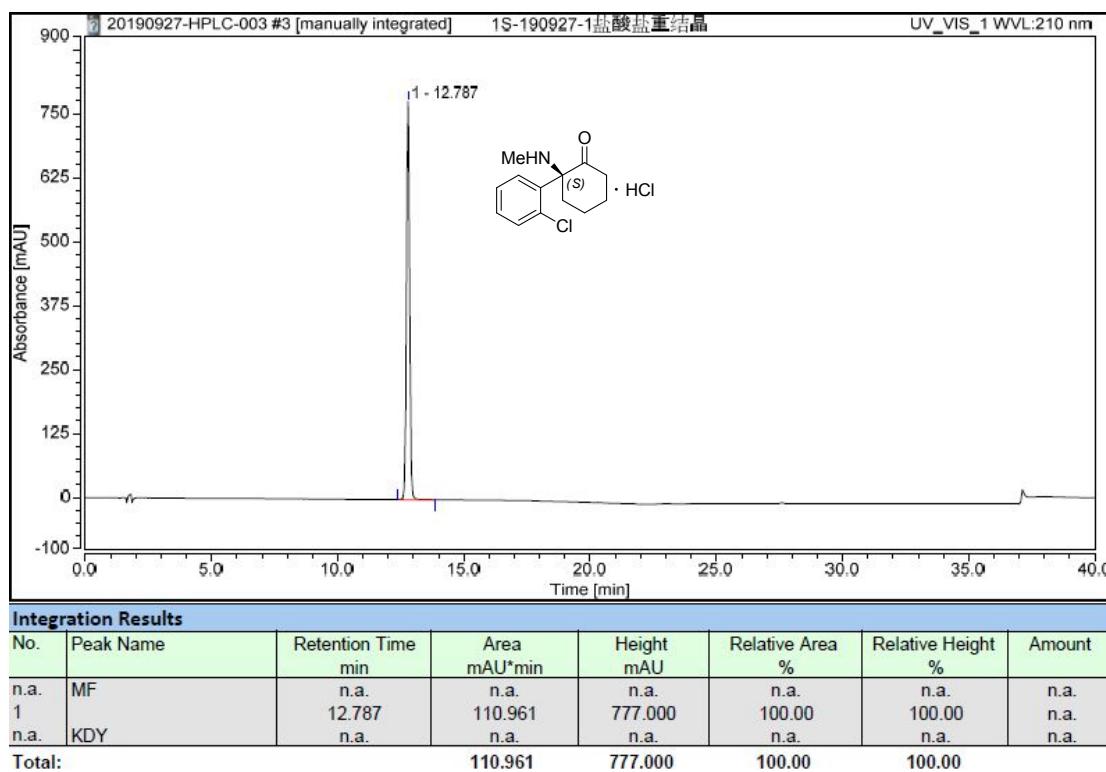


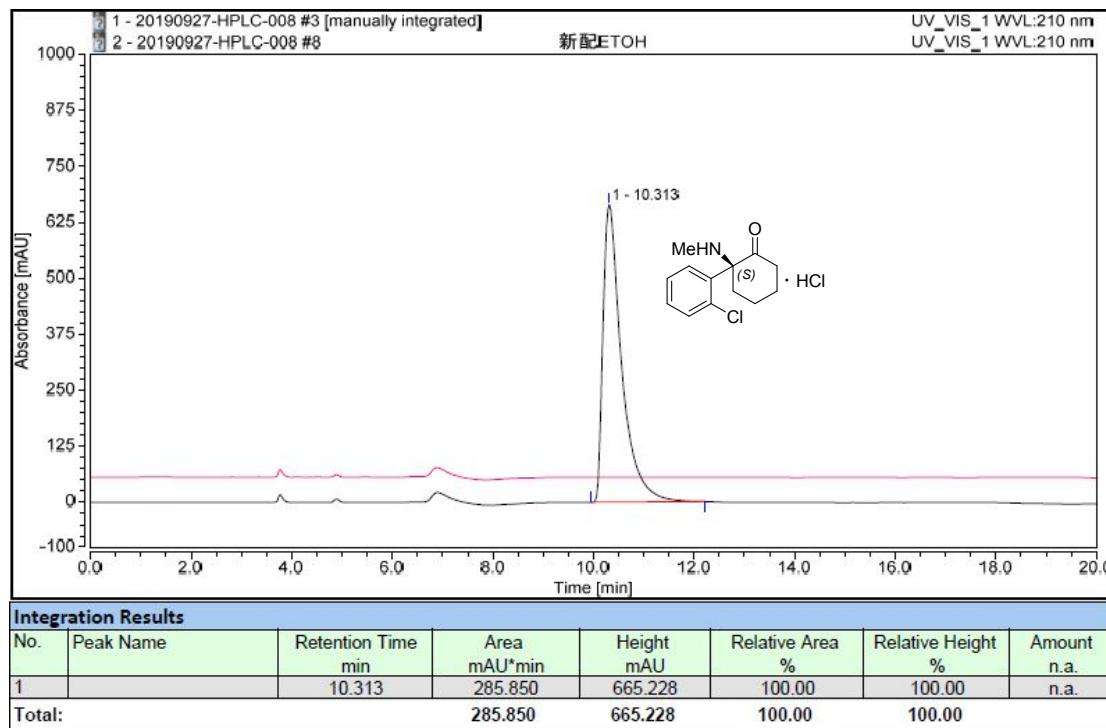
Table S1. Crystal data and structure refinement for mo_d8v19705_0m.

Identification code	mo_d8v19705_0m		
Empirical formula	C17 H26 Cl N O9		
Formula weight	423.84		
Temperature	293(2) K		
Wavelength	0.71073 Å		
Crystal system	Orthorhombic		
Space group	P 21 21 21		
Unit cell dimensions	$a = 7.2789(2)$ Å	$\alpha = 90^\circ$.	
	$b = 11.7429(3)$ Å	$\beta = 90^\circ$.	
	$c = 23.2813(7)$ Å	$\gamma = 90^\circ$.	
Volume	1989.98(10) Å ³		
Z	4		
Density (calculated)	1.415 Mg/m ³		
Absorption coefficient	0.242 mm ⁻¹		
F(000)	896		
Crystal size	0.190 x 0.160 x 0.120 mm ³		
Theta range for data collection	2.464 to 25.996°.		
Index ranges	-8<=h<=8, -14<=k<=14, -28<=l<=26		
Reflections collected	18029		
Independent reflections	3864 [R(int) = 0.0305]		
Completeness to theta = 25.242°	99.0 %		
Absorption correction	Semi-empirical from equivalents		
Max. and min. transmission	0.7456 and 0.6688		
Refinement method	Full-matrix least-squares on F ²		
Data / restraints / parameters	3864 / 2 / 283		
Goodness-of-fit on F ²	1.037		
Final R indices [I>2sigma(I)]	R1 = 0.0277, wR2 = 0.0663		
R indices (all data)	R1 = 0.0307, wR2 = 0.0686		
Absolute structure parameter	0.03(2)		
Extinction coefficient	n/a		
Largest diff. peak and hole	0.186 and -0.147 e.Å ⁻³		

2.2 RP-HPLC (Esketamine hydrochloride after recrystallization)



2.3 Normal phase HPLC (Esketamine hydrochloride after recrystallization)



2.4 HRMS

Elemental Composition Report

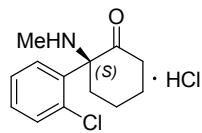
Page 1

Multiple Mass Analysis: 4 mass(es) processed

Tolerance = 10.0 PPM / DBE: min = -1.5, max = 50.0

Element prediction: Off

Number of isotope peaks used for i-FIT = 3



Exact Mass: 237.09

Monoisotopic Mass, Even Electron Ions

159 formula(e) evaluated with 4 results within limits (up to 50 closest results for each mass)

Elements Used:

C: 0-30 H: 0-30 N: 0-1 O: 0-1 35Cl: 0-1 37Cl: 0-1

SPI: IS-190927

Q-ToF micro

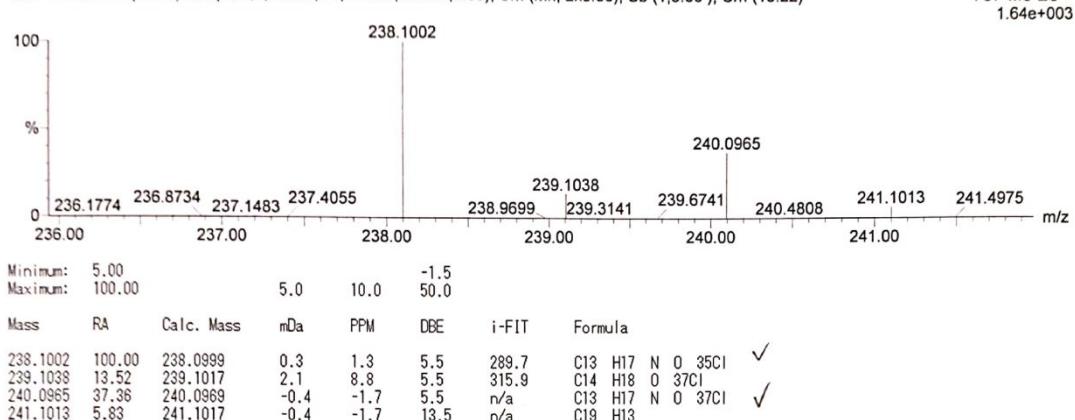
16:25:54, 17-Oct-2019

YA019

TOF MS ES+

Q19-1429HR 15 (0.278) AM (Cen,2, 80.00, Ar,5000.0,233.17,2.00); Sm (Mn, 2x3.00); Sb (1.500); Cm (15:22)

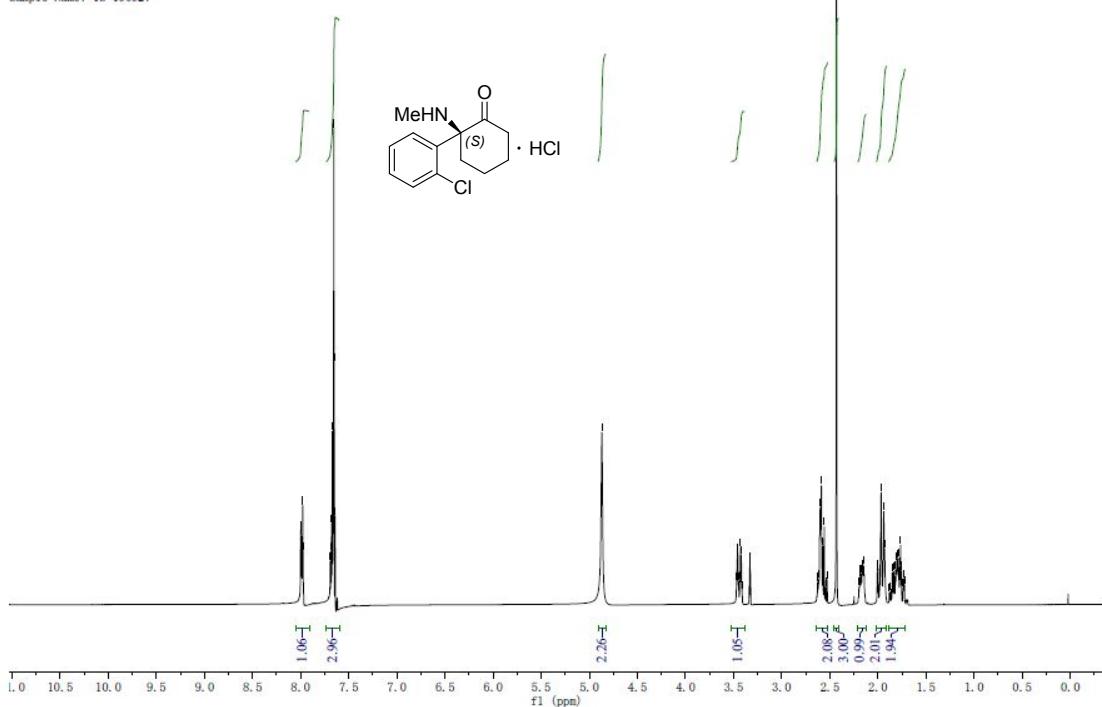
1.64e+003



2.5 NMR

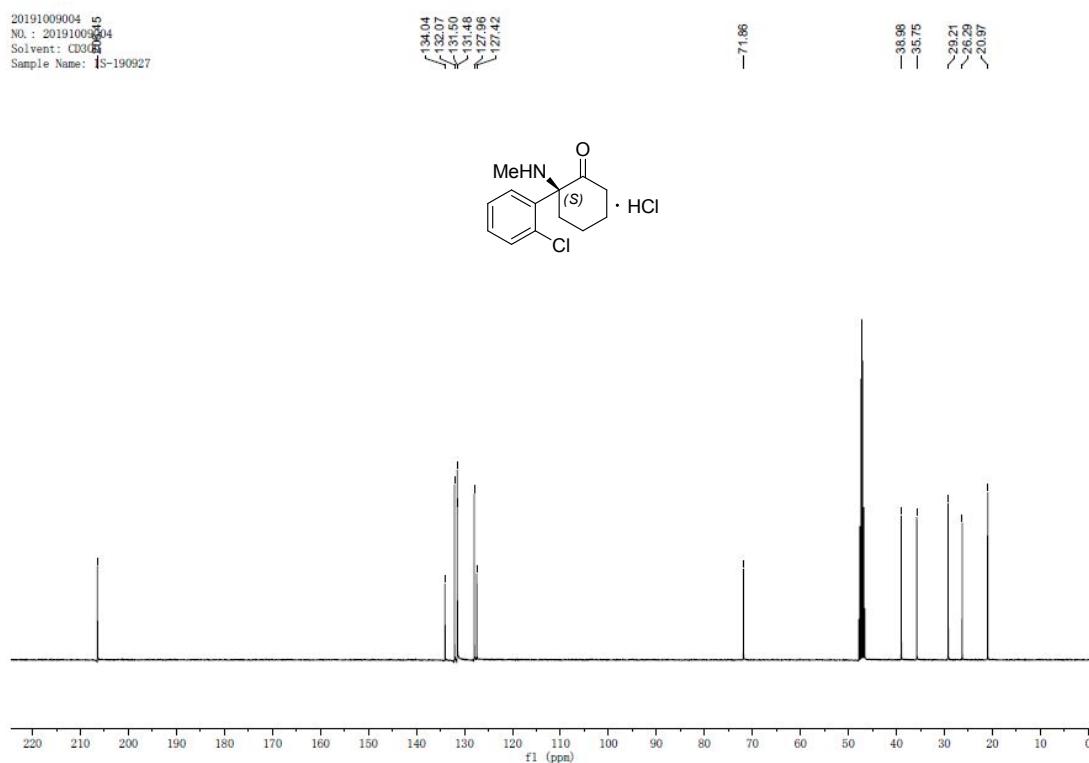
2.5.1 ¹H NMR

20191009004
No.: 20191009004
Solvent: CD3OD
Sample Name: IS-190927



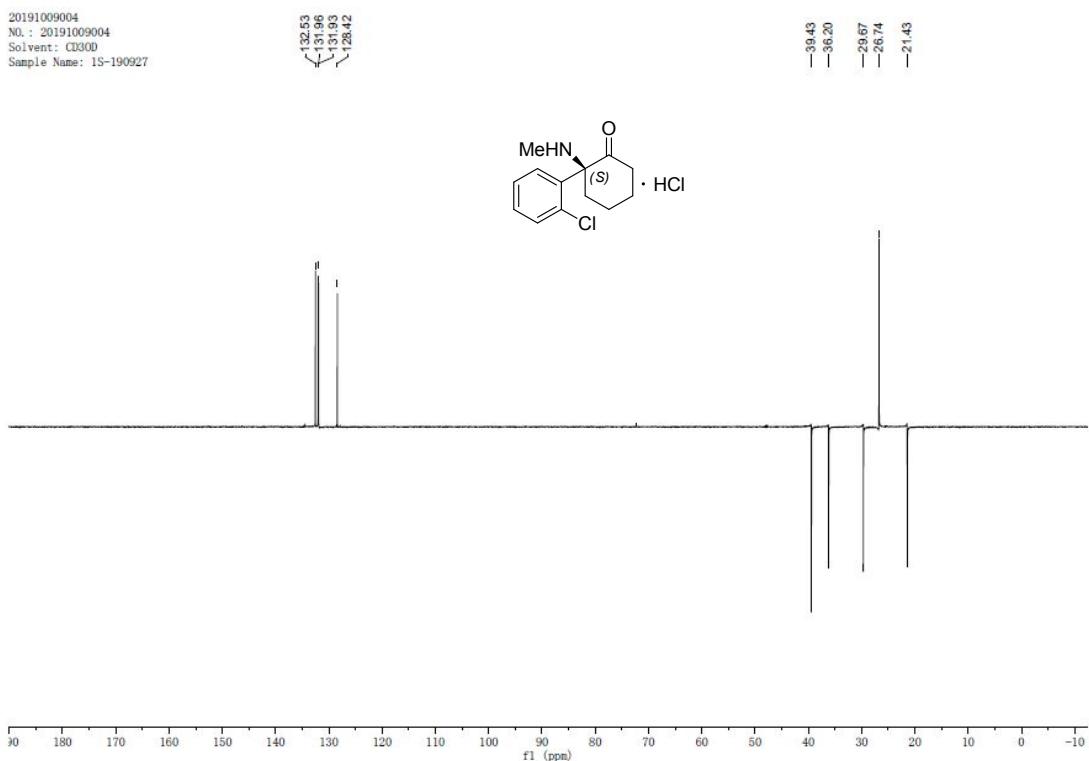
2.5.2 ^{13}C NMR

20191009004
No.: 20191009004
Solvent: CD3^DO
Sample Name: IS-190927



2.5.3 DEPT

20191009004
No.: 20191009004
Solvent: CD300
Sample Name: IS-190927



2.6 SCXRD of Esketamine hydrochloride

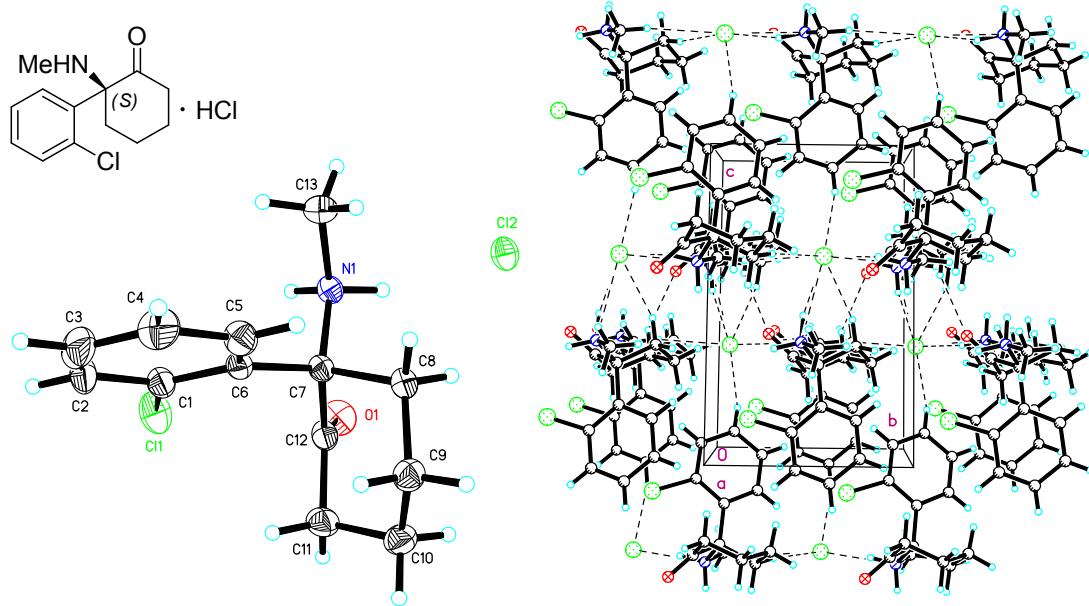


Table S2. Crystal data and structure refinement for mo_d8v19704_0m.

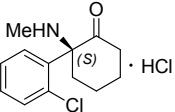
Identification code	mo_d8v19704_0m		
Empirical formula	C ₁₃ H ₁₇ Cl ₂ N O		
Formula weight	274.17		
Temperature	293(2) K		
Wavelength	0.71073 Å		
Crystal system	Monoclinic		
Space group	P 21		
Unit cell dimensions	a = 8.5612(3) Å	α = 90°.	
	b = 7.1443(2) Å	β = 101.2450(10)°.	
	c = 11.3370(3) Å	γ = 90°.	
Volume	680.10(4) Å ³		
Z	2		
Density (calculated)	1.339 Mg/m ³		
Absorption coefficient	0.461 mm ⁻¹		
F(000)	288		
Crystal size	0.180 x 0.150 x 0.120 mm ³		
Theta range for data collection	2.426 to 25.994°.		
Index ranges	-10<=h<=10, -8<=k<=8, -13<=l<=13		
Reflections collected	12148		
Independent reflections	2620 [R(int) = 0.0411]		
Completeness to theta = 25.242°	97.9 %		
Absorption correction	Semi-empirical from equivalents		
Max. and min. transmission	0.7456 and 0.6127		

Refinement method	Full-matrix least-squares on F ²
Data / restraints / parameters	2620 / 1 / 155
Goodness-of-fit on F ²	1.049
Final R indices [I>2sigma(I)]	R1 = 0.0279, wR2 = 0.0709
R indices (all data)	R1 = 0.0285, wR2 = 0.0714
Absolute structure parameter	-0.01(3)
Extinction coefficient	n/a
Largest diff. peak and hole	0.185 and -0.253 e.Å ⁻³

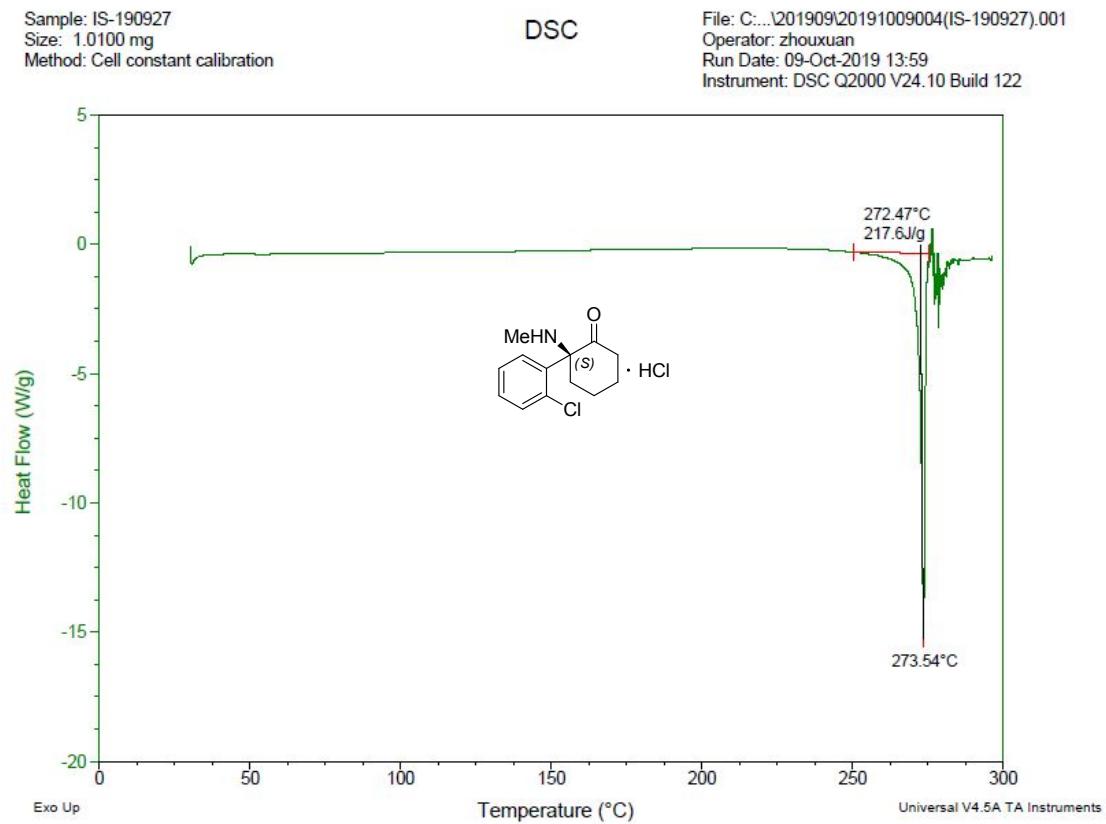
2.7 Elemental Analysis

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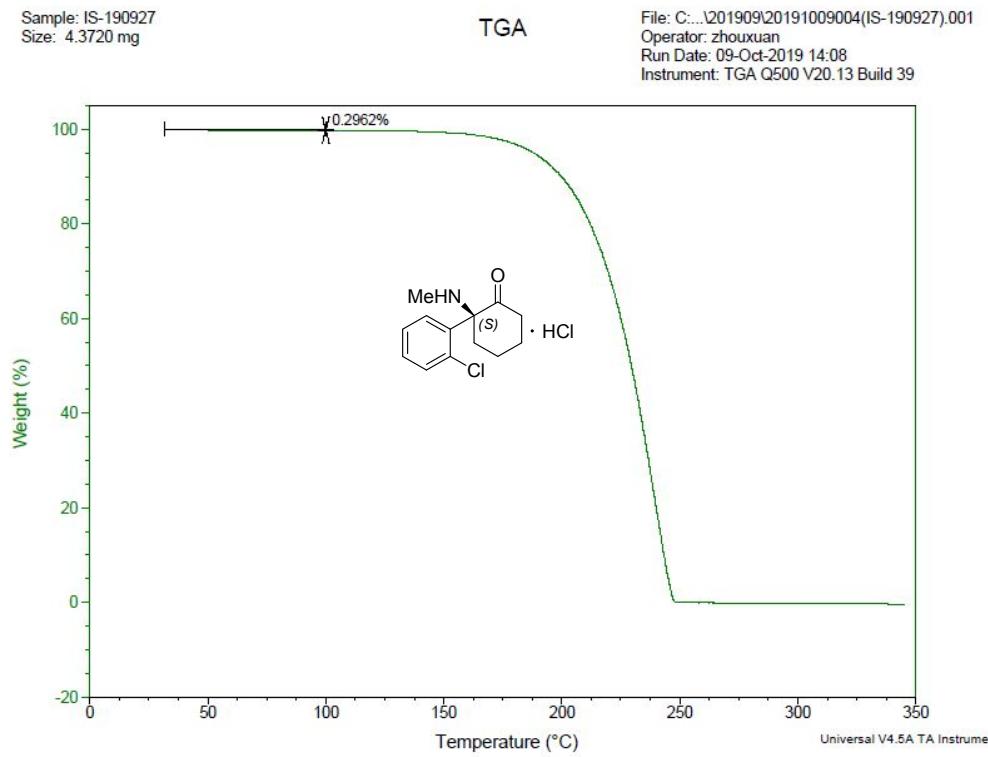
TEST REPORT

Sample Name	LAT-190927	Batch Number	190927		
Sample Number	20191009004	Sample Amount	20 mg		
Chemical Formula:	C ₁₃ H ₁₇ Cl ₂ NO	Sample Received	2019-10-09		
Molecular Weight:	274.19	Test Items	C, H, N		
Reference Methods	JYT017-1996				
Test Items	Specification	Test Results			
C	56.95	56.83/56.82			
H	6.25	6.25/6.27			
N	5.11	5.09/5.12			
End of Report					
 Elemental Analysis: C, 56.95; H, 6.25; N, 5.11					

2.8 DSC



2.9 TGA



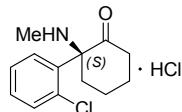
2.10 Optical rotation

Anton Paar MCP 500 - Measurement Results:

Software version: 2.10.53255.92
MCP serial number: 80679479

Sample Information:

- Unique Sample Id: 4743
- Date: 2019-10-12
- Time: 14:16:28
- Method: Specific Rotation
- User: Administrator
- Master Condition: valid
- Sample Name: 1s-190927
- Solvent name : H₂O
- Concentration: 0.9883 g/100cm³



Measurement Result:

Sub Measurement Number	Unique Sample Id	Time	Optical Rotation [°]	Sample Cell Temperature [°C]	Specific Rotation (calc.) [°]
1	4744	14:15:55	0.9042	19.99	91.488
2	4745	14:16:10	0.9049	19.99	91.559
3	4746	14:16:27	0.9045	19.99	91.518
average	4743	14:16:28	0.9045	19.99	91.522
std. dev.			0.000287	0.0000	0.029101

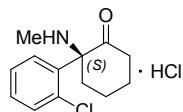
GxP Information (at 589 nm):

- Last Quartz Adjustment: 2019-8-6 11:32:52 by Administrator
- Last Check (80638216): 2019-10-12 14:00:53 by Administrator - Passed

2.11 ICP-MS (Aluminum detection)

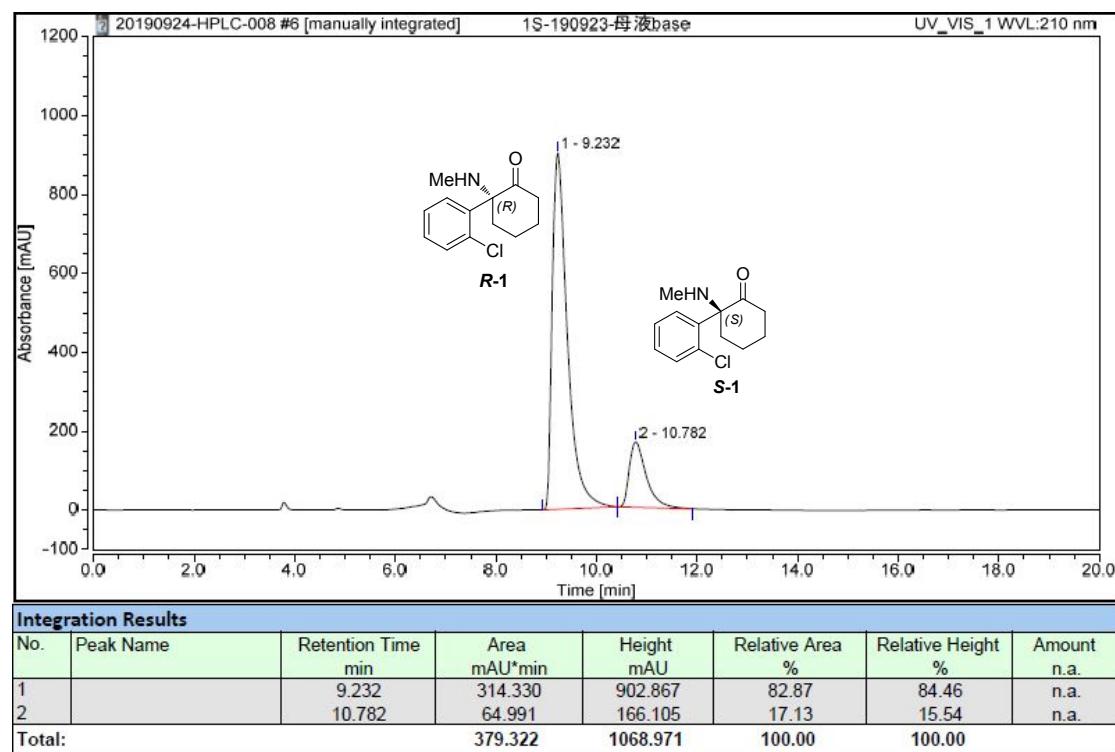
Shanghai Institute of Pharmaceutical Industry

TEST REPORT

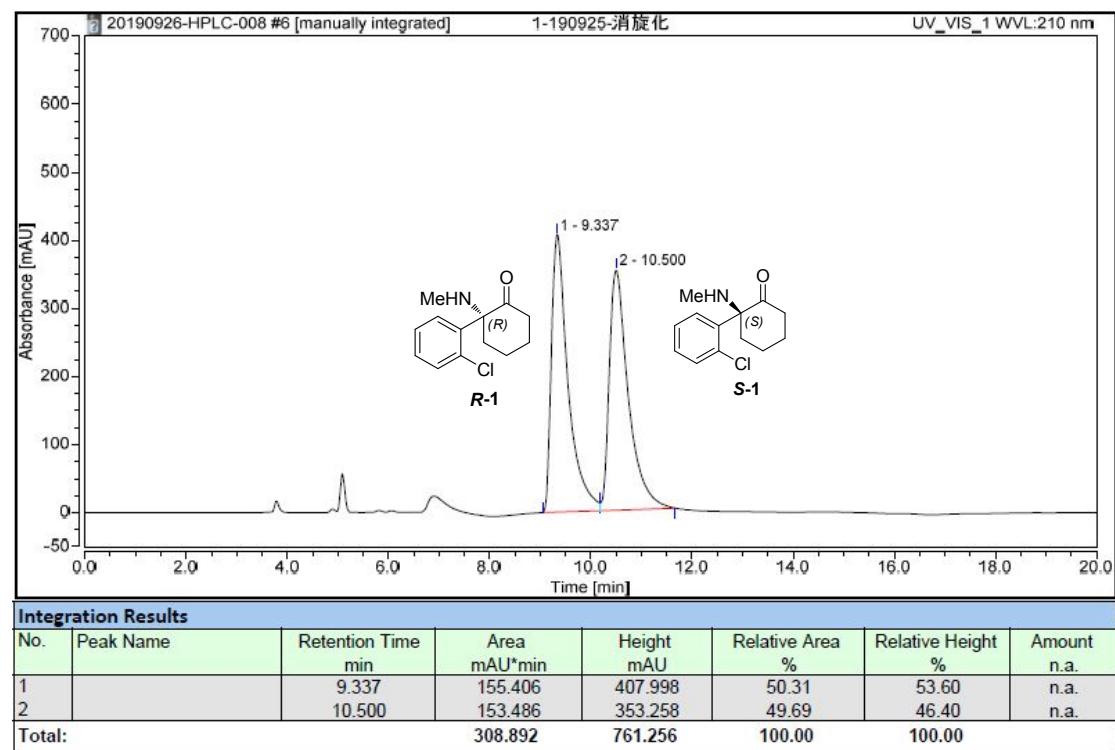
Sample Name	1S-190927	Batch Number	190927		
Sample Number	20191009004	Sample Amount	1.0 g		
Sample State/Package	solid state	Sample Received	2019-10-09		
Test Purpose	Request examination	Test Items	Element: Al		
Reference Methods	2015 Chinese Pharmacopoeia, Four General Rules 0412				
Test Items	Specification	Test Results			
Al	/	<2.5 µg/g			
End of Report					
					

3. HPLC and Analytical spectrograms of the racemized Ketamine

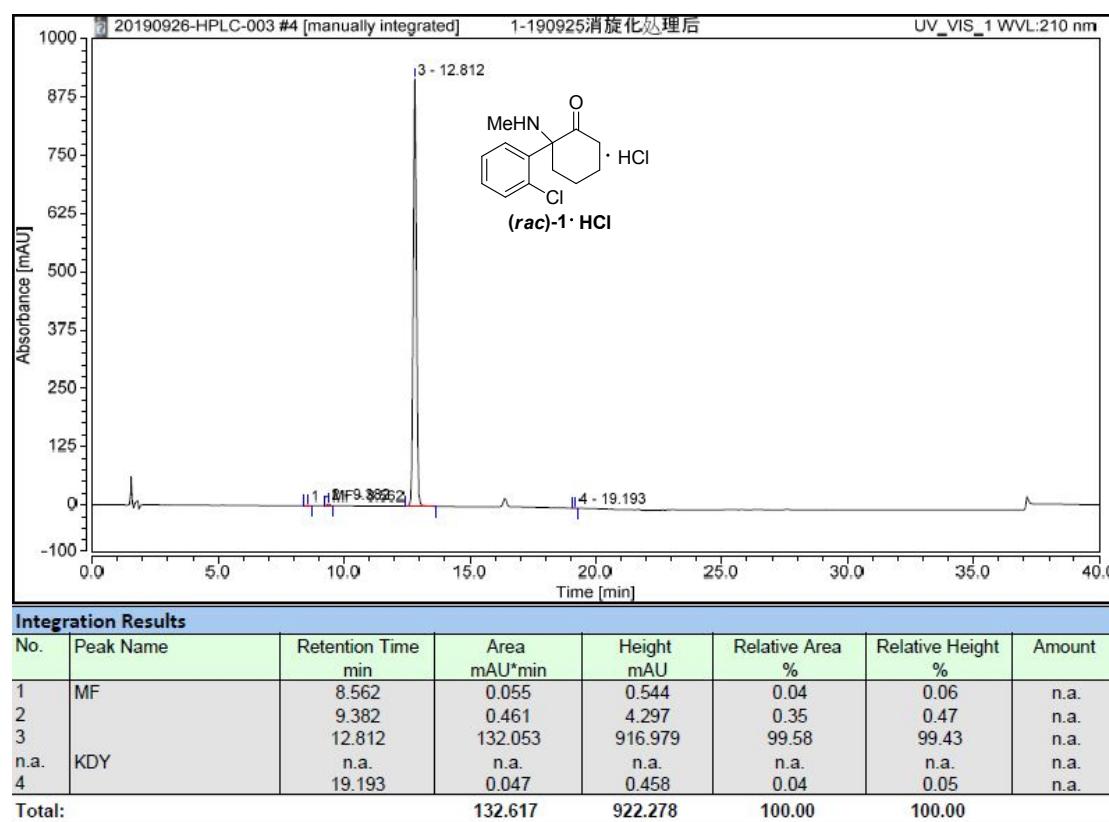
3.1 NP-HPLC spectrogram of the resolution mother liquors enriched (*R*)-1



3.2 NP-HPLC spectrogram of the racemized ketamine (0.1 eq AlCl₃, 22 h, 150°C)



3.1 RP-HPLC spectrogram of the racemized ketamine (0.1 eq AlCl₃, 22 h, 150 °C)



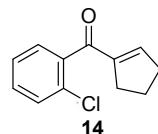
4. Analytical spectra of impurities 14, 15, 17, 21, 22 in Intermediate 4

4.1 Analytical spectra of impurity 14

4.1.1 HRMS

Single Mass Analysis

Tolerance = 5.0 PPM / DBE: min = -1.5, max = 50.0
Element prediction: Off
Number of isotope peaks used for i-FIT = 3

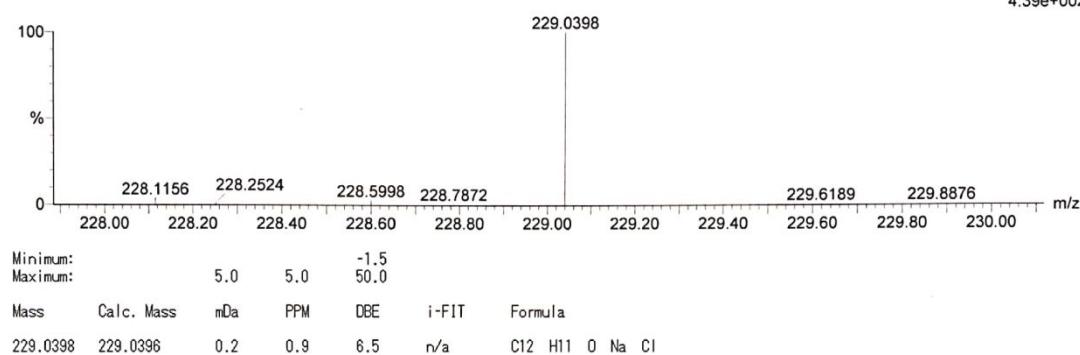


Exact Mass: 206.05

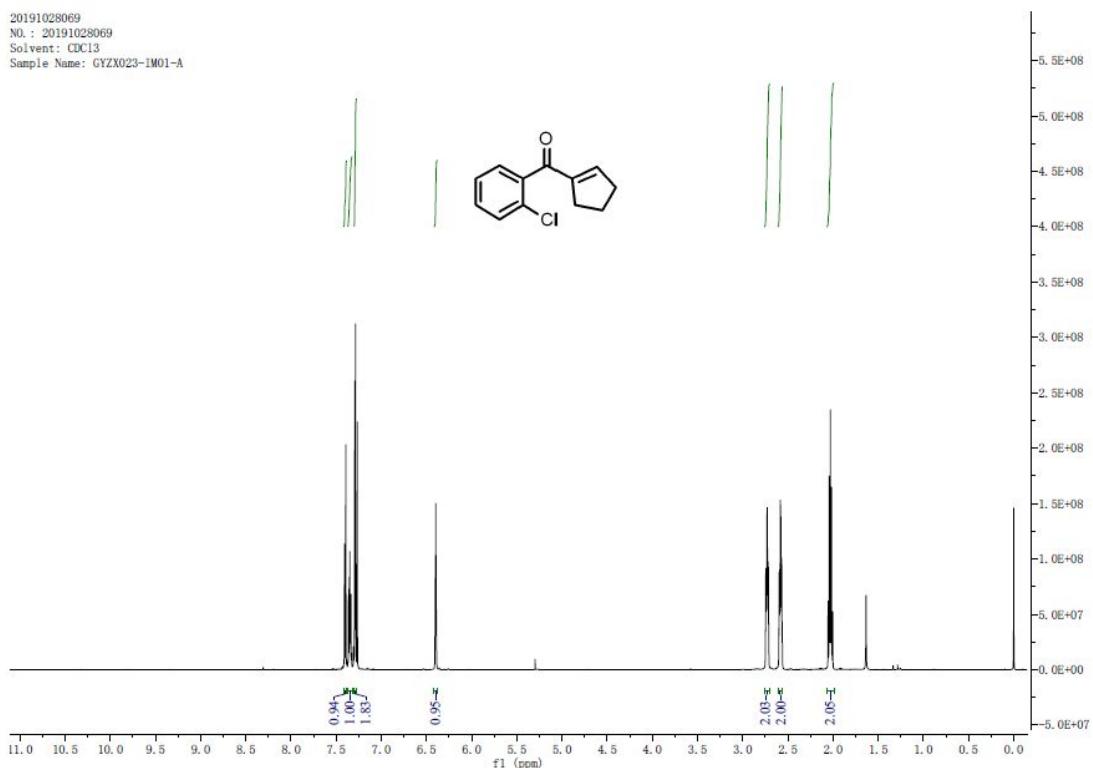
Monoisotopic Mass, Even Electron Ions
13 formula(e) evaluated with 1 results within limits (up to 50 closest results for each mass)
Elements Used:
C: 0-30 H: 0-50 O: 0-1 Na: 1-1 Cl: 0-1
SIPI Q-ToF micro
GYZX023-IM01-A YA019
Q19-1491HR 12 (0.223) AM (Cen,2, 80.00, Ar,5000.0,222.11,2.00); Sm (Mn, 2x3.00); Sb (1,5.00); Cm (4:19)

11:07:23,30-Oct-2019

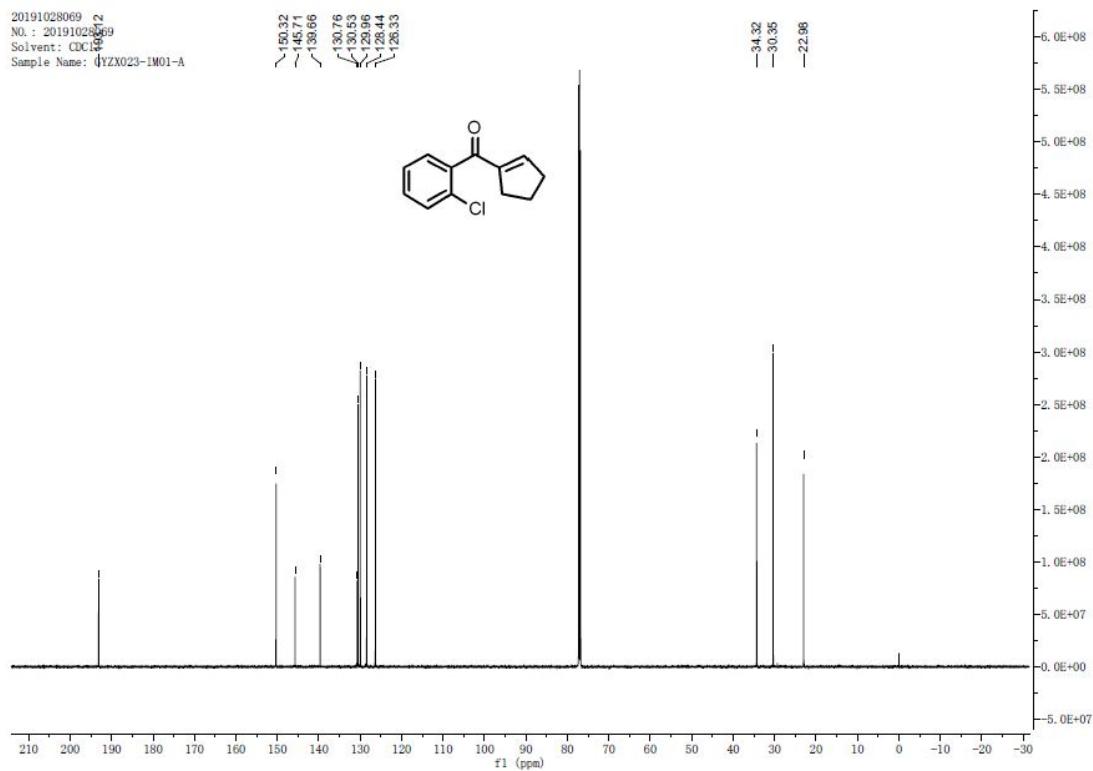
TOF MS ES+
4.39e+002



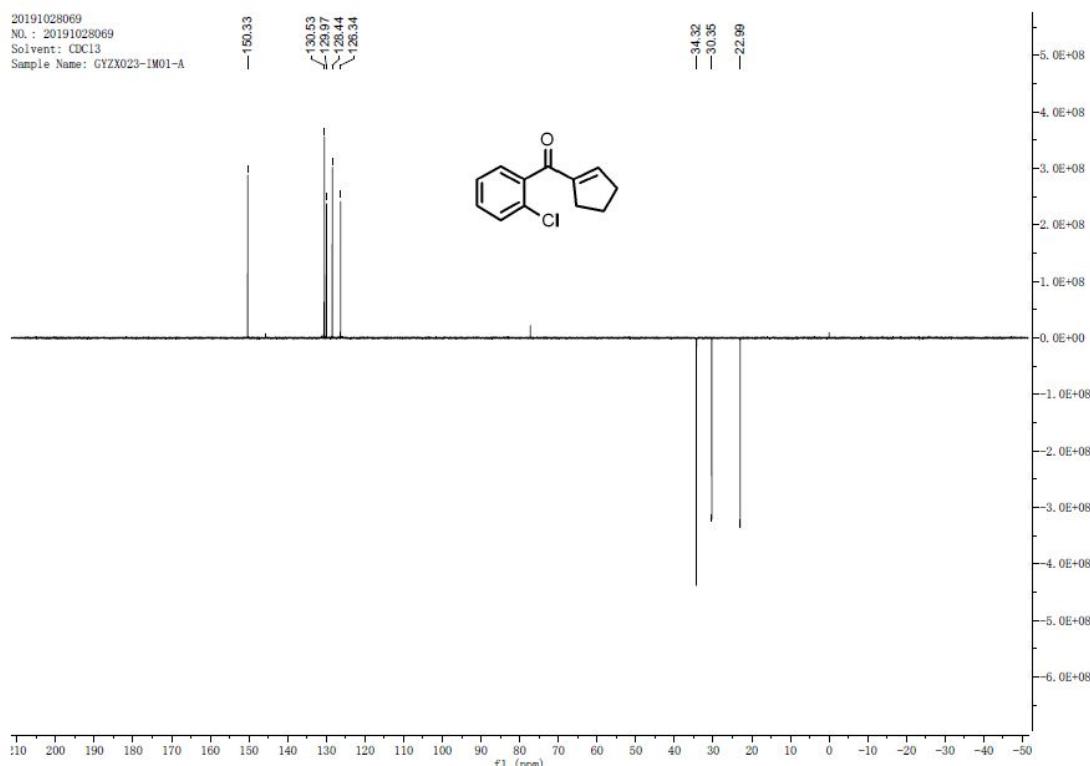
4.1.2 ^1H NMR



4.1.3 ^{13}C NMR

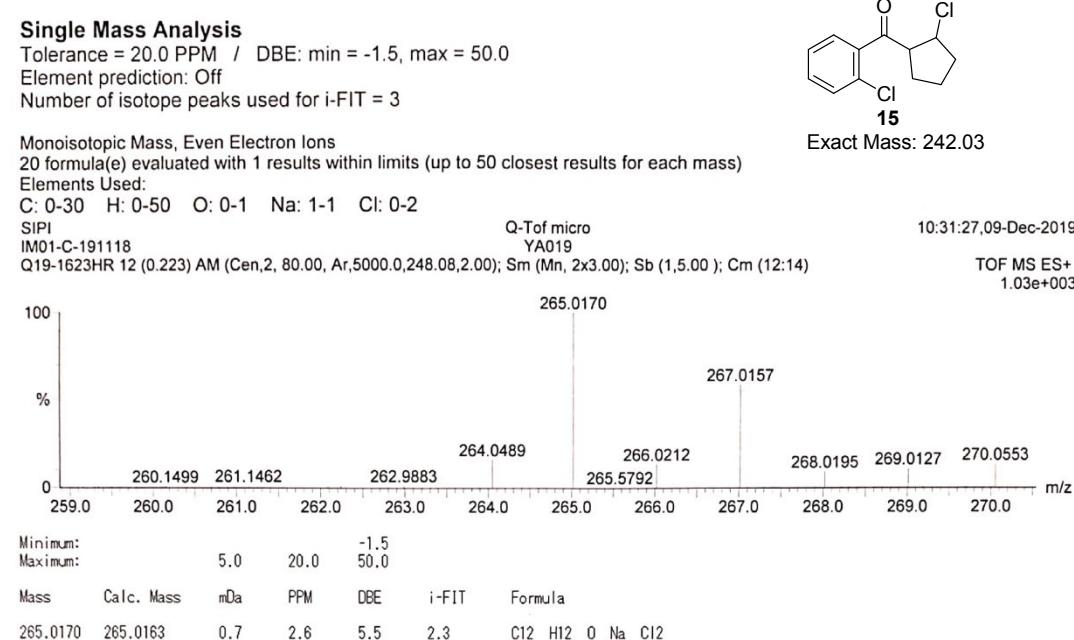


4.1.3 DEPT

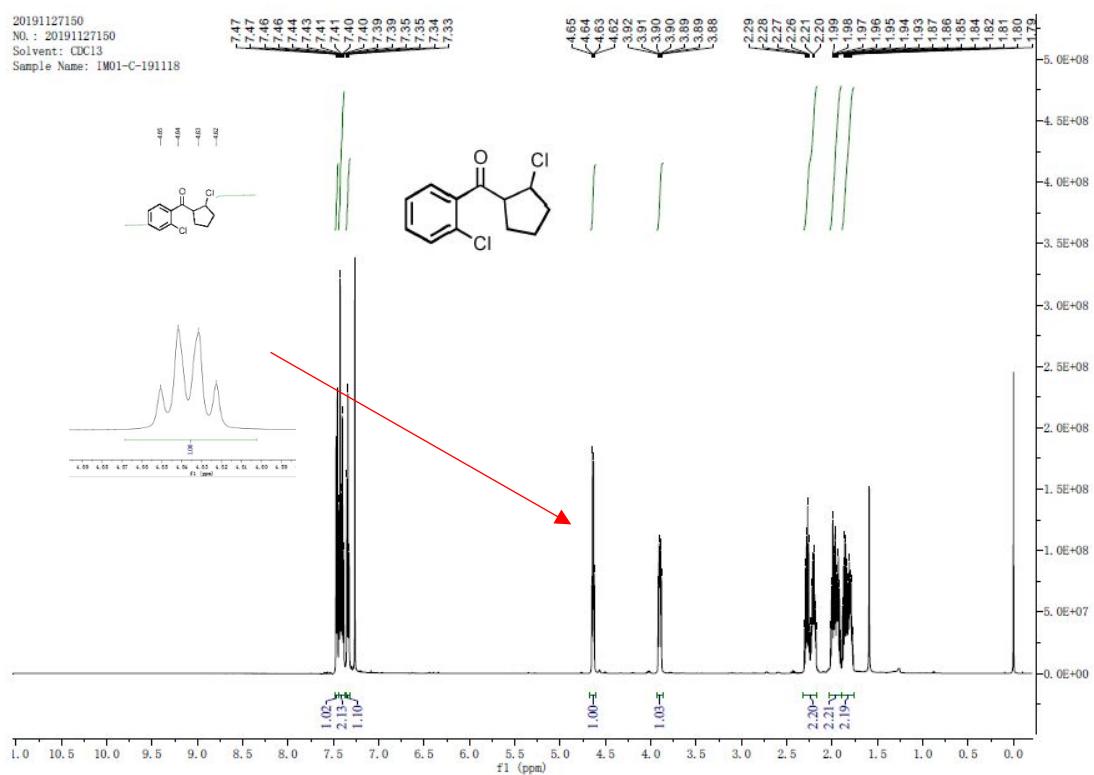


4.2 Analytical spectra of impurity 15

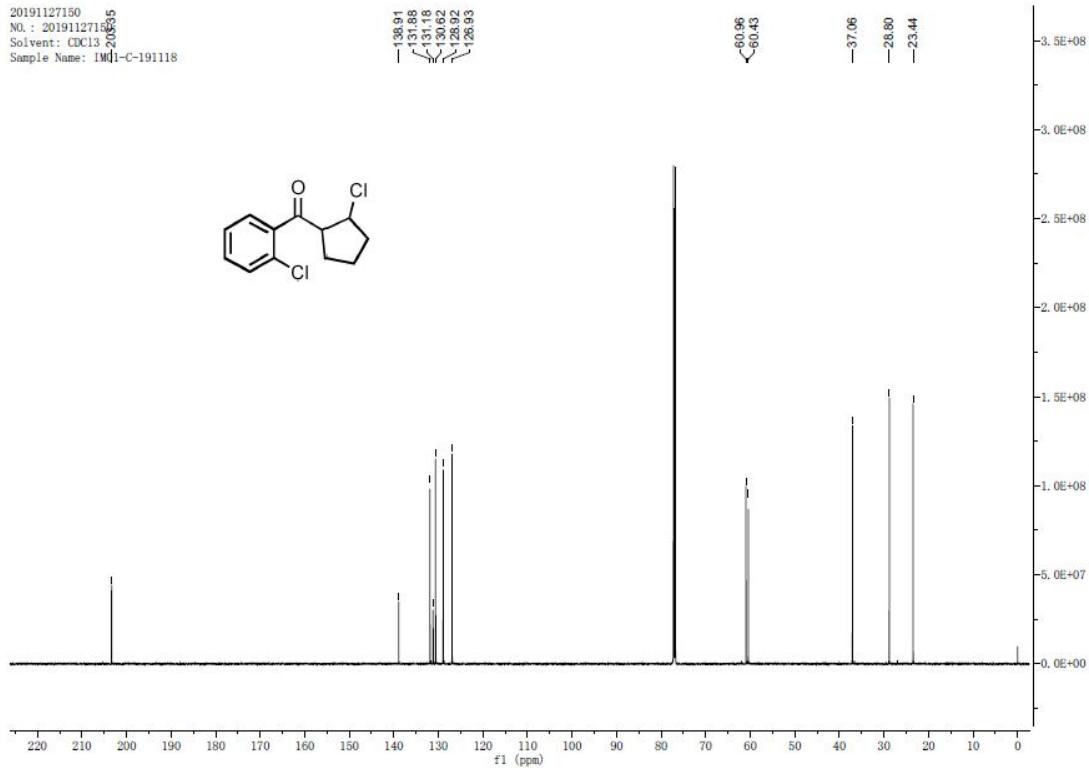
4.2.1 HRMS



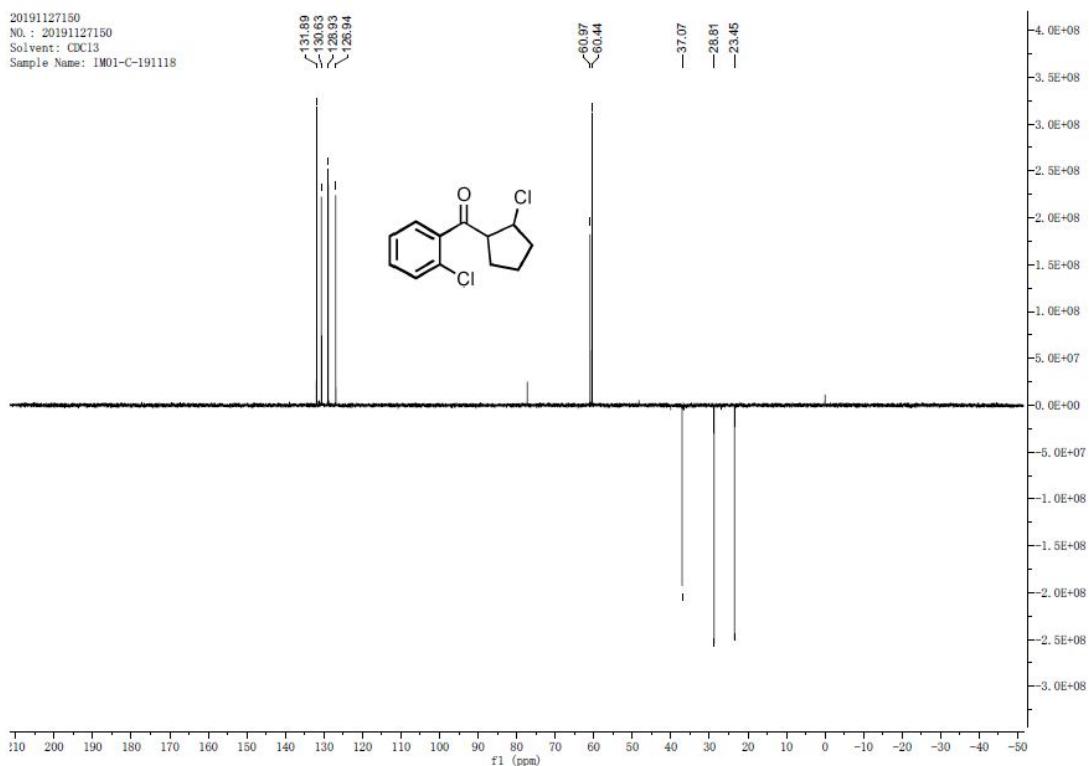
4.2.2 ^1H NMR



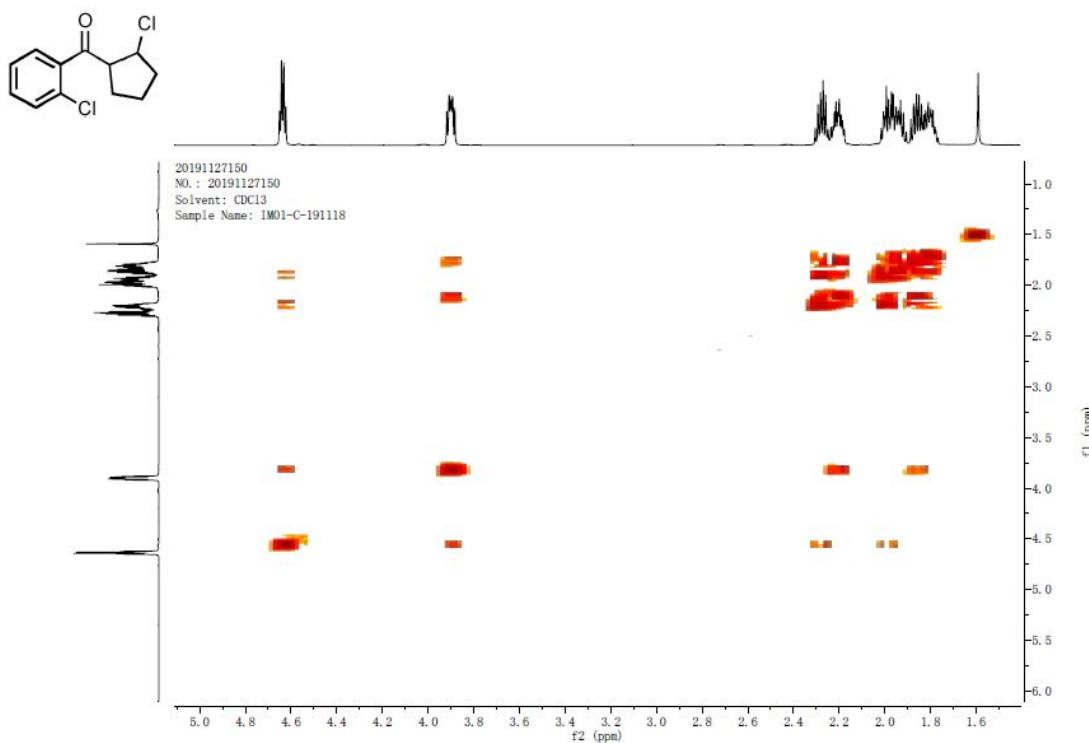
4.2.3 ^1H NMR



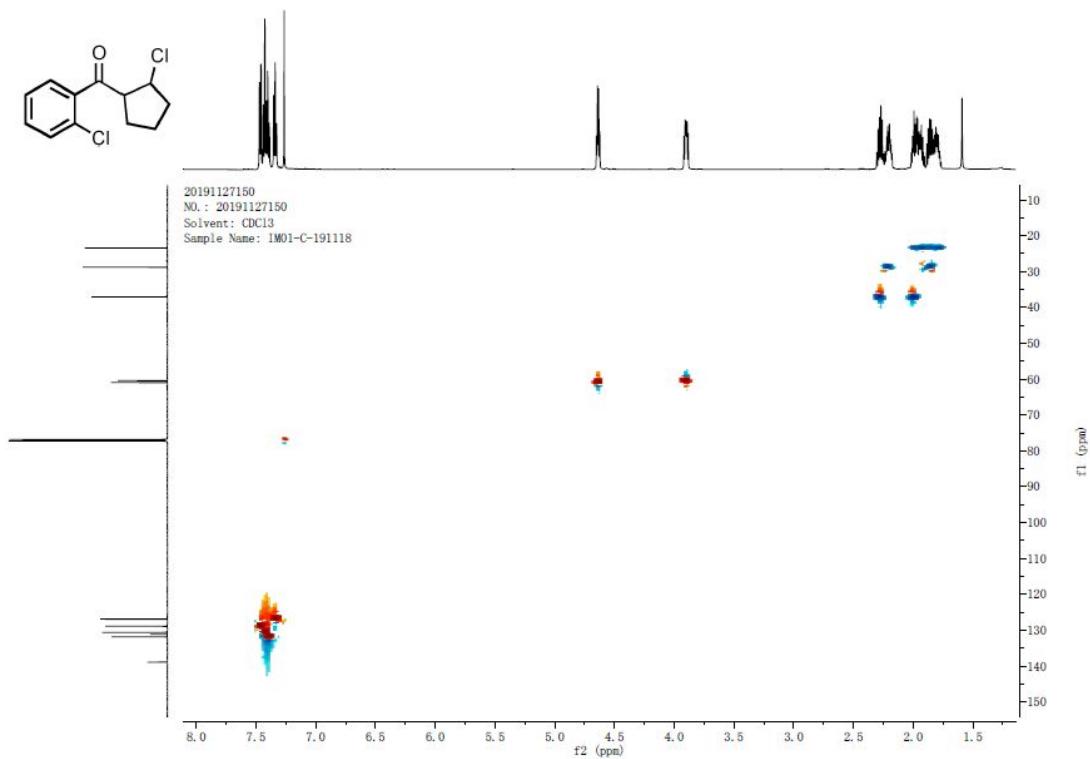
4.2.4 DEPT



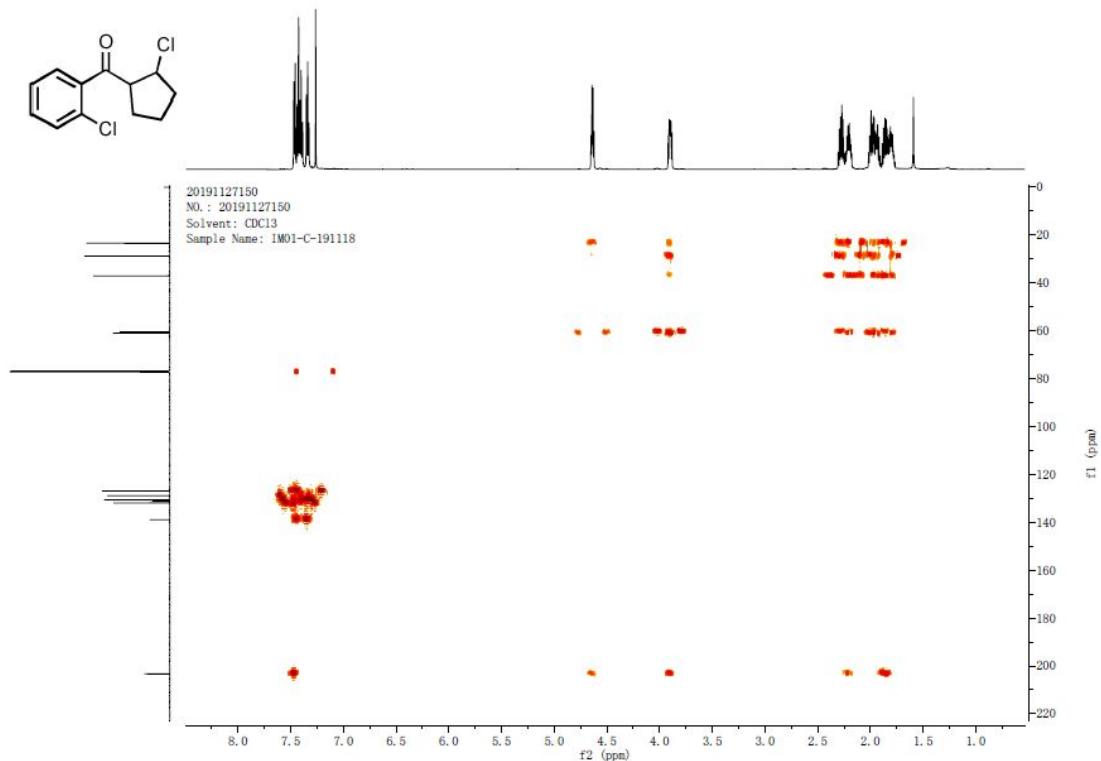
4.2.5 H-H COSY



4.2.6 HSQC



4.2.7 HMBC



4.3 Analytical spectra of impurity 17

4.3.1 HRMS

Single Mass Analysis

Tolerance = 20.0 PPM / DBE: min = -1.5, max = 50.0

Element prediction: Off

Number of isotope peaks used for i-FIT = 3

Monoisotopic Mass, Even Electron Ions

20 formula(e) evaluated with 1 results within limits (up to 50 closest results for each mass)

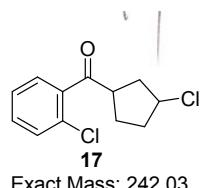
Elements Used:

C: 0-30 H: 0-50 O: 0-1 Na: 1-1 Cl: 0-2

SIP1

IM01-D-191118

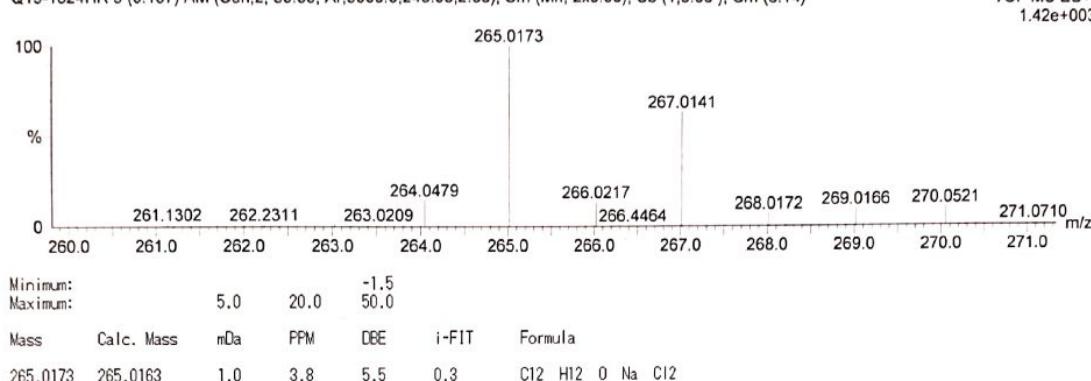
Q19-1624HR 9 (0.167) AM (Cen,2, 80.00, Ar,5000.0,248.08,2.00); Sm (Mn, 2x3.00); Sb (1.500); Cr (6:14)



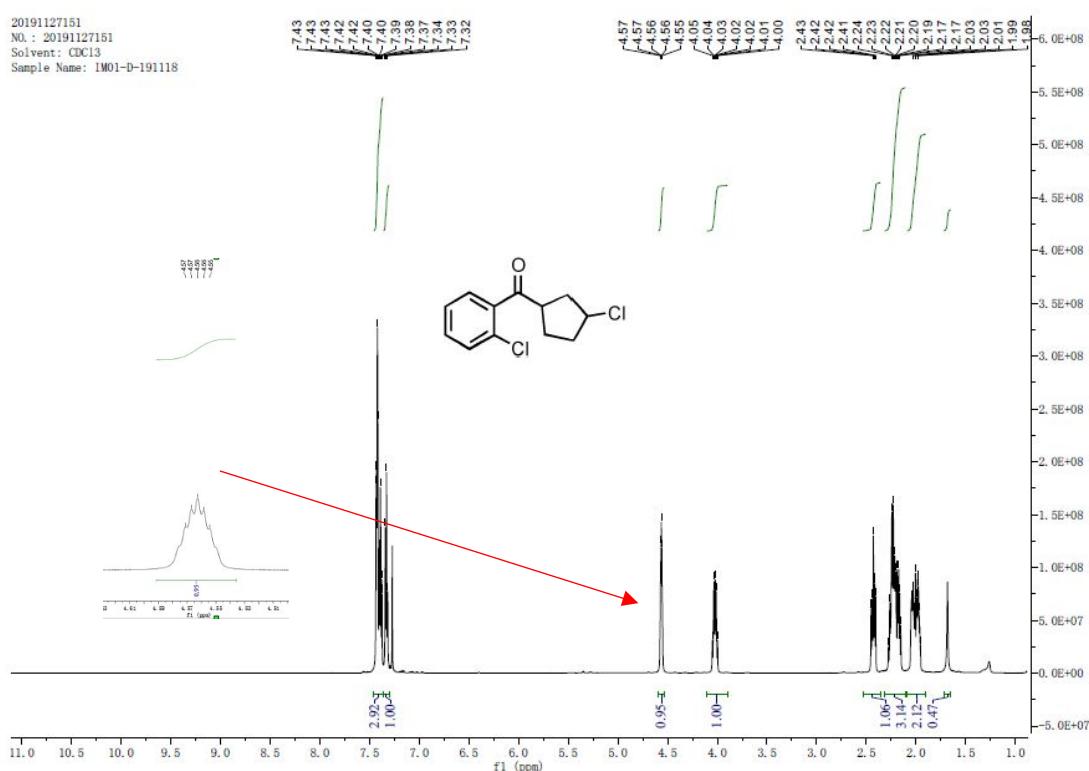
Exact Mass: 242.03

10:38:18,09-Dec-2019

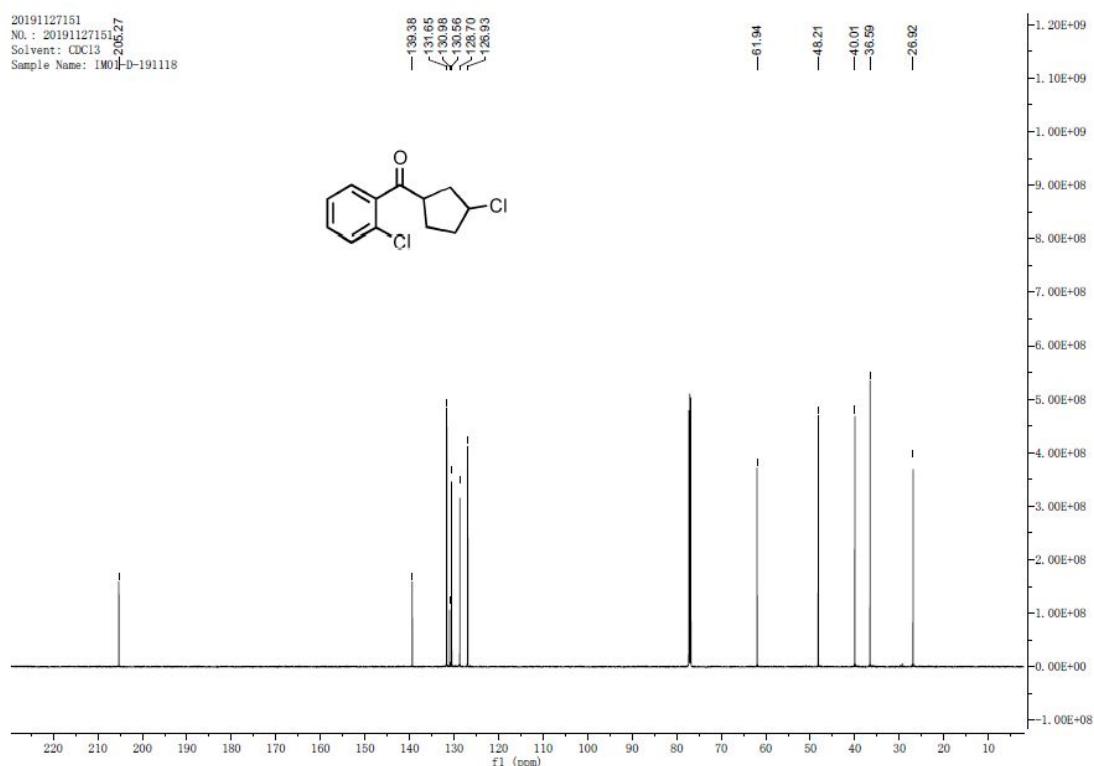
TOF MS ES+
1.42e+003



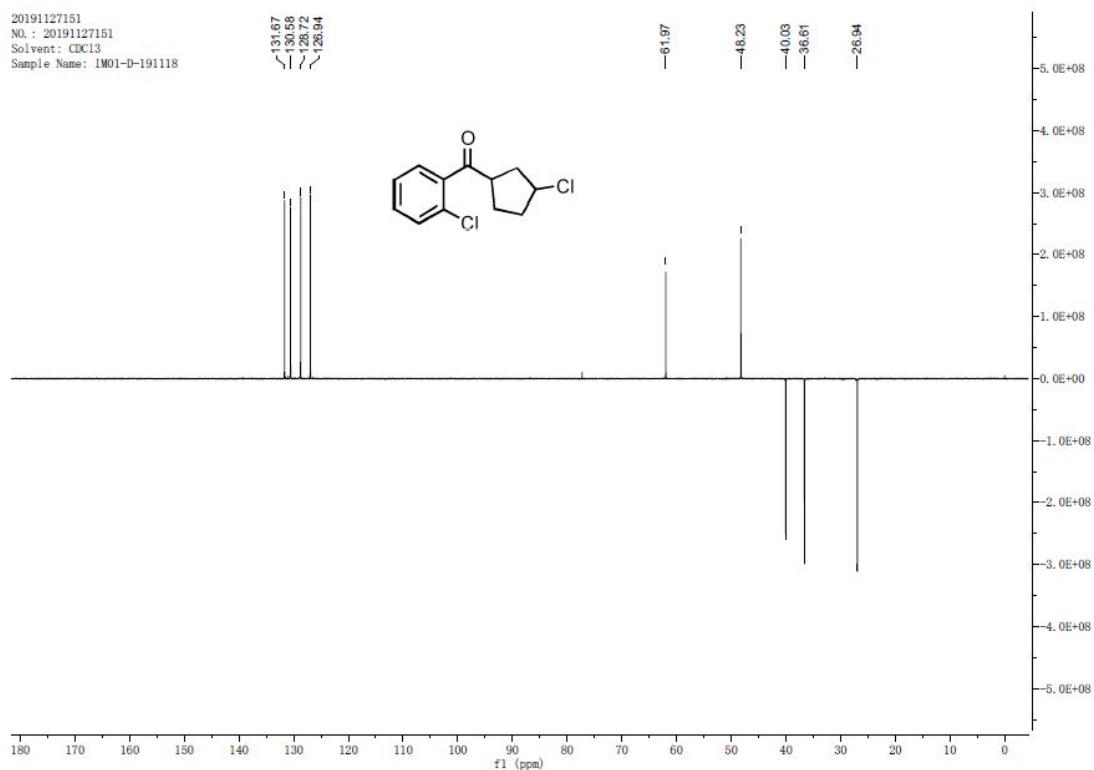
4.3.2 ¹H NMR



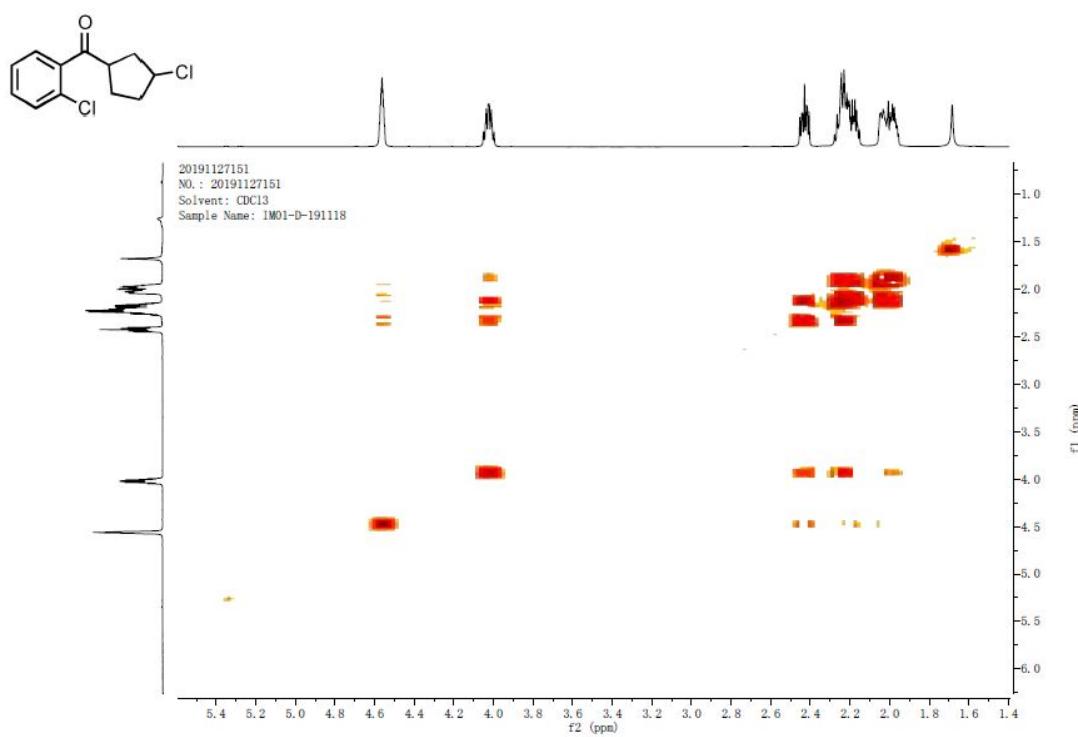
4.3.3 ^{13}C NMR



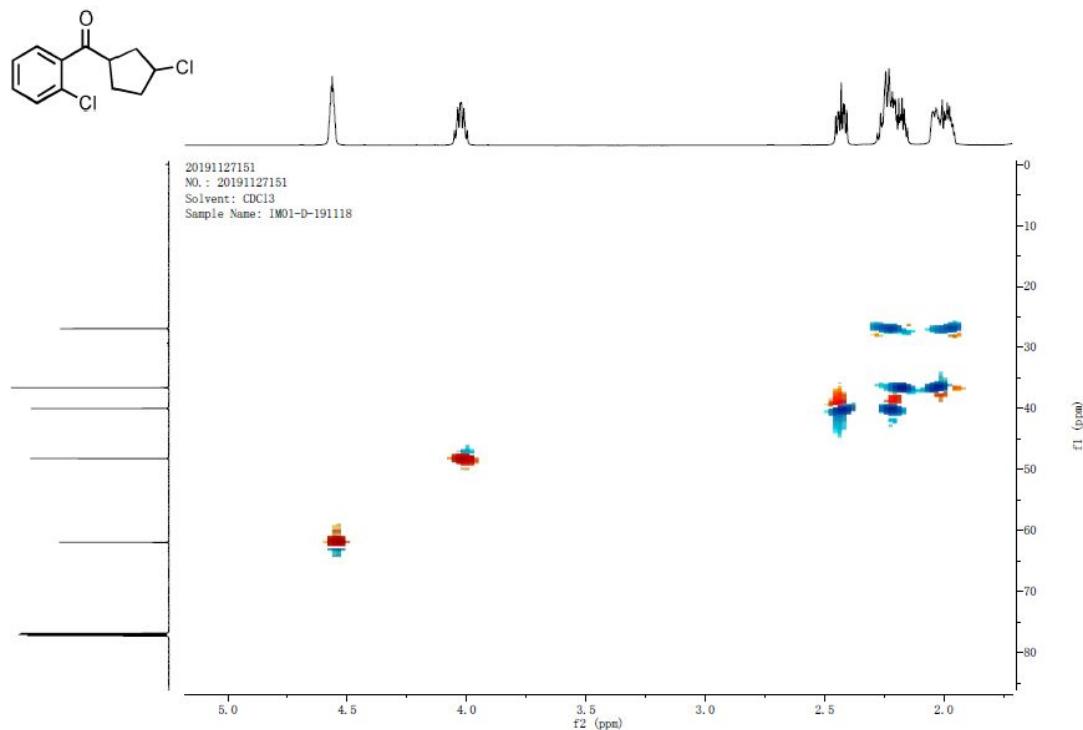
4.3.4 DEPT



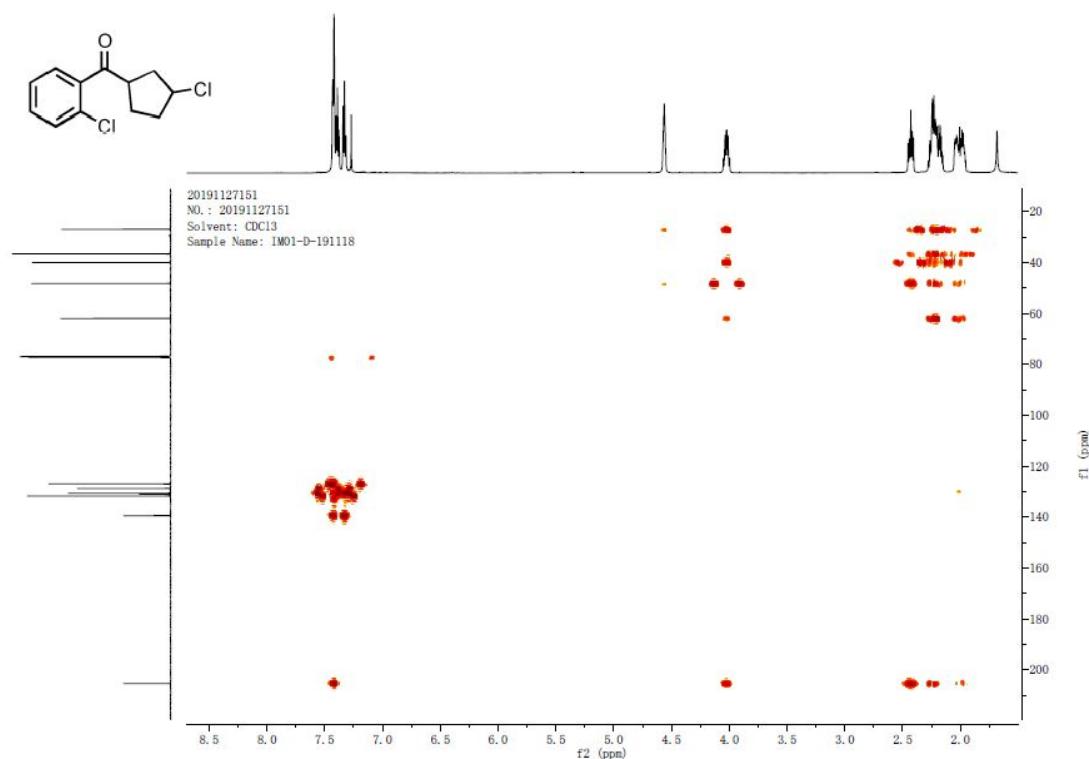
4.3.5 H-H COSY



4.3.6 HSQC



4.3.7 HMBC



4.4 Analytical spectra of impurity 20

4.4.1 HRMS

Single Mass Analysis

Tolerance = 20.0 PPM / DBE: min = -1.5, max = 50.0
Element prediction: Off
Number of isotope peaks used for i-FIT = 3

Monoisotopic Mass, Even Electron Ions

16 formula(e) evaluated with 1 results within limits (up to 50 closest results for each mass)

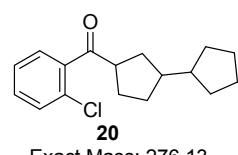
Elements Used:

C: 0-30 H: 0-50 O: 0-1 Na: 1-1 Cl: 0-1

SPII

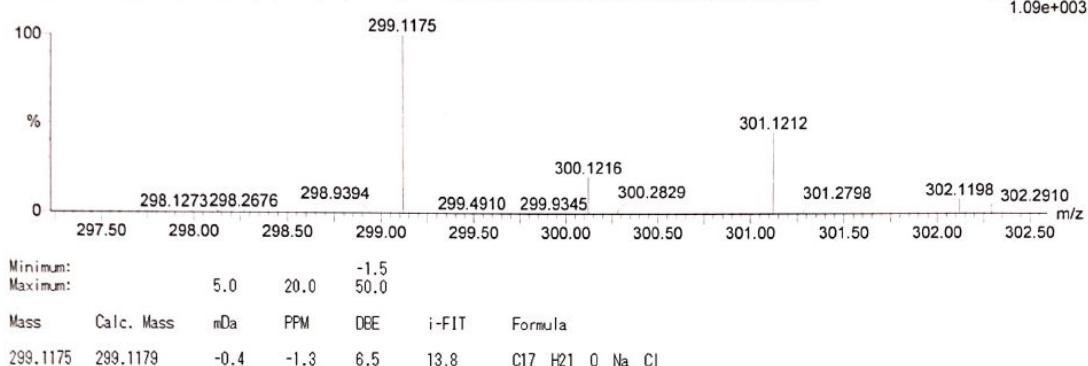
IM01-L-191119

Q19-1641HR 29 (0.539) AM (Cen,2, 80.00, Ar,5000.0,248.08,2.00); Sm (Mn, 2x3.00); Sb (1.5.00); Cm (11:29)

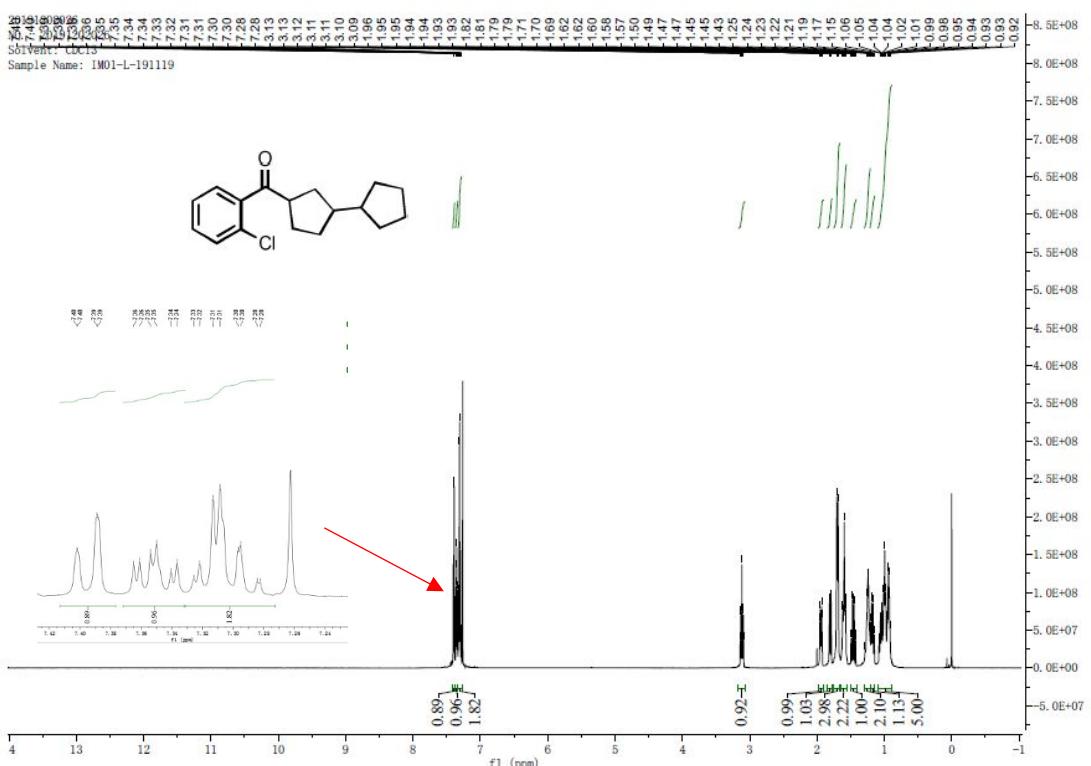


10:23:45,09-Dec-2019

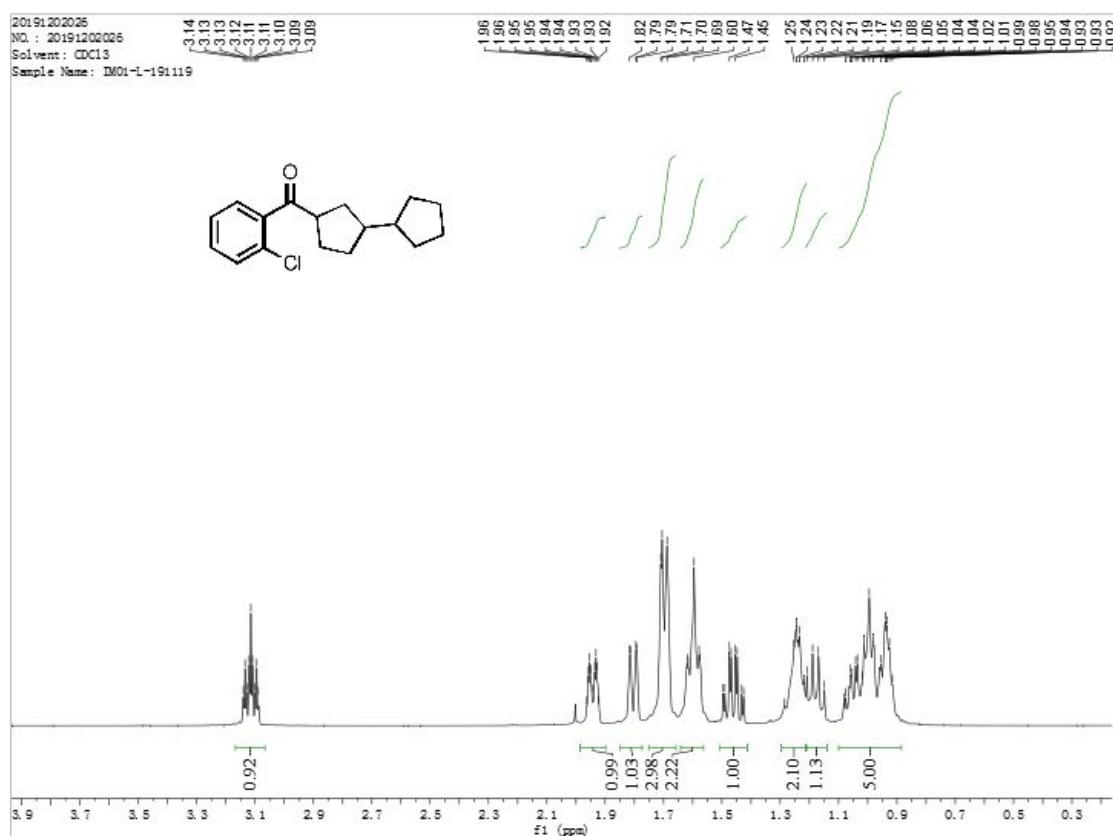
TOF MS ES+
1.09e+003



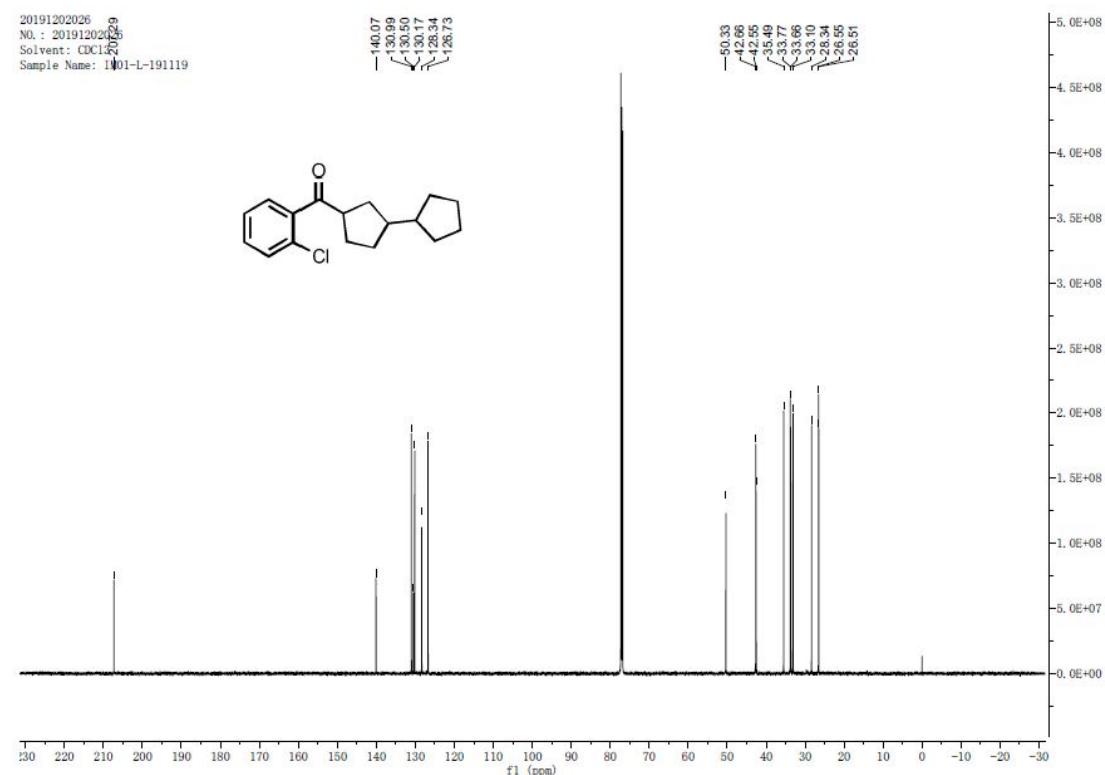
4.4.2 ^1H NMR



4.4.3 Enlarged view of ^1H NMR



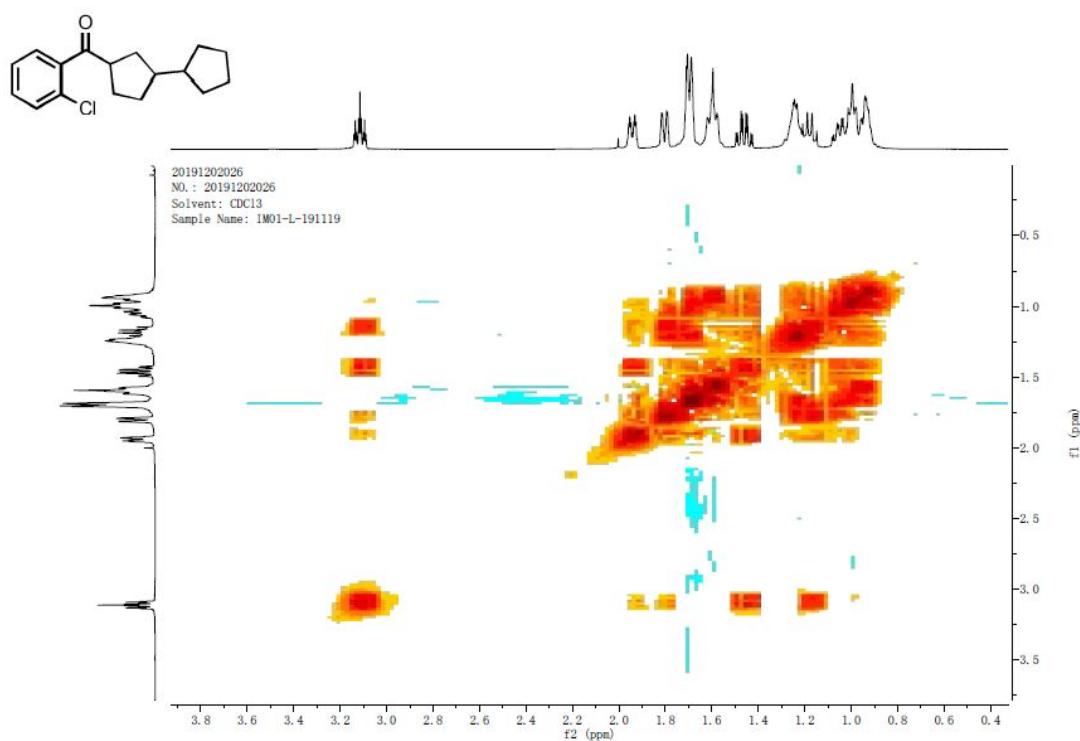
4.4.4 ^{13}C NMR



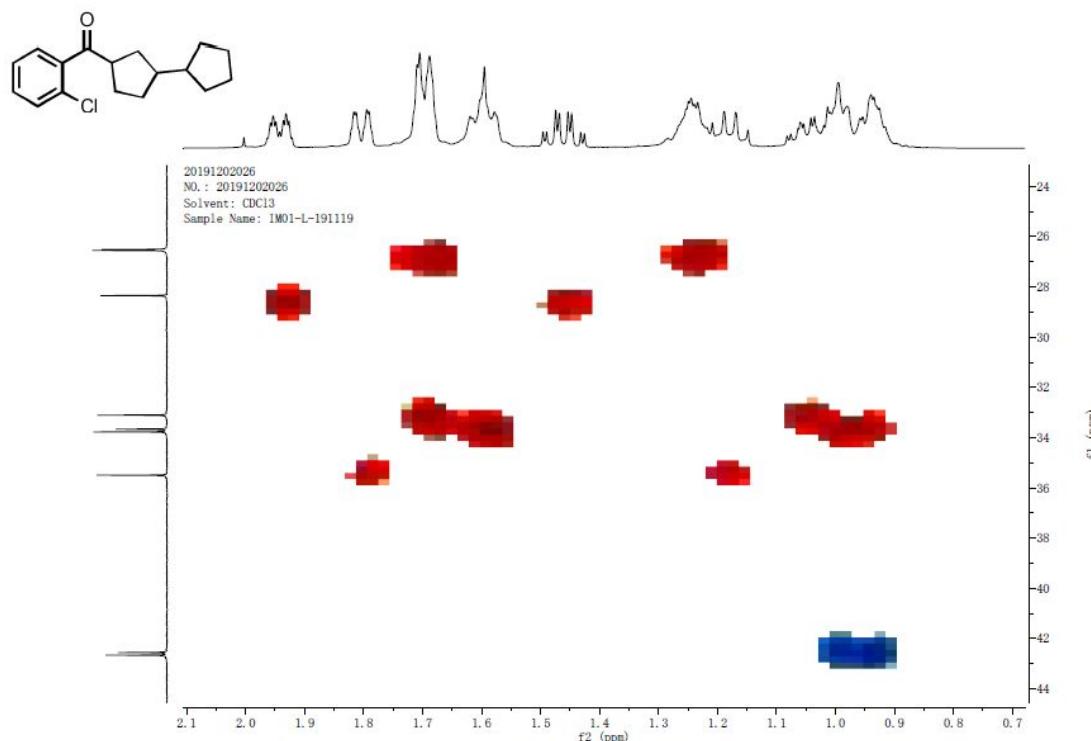
4.4.5 DEPT



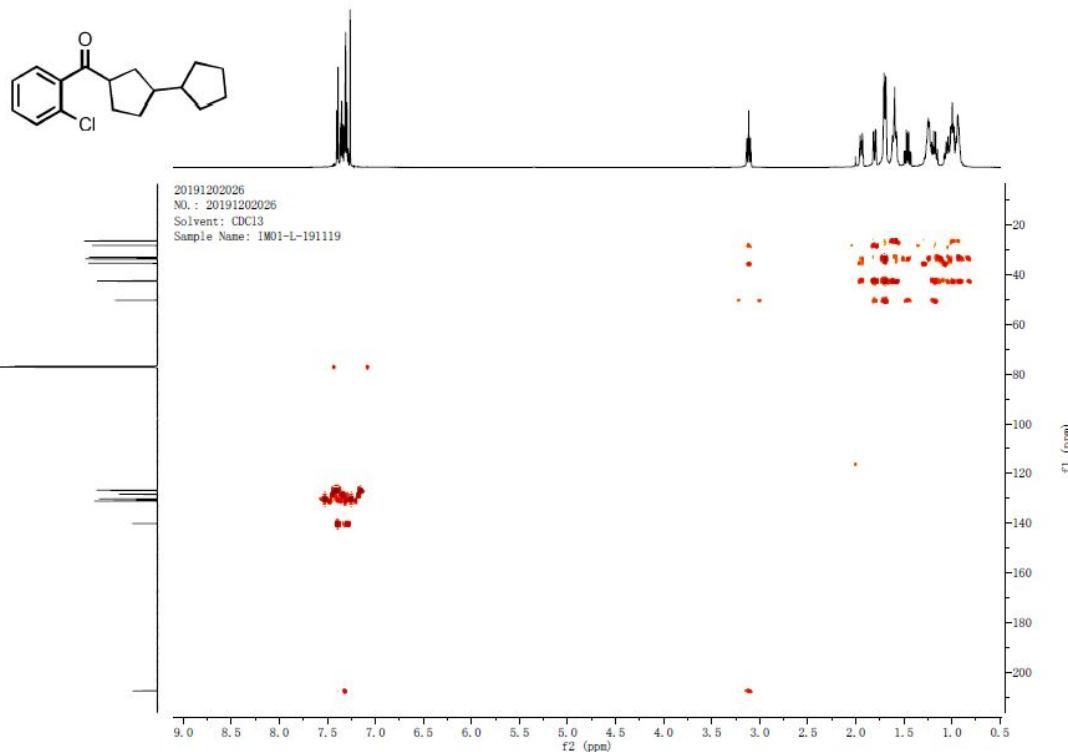
4.4.6 H-H COSY



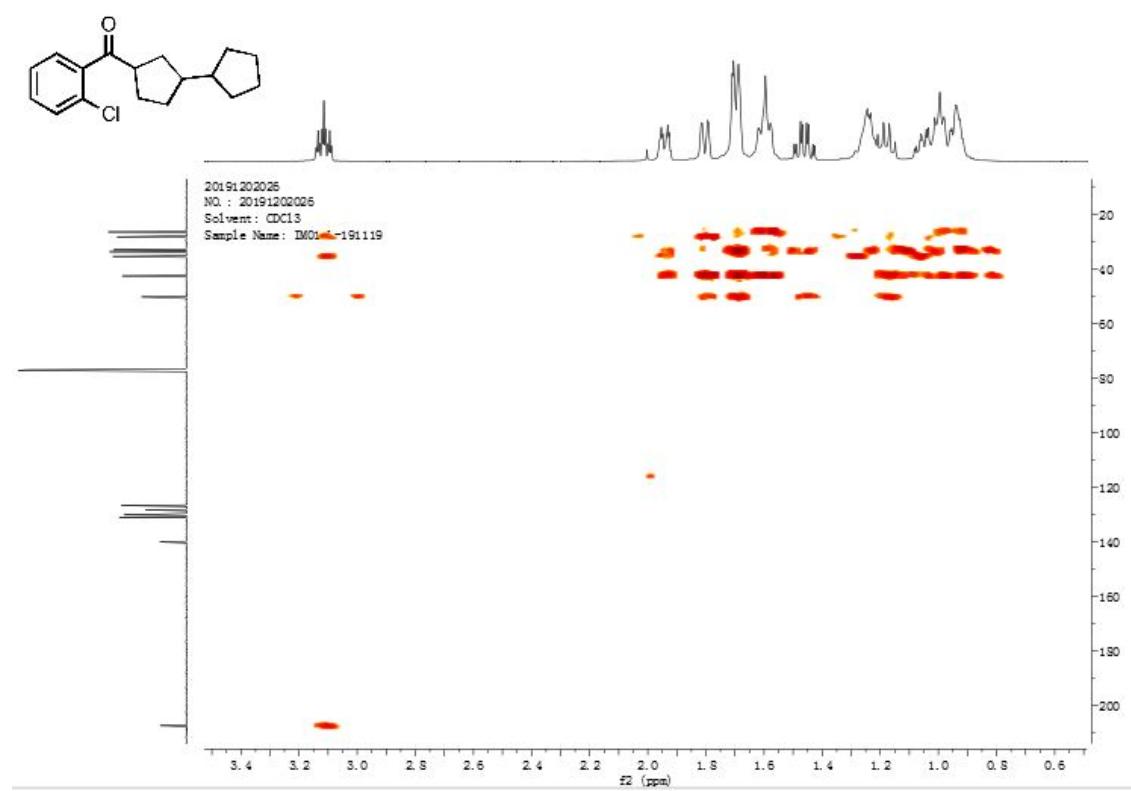
4.4.7 HSQC



4.4.8 HMBC



4.4.9 Enlarged view of HMBC



4.5 Analytical spectra of impurity 22

4.5.1 HRMS

Single Mass Analysis

Tolerance = 20.0 PPM / DBE: min = -1.5, max = 50.0

Element prediction: Off

Number of isotope peaks used for i-FIT = 3

Monoisotopic Mass, Even Electron Ions

22 formula(e) evaluated with 1 results within limits (up to 50 closest results for each mass)

Elements Used:

C: 0-30 H: 0-50 O: 0-1 Na: 1-1 Cl: 0-2

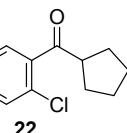
SPIPI

IM01-G-191119

Q19-1642HR 12 (0.223) AM (Top,2, Ar,5000.0,264.05,2.00); Sm (SG, 2x3.00); Sb (1.5,00); Cm (8:30)

Q-Tof micro

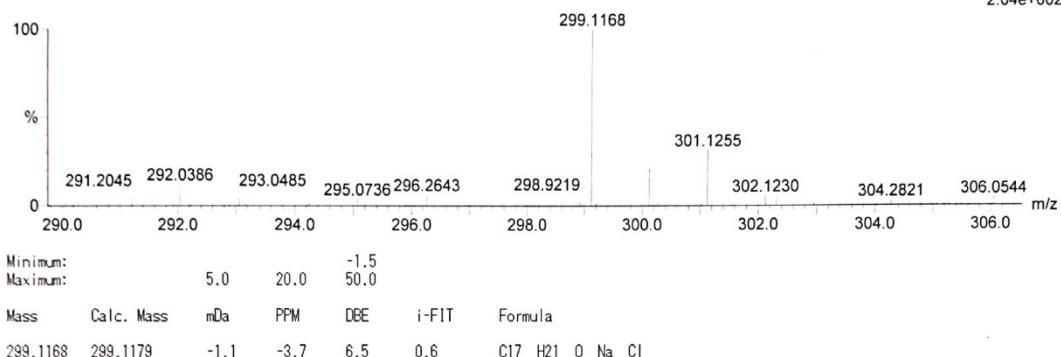
YA019



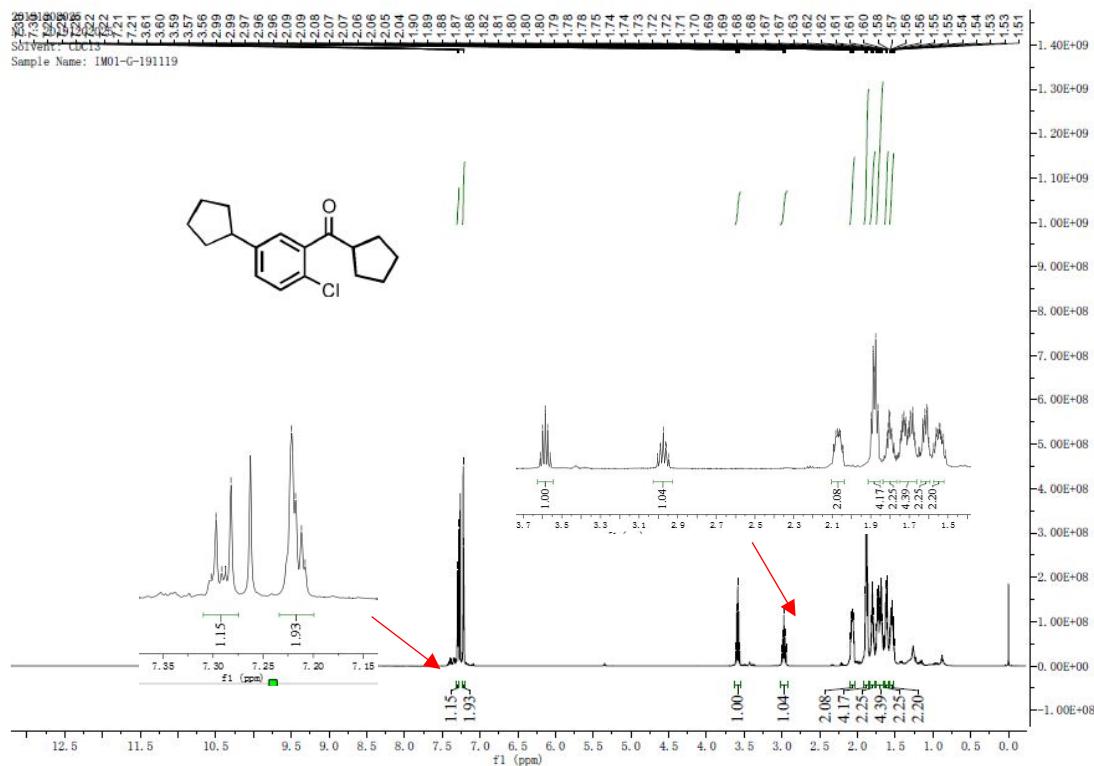
Exact Mass: 276.13

11:15:14,09-Dec-2019

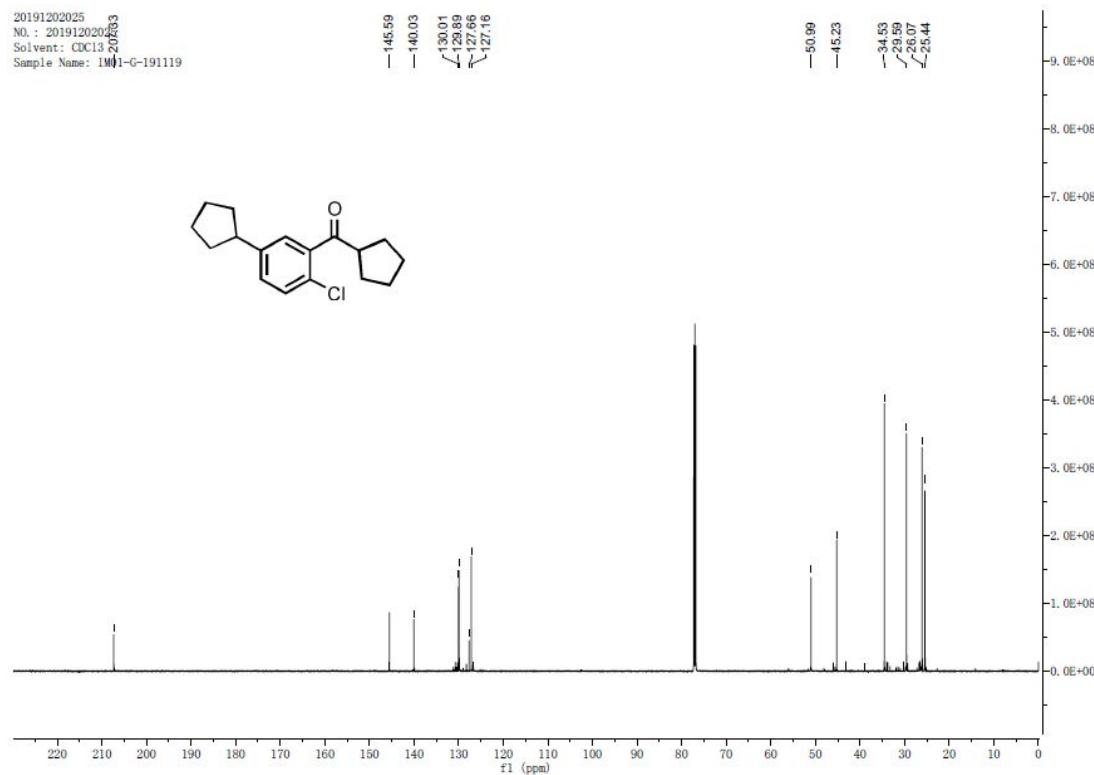
TOF MS ES+
2.04e+002



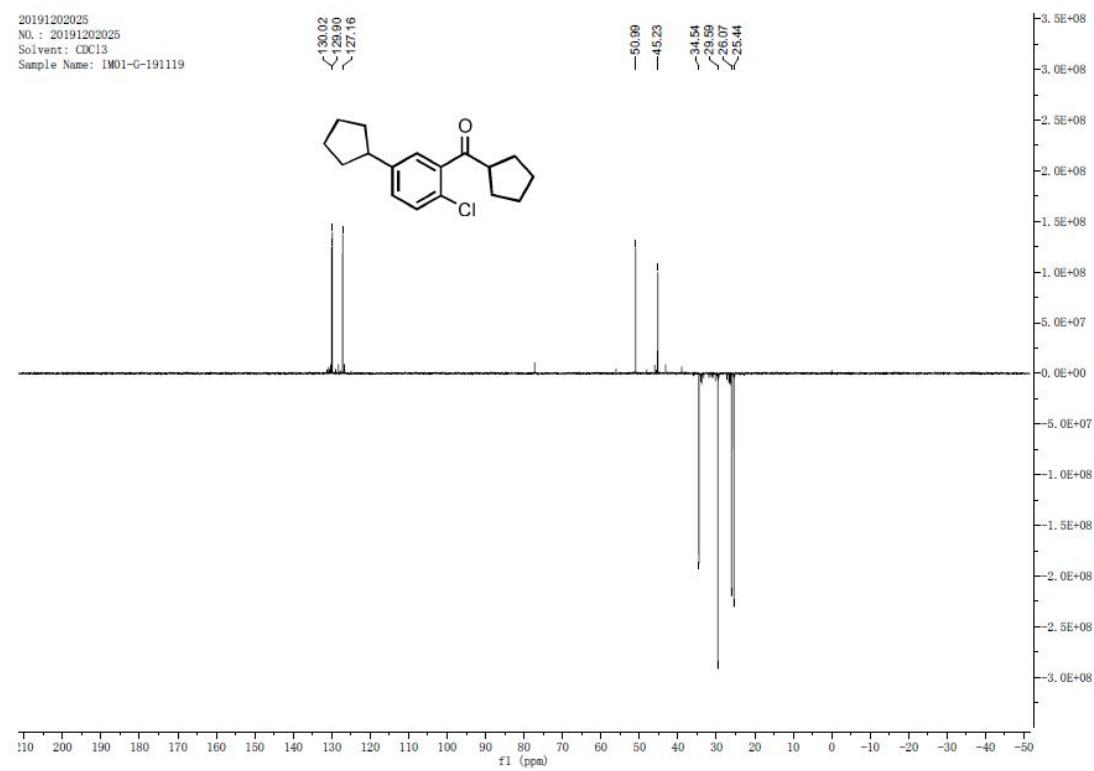
4.5.2 ^1H NMR



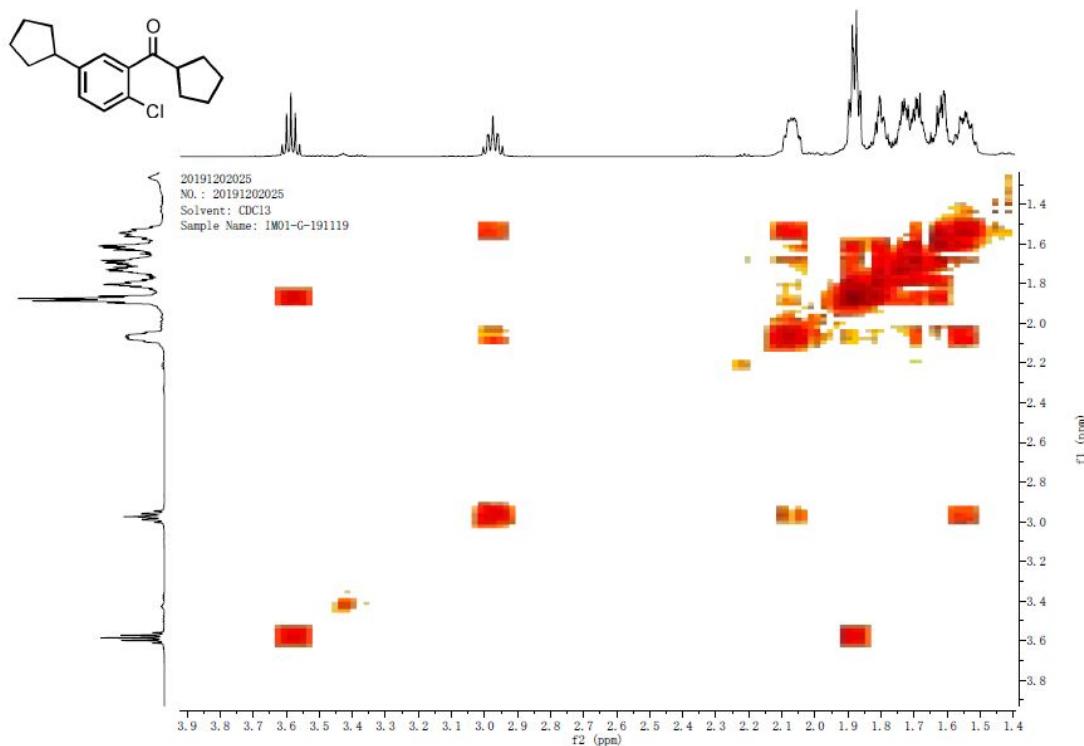
4.5.3 ^{13}C NMR



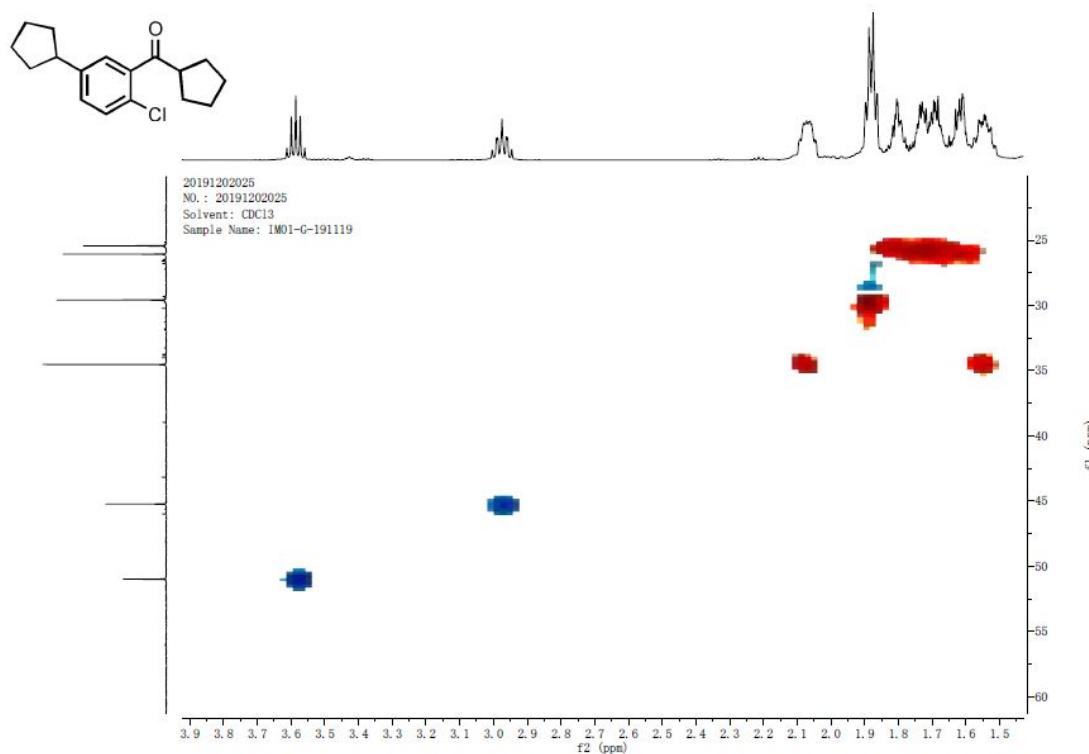
4.5.4 DEPT



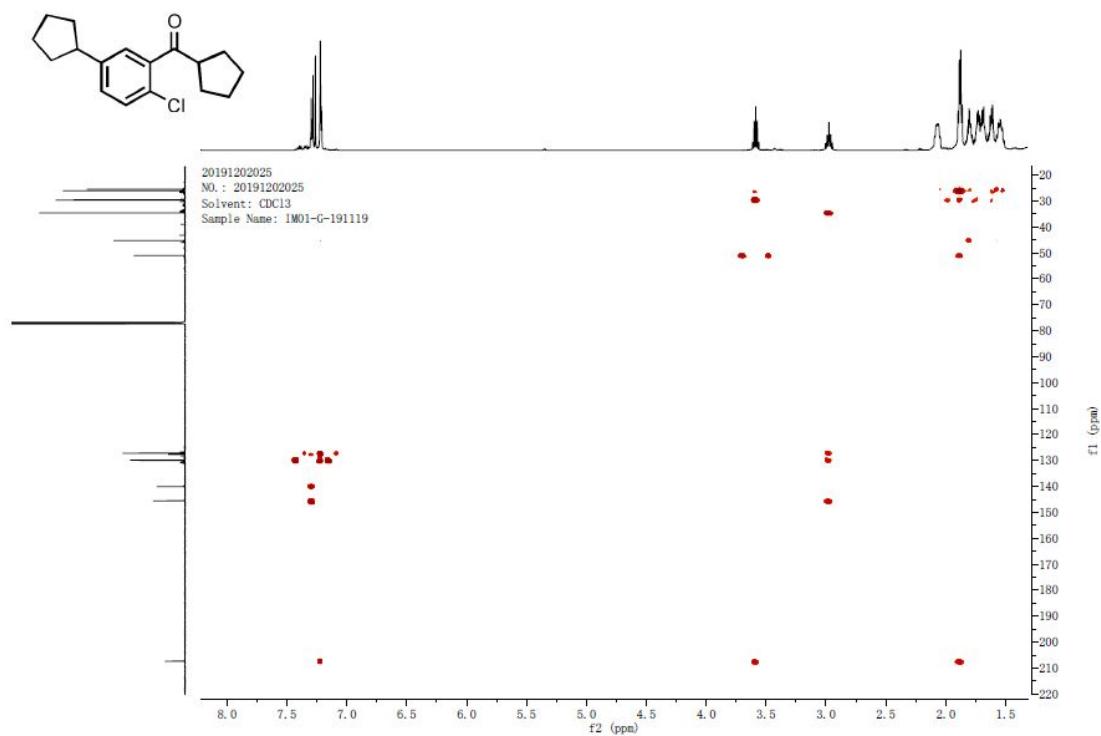
4.5.5 H-H COSY



4.5.6 HSQC



4.5.7 HMBC



5. Analytical spectrograms and data of impurities 24, 25, 27, 29

5.1 Analytical spectrograms and data of impurity 24

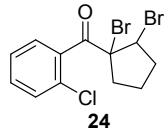
5.1.1 HRMS

Multiple Mass Analysis: 6 mass(es) processed

Tolerance = 5.0 PPM / DBE: min = -1.5, max = 50.0

Element prediction: Off

Number of isotope peaks used for i-FIT = 3



Molecular Weight: 366.48

Monoisotopic Mass, Even Electron Ions

1112 formula(e) evaluated with 13 results within limits (up to 50 closest results for each mass)
Elements Used:

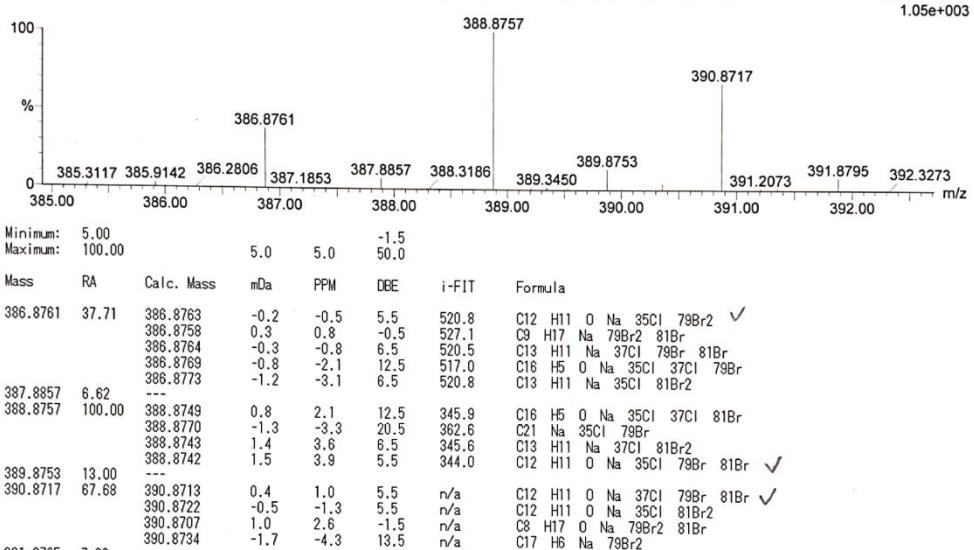
Elements Used:
C, O, S, H, N, F

C: 0-30 H: 0-50 O: 0-1 Na: 1-1 35Cl: 0-1 37Cl: 0-1 79Br: 0-2 81Br: 0-2

SIPI
GYZX023-IM02-E
G16.1.125UDP1.12

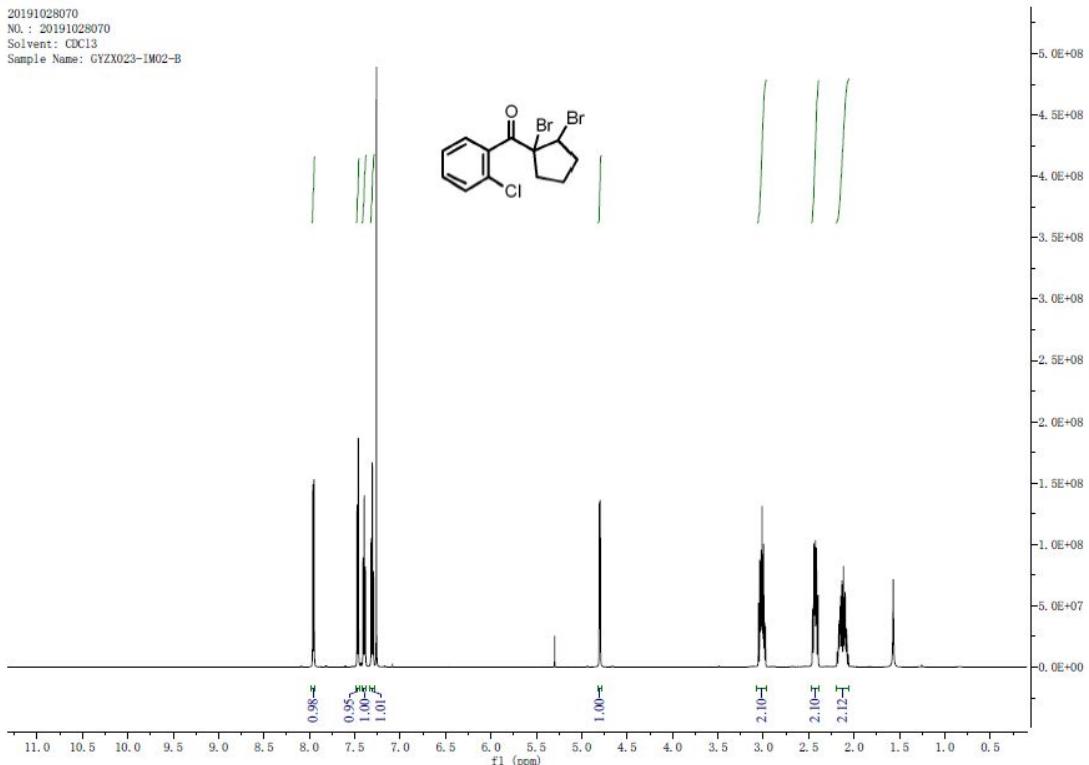
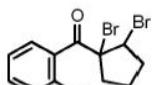
Q-Tof micro

11:43:44,30-Oct-2019

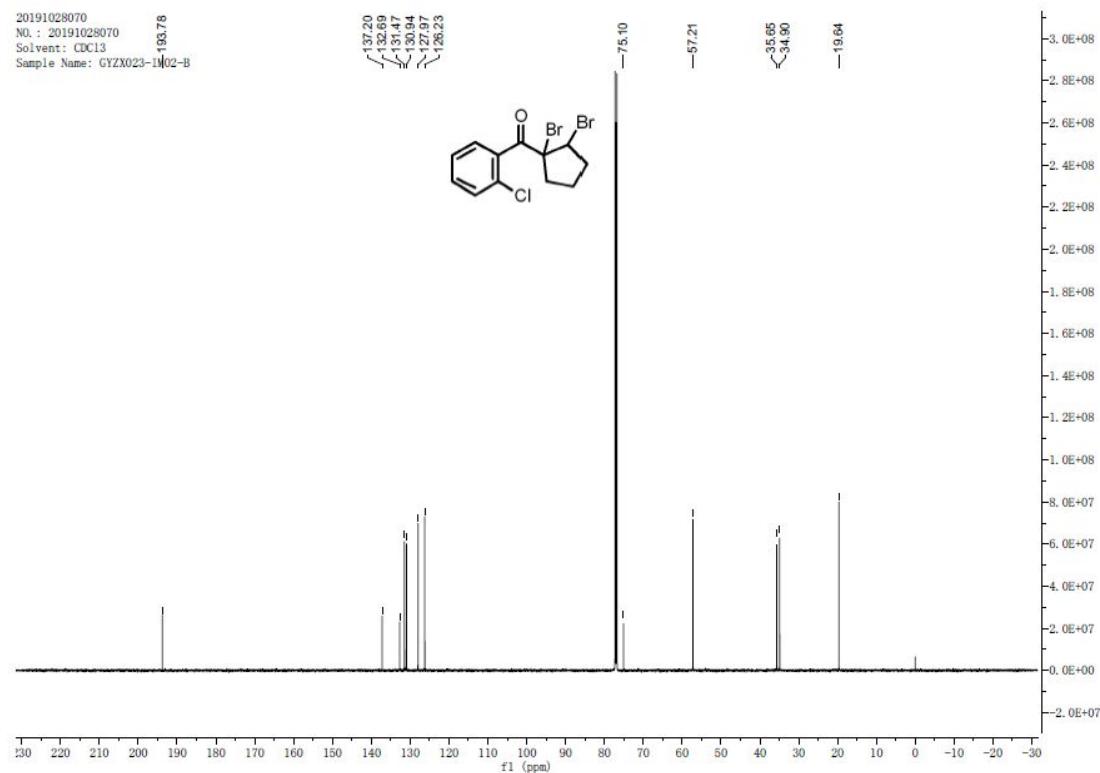


5.1.2 ^1H NMR

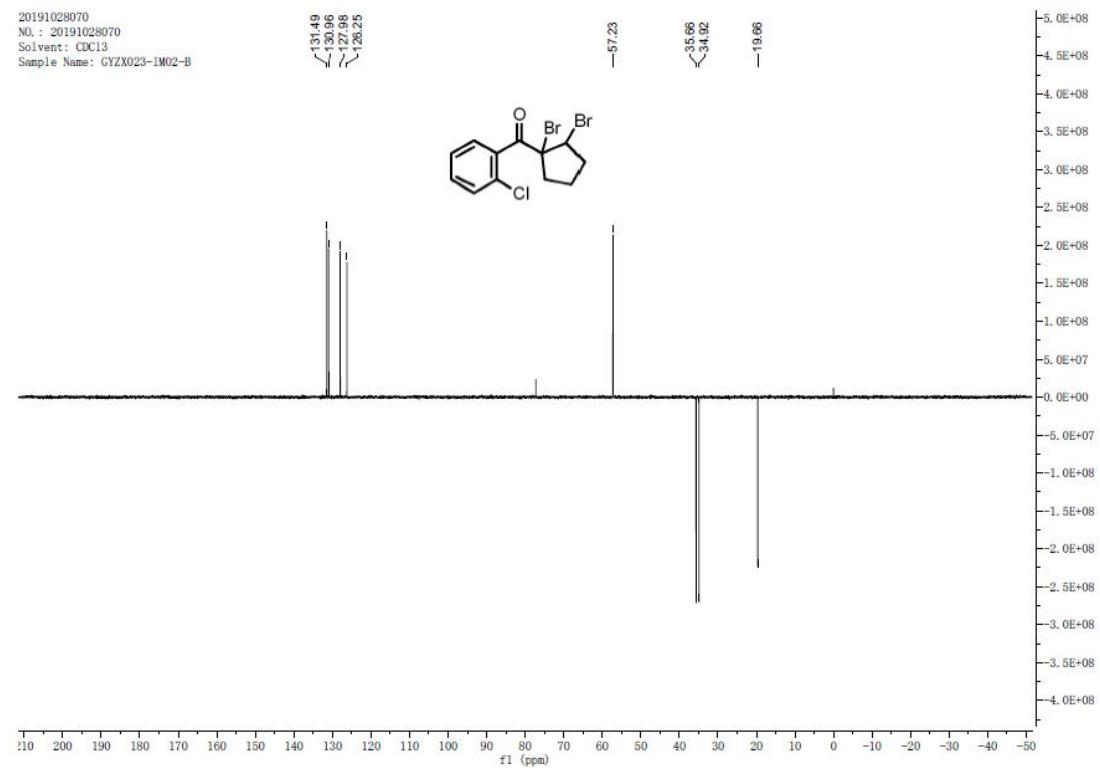
20191028070
NO. : 20191028070
Solvent: CDCl₃
Sample Name: GYZX023-1M02-B



5.1.3 ^{13}C NMR



5.1.4 DEPT



5.2 Analytical spectrograms and data of impurity 25

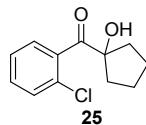
5.2.1 HRMS

Multiple Mass Analysis: 3 mass(es) processed

Tolerance = 10.0 PPM / DBE: min = -1.5, max = 50.0

Element prediction: Off

Number of isotope peaks used for i-FIT = 3



Exact Mass: 224.06

Monoisotopic Mass, Even Electron Ions

104 formula(e) evaluated with 3 results within limits (up to 50 closest results for each mass)

Elements Used:

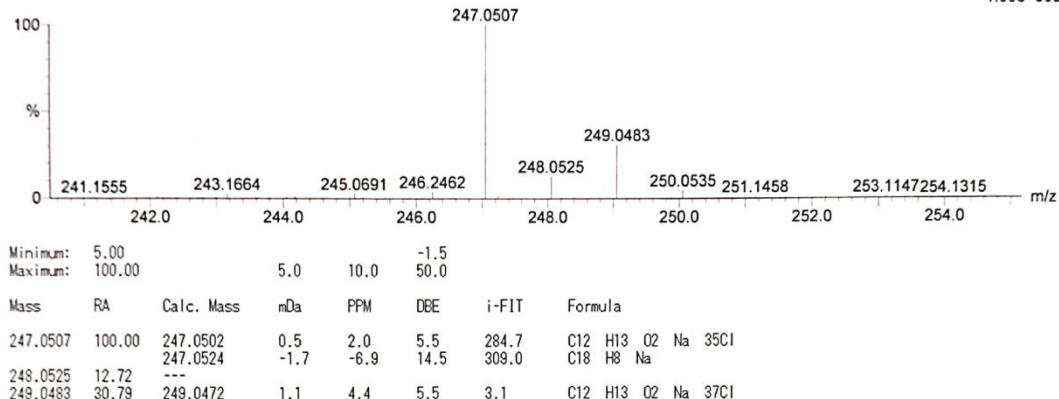
C: 0-30 H: 0-50 O: 0-2 Na: 1-1 35Cl: 0-1 37Cl: 0-1

SPI: Q-ToF micro
Impurity-C: YA019

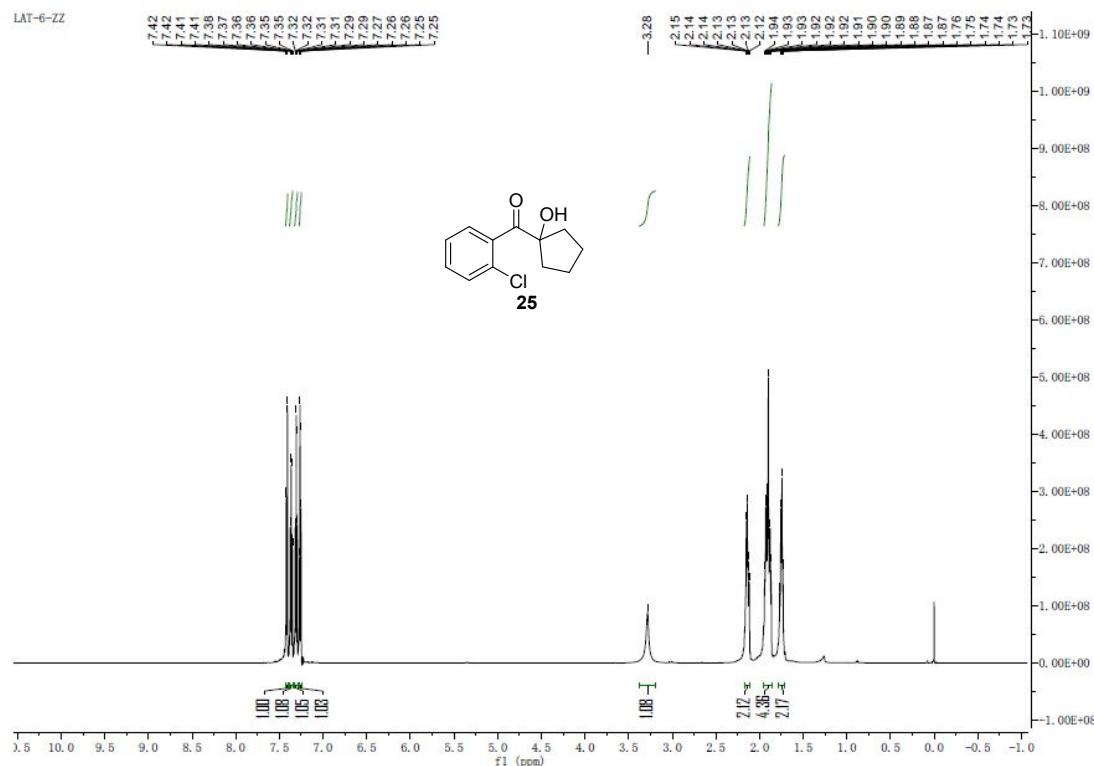
10:47:02,30-Oct-2019

Q19-1492HR 16 (0.296) AM (Cen,2, 80.00, Ar,5000.0,260.10,2.00); Sm (Mn, 2x3.00); Sb (1,5.00); Crm (13:16)

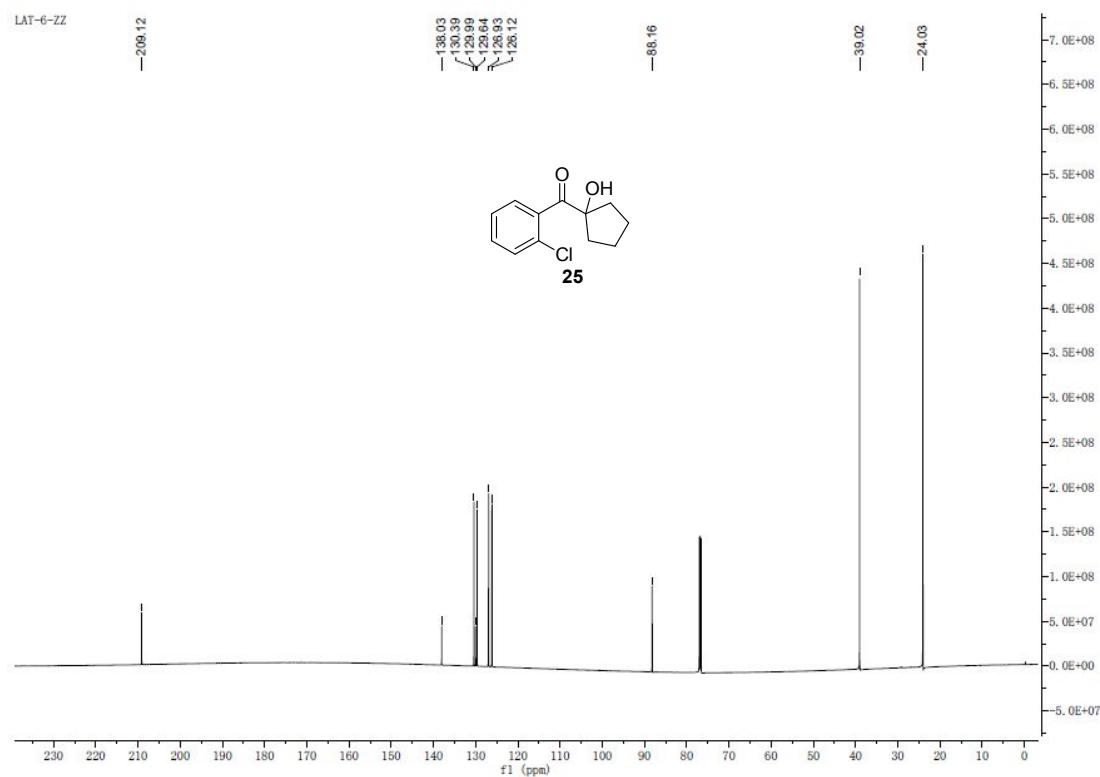
TOF MS ES+
1.99e+003



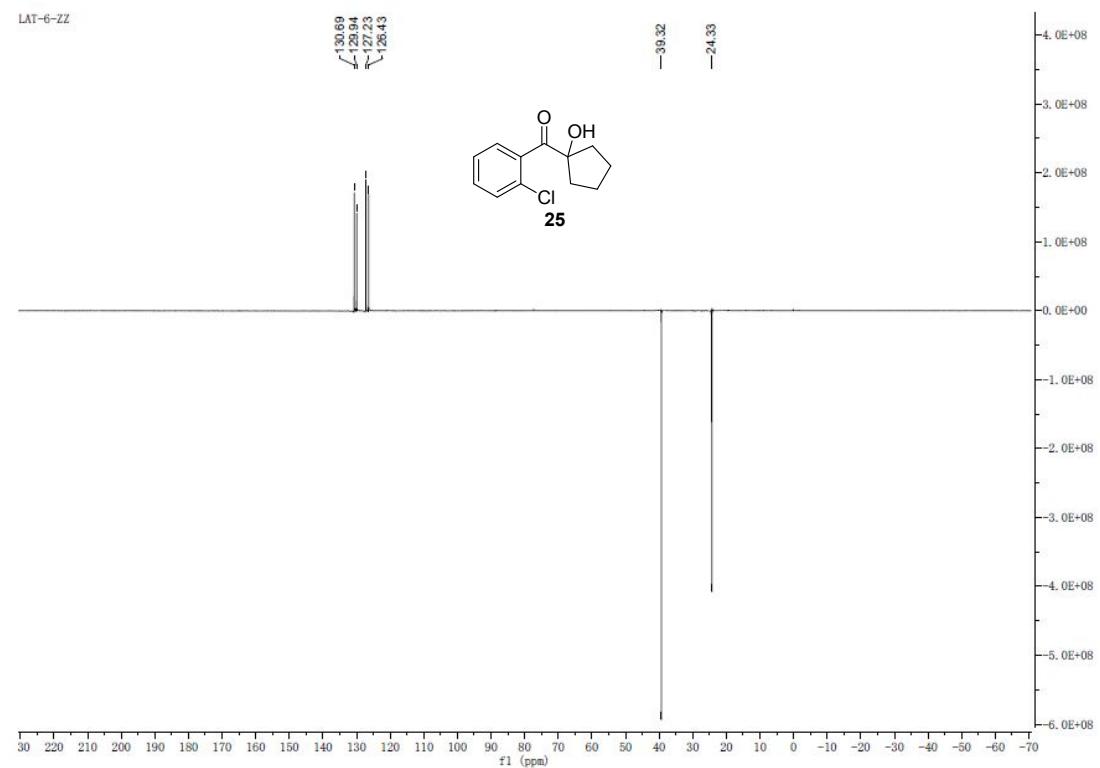
5.2.2 ¹H NMR



5.2.3 ^{13}C NMR

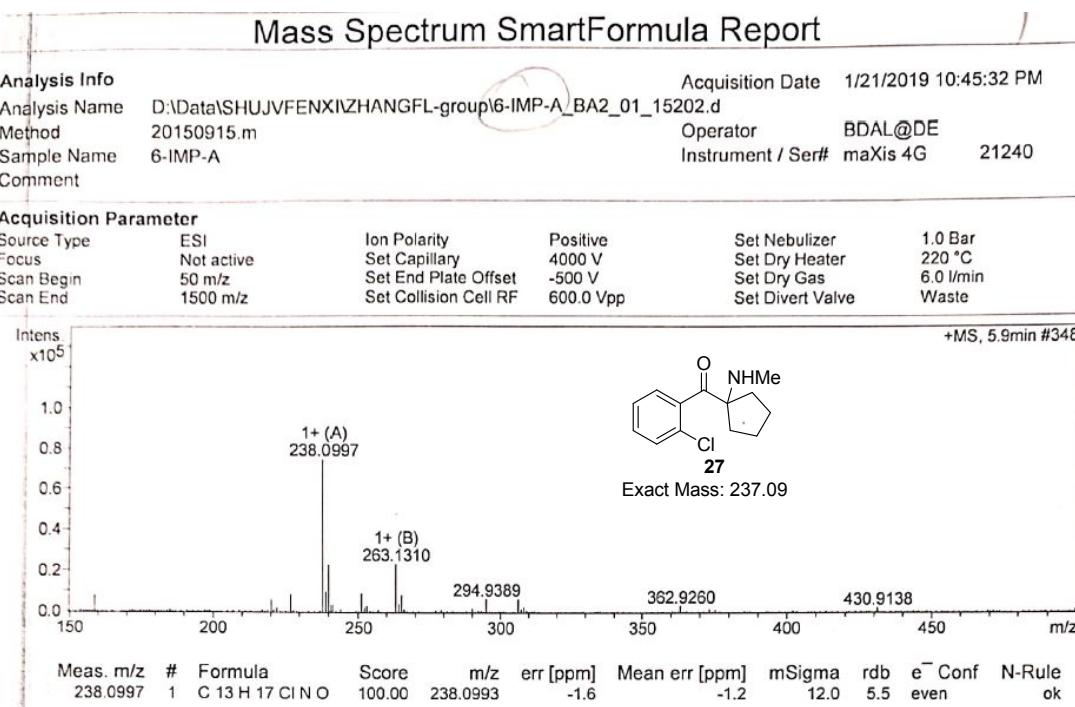


5.2.4 DEPT

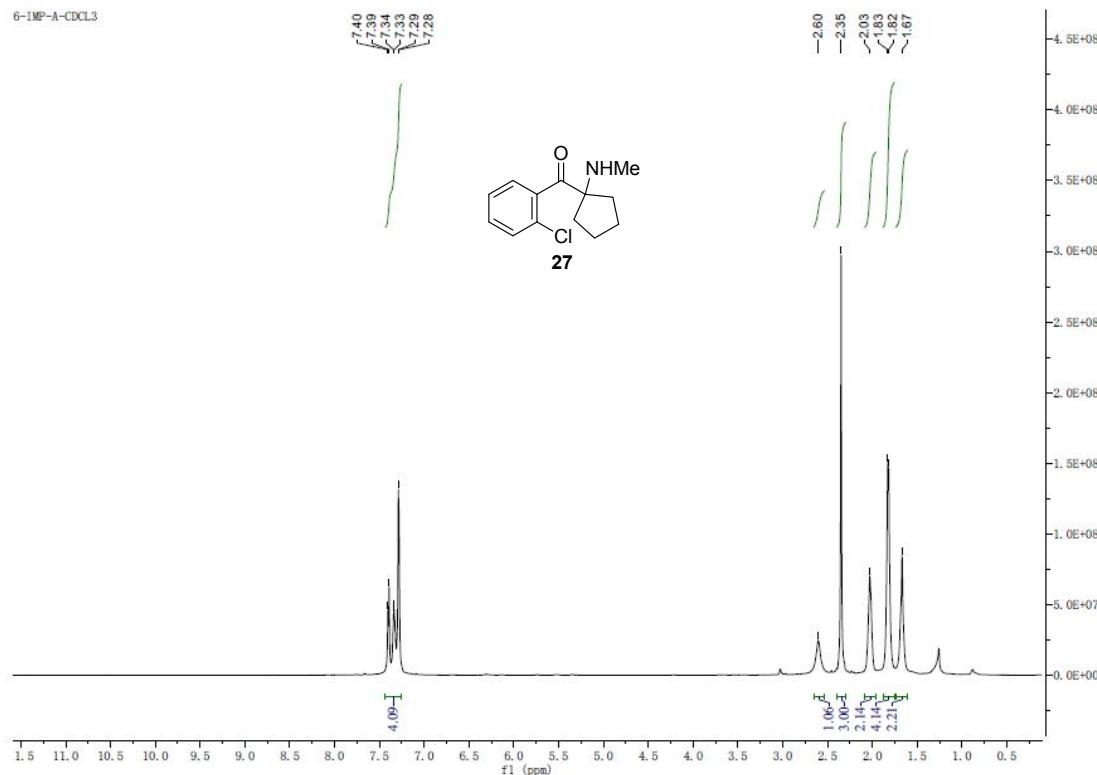


5.3 Analytical spectrograms and data of impurity 27

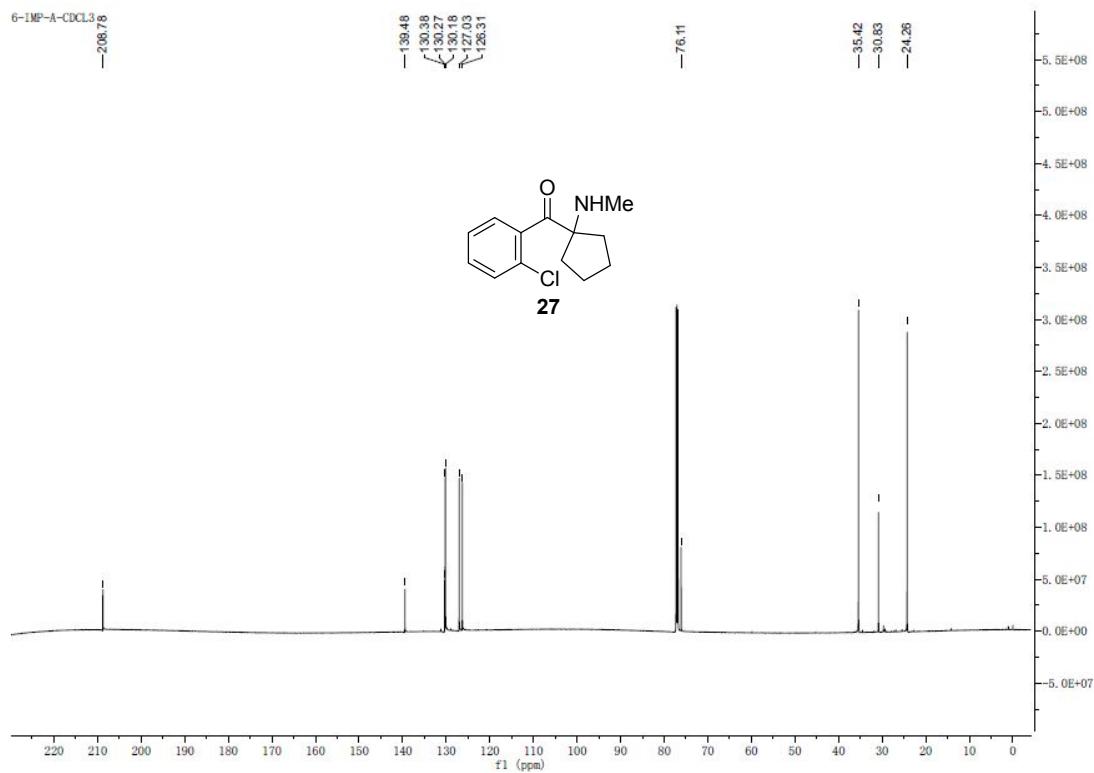
5.3.1 HRMS



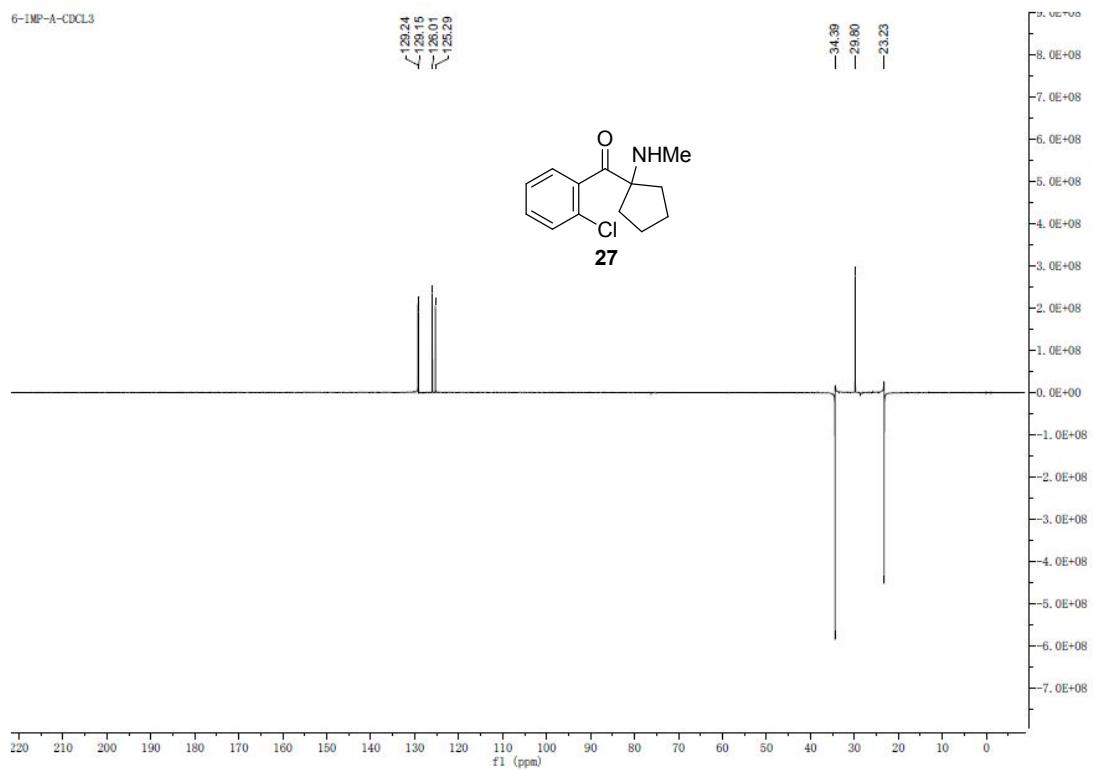
5.3.2 ^1H NMR (CDCl_3)



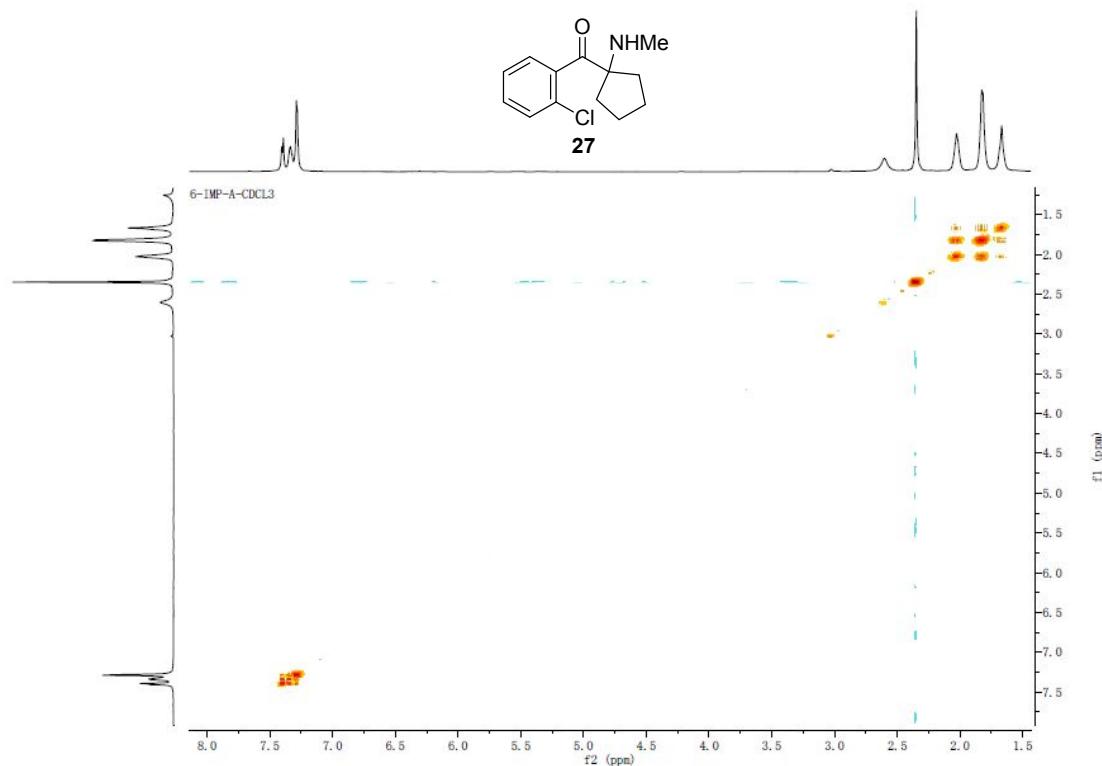
5.3.3 ^{13}C NMR (CDCl_3)



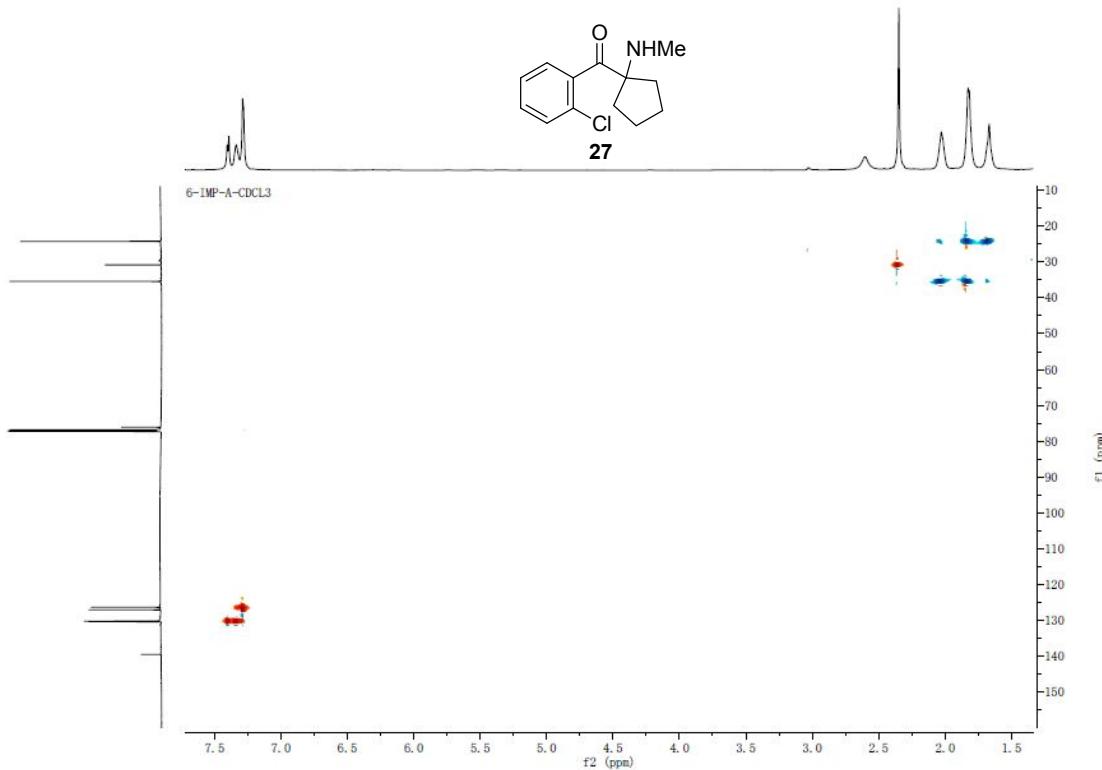
5.3.4 DEPT (CDCl_3)



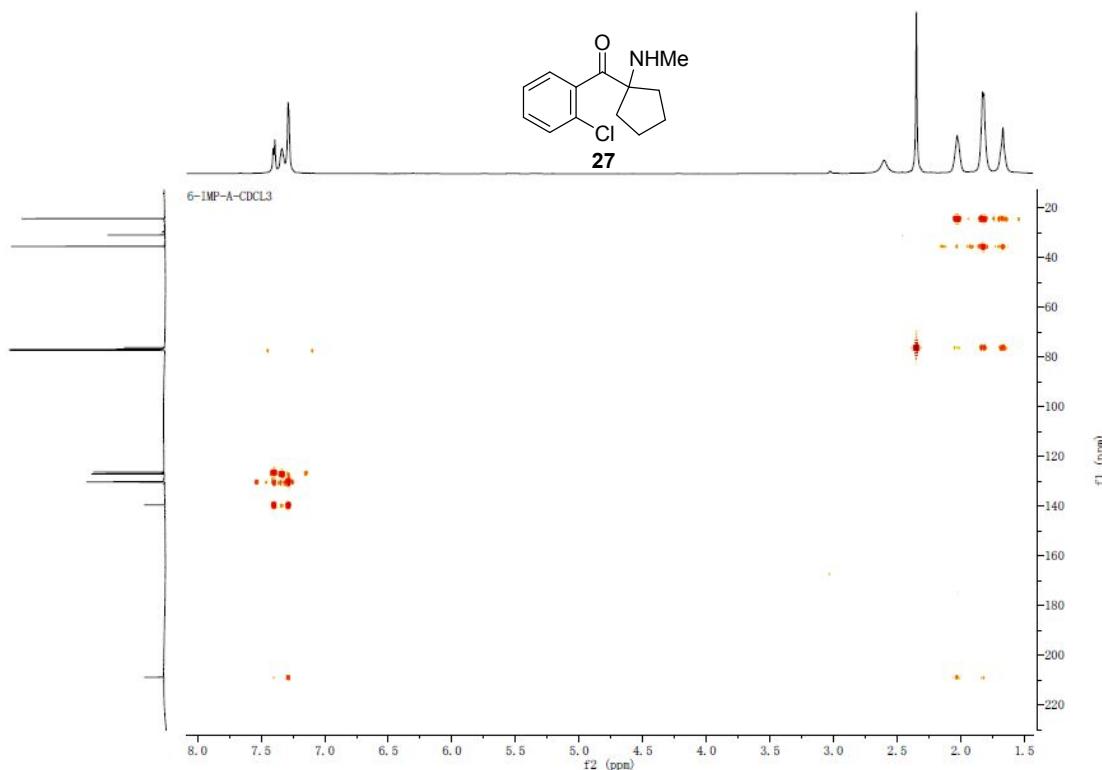
5.3.5 H-H COSY (CDCl_3)



5.3.6 HSQC (CDCl_3)

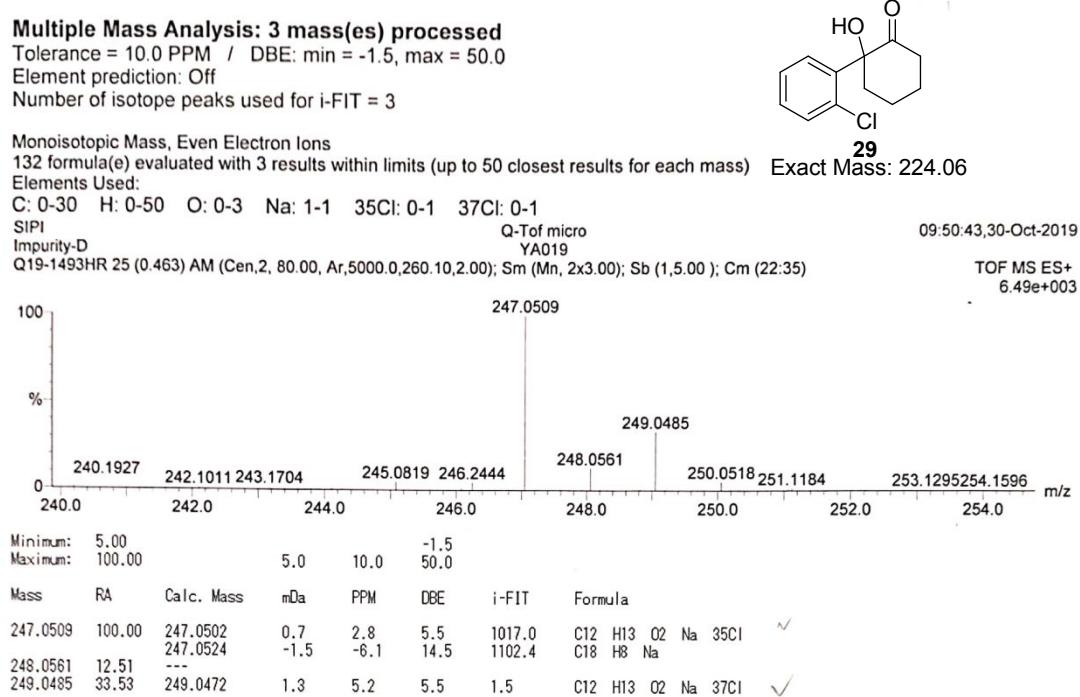


5.3.7 HMBC (CDCl₃)

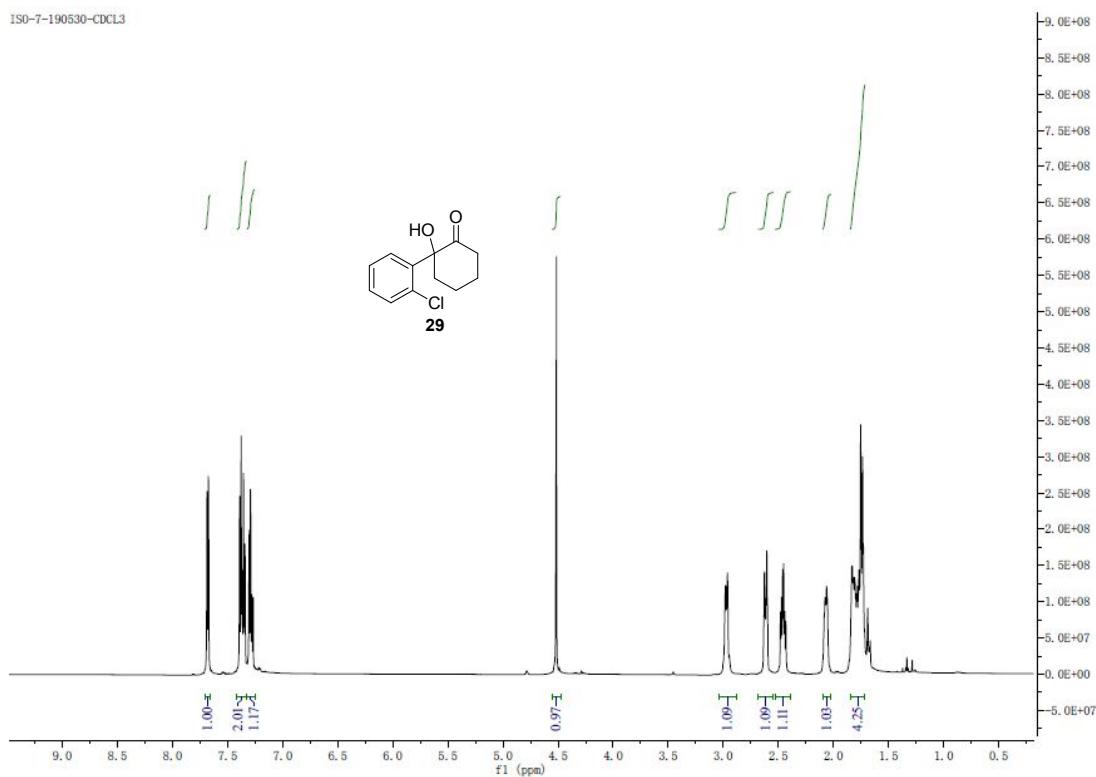


5.4 Analytical spectrograms and data of impurity 29

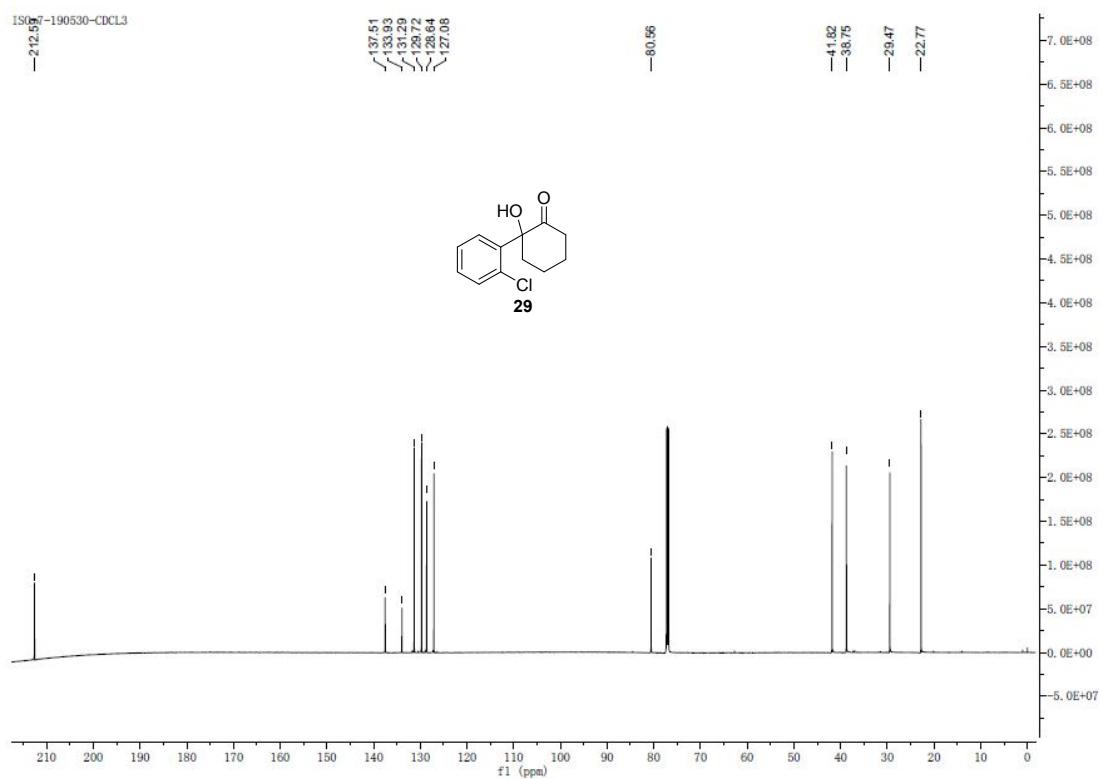
5.4.1 HRMS



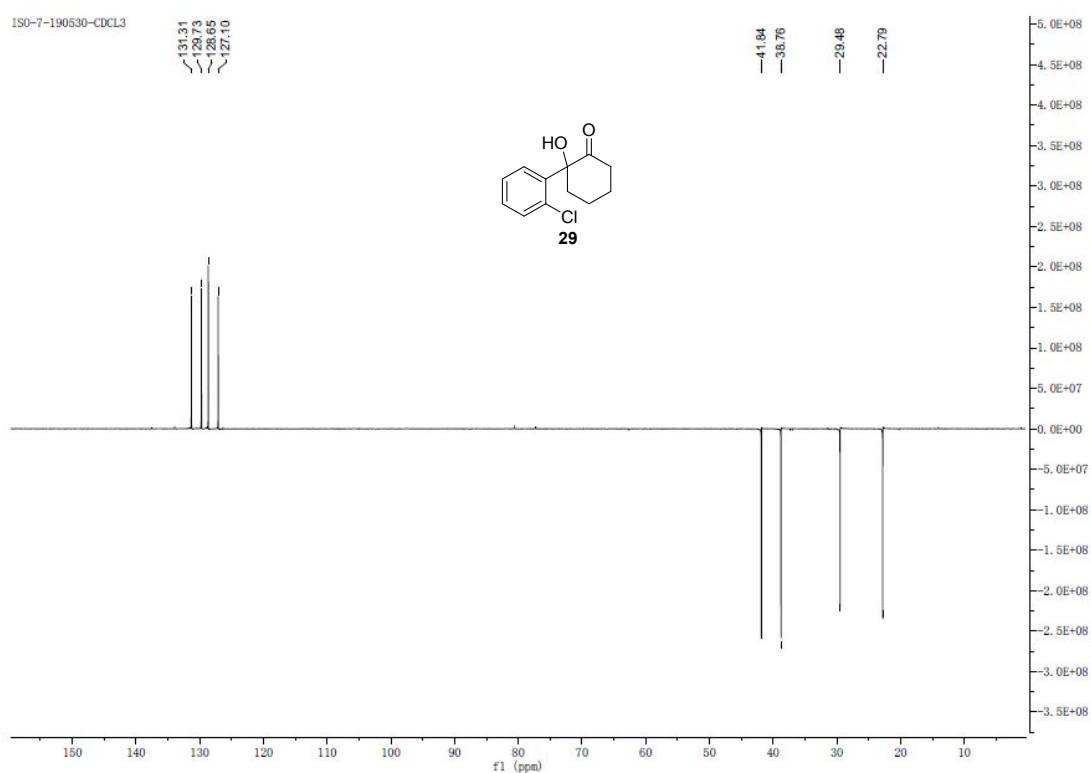
5.4.2 ^1H NMR



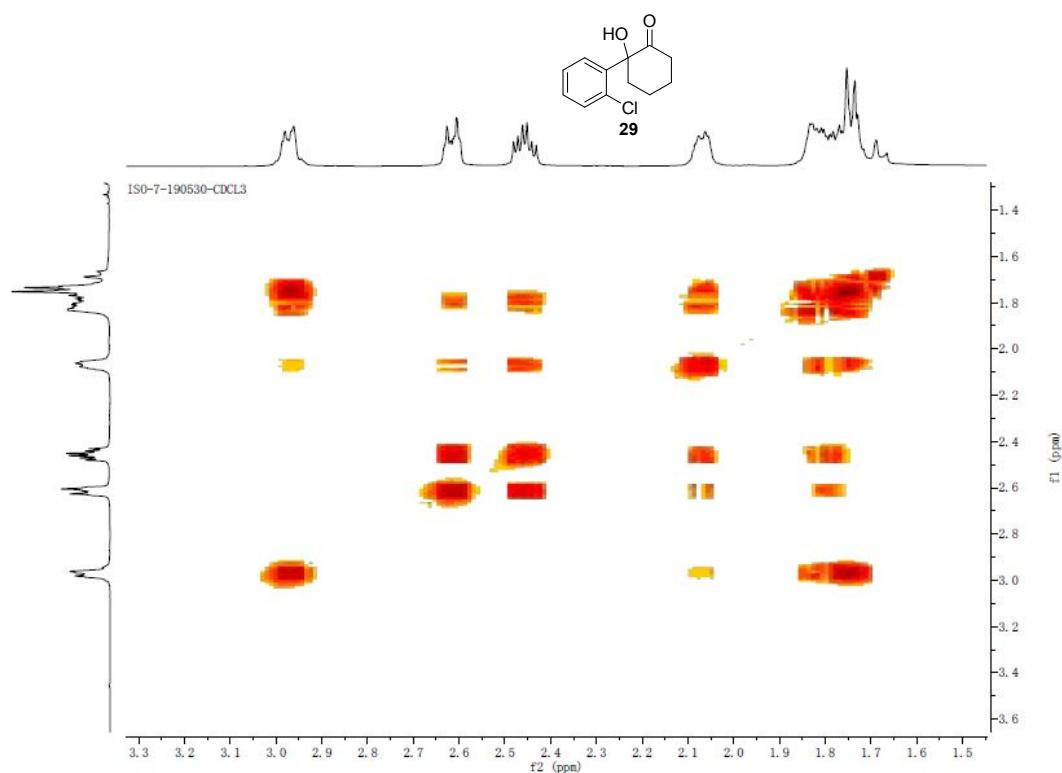
5.4.3 ^{13}C NMR



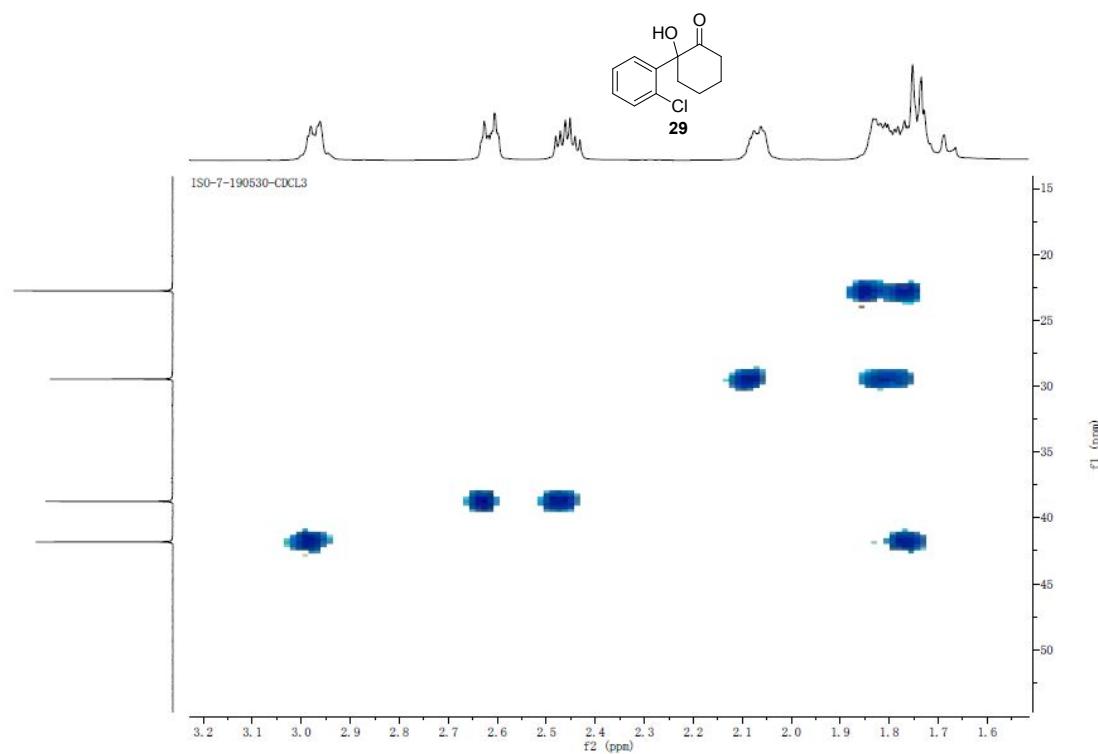
5.4.4 DEPT



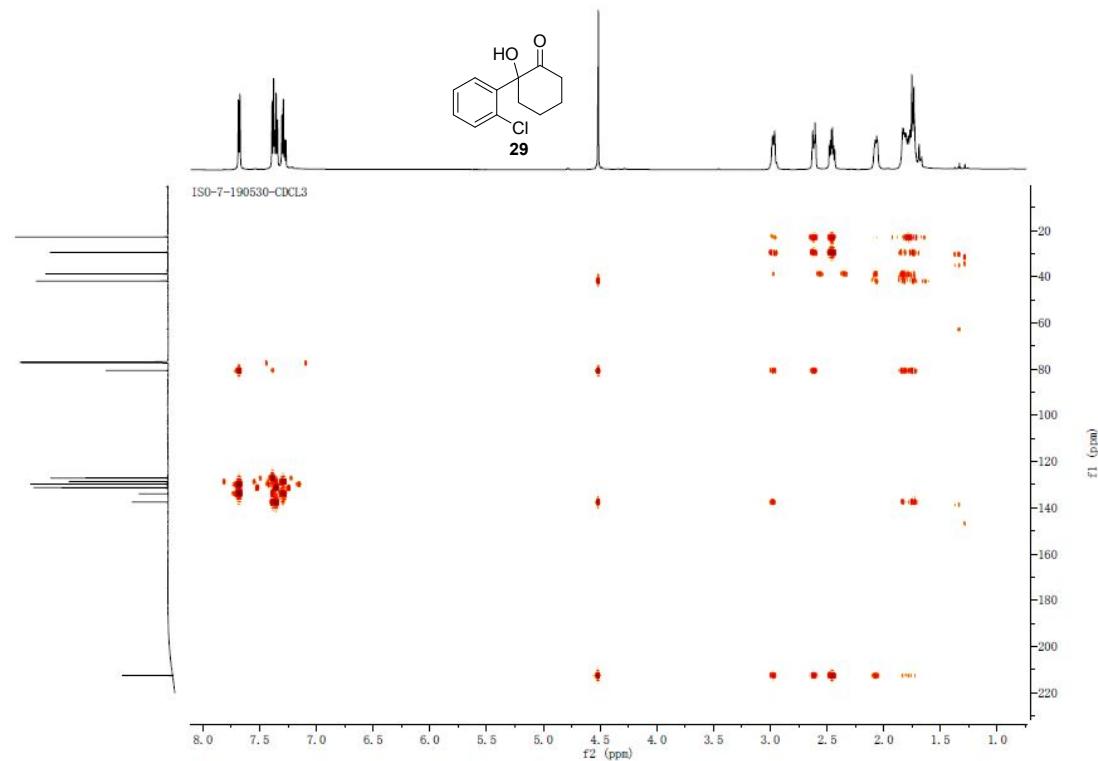
5.4.5 H-H COSY



5.4.6 HSQC



5.4.7 HMBC



8.4.8 SCXRD

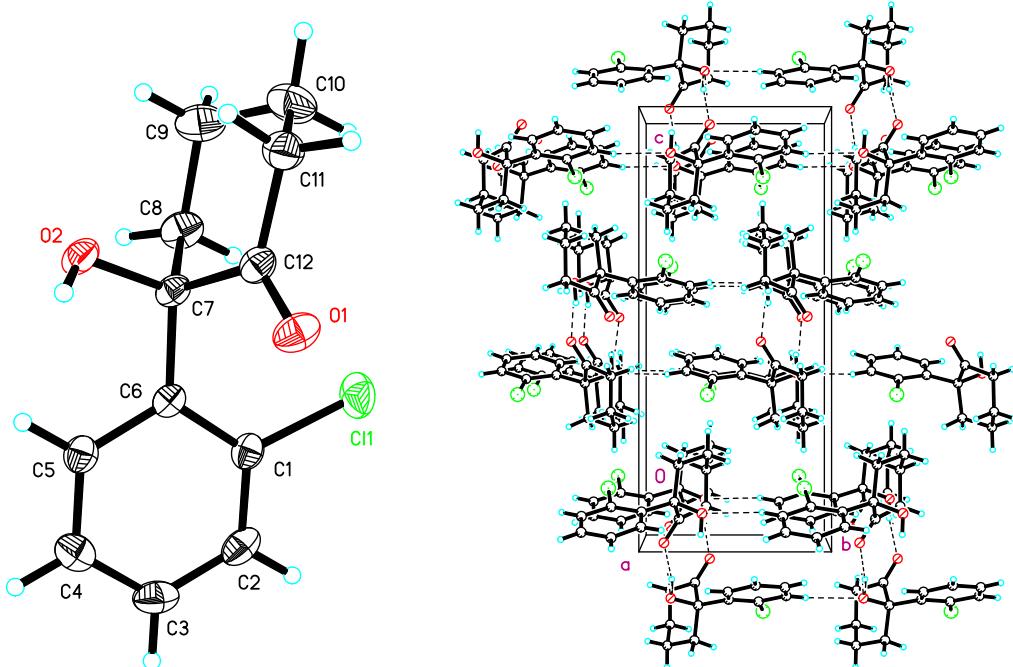


Table S3. Crystal data and structure refinement for mo_d8v19706_0m.

Identification code	mo_d8v19706_0m		
Empirical formula	C12 H13 Cl O2		
Formula weight	224.67		
Temperature	293(2) K		
Wavelength	0.71073 Å		
Crystal system	Orthorhombic		
Space group	P 21 21 21		
Unit cell dimensions	$a = 7.2414(8)$ Å	$\alpha = 90^\circ$.	
	$b = 8.1329(8)$ Å	$\beta = 90^\circ$.	
	$c = 18.752(3)$ Å	$\gamma = 90^\circ$.	
Volume	$1104.4(2)$ Å ³		
Z	4		
Density (calculated)	1.351 Mg/m ³		
Absorption coefficient	0.322 mm ⁻¹		
F(000)	472		
Crystal size	0.200 x 0.160 x 0.130 mm ³		
Theta range for data collection	3.767 to 25.988°.		
Index ranges	-8<=h<=8, -9<=k<=10, -18<=l<=23		
Reflections collected	5396		
Independent reflections	2122 [R(int) = 0.0210]		
Completeness to theta = 25.242°	97.5 %		

Absorption correction	Semi-empirical from equivalents
Max. and min. transmission	0.7456 and 0.6427
Refinement method	Full-matrix least-squares on F ²
Data / restraints / parameters	2122 / 0 / 140
Goodness-of-fit on F ²	1.047
Final R indices [I>2sigma(I)]	R1 = 0.0279, wR2 = 0.0673
R indices (all data)	R1 = 0.0306, wR2 = 0.0692
Absolute structure parameter	0.02(3)
Extinction coefficient	n/a
Largest diff. peak and hole	0.152 and -0.130 e. \AA^{-3}

6. Complete Reference for Gaussian 09

Gaussian 09, Revision A.01, M. J. Frisch, G. W. Trucks, H. B. Schlegel, G. E. Scuseria, M. A. Robb, J. R. Cheeseman, G. Scalmani, V. Barone, B. Mennucci, G. A. Petersson, H. Nakatsuji, M. Caricato, X. Li, H. P. Hratchian, A. F. Izmaylov, J. Bloino, G. Zheng, J. L. Sonnenberg, M. Hada, M. Ehara, K. Toyota, R. Fukuda, J. Hasegawa, M. Ishida, T. Nakajima, Y. Honda, O. Kitao, H. Nakai, T. Vreven, J. A. Montgomery, Jr., J. E. Peralta, F. Ogliaro, M. Bearpark, J. J. Heyd, E. Brothers, K. N. Kudin, V. N. Staroverov, R. Kobayashi, J. Normand, K. Raghavachari, A. Rendell, J. C. Burant, S. S. Iyengar, J. Tomasi, M. Cossi, N. Rega, J. M. Millam, M. Klene, J. E. Knox, J. B. Cross, V. Bakken, C. Adamo, J. Jaramillo, R. Gomperts, R. E. Stratmann, O. Yazyev, A. J. Austin, R. Cammi, C. Pomelli, J. W. Ochterski, R. L. Martin, K. Morokuma, V. G. Zakrzewski, G. A. Voth, P. Salvador, J. J. Dannenberg, S. Dapprich, A. D. Daniels, O. Farkas, J. B. Foresman, J. V. Ortiz, J. Cioslowski, and D. J. Fox, Gaussian, Inc., Wallingford CT, 2009.

7. Computational Methods

The DFT calculations conducted in this study were carried out using the Gaussian09 program. DFT method M06 with a standard 6-311++G** basis set was used to calculate the single point energies. The structures were optimized with a SMD continuum solvation model in dichloromethane ($\epsilon=8.93$). The energies presented in this paper are the M06 calculated Gibbs free energies in dichloromethane solvent.

8. M06 Geometries for carbocation 13, 16 and Transition States

13 E (RM06) = -2622.70458452 Hartree

Sum of electronic and thermal Free Energies= -2622.539992 Hartree

C, 0, 1.2661606358, 2.4649239146, -1.1696273399

C, 0, 1.2938737635, 3.839135386, -0.7733557911

C, 0, 0.2521315342, 3.9956339653, 0.3328092839

C, 0, 0.1693969255, 2.6001326416, 0.9422654429

C, 0, 0.4144898229, 1.704297625, -0.2955659352

H, 0, 1.7926866592, 2.0526045189, -2.0316753773

H, 0, 1.3613968103, 4.5696859722, -1.5839815307

H, 0, 2.3276312443, 3.8367908635, -0.3307444325

H, 0, 0.5223525512, 4.7629637356, 1.0576506494

H, 0, -0.7077565382, 4.2710086855, -0.1188605583

H, 0, 0.9726012808, 2.4221251547, 1.666296224

H, 0,-0.7856086015, 2.3765686396, 1.4211937704

H, 0,-0.5204949269, 1.8246283551, -0.9230705068

C, 0, 0.5895092289, 0.2277928783, -0.0747286145

O, 0, -0.4380029172, -0.4625514519, 0.0474076776

C, 0, 1.906763501, -0.3472870155, 0.0415845309

C, 0, 2.9920188733, 0.5146249514, 0.3010845795

C, 0, 2.175811367, -1.7338256661, -0.0551744208

C, 0, 4.2731533152, 0.039559276, 0.4642632169

H, 0, 2.819080676, 1.5778052774, 0.4427629561

C, 0, 3.4686895785, -2.2045186346, 0.0877405076

C, 0, 4.508849767, -1.3258388693, 0.3523860342

H, 0, 5.0843778339, 0.723438187, 0.6848124386

H, 0, 3.6596463945, -3.2674372726, -0.0111619637

H, 0, 5.5141705682, -1.7167076847, 0.4714465181

Cl, 0, 0.9589583166, -2.9088750865, -0.4250618088

Al, 0, -2.2950646255, -0.4695422195, 0.0793547352

Cl, 0, -2.7502847106, -0.4502087412, 2.1465884097

Cl, 0, -2.854793273, -2.2072723467, -0.9827571165

Cl, 0, -2.891056055, 1.3354309609, -0.9180575791

16 E (RM06) = -2622.71636821 Hartree

Sum of electronic and thermal Free Energies= -2622.551776 Hartree

C, 0, 1.2215614772, 2.7298998825, -0.8674452884

C, 0, 2.4338698653, 2.474517778, -0.1401572759

C, 0, 2.2011095414, 2.3667022366, 1.2758287561
C, 0, 0.7105912113, 2.6369558981, 1.4975050271
C, 0, 0.055047972, 2.4744581128, 0.094192676
H, 0, 1.172444362, 2.3373841272, -1.8867787153
H, 0, 3.4225531514, 2.4793548412, -0.5963632637
H, 0, 2.5303259925, 1.3480691055, 1.5634751239
H, 0, 2.9072859315, 2.9966331986, 1.8367049484
H, 0, 0.2706338452, 1.9782021775, 2.2504264409
H, 0, 0.5612238768, 3.6665055282, 1.8306158504
H, 0, -0.7516634484, 3.1919323451, -0.0369515573
C, 0, -0.4421396212, 1.0654761713, -0.0055823653
O, 0, 0.435220984, 0.1802961609, -0.0004525503
C, 0, -1.8549248093, 0.7490907589, -0.04470482
C, 0, -2.7102317385, 1.6269585752, -0.7344315529
C, 0, -2.410855142, -0.4308371318, 0.4937048337
C, 0, -4.0405566328, 1.318280333, -0.9329074035
H, 0, -2.3082784721, 2.5373657159, -1.1647932742
C, 0, -3.7485175088, -0.7240116972, 0.3191423499
C, 0, -4.5562642869, 0.1412595572, -0.4079879702
H, 0, -4.6754501472, 1.9950322687, -1.4932397854
H, 0, -4.1609364152, -1.6244938497, 0.7609226486
H, 0, -5.6036446096, -0.1057503388, -0.5487987742

Cl, 0, -1.4889528376, -1.5008933126, 1.5081869544

H, 0, 1.3386694517, 3.8344231326, -1.0062513582

Al, 0, 1.476306428, -1.2606560548, -0.4253770641

Cl, 0, 0.3715635799, -2.4742711607, -1.7599796167

Cl, 0, 2.0744230041, -2.127969257, 1.4114789984

Cl, 0, 3.1234225318, -0.253557989, -1.3774754739

TS-Migration E (RM06) = -2622.69983915 Hartree

Sum of electronic and thermal Free Energies= -2622.532462 Hartree

C, 0, 1.3589415006, 2.4907006266, -1.2469527984

C, 0, 1.2362008539, 3.8397979466, -0.9636477224

C, 0, 0.1825767736, 4.065331212, 0.0712921997

C, 0, -0.017458992, 2.6861309793, 0.7014573747

C, 0, 0.3624730989, 1.7240153741, -0.4498829263

H, 0, 2.0142743739, 2.0793179774, -2.0114443899

H, 0, 1.7314147402, 4.6133251155, -1.5442134828

H, 0, 2.2027058609, 3.2098609017, -0.4220078594

H, 0, 0.4356905493, 4.85142291, 0.7829699155

H, 0, -0.7158072594, 4.3864041377, -0.475013774

H, 0, 0.6720017802, 2.5410023372, 1.5415377983

H, 0, -1.0352846069, 2.5219325459, 1.056576974

H, 0, -0.5031744967, 1.6986785404, -1.1427186265

C, 0, 0.5888216834, 0.2879687569, -0.0714785717
O, 0, -0.4180187559, -0.4185245966, 0.1076654365
C, 0, 1.9096602028, -0.2896612156, 0.1034717832
C, 0, 2.9103095782, 0.5006982334, 0.691822993
C, 0, 2.2148639545, -1.6323670056, -0.1998360758
C, 0, 4.1517510066, -0.0203669488, 0.9924064158
H, 0, 2.6879576177, 1.5207061935, 0.9864678701
C, 0, 3.4721762066, -2.1419647624, 0.0688620388
C, 0, 4.4316136674, -1.3428091031, 0.6741811988
H, 0, 4.8974673521, 0.5995820431, 1.4768690005
H, 0, 3.6986180667, -3.1686755278, -0.1970150503
H, 0, 5.4081230145, -1.7622911001, 0.8935419379
Cl, 0, 1.0896558591, -2.6765999653, -1.0111311865
Al, 0, -2.2720347512, -0.532487629, 0.1863972093
Cl, 0, -2.6536307891, -0.3685039334, 2.2661753208
Cl, 0, -2.7312090582, -2.4055492583, -0.6799898663
Cl, 0, -3.0395400315, 1.1167132147, -0.9382601368