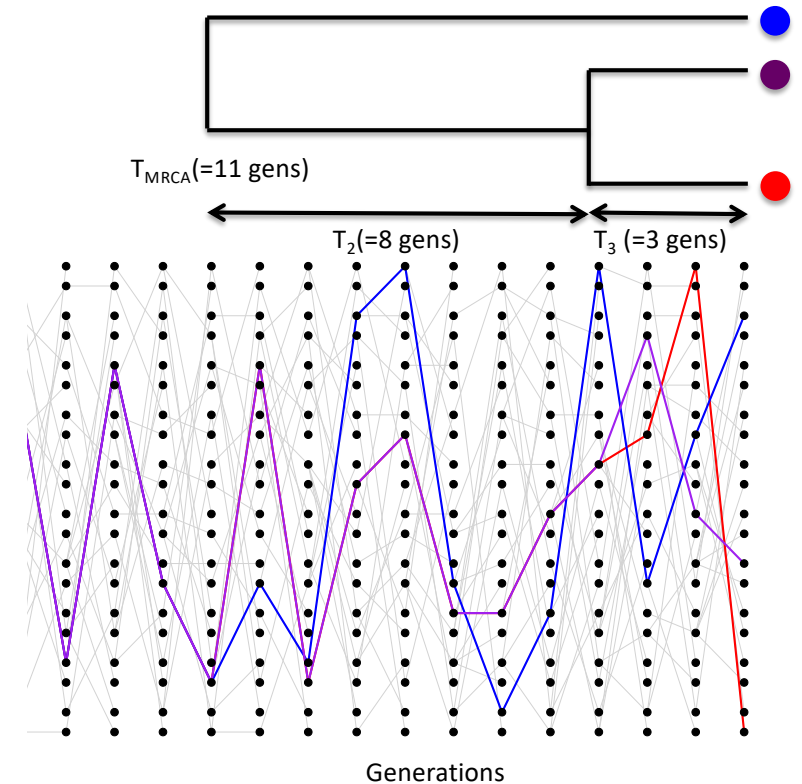
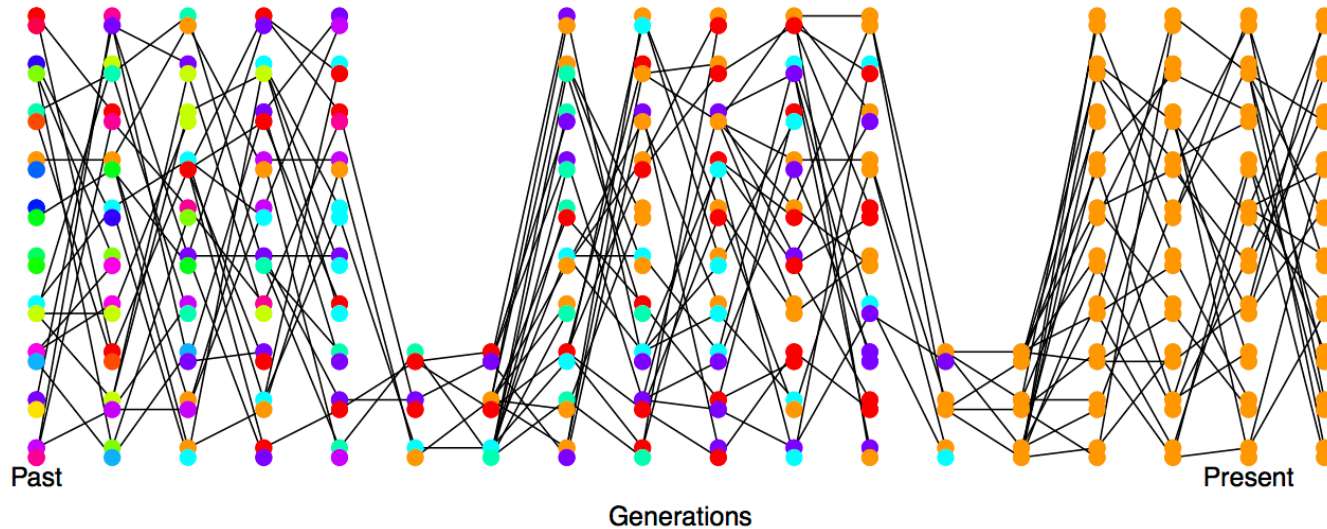


# Coop, Chapter 4: Intro.-4.1

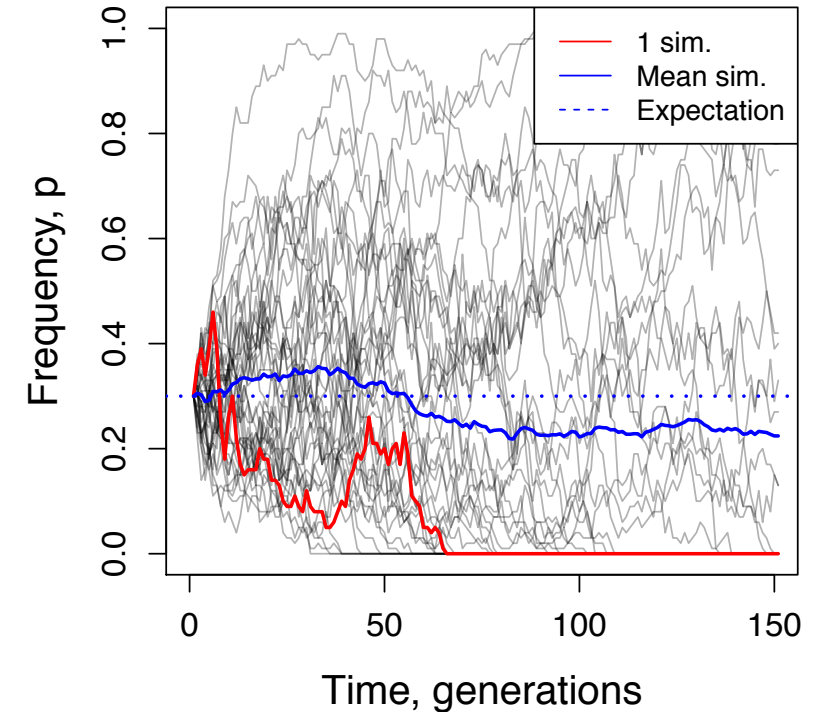
## Genetic Drift and Neutral Diversity

*Introduction and Loss of heterozygosity due to drift*



# Introduction

- While evolutionary processes such as natural selection, mutation, and gene flow may seem more exciting or intuitively important, genetic drift alone can explain a lot of the variation we see across populations
- Genetic drift occurs because more or less copies of an allele can be transmitted across generations just due to chance
- While genetic drift can affect allele frequencies across the genome, it is particularly influential at neutral loci that do not discernably affect fitness



# Introduction

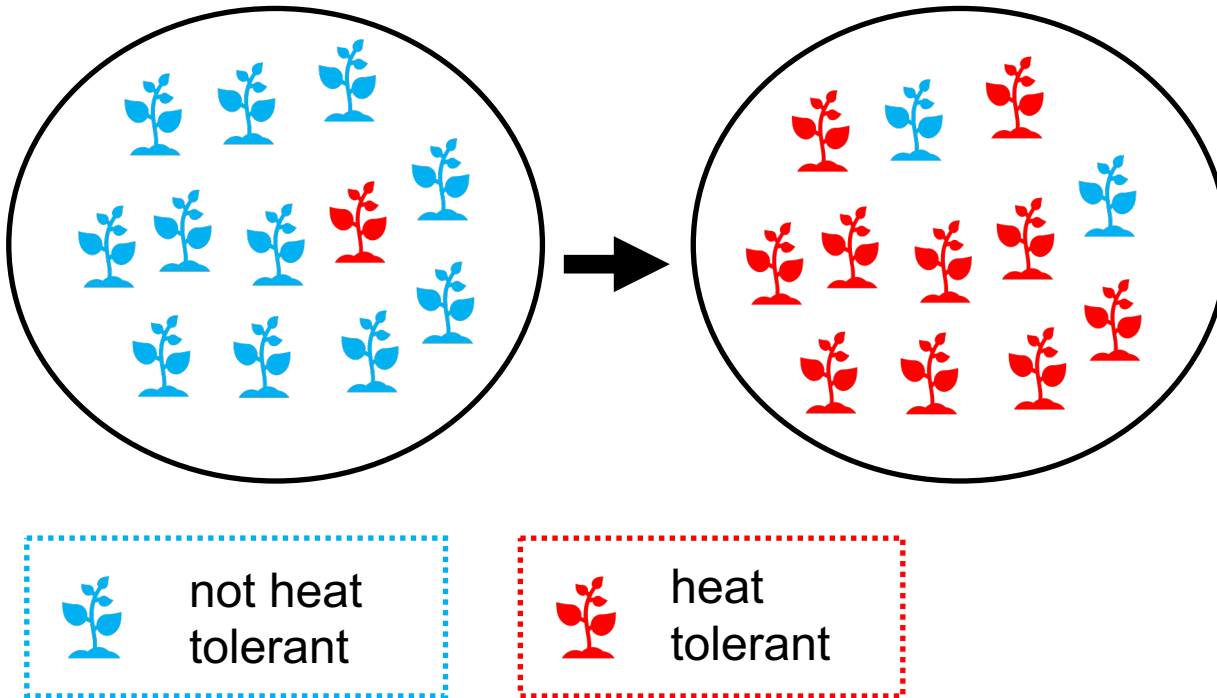
The Neutral Theory of Molecular Evolution was proposed by **Motoo Kimura** in the 1960's:

- Patterns of polymorphism within species and substitution across species can be largely explained by neutral alleles subject to drift
- The vast majority of new mutations are neutral or highly deleterious (disrupt protein function)
- Deleterious alleles are removed by selection too quickly to meaningfully contribute to variation



Motoo Kimura

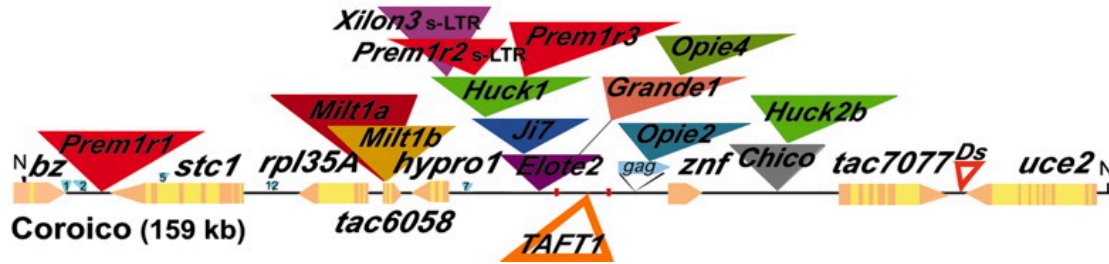
# Introduction



- But what about adaptation?
- Proponents of the Neutral Theory did not deny adaptation, but thought that beneficial alleles were rare and did not explain the bulk of variation in genomes
- Several clear examples of neutral variation can be found within genomes








# Introduction



The Bronze Locus in maize with numerous transposable element insertions

Wang and Dooner 2006

 >ATGGAGAACGATGAACTCAGCCCAGAAGCCAGCTAA  
 >ATGGAGAA**T**GATGAACTCAGCCCAGAAGCCAGCTAA  
 >ATGGAA**AA****T**GATGAACTCAGCCCAGAAGCCAGCTAA  
 >ATGGAA**AA**ACGATGAACTCAGC**A**CAGAAGCCAGCTAA  
 >ATGGAGAACGATGAACTCAGC**A**CAGAAGC**T**AGCTAA

**Synonymous:** An allele that encodes the same amino acid in a protein

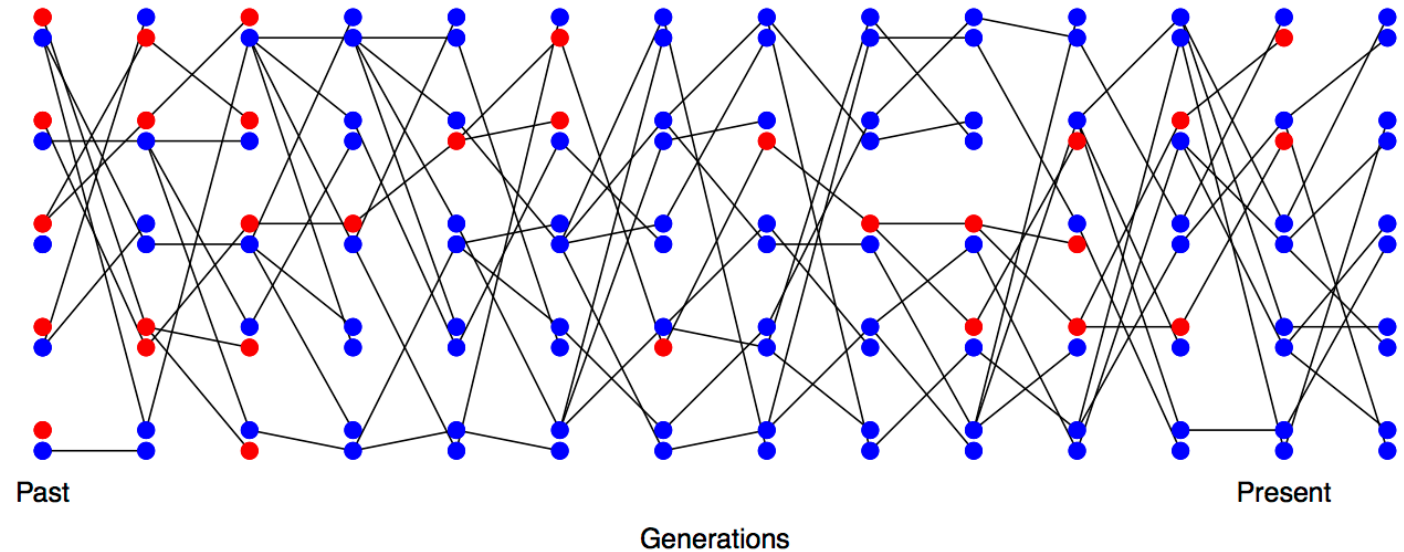
- Many genomes are primarily comprised of non-coding DNA (transposable elements, tandem repeats, old viruses, pseudogenes, etc...)
- Synonymous changes that don't affect amino acids
- Nonsynonymous changes that don't dramatically alter protein properties
- Nonsynonymous changes that do alter the phenotype, but the phenotype does not affect fitness

# Introduction

- The Neutral Theory has been supported by the high amount of polymorphism seen within and across species and the molecular clock which we'll explore later
- However, for explaining other aspects of variation across populations, the Neutral Theory is clearly wrong
- The Neutral Theory can also serve as a useful **null model** which can be rejected when evidence for, for example, natural selection is overwhelming

## 4.1 Loss of heterozygosity due to drift

- Over time and without mutations, genetic drift will slowly remove variation from populations, with alleles moving to high or low frequency and being fixed or lost
- We can track, for example, the fate of the red and blues alleles across generations in this figure
- While in the first generation these five diploid individuals are all heterozygous, after 14 generations, the population is homozygous blue



## 4.1 Loss of heterozygosity due to drift

- Let's consider the heterozygosity in a population at time  $t$  ( $H_t$ ) and how this changes in the subsequent generation ( $H_{t+1}$ )
- We have a diploid population with  $N$  individuals or  $2N$  alleles
- The probability that our two alleles in generation  $t + 1$  have the same parental allele is thus  $1/(2N)$
- The probability that they have different parental alleles is  $1 - 1/(2N)$
- From equation 4.1, we can see that there is a slight loss in heterozygosity across generations:

$$H_{t+1} = \frac{1}{2N} \times 0 + \left(1 - \frac{1}{2N}\right) H_t \quad (4.1)$$

## 4.1 Loss of heterozygosity due to drift

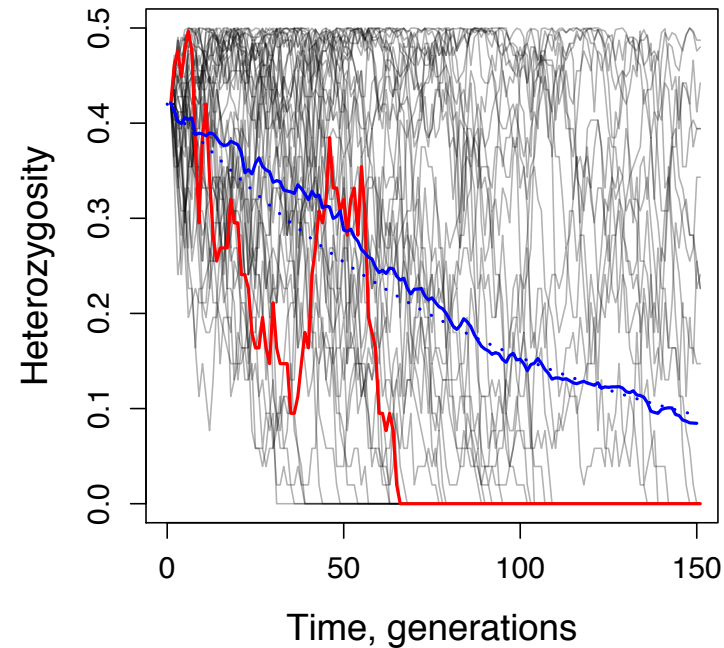
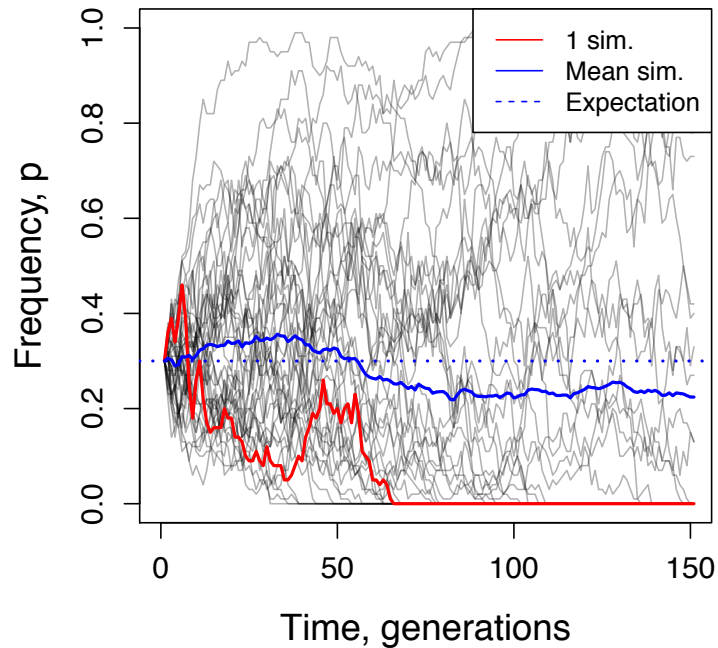
- Equation 4.1 can be simplified and generalized across any number of generations as:

$$H_t = \left(1 - \frac{1}{2N}\right)^t H_0 \quad (4.2)$$

- If we assume that  $1/(2N)$  is very small we can, as we did with LD decay in Chapter 3, approximate the geometric decay with an exponential:

$$H_t = H_0 e^{-t/(2N)} \quad (4.3)$$

## 4.1 Loss of heterozygosity due to drift



- 40 independent alleles drifting in populations of 50 individuals with starting frequency of 0.3
- Some drift up, some drift down, but overall the frequency is  $\sim 0.3$
- Heterozygosity, however, is slowly lost at a rate close to equations 4.2/4.3

## 4.1 Loss of heterozygosity due to drift

Let's try our hand at a problem:

**Question 1.** You are in charge of maintaining a population of delta smelt in the Sacramento river delta. Using a large set of microsatellites you estimate that the mean level of heterozygosity in this population is 0.005. You set yourself a goal of maintaining a level of heterozygosity of at least 0.0049 for the next two hundred years. Assuming that the smelt have a generation time of 3 years, and that only genetic drift affects these loci, what is the smallest fully outbreeding population that you would need to maintain to meet this goal?

$$H_t = H_0 e^{-t/(2N)} \quad (4.3)$$



## 4.1 Loss of heterozygosity due to drift

Let's try our hand at a problem:

$$H_t = 0.0049 \quad H_0 = 0.005 \quad t = \text{generations} = 200/3 = 66.67$$

$$H_t = H_0 e^{-t/(2N)} \quad (4.3)$$

$$0.0049 = 0.005(e^{-66.67/(2N)})$$

$$0.0049/0.005 = e^{-66.67/(2N)}$$

$$0.98 = e^{-66.67/(2N)}$$

$$\ln(0.98) = \ln(e^{-66.67/(2N)})$$

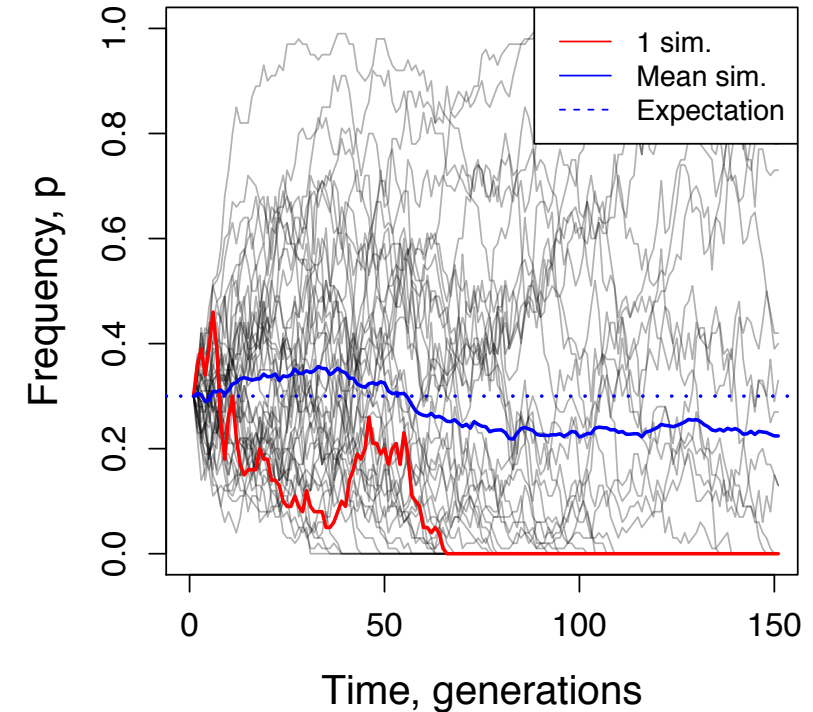
$$-0.02 = -66.67/(2N)$$

$$2N = -66.67/-0.02$$

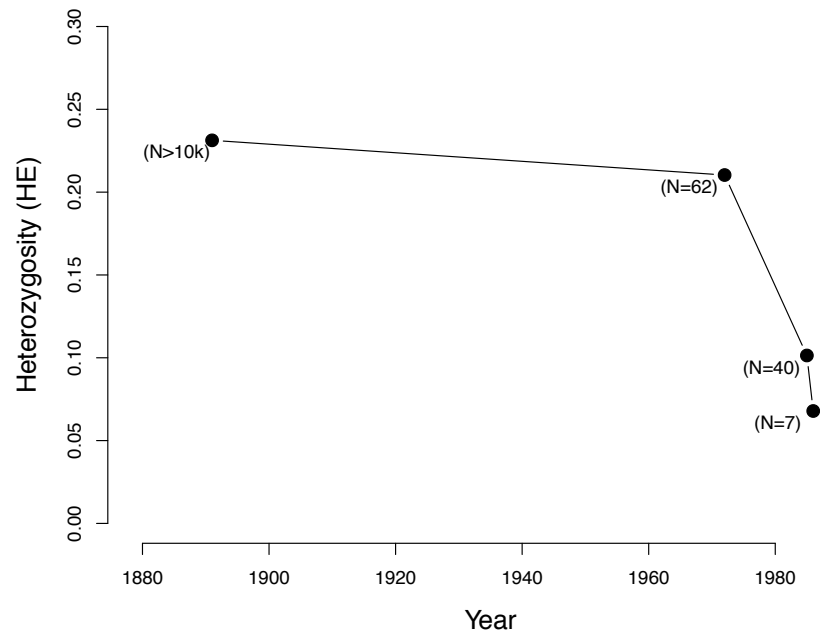
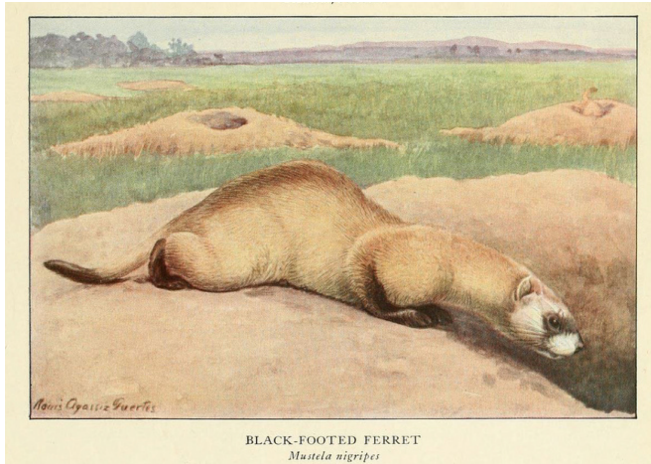
$$N = 1667$$

## 4.1 Loss of heterozygosity due to drift

- While we are clearly seeing a reduction in heterozygosity over time due to drift, our Hardy-Weinberg proportions do hold from one generation to the next
- Random samples from a finite population size explain the gradual loss of heterozygosity and change in allele frequency over time



## 4.1 Loss of heterozygosity due to drift

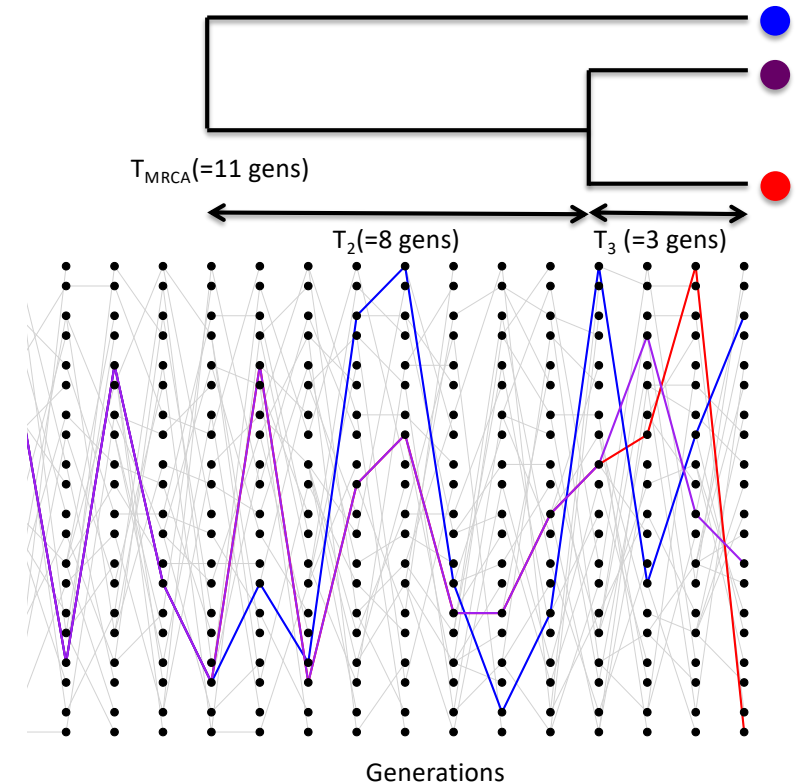
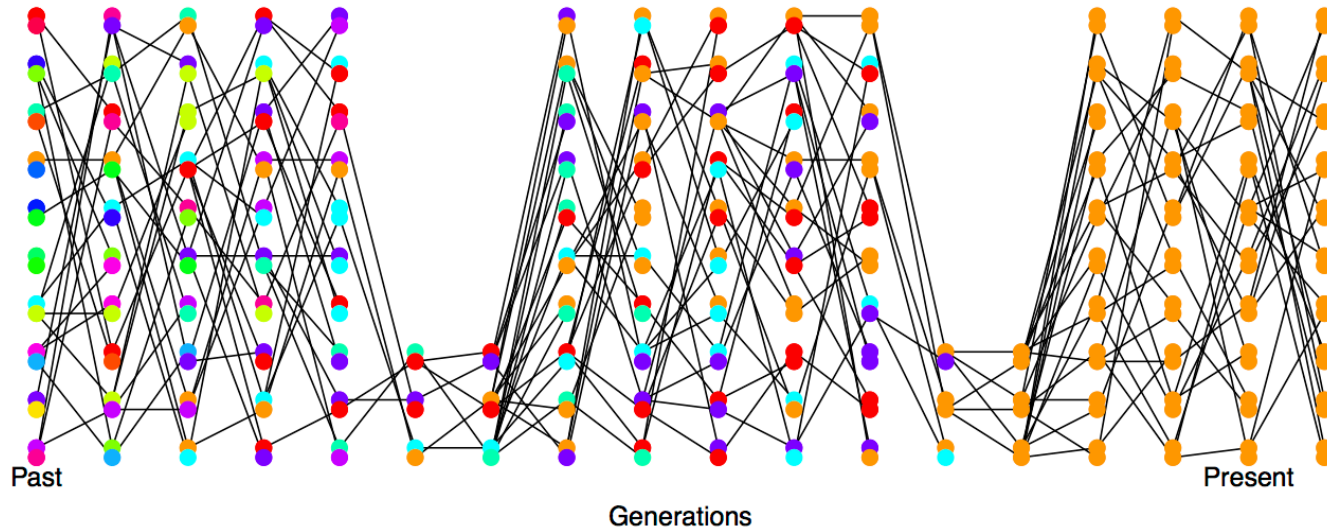


- Black-footed ferret is a good example of decline in heterozygosity due to small sample size
- Dramatic population decline to 7 individuals during the 20<sup>th</sup> century due to habitat destruction and disease
- Population has recovered, but heterozygosity remains low due to bottleneck to  $N = 7$

# Coop, Chapter 4: 4.1.1-4.1.2

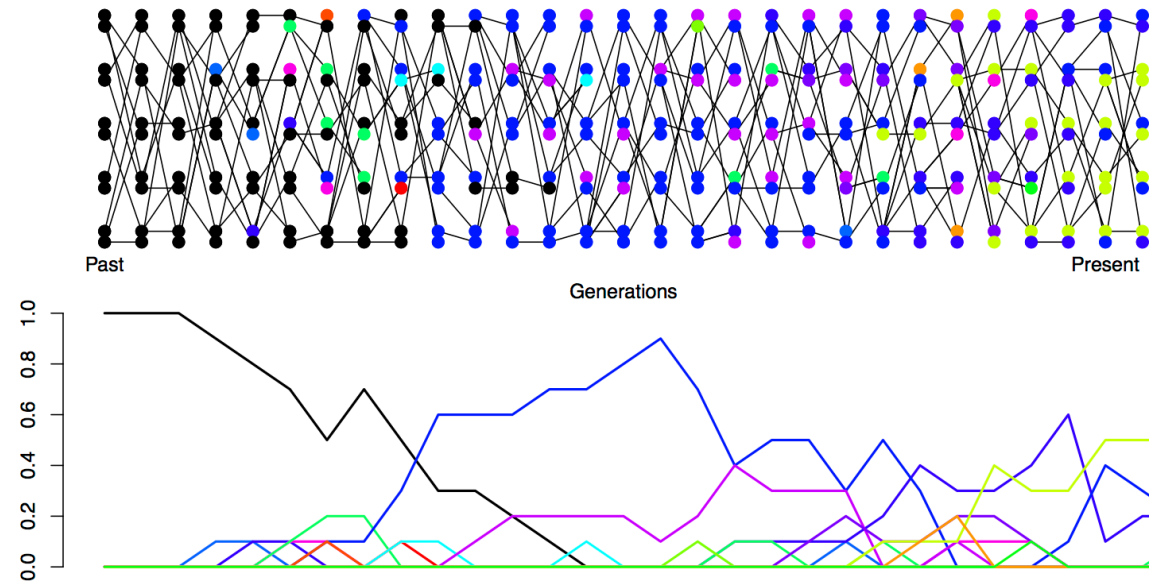
## Genetic Drift and Neutral Diversity

*Levels of genetic diversity maintained by a balance between mutation and drift*



## 4.1.1 Mutation and Drift Balance

- While previously we've assumed drift has been the only evolutionary force affecting variation, let's now consider the balance between drift (removing variation) and mutation (adding variation)
- In the figure we have five diploid individuals and allow mutations (switch to different color dot) to occur between generations
- This is a high mutation rate sufficient to retain variation in such a small population



## 4.1.1 Mutation and Drift Balance

- To consider how mutation can balance genetic drift, we'll need to know the rate at which it introduces novel variation into a population
- The overall mutation rate per generation is referred to as  $\mu$ , and we can divide this into the fraction of deleterious ( $C$ ) mutations that are quickly removed by selection and neutral mutations  $(1-C)$
- The neutral mutation rate is thus  $(1-C)\mu$

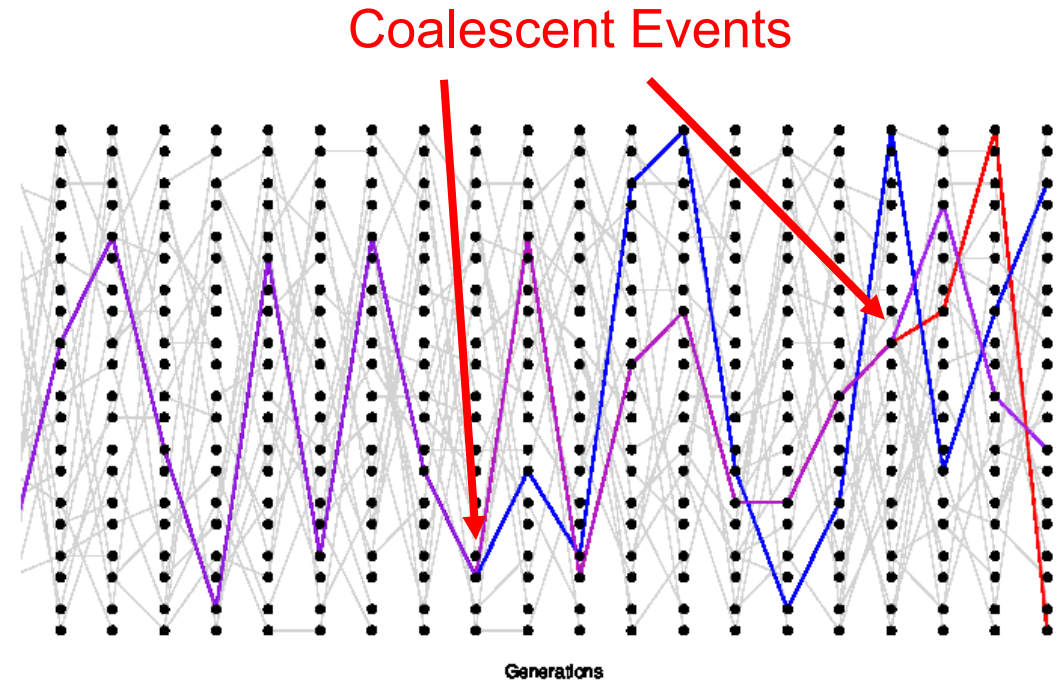


Cross 2017, *Science* magazine News



## 4.1.1 Mutation and Drift Balance

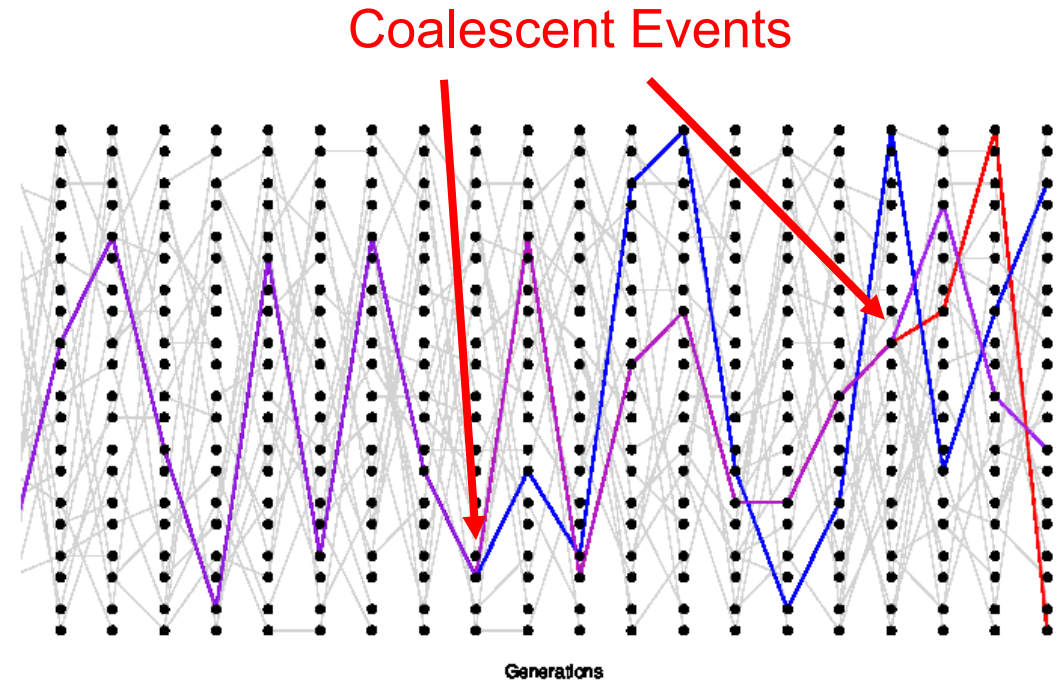
- To think about mutation-drift balance, let's use a “backward-in-time” approach
- We can say that two alleles that have the same parental allele in a previous generation have “**coalesced**”
- The probability that alleles coalesce in a previous generation is  $1/(2N)$  and the probability that they do not coalesce is  $1 - 1/(2N)$





## 4.1.1 Mutation and Drift Balance

- We'll also need to consider the probability that a mutation changes the state of the transmitted allele ( $\mu$ ) and the probability that no mutation occurs ( $1 - \mu$ )
- We'll assume that when a new mutation occurs, it creates a new allelic type that is not already present within the population (the infinitely-many-alleles model)



## 4.1.1 Mutation and Drift Balance

- We can now develop a model in which we determine both 1) when our two alleles last shared a common ancestor; and 2) whether the alleles are identical due to a lack of mutation
- For example, we can determine the probability that two randomly sampled alleles coalesced two generations ago and are identical:

did not coalesce

4 meioses

coalesced

did not mutate

$$\left(1 - \frac{1}{2N}\right) \frac{1}{2N} (1 - \mu)^4 \quad (4.4)$$

## 4.1.1 Mutation and Drift Balance

- We can more generally summarize the probability that our alleles coalesced at generation  $t + 1$  (thinking back in time) with no mutation as:

$$P(\text{coal. in } t+1 \text{ \& no mutations}) = \frac{1}{2N} \left(1 - \frac{1}{2N}\right)^t (1 - \mu)^{2(t+1)} \quad (4.5)$$

and assuming that  $t + 1 \approx t$

$$P(\text{coal. in } t+1 \text{ \& no mutations}) \approx \frac{1}{2N} \left(1 - \frac{1}{2N}\right)^t (1 - \mu)^{2t} \quad (4.6)$$

## 4.1.1 Mutation and Drift Balance

- In practice, we will not know if our alleles coalesce in generation 2 or generation 20 or generation 20,000,000, so we can calculate the probability that they coalesce in any generation and have no mutations as:

$$\begin{aligned} P(\text{coal. in any generation \& no mutations}) &\approx P(\text{coal. in } t = 1 \text{ \& no mutations}) + \\ &\quad P(\text{coal. in } t = 2 \text{ \& no mutations}) + \dots \\ &= \sum_{t=1}^{\infty} P(\text{coal. in } t \text{ generations \& no mutation}) \end{aligned}$$

(4.7)

## 4.1.1 Mutation and Drift Balance

- By making some assumptions, that  $\frac{1}{2N} \ll 1$  and  $\mu \ll 1$ , and by once again approximating geometric decay as exponential decay (see Coop textbook for details), and then approximating the summation with an integral, we end up with:

$$\frac{1}{2N} \int_0^{\infty} e^{-t(2\mu + 1/(2N))} dt = \frac{1/(2N)}{1/(2N) + 2\mu} = \frac{1}{1 + 4N\mu} \quad (4.11)$$

- This general equation give us the probability that our two alleles coalesce before mutating, in other words, that they are homozygous

## 4.1.1 Mutation and Drift Balance

- The complementary probability, that our alleles are non-identical (heterozygous) is just  $1 -$  our probability of being homozygous:

$$H = \frac{4N\mu}{1 + 4N\mu} \quad (4.12)$$

- The parameter  $4N\mu$  is known as the population-scaled mutation rate and will come up several times in this book, so we will give it its own special name:

$$\theta = 4N\mu \quad (4.13)$$

## 4.1.1 Mutation and Drift Balance

- A take-home message from this equation:

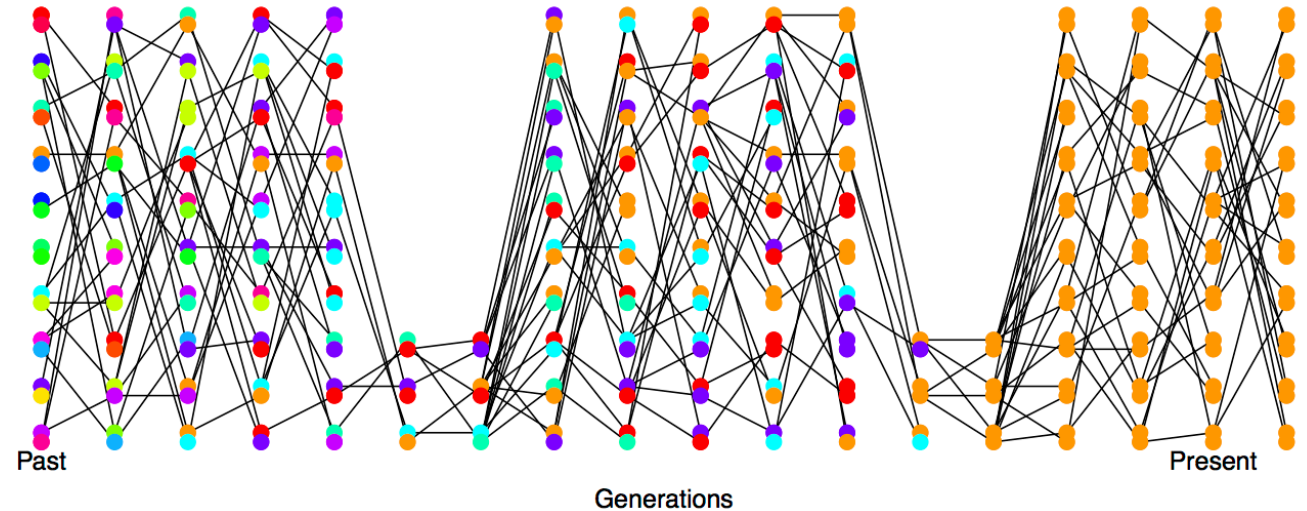
$$H = \frac{4N\mu}{1 + 4N\mu} \quad (4.12)$$

Generally, the larger the population size ( $N$ ), the greater the extent of neutral polymorphism



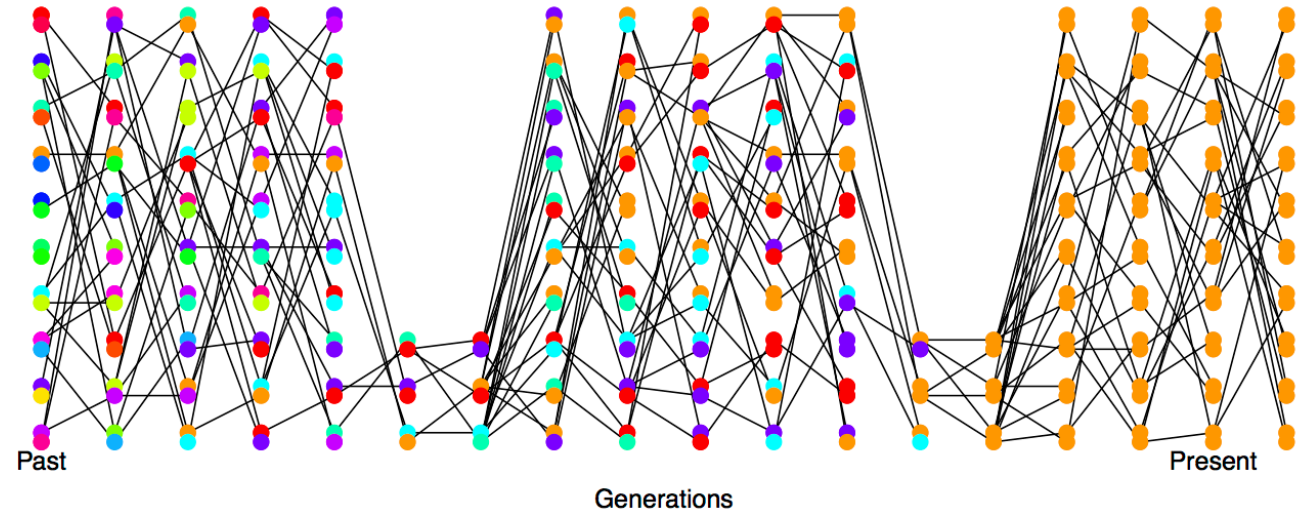
## 4.1.2 The Effective Population Size

- Populations are rarely consistent in size over time and rarely have equal contributions to reproduction
- This means that the effects of genetic drift may be more profound than would be clearly evident based on the current population size
- Consider this figure with two dramatic population bottlenecks as an example: the current population census size is high, but diversity is quite low



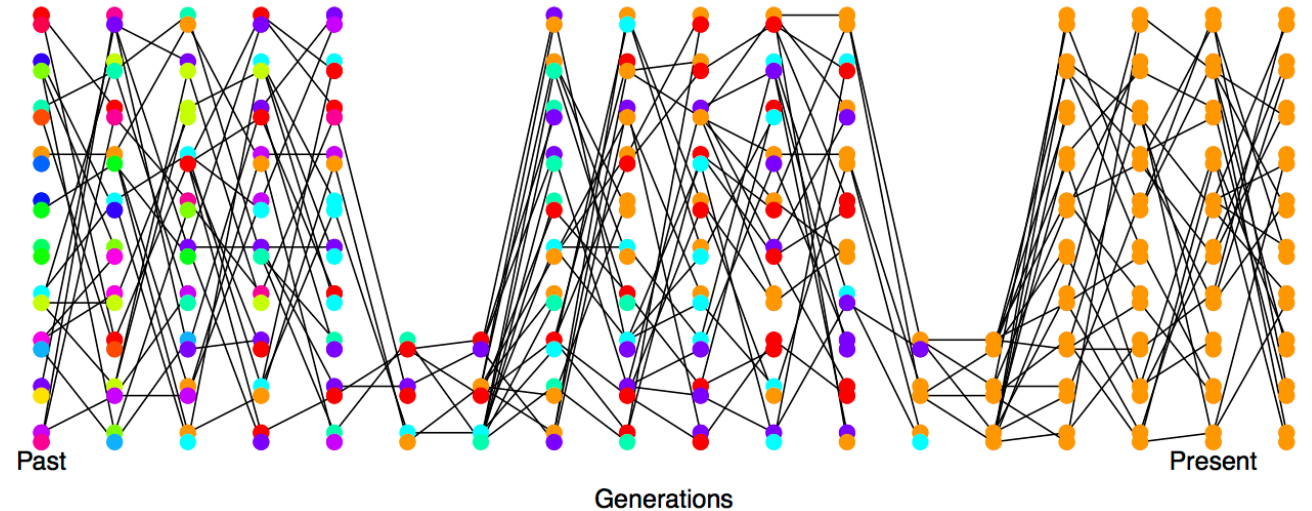
## 4.1.2 The Effective Population Size

- To deal with this discrepancy, population geneticists often invoke the concept of “effective population size” or  $N_e$
- $N_e$  is the idealized constant population size that matches the extent of drift in the population
- When population sizes vary rapidly, the harmonic mean of population size over time may be a better approximation than census size



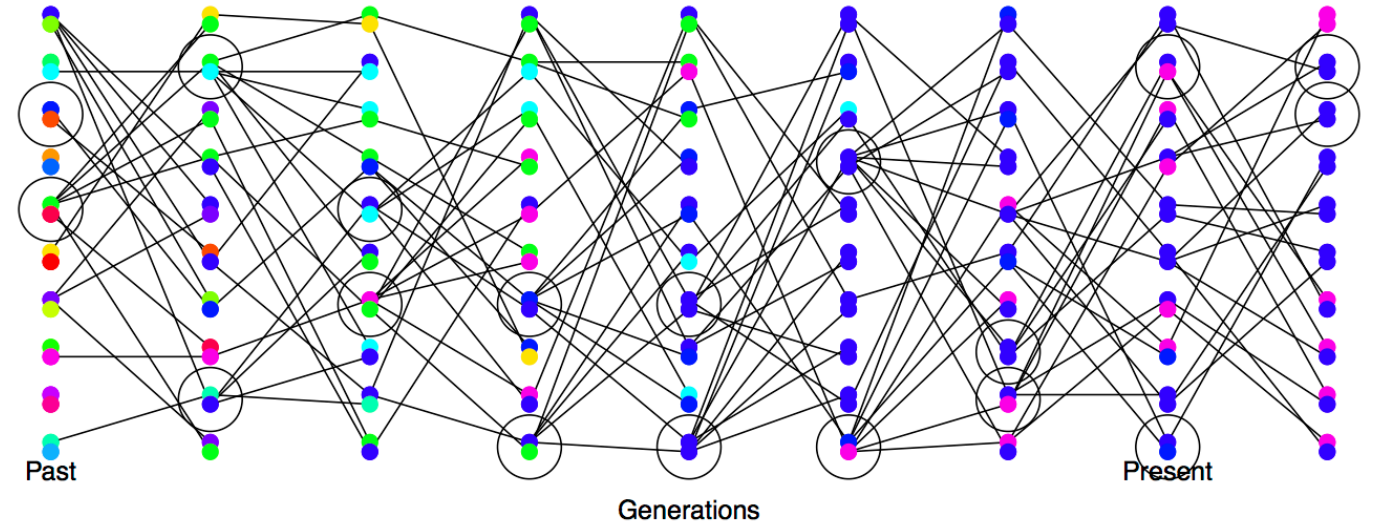
## 4.1.2 The Effective Population Size

- The harmonic mean is very affected by small values
- If the census size of a population was 1,000,000 for 99% of its history, but shrank to 1,000 for 1% of its history,  $N_e$  would be much closer to 1,000 than 1,000,000



## 4.1.2 The Effective Population Size

- Even if the population size does not vary substantially over time, variation in reproductive success can cause discrepancies between the census size and  $N_e$
- The rate of drift will reflect the small number of individuals that are able to reproduce





## 4.1.2 The Effective Population Size

- For example, in many species, like the Hamadryas baboon,  $N_M < NF$ , and few males have the opportunity to mate
- When reproductive success is very skewed in one sex, the effective population size is much less than the census size

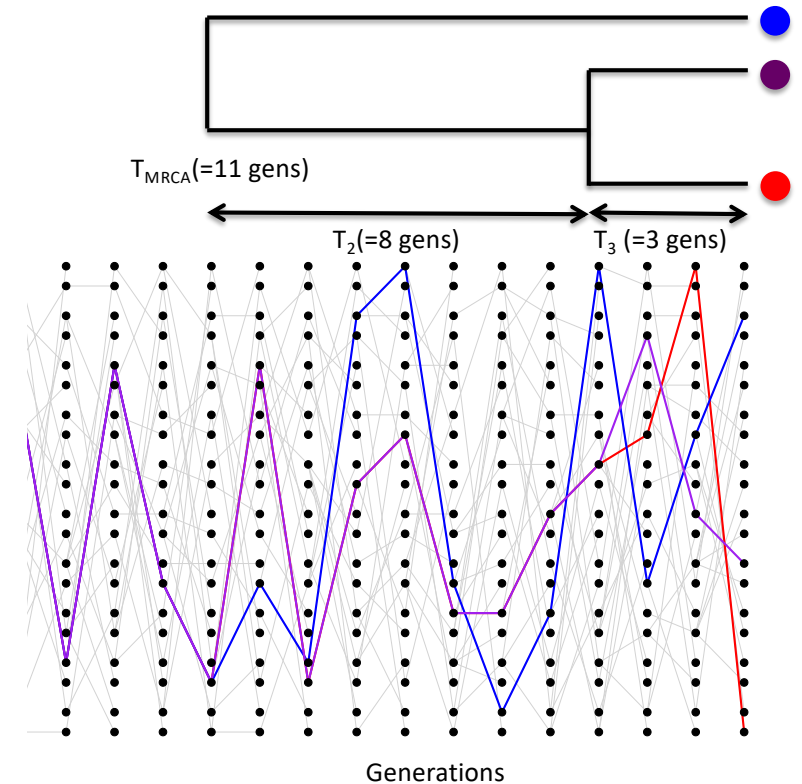
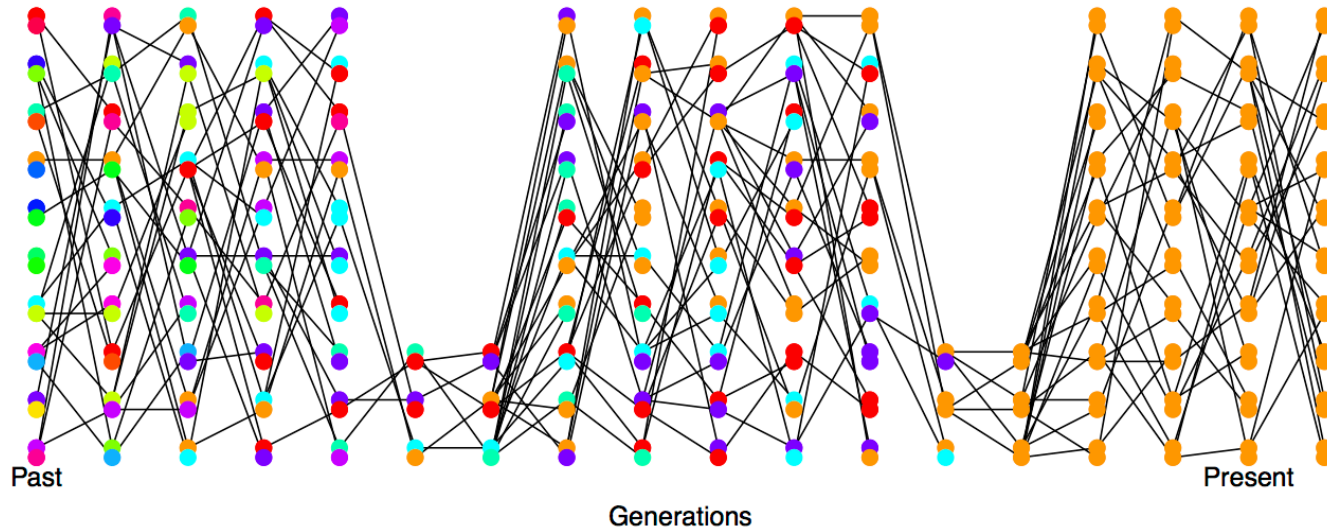


Male Hamadryas Baboon

# Coop, Chapter 4: 4.2-4.3

## Genetic Drift and Neutral Diversity

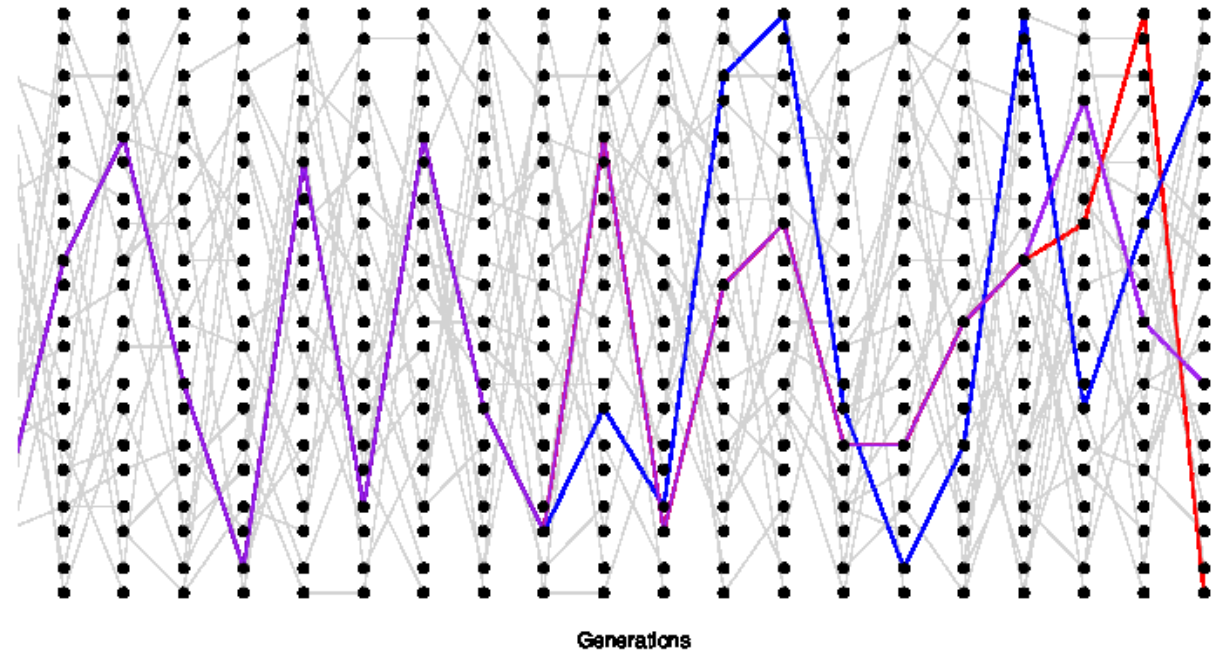
*The Coalescent and patterns of neutral diversity &  
The Coalescent process of a sample of alleles*



## 4.2 The Coalescent and patterns of neutral diversity

- As discussed in previous sections, it's helpful to first think about the time to the most recent common ancestor (coalescence) and then think about the impact of that time on diversity
- We can summarize the coalescence process as the probability that a pair of alleles has failed to coalesce in  $t$  generations and then coalesce in  $t + 1$  generations:

$$P(T_2 = t + 1) = \frac{1}{2N} \left(1 - \frac{1}{2N}\right)^t \quad (4.20)$$





## 4.2 The Coalescent and patterns of neutral diversity

$$P(T_2 = t + 1) = \frac{1}{2N} \left(1 - \frac{1}{2N}\right)^t \quad (4.20)$$

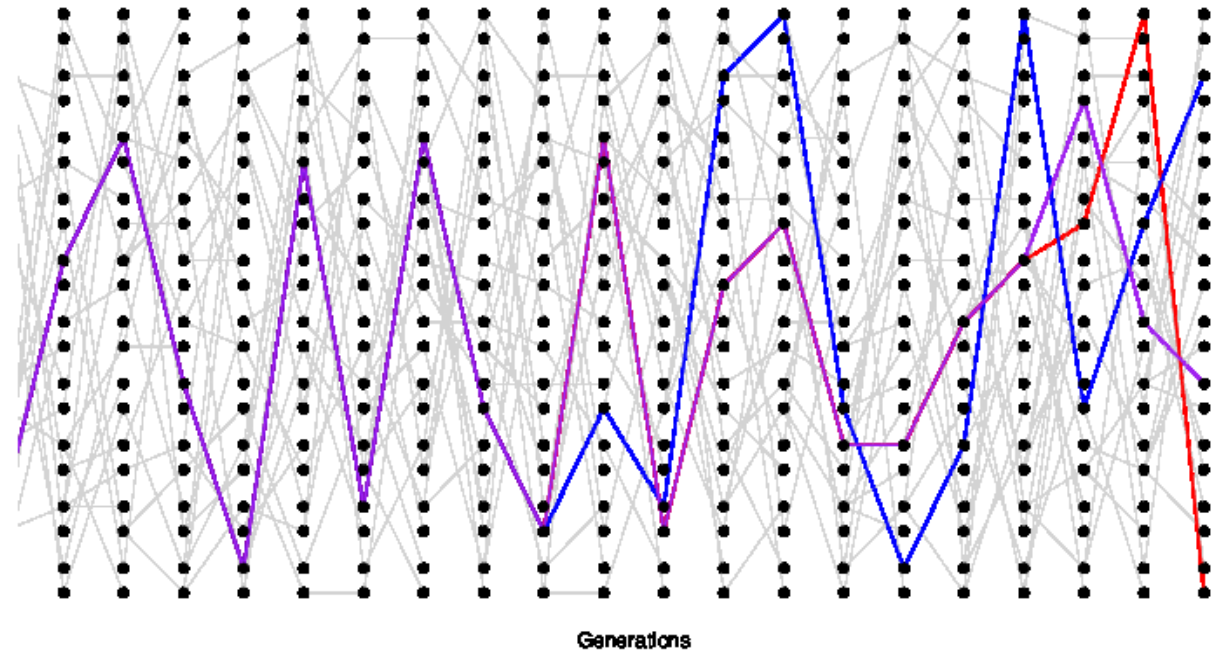
- For example, the probability that alleles coalesce 3 generations back is the probability that they fail to coalesce in the last two generations but then do in the third generation back:

$$\left(1 - \frac{1}{2N}\right) \times \left(1 - \frac{1}{2N}\right) \times \left(\frac{1}{2N}\right)$$

1<sup>st</sup>  
generation  
back

2<sup>nd</sup>  
generation  
back

3<sup>rd</sup>  
generation  
back



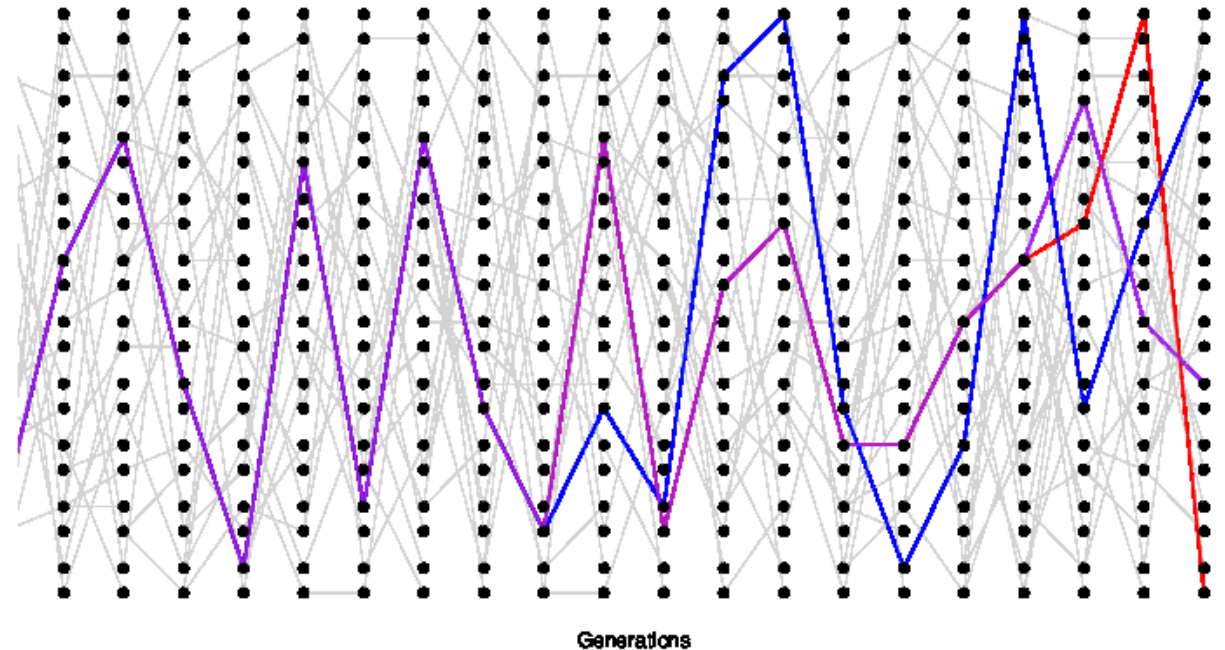
## 4.2 The Coalescent and patterns of neutral diversity

$$P(T_2 = t + 1) = \frac{1}{2N} \left(1 - \frac{1}{2N}\right)^t \quad (4.20)$$

- The form of equation 4.20 tells us that the coalescent time of our sequences is a geometrically distributed random variable with a probability of success of:

$$p = \frac{1}{2N}$$

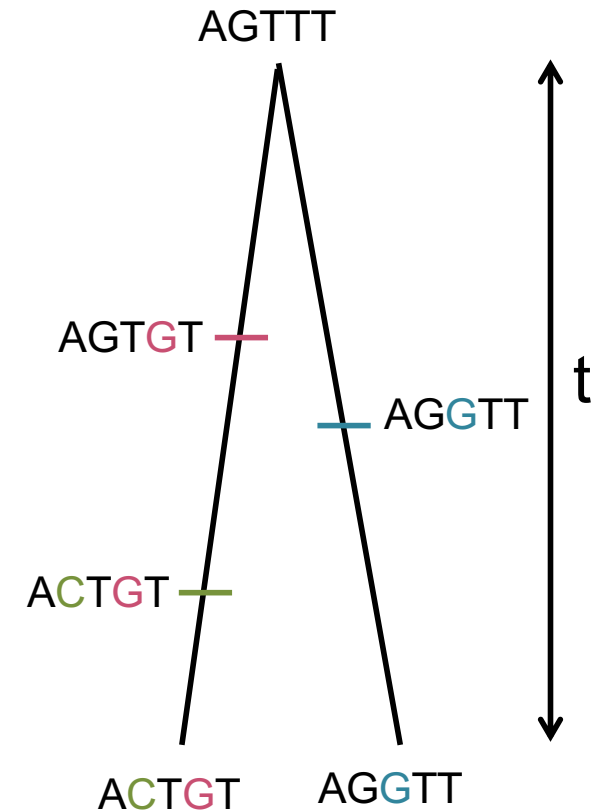
- We can think of the waiting time for two alleles to coalesce to be similar to waiting for a heads to come up in a coin toss, but the probability is  $p = \frac{1}{2N}$  rather than 0.5



## 4.2 The Coalescent and patterns of neutral diversity

- The expected coalescent time can then be calculated as the mean of a geometric random variable which is  $\frac{1}{p}$ :
$$\mathbb{E}(T_2) = 2N \quad (4.21)$$

- And once we know coalescent time, we can consider mutations in this context
- If alleles coalesce  $t$  generations in the past, there are  $2t$  generations in which a mutation could occur
- And if mutation rate is  $\mu$ , then the number of expected mutations is  $2t\mu$
- Putting this together with our expected coalescent time, we can expect  $4N\mu$  mutations to occur (with assumption of infinitely many alleles/sites)



## 4.2 The Coalescent and patterns of neutral diversity

- Thinking back to our summaries of nucleotide diversity in Chapter 2, remember that we calculated  $\pi$  as the average pairwise differences between sequences
- Given our expectation for mutations prior to coalescence, we can say:

$$\mathbb{E}(\pi) = 4N\mu = \theta \quad (4.23)$$

- This means that we can get an empirical estimate (based on sequence data we collect from some species) of  $\theta$  from  $\pi$  which we will call  $\hat{\theta}_\pi$
- Therefore, if we have an independent estimate of the mutation rate,  $\mu$ , then we can use  $\hat{\theta}_\pi = 4N\mu$  to get an estimate of the population size ( $N$ ) which is the **effective coalescent population size ( $N_e$ )**
- Since this value averages over demographic history (bottlenecks, expansions) it may not be an accurate representation of population size at any given time

## 4.2 The Coalescent and patterns of neutral diversity

- Looking back, let's distinguish our coalescent expected heterozygosity,  $H = \frac{4N\mu}{1+4N\mu}$ , from our coalescent-based estimate of pairwise nucleotide diversity,  $\hat{\theta}_\pi = 4N\mu$
- Our heterozygosity is the probability that two alleles drawn at random are different from each other, but our nucleotide diversity is the average number of differences between sequences
- Nucleotide diversity is therefore more useful because it is a measure of the number of differences in a sequence, not just whether differences exist
- When  $\hat{\theta}_\pi$  is small (a short sequence or low diversity), it is similar to our coalescent expected heterozygosity

## 4.2 The Coalescent and patterns of neutral diversity

- Let's try our hand at a problem:

**Question 6.** [ROBINSON \*et al.\* \(2016\)](#) found that the endangered Californian Channel Island fox on San Nicolas had very low levels of diversity ( $\pi = 0.000014\text{bp}^{-1}$ ) compared to its close relative the California mainland gray fox ( $0.0012\text{bp}^{-1}$ ).

A) Assuming a mutation rate of  $2 \times 10^{-8}$  per bp, what effective population sizes do you estimate for these two populations?



Channel Island Fox

$$\hat{\theta}_{\pi} = 4N\mu$$

$$0.000014 = 4N(2 \times 10^{-8})$$

$$700 = 4N$$

$$175 = N$$

Mainland Gray Fox

$$\hat{\theta}_{\pi} = 4N\mu$$

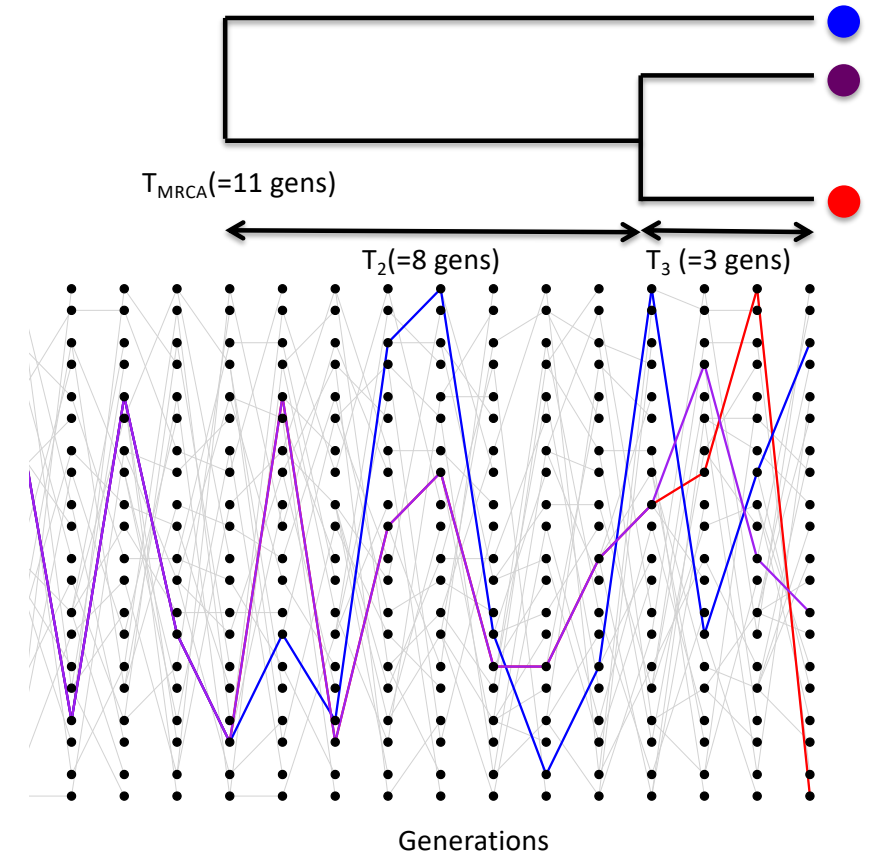
$$0.0012 = 4N(2 \times 10^{-8})$$

$$60,000 = 4N$$

$$15,000 = N$$

## 4.3 The coalescent process of a sample of alleles

- Up until now we've been discussing the simplified cases of pairs of alleles and average pairwise diversity, but we're often interested in diversity properties of **many alleles** drawn from a population
- This means we'll need to track the coalescence of many alleles back in time
- For example, in the figure, we're tracking coalescence of 3 alleles, with the first coalescence occurring 3 generations in the past and the second 11 generations in the past
- Time to the most recent common ancestor ( $T_{MRCA}$ ) is  $T_3 + T_2 = 11$  generations and the total time in the tree is 25 generations ( $T_{tot} = 3T_3 + 2T_2$ )



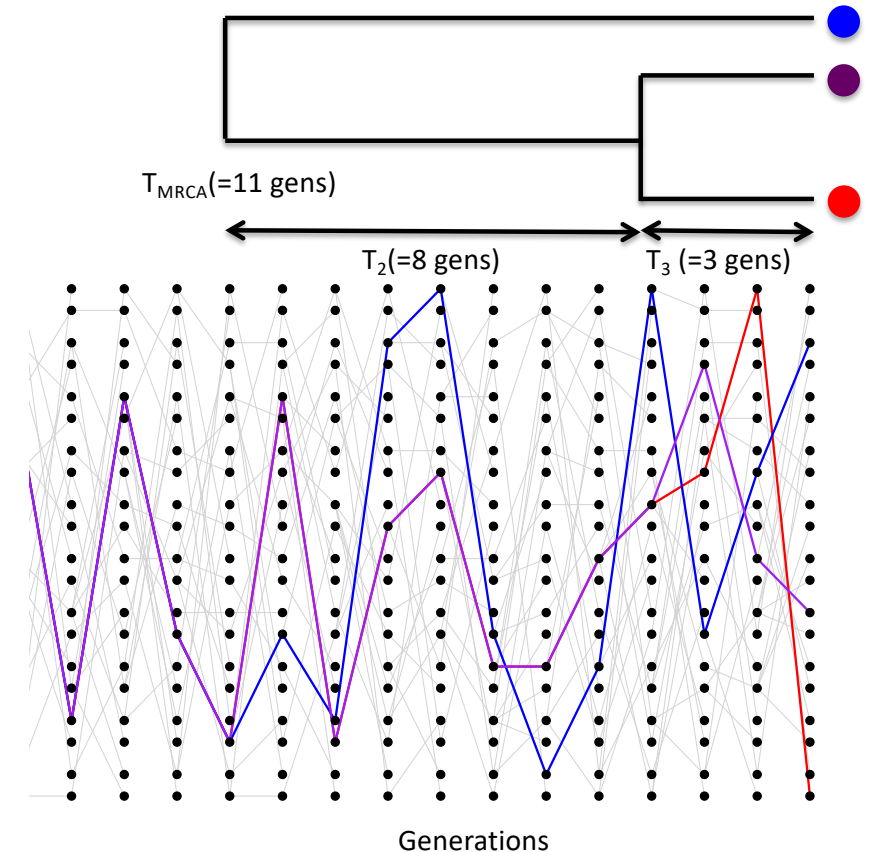


## 4.3 The coalescent process of a sample of alleles

- When we are considering the coalescence of more than 2 alleles, we'll track the history coalescence by coalescence
- With 3 alleles, we can modify our previous expectation of no coalescence in the previous generation to be:

$$\left(1 - \frac{1}{2N}\right)^3 \approx \left(1 - \frac{3}{2N}\right) \quad (4.27)$$

Using what's known as a Taylor approximation when multiplying this out, ignoring values of  $1/N^2$  that are very small



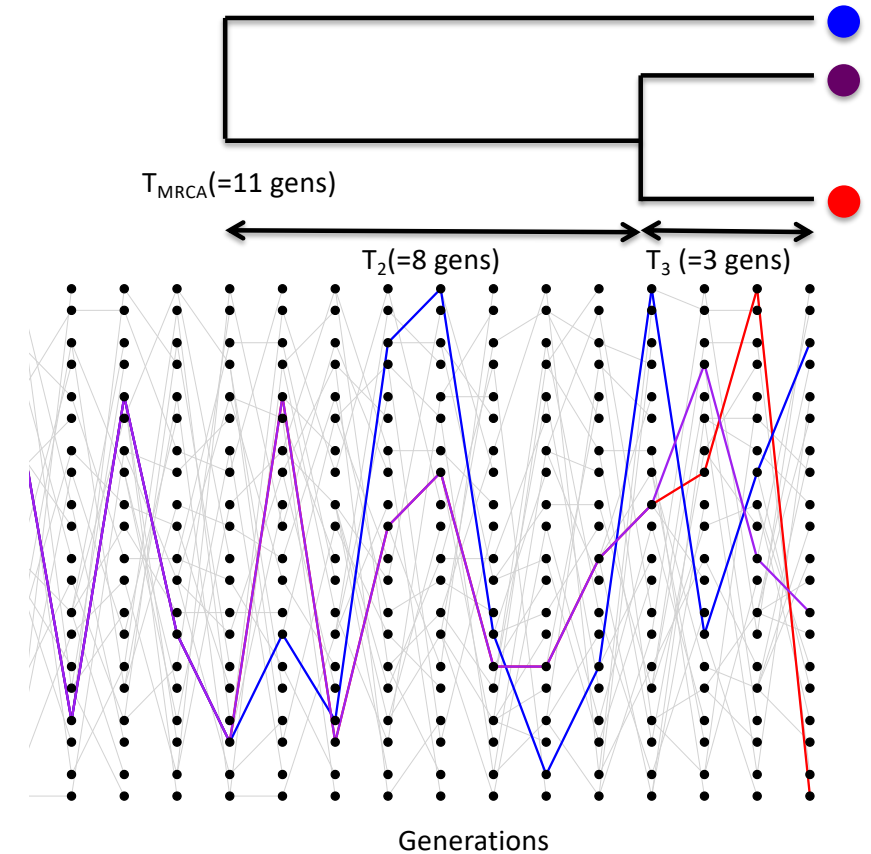


## 4.3 The coalescent process of a sample of alleles

- We can generalize this to any number of alleles by saying we sample  $i$  alleles in “ $i$  choose 2” or  $\binom{i}{2}$  pairs
- Therefore the probability that no alleles coalesce in a sample of  $i$  alleles in the preceding generation is:

$$\left(1 - \frac{1}{2N}\right)^{\binom{i}{2}} \approx \left(1 - \frac{\binom{i}{2}}{2N}\right) \quad (4.28)$$

- And the probability that they do coalesce is  $\binom{i}{2}/2N$

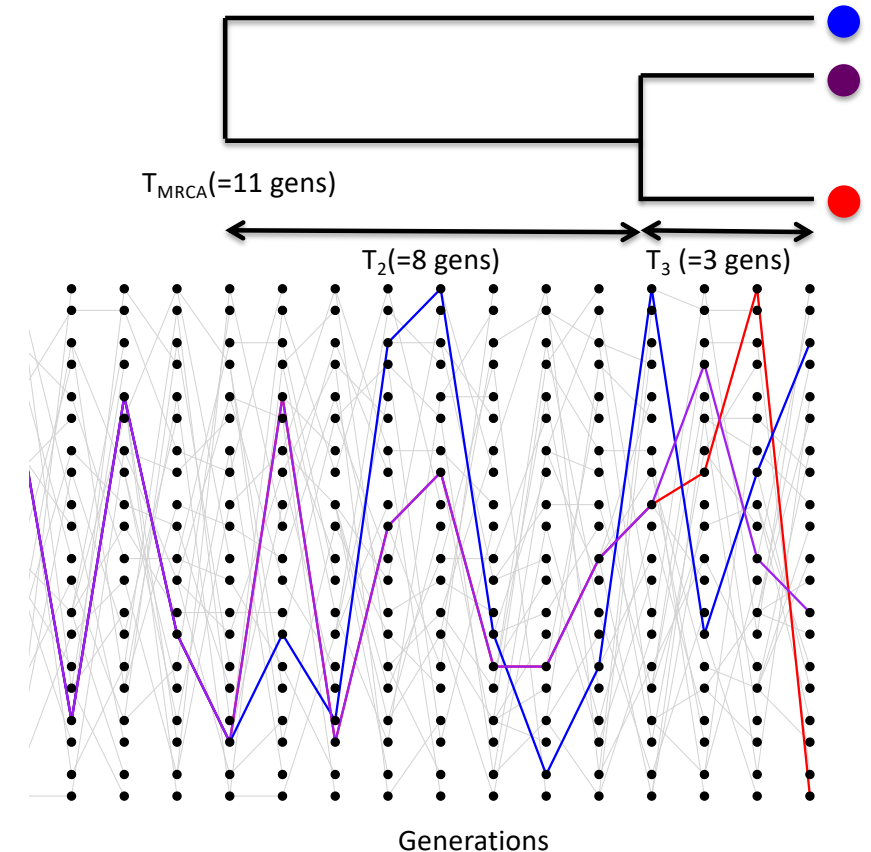


## 4.3 The coalescent process of a sample of alleles

- Using this notation, the time to the first coalescence in a sample of alleles is:

$$P(T_i = t + 1) = \frac{\binom{i}{2}}{2N} \left( 1 - \frac{\binom{i}{2}}{2N} \right)^t \quad (4.29)$$

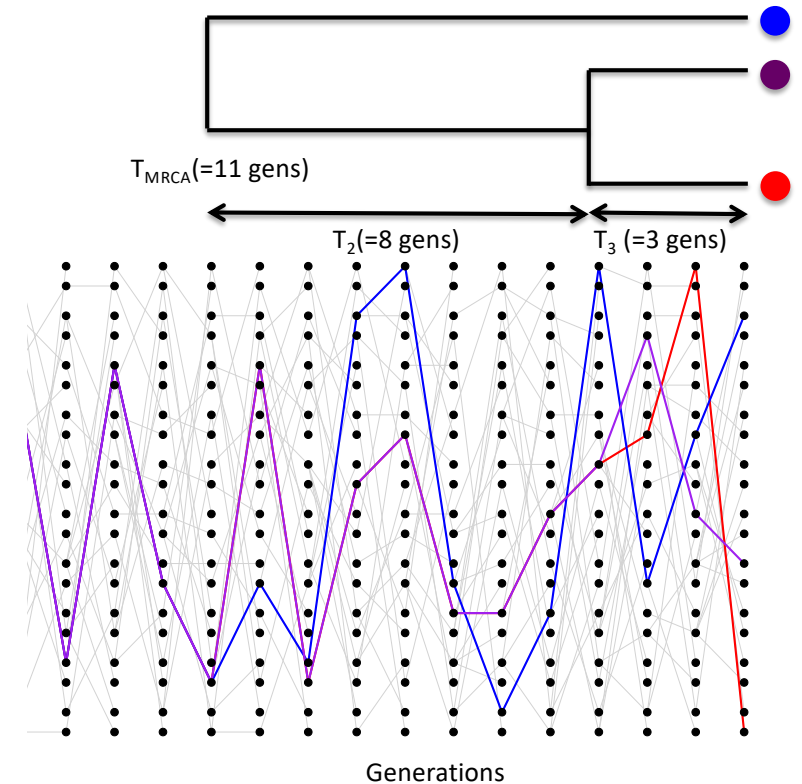
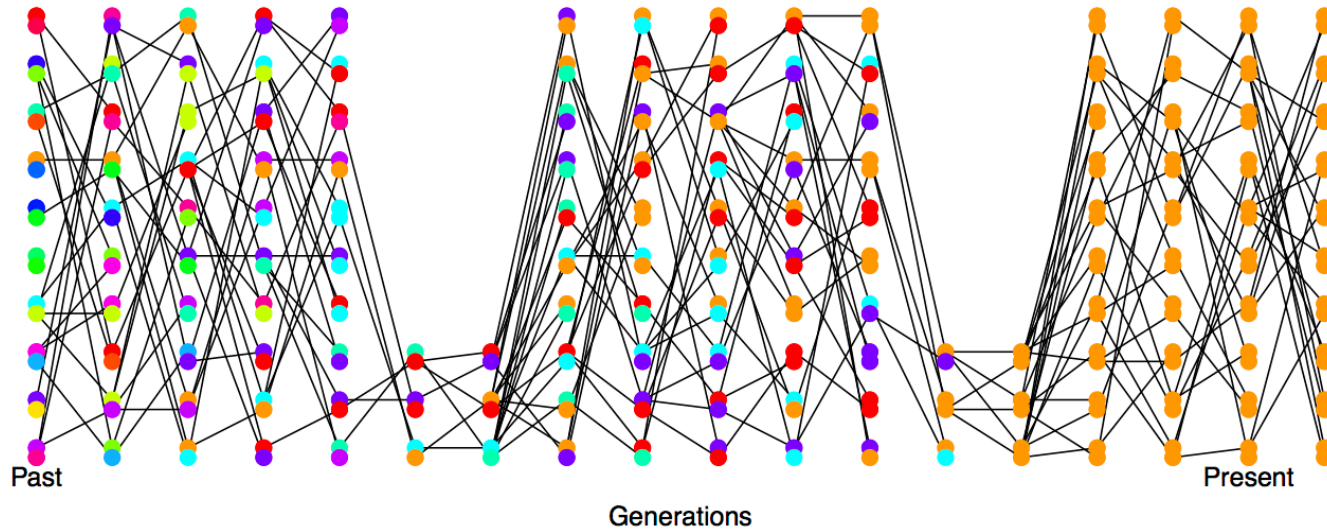
- After a pair of alleles coalesces or finds a common ancestor we merge these into this single ancestral allele and only consider it moving backwards, so our number of alleles becomes  $i - 1$
- This process continues until we coalesce back to a sample of 2 and then finally to the Most Recent Common Ancestor (MRCA)



# Coop, Chapter 4: 4.3.1

## Genetic Drift and Neutral Diversity

*Expected properties of coalescent genealogies and mutations*

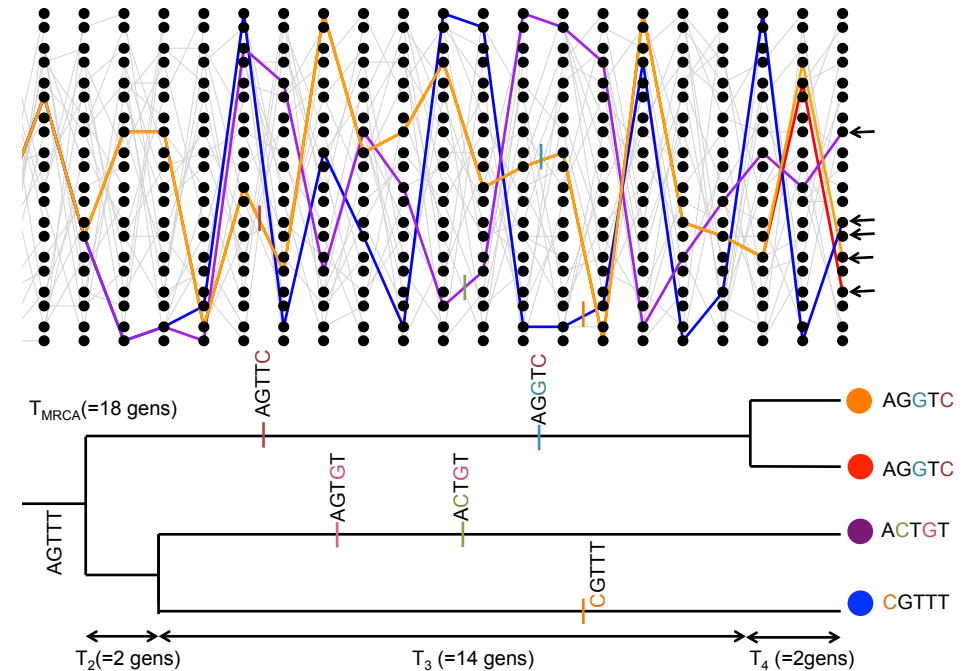


## 4.3.1 Coalescent genealogies and mutations

- A bit of math can help provide a simple expectation for the  $T_{MRCA}$  ; Let's work through this...
- First, let's consider the  $T_{MRCA}$  to be:

$$T_{MRCA} = \sum_{i=n}^2 T_i \quad (4.33)$$

where we are summing the time in generations from our full sample of alleles ( $i = n$ ) to 2 remaining alleles after all other alleles coalesce



## 4.3.1 Coalescent genealogies and mutations

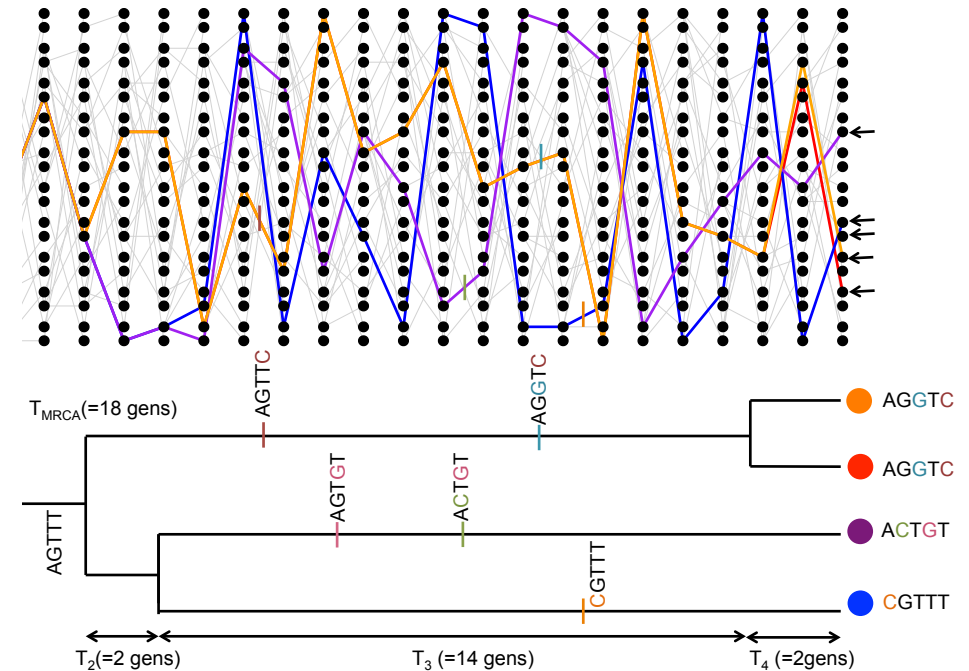
- Our coalescence time between each pair of alleles is independent, so our expected  $T_{MRCA}$  becomes:

$$\mathbb{E}(T_{MRCA}) = \sum_{i=n}^2 \mathbb{E}(T_i) = \sum_{i=n}^2 2N / \binom{i}{2} \quad (4.34)$$

- Some rearrangement of this equation yields the form:

$$\mathbb{E}(T_{MRCA}) = 4N \left(1 - \frac{1}{n}\right) \quad (4.35)$$

- And this reveals that as our sample size ( $n$ ) gets large, our  $T_{MRCA}$  is  $\approx 4N$

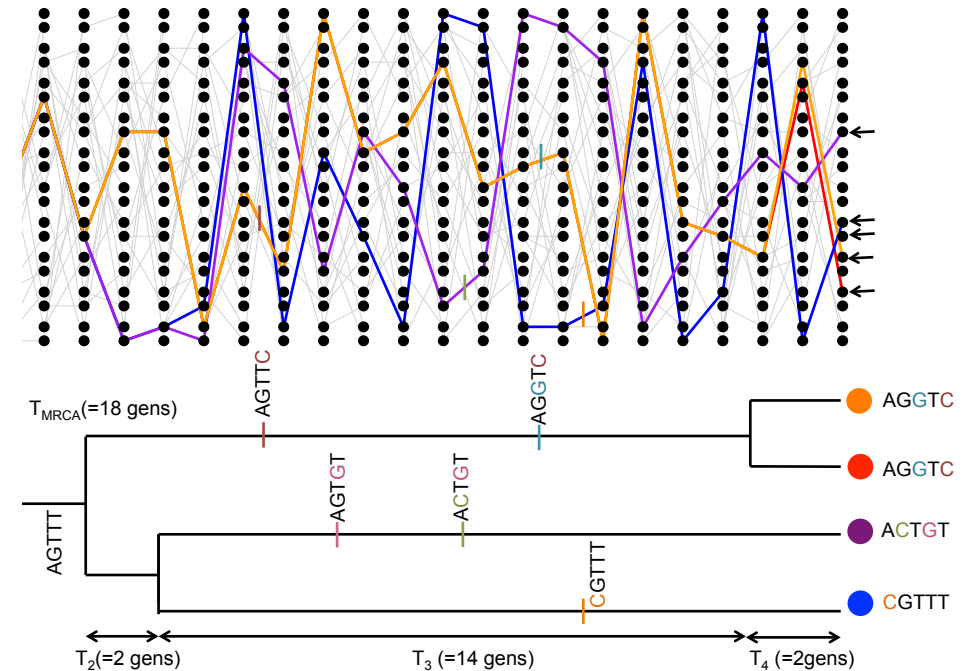


## 4.3.1 Coalescent genealogies and mutations

- While  $4N$  is the approximate number of generations until the  $T_{MRCA}$ , there are many more generations cumulatively in the genealogy
- Mutations will occur on all lineages within the genealogy, so it is important to be able to derive an expectation for the total time ( $T_{tot}$ )

$$T_{tot} = \sum_{i=n}^2 iT_i \quad (4.36)$$

- This means that each lineage ( $i$ ) contributes  $T_i$  time in generations to the total time



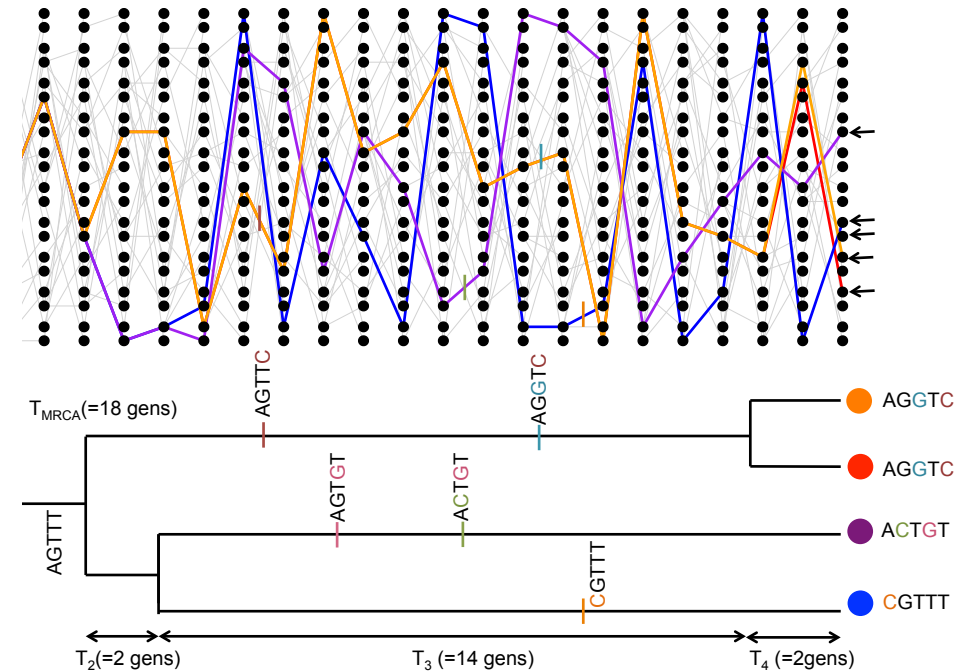


## 4.3.1 Coalescent genealogies and mutations

- The expectation for total time can then be found as:

$$\mathbb{E}(T_{tot}) = \sum_{i=n}^2 i \frac{2N}{\binom{i}{2}} = \sum_{i=n}^2 \frac{4N}{i-1} = \sum_{i=n-1}^1 \frac{4N}{i} \quad (4.37)$$

- From this expectation of  $T_{tot}$  we can learn that:
  - the total time scales linearly with the population size ( $N$ )
  - total time increases with sample size ( $n$ ), but very slowly
  - with large samples, initial coalescence happens rapidly and addition of more individuals does little to add to total time in the tree

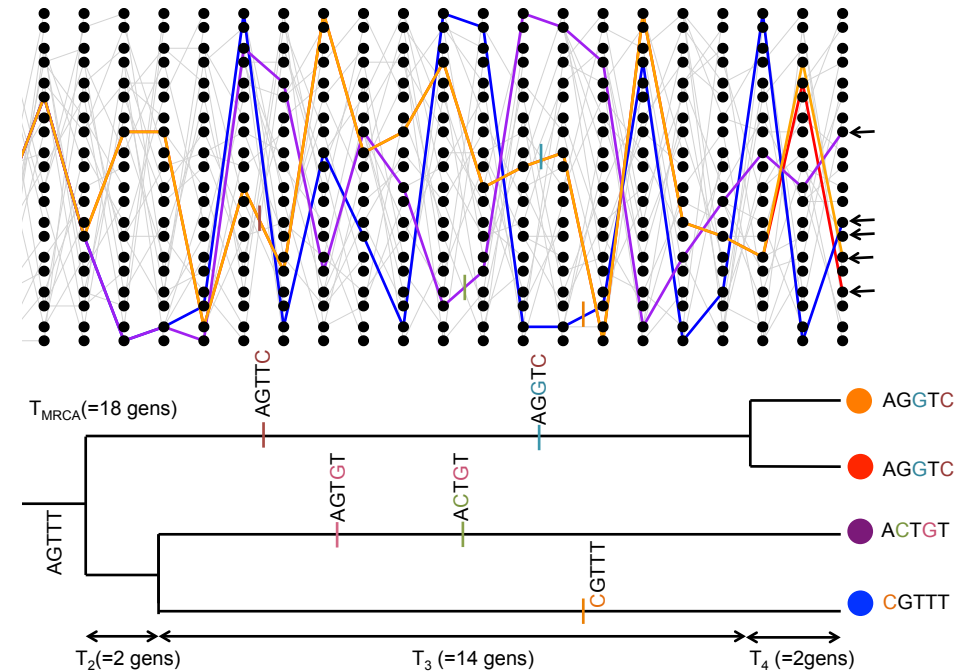


## 4.3.1 Coalescent genealogies and mutations

- Now that we have an expectation for  $T_{tot}$ , we can determine the number of mutations, or segregating sites ( $S$ ) that are found within our samples.
- The expected number of segregating sites in a sample of size  $n$  is:

$$\mathbb{E}(S) = \mu \mathbb{E}(T_{tot}) = \sum_{i=n-1}^1 \frac{4N\mu}{i} = \theta \sum_{i=n-1}^1 \frac{1}{i} \quad (4.38)$$

- Again, this value is growing very slowly with increasing sample size



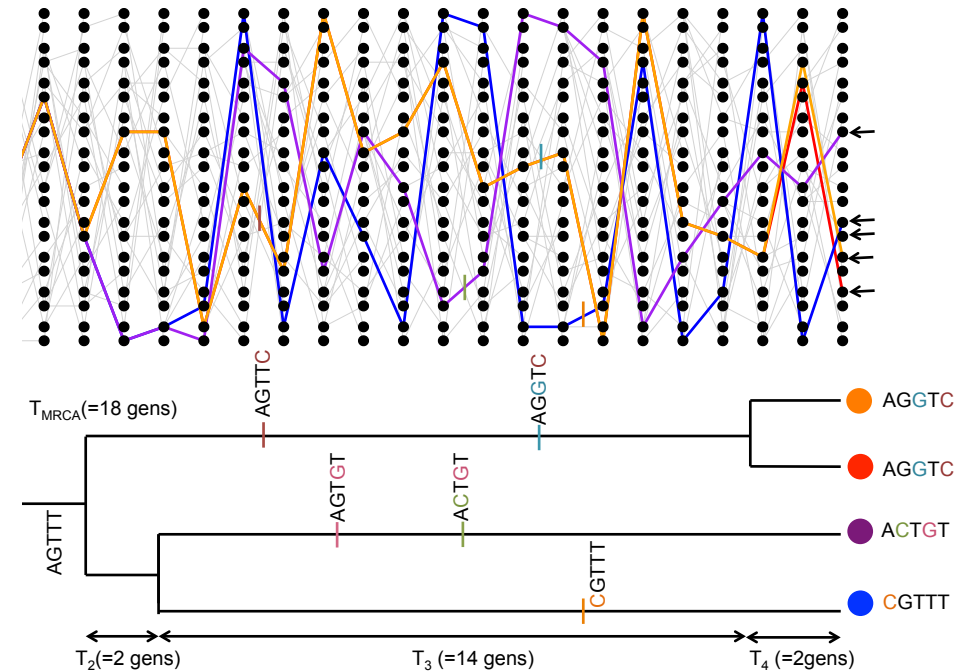


## 4.3.1 Coalescent genealogies and mutations

$$\mathbb{E}(S) = \mu \mathbb{E}(T_{tot}) = \sum_{i=n-1}^1 \frac{4N\mu}{i} = \theta \sum_{i=n-1}^1 \frac{1}{i} \quad (4.38)$$

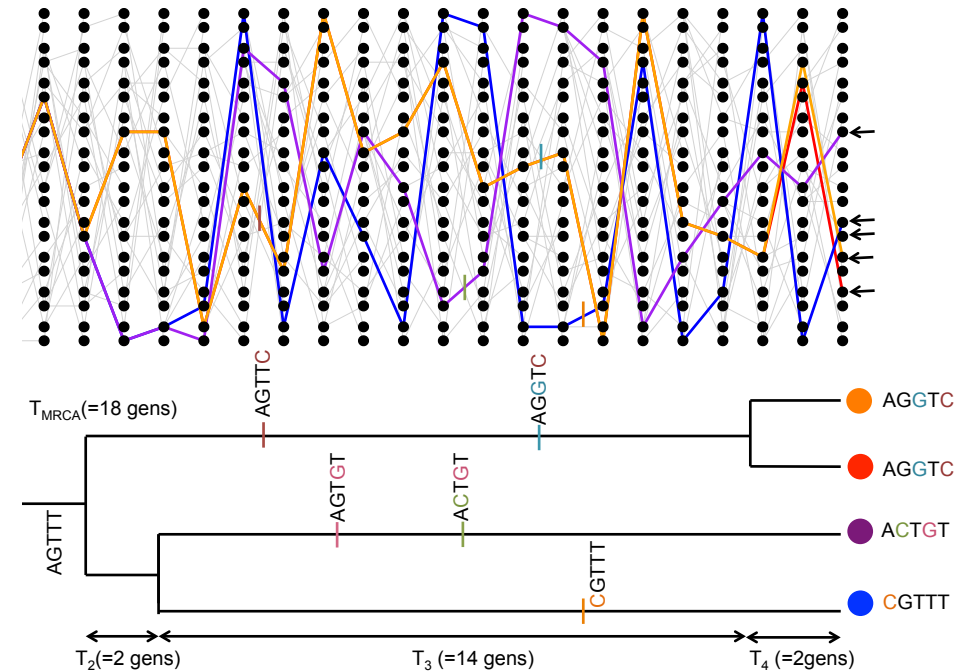
- This expectation of the number of segregating sites was used by Watterson to create another empirical estimate of  $\theta$
- If we substitute our empirical count of segregating sites in a sample, then:

$$\hat{\theta}_W = \frac{S}{\sum_{i=n-1}^1 1/i} \quad (4.39)$$



## 4.3.1 Coalescent genealogies and mutations

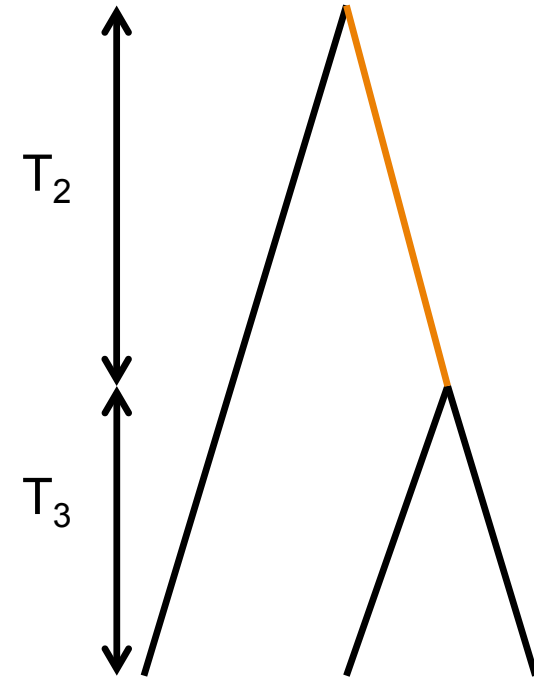
- Once we have our coalescent genealogy and have placed our mutations, we can determine the number of times each mutant (derived allele) will occur within a sample
- A mutation that falls on a branch with  $i$  descendants will have a frequency of  $i$
- For example, in the genealogy to the right, mutation AGTTC will have a frequency of 0.5 in this sample; it is a doubleton, occurring in 2 of the 4 individuals



### 4.3.1 Coalescent genealogies and mutations

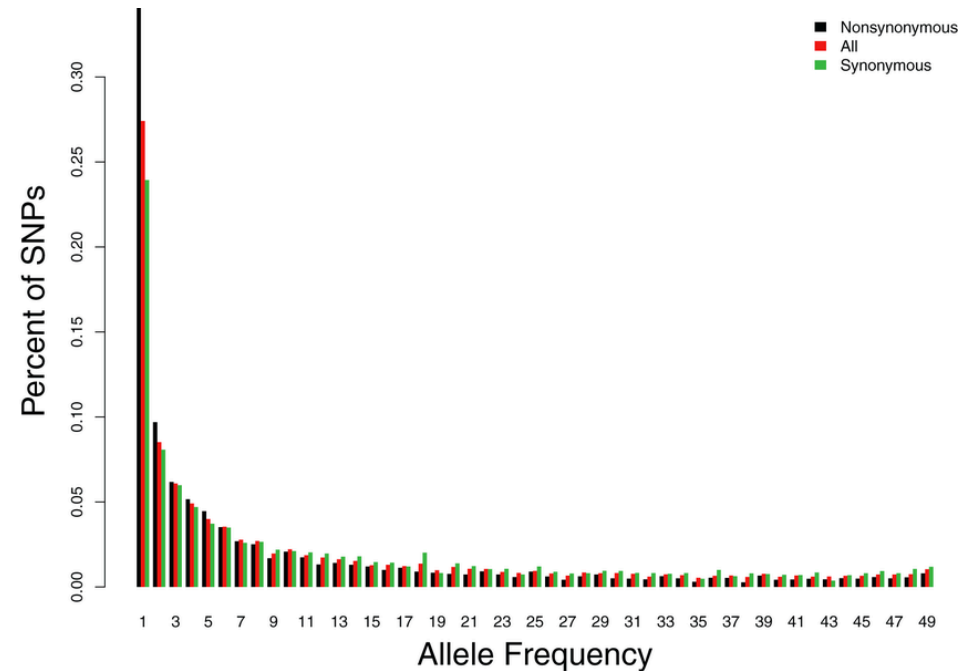
- To clarify, in the simple coalescent tree here with three samples, mutations that fall on the black branches will be singletons, but mutations that fall on the orange branch will be doubletons
- The total time in which a mutation creates a singleton will be  $3T_3 + T_2$  and the total time in which a mutation creates a doubleton will be  $T_2$
- Hudson (2015) wrote a simple proof to show that the relative frequency of singletons, doubletons, tripletons, etc... would be:

$$\mathbb{E}(S_i) = \frac{\theta}{i} \quad (4.41)$$



## 4.3.1 Coalescent genealogies and mutations

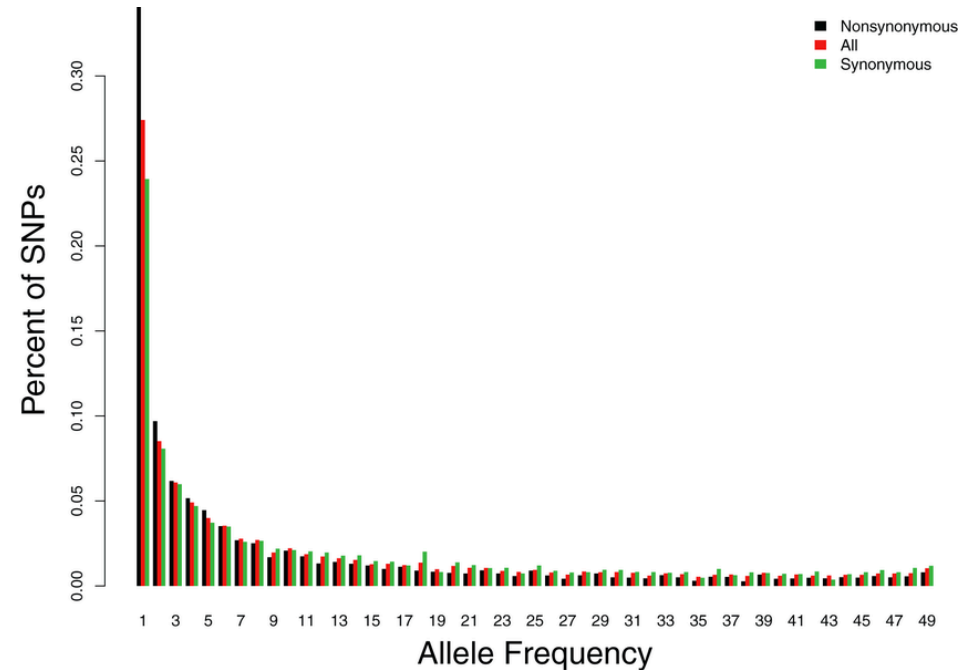
- This means there are twice as many singletons as doubletons, three times as many singletons as tripletons, etc...
- Empirical data back up this expectation that singletons are much more common than mutations/derived alleles at higher frequency
- Another important thing to know about singletons is that they are younger than mutations/derived alleles at higher frequency
- Based on our neutral expectation of the frequency of singletons, doubletons, tripletons, etc... we can construct a neutral site frequency spectrum (SFS)



## 4.3.1 Coalescent genealogies and mutations

- Population geneticists often compare an empirical site frequency spectrum (one they generate with experimental data) to the neutral expectation to see if they are significantly different and if a neutral null model can be rejected
- These tests can detect sudden population size changes or natural selection
- One of earliest tests that summarized deviation from neutrality in the SFS was Tajima's  $D$ :

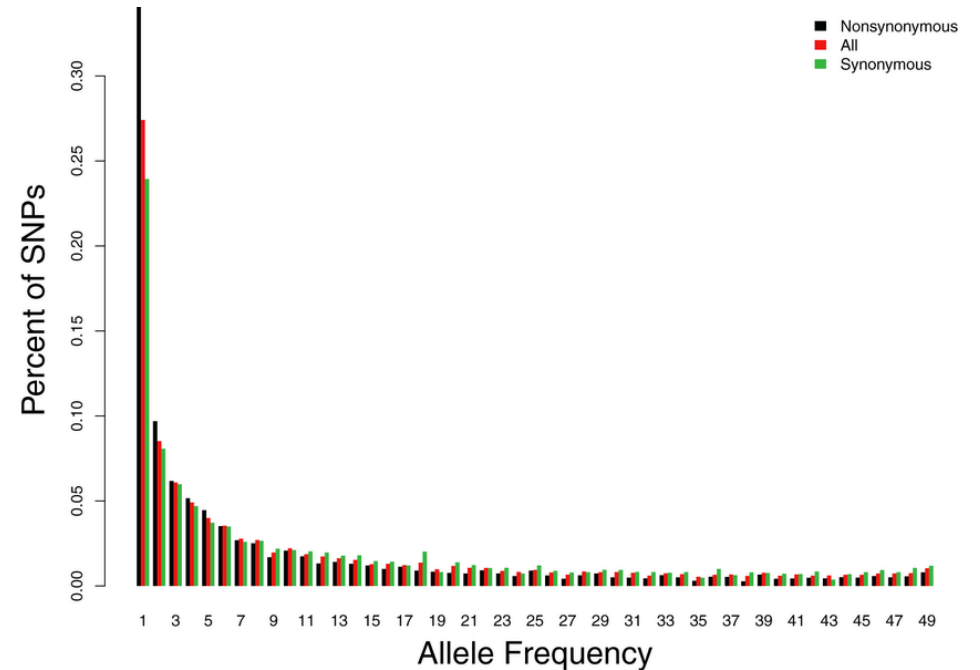
$$D = \frac{\hat{\theta}_{\pi} - \hat{\theta}_W}{C} \quad (4.43)$$



## 4.3.1 Coalescent genealogies and mutations

$$D = \frac{\hat{\theta}_{\pi} - \hat{\theta}_W}{C} \quad (4.43)$$

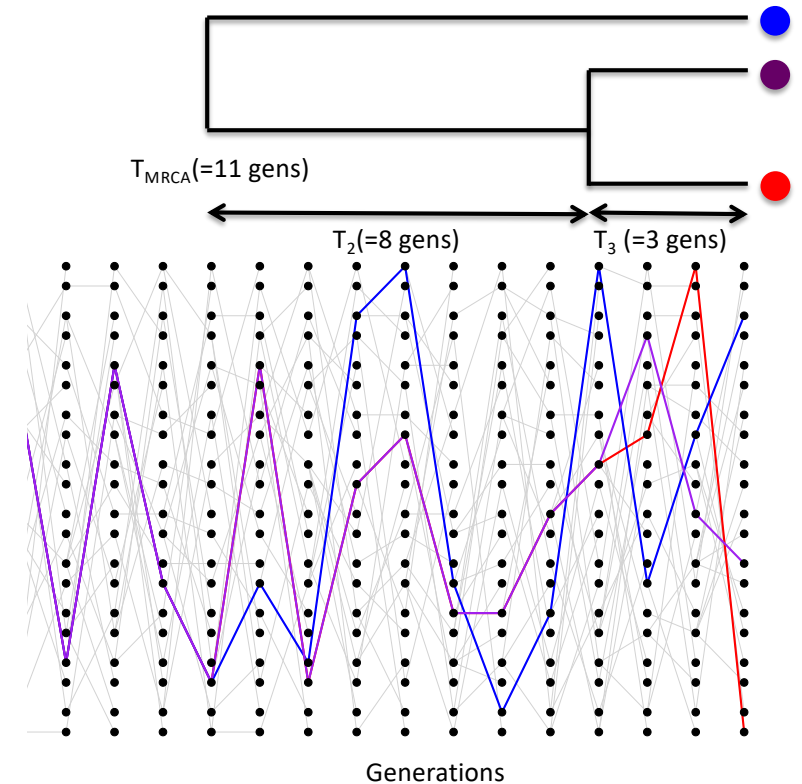
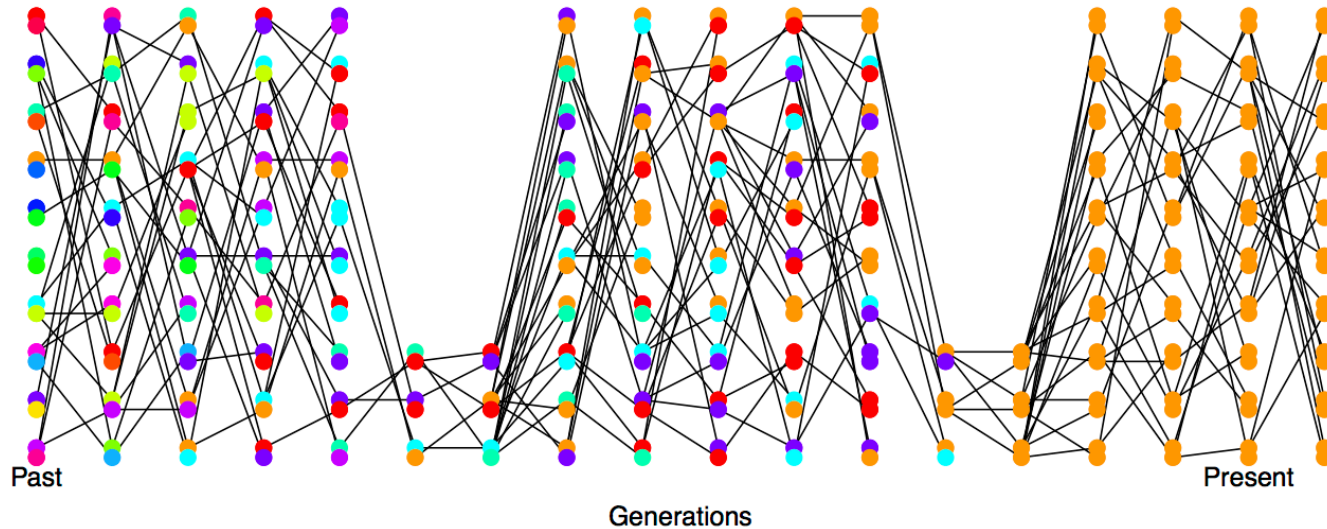
- An excess of rare variants (e.g., singletons) in the empirical data relative to the neutral expectation will result in a negative value for Tajima's  $D$
- An excess of intermediate-frequency variants in the empirical data relative to the neutral expectation will result in a positive value for Tajima's  $D$



# Coop, Chapter 4: 4.3.2

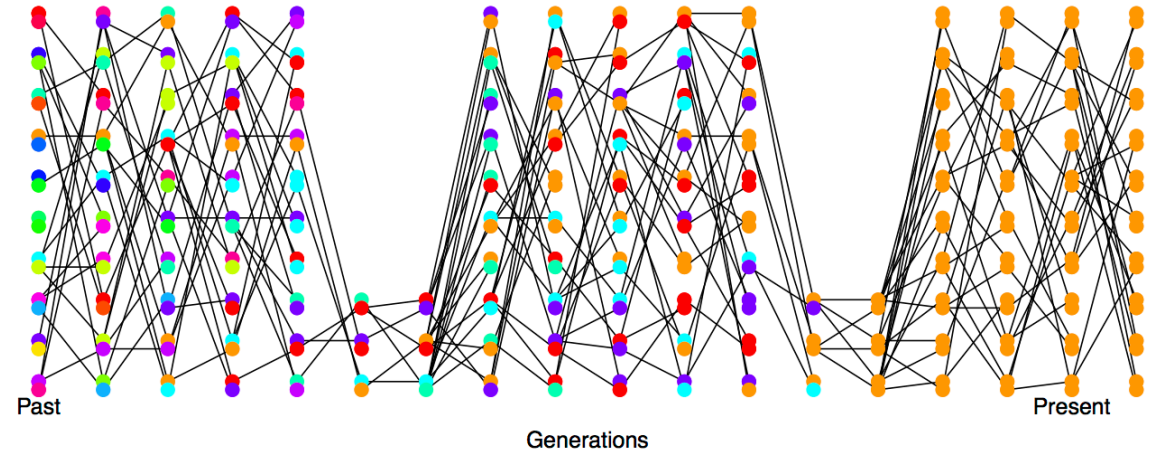
## Genetic Drift and Neutral Diversity

*Demography and the coalescent*



## 4.3.2 Demography and the Coalescent

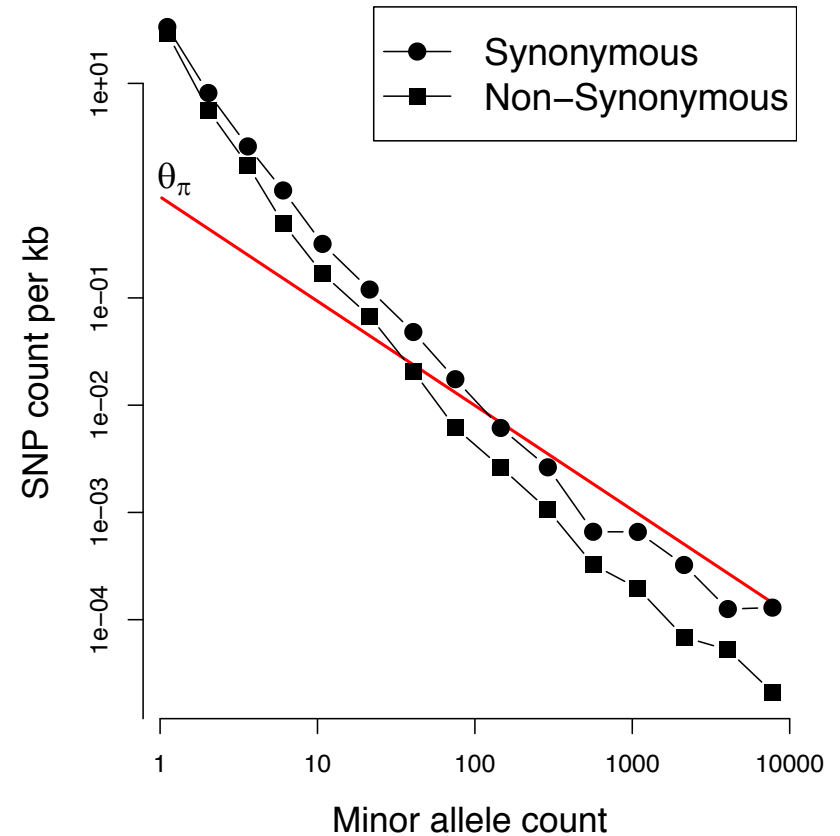
- We've seen in previous sections that the rate of loss of heterozygosity due to drift depends on the population size
- With the coalescent, we also know that if the population size in generation  $i$  is  $N_i$ , then the probability that a pair of lineages coalesces is  $1/2N_i$ ; if the population is small, then lineages will coalesce more quickly
- We can average over fluctuations in population size by using  $N_e$  rather than  $N$ , but longer-term, systematic changes will cause deviations away from expectations based on the neutral coalescent





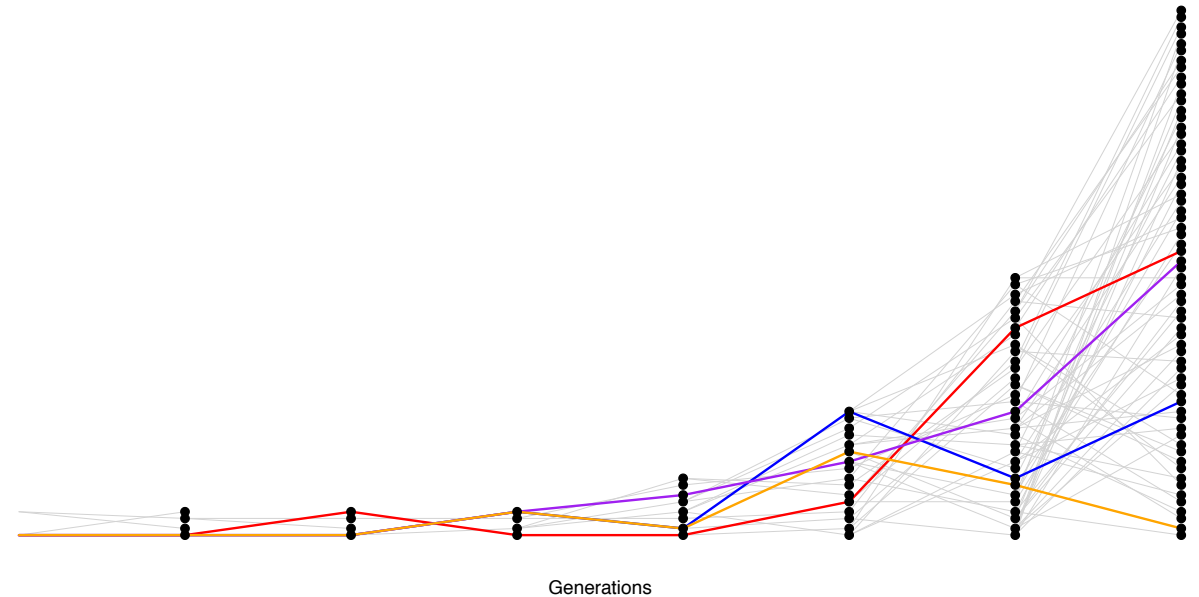
## 4.3.2 Demography and the Coalescent

- Take, for example, data from 202 genes in a large sample of humans ( $n = 14,002$ )
- The expectation for allele frequencies under the neutral coalescent is shown with the red line and the empirical data are in black for both synonymous and non-synonymous sites
- There are many more rare alleles in the empirical human data than we would expect, but common alleles roughly match the neutral expectation



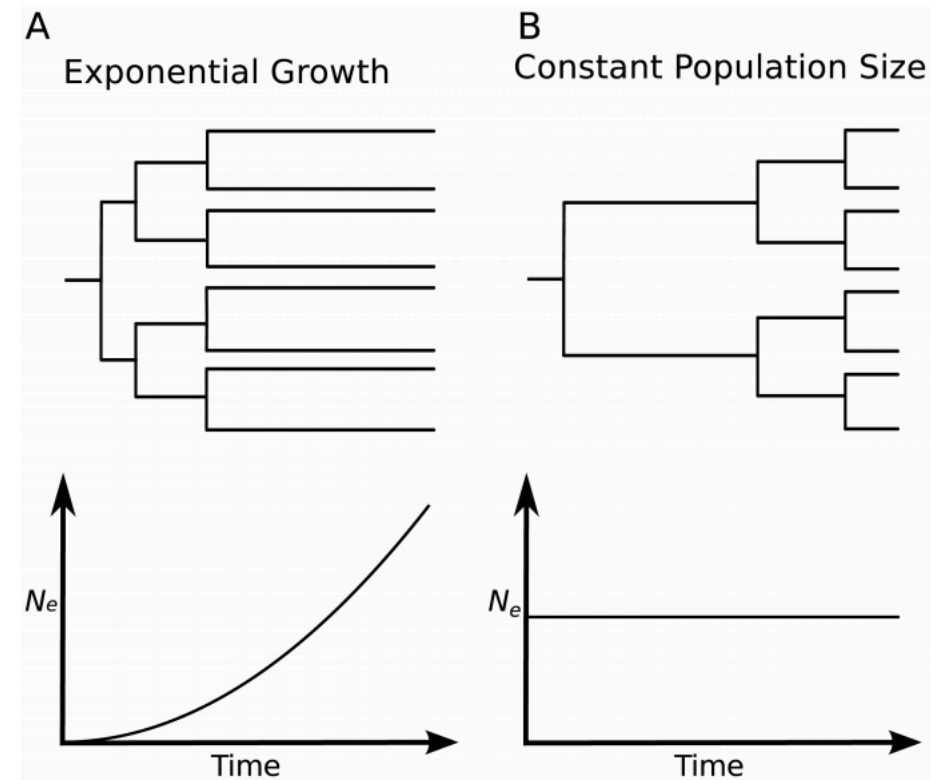
## 4.3.2 Demography and the Coalescent

- These patterns likely reflect the recent explosive population growth in humans over the last 1,000-10,000 years to a global population of > 7 billion
- The genetic diversity in humans is much smaller than would be expected based on this large census size due to our smaller ancestral population
- In an expanding population, most of the coalescence events happen further back in time in the tree



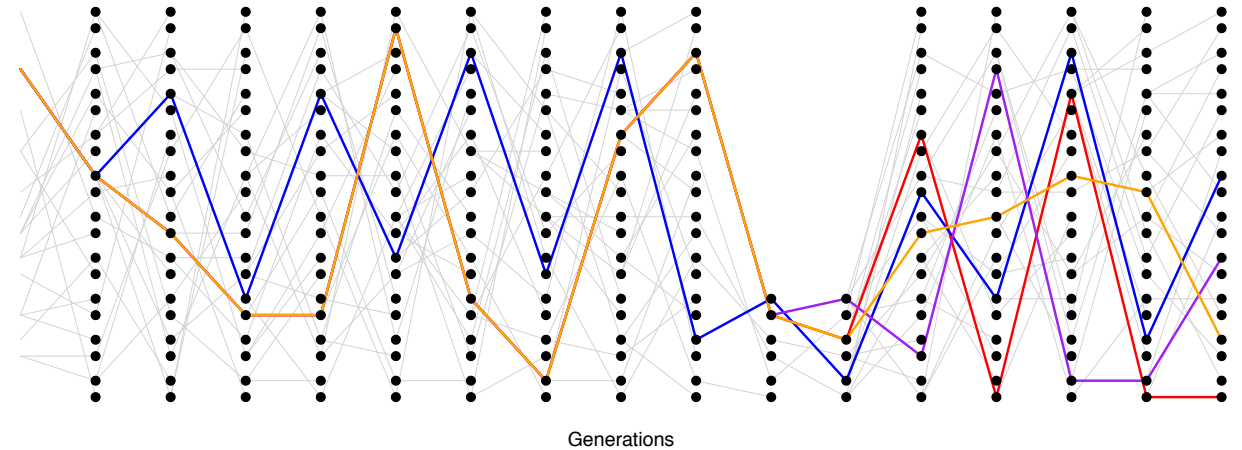
## 4.3.2 Demography and the Coalescent

- Relative to the neutral coalescent, with expanding populations, lineage time is compressed further back in the tree where older, common mutations arise
- Branches toward the present where rare mutations arise are longer than constant-sized populations
- This explains why we see an excess of singletons in human populations



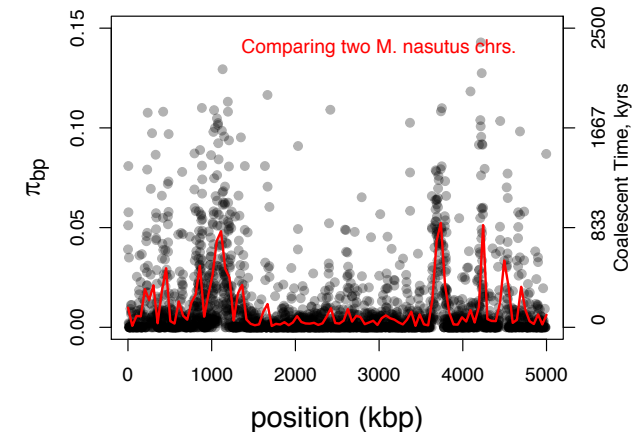
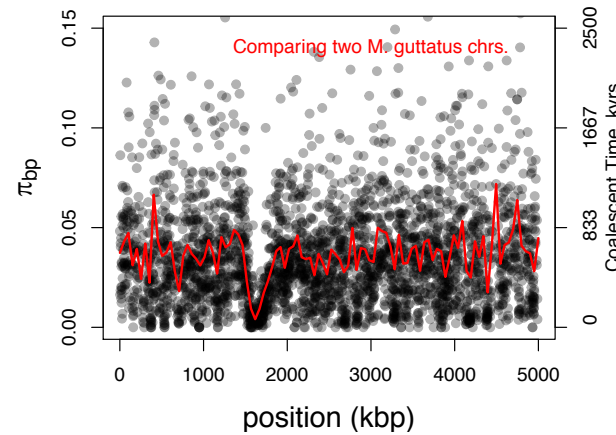
## 4.3.2 Demography and the Coalescent

- **Population bottlenecks** are another demographic deviation from expectations under the neutral coalescent
- When looking back in time at patterns, very rapid coalescence occurs during the bottleneck
- If the bottleneck is strong enough, all lineages coalesce and the SFS a few generations later looks a lot like population expansion (many rare alleles)



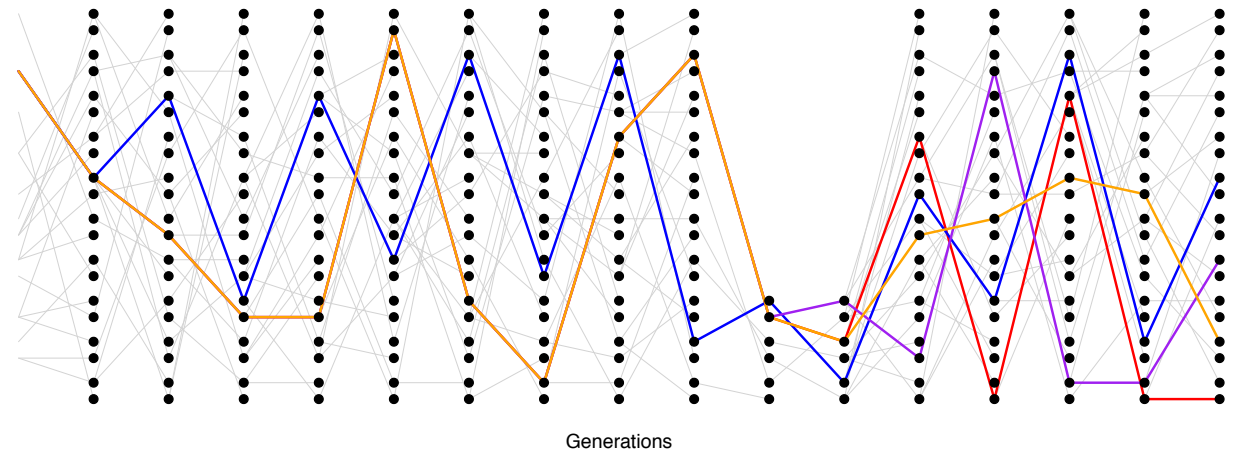
## 4.3.2 Demography and the Coalescent

- If multiple lineages survive the bottleneck, then, within the population, there will be a subset of lineages with very deep coalescent time
- For example *Mimulus nasutus* is a selfing species recently derived from *M. guttatus*; *M. nasutus* has recently gone through a bottleneck
- While low nucleotide diversity is observed across the majority of *M. nasutus* chromosomes, high diversity regions can be found where multiple lineages made it through the bottleneck

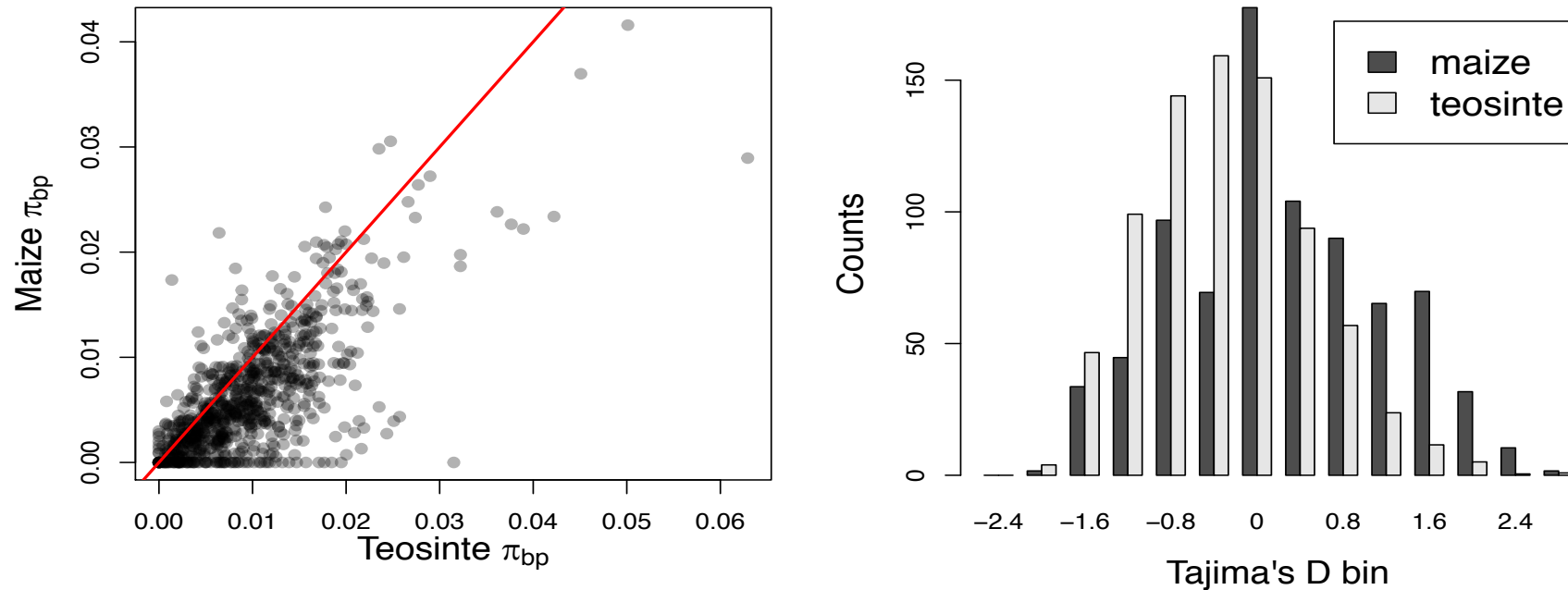


## 4.3.2 Demography and the Coalescent

- Maize is a good example of a species that went through a recent, mild bottleneck (caused by domestication from the wild plant teosinte)
- Multiple lineages survived the bottleneck and these have deep coalescence times like the orange and blue lineages in the figure to the right
- This causes an excess of older, more common alleles relative to the neutral expectation and therefore shifts Tajima's  $D$  to positive values



## 4.3.2 Demography and the Coalescent



- Nucleotide diversity measured by  $\theta_{\pi}$  is lower in maize than teosinte due to the genetic bottleneck
- Tajima's  $D$  values are shifted toward more positive values in maize relative to teosinte because this was a more mild bottleneck and multiple, old lineages survived