# ORCID@PolyU: the journey so far
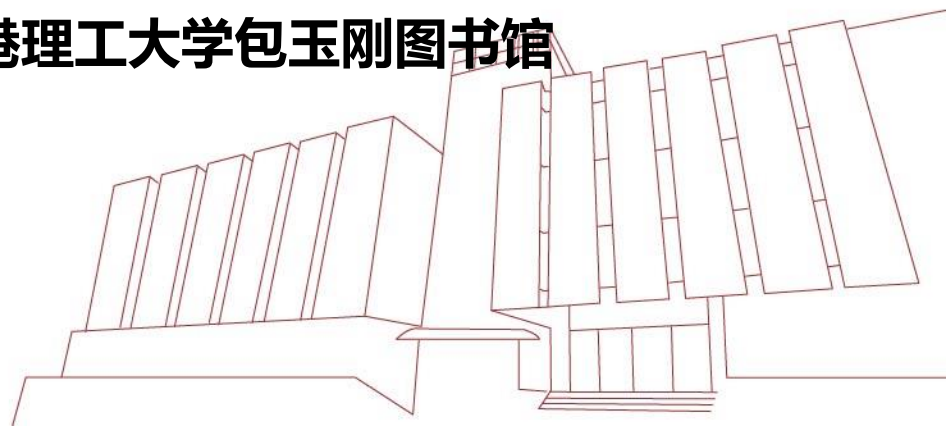
# ORCID@PolyU: 回顾与展望

By:

Janice CHIA, Head (Research Support), Pao Yue-kong Library,
Hong Kong Polytechnic University

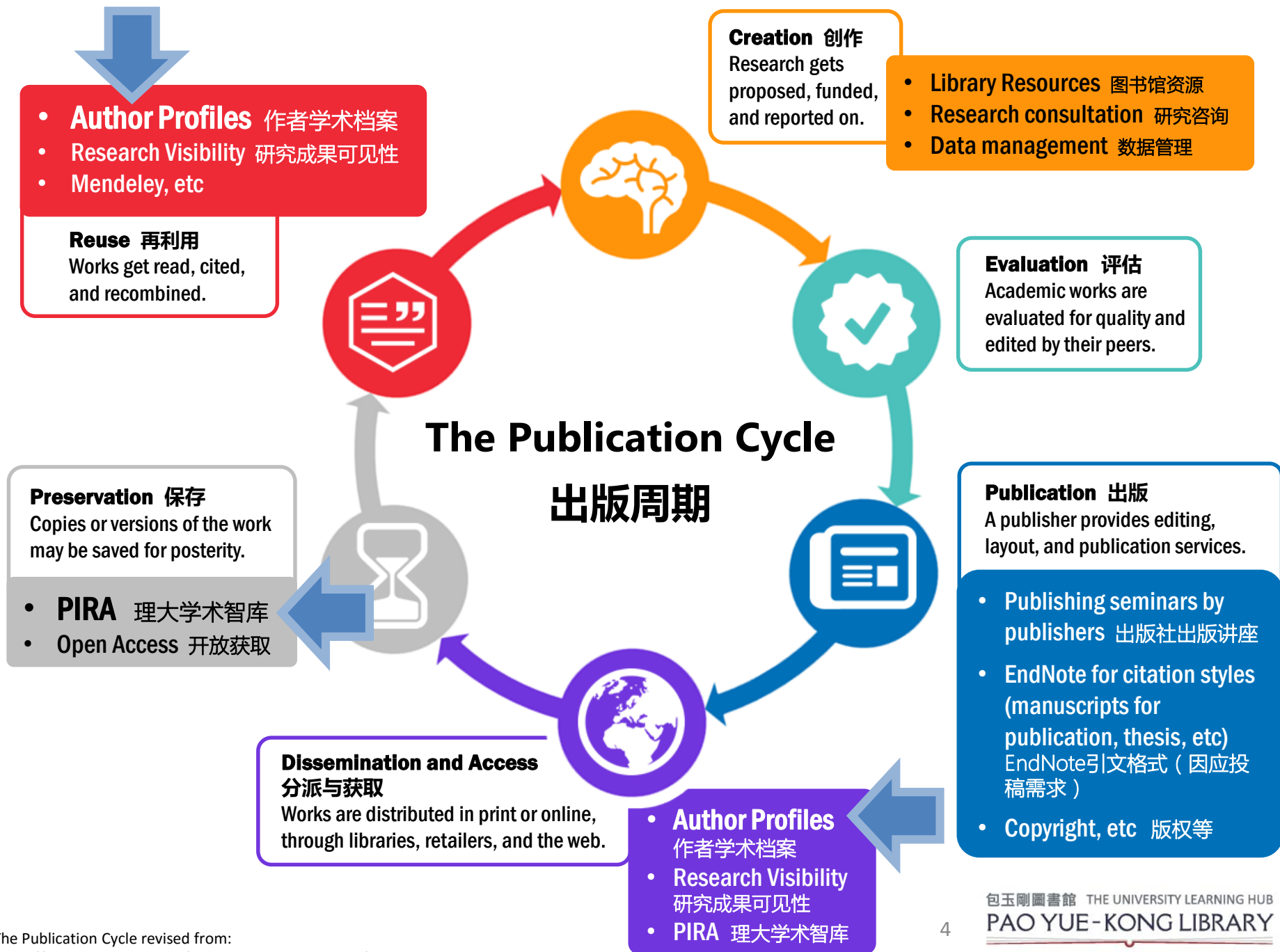谢佳燕，部门主任（研究支援），香港理工大学包玉刚图书馆

19 June 2017

# Agenda 议程

- **Research Support by the Library**
  理大图书馆的研究支援服务

- **ORCID @ PolyU**
  - **Our journey so far** 回顾以往
  - **Upcoming plans** 近期的计划

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# Research Support by the Library
# 理大图书馆的研究支援服务

Provide services to support the **research needs** and **scholarly communication needs** of faculty and research students

为教职员及学生提供支援以满足其**科学研究**及**学术交流**方面的需求

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# The Publication Cycle
# 出版周期

**Creation 创作**
Research gets proposed, funded, and reported on.

- **Library Resources** 图书馆资源
- **Research consultation** 研究咨询
- **Data management** 数据管理

**Evaluation 评估**
Academic works are evaluated for quality and edited by their peers.

**Publication 出版**
A publisher provides editing, layout, and publication services.

- **Publishing seminars by publishers** 出版社出版讲座
- **EndNote for citation styles (manuscripts for publication, thesis, etc)** EndNote引文格式（因应投稿需求）
- **Copyright, etc** 版权等

**Dissemination and Access 分派与获取**
Works are distributed in print or online, through libraries, retailers, and the web.

- **Author Profiles** 作者学术档案
- **Research Visibility** 研究成果可见性
- **PIRA** 理大学术智库

**Preservation 保存**
Copies or versions of the work may be saved for posterity.

- **PIRA** 理大学术智库
- **Open Access** 开放获取

**Reuse 再利用**
Works get read, cited, and recombined.

- **Author Profiles** 作者学术档案
- **Research Visibility** 研究成果可见性
- **Mendeley, etc**

The Publication Cycle revised from:
https://library.uwinnipeg.ca/scholarly-communication/index.html

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June 2017

# Author Profiles: the many we know
## 我们所了解的作者学术档案

ORCID

Connecting Research and Researchers

**Scopus** Author ID

RESEARCHERID

WEB OF SCIENCE™

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# Why are Author Profiles important?
# 为什么学术档案很重要？

To facilitate accurate retreival of publications in **Scopus** and **Web of Science** of authors to allow:

- Works to be discovered by other researchers

- Proper attribution given to the author/researcher

- Has a direct impact on period assessments & performance measures for authors/ departments (eg: total citation counts; h-index; etc)

帮学者准确地将论著从**Scopus** 和 **Web of Science** 提取并展现出来，可以：

- 让论著更易被其他学者发掘到

- 帮助作者/学者匹配其名下所有的论著

- 对作者及学院的阶段评估和绩效考核有直接影响（比如：总引用次数，h指数等）

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# ORCID registration at PolyU
# 理大ORCID注册

In February 2015, the University had <u>required</u> all academic staff to register for an ORCID ID with technical assistance and training provided by the Library.

2015年2月，大学要求所有学术人员注册ORCID，与此同时图书馆会提供技术方面的支持以及相关培训。

By April 2015, with the Library's assistance, over 97% of academic staff and 96% of research personnel had registered for an ORCID ID.

截至2015年4月，在图书馆的帮助下，超过97%的学术人员以及96%的研究人员已经注册了ORCID。

# Staff received email with personalized ORCID registration hyperlinks

# 注册链接发至邮箱 教职员通过学校账号登陆注册ORCID

Please click the button below to register, or connect your existing ORCID account to the PolyU on or before 13 March 2015.

**Click the link to access platform**

点击链接即可进入注册平台

iD Create / Connect your ORCID iD

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# User-friendly platform for ORCID registration
# 注册平台简单易操作

## ORCID

### Pao Yue-kong Library, The Hong Kong Polytechnic University ❓

has asked for the following access to your ORCID Record

Read your ORCID record
Update your affiliations
Update your biographical information
Add works
Update your works
Add funding items
Add a person identifier
Update your funding items
Add education or employment

☑ Allow this permission until I revoke it.
You may revoke permissions on your account settings page. Unchecking this box will grant permission this time

This application will not b
private info in your ORC

**Just 3 steps for registration to be completed in less than 2 minutes**

**只需三步，2分钟内完成注册**

**Pre-filled personalized information**
**已经填好的个人信息**

| | |
|---|---|
| First name | Scholar ❓ |
| Last name | TSE |
| Email | scholar@polyu.edu.hk |

Re-enter email

Create an ORCID password

Confirm password

**1**

Your ORCID iD connects with your ORCID Record that can contain li
your research activities, affiliations, awards,
more. You control this content and who ca

Please fill in this part

By default, who should be able to see information added to your ORCID Record?

👥 🔑 🔒 ❓

### Email frequency

The ORCID registry provides notifications about things of interest, like changes to your ORCID record and new and events. How often would you like these notifications delivered to you via email?

Weekly summary ▼

**2** ✓ I'm not a robot
reCAPTCHA
Privacy - Terms

Terms of Use *

☑ I consent to the privacy policy and terms and conditions of use, including agreeing to my data being processed in the US and being publicly accessible where marked Public.

Please tick this box

**3**

Deny   Authorize

# Departmental ORCID Coordinators
## 部门ORCID协调员

- Each academic department has **a designated administrative staff** to coordinate ORCID ID registration

- **Role**: work with the Library to facilitate and accelerate the registration process

- The Library works with ORCID coordinators to:
  - Learn and support any issues or concerns researchers may have during the registration process
  - Cater to individual department needs

- 每一个学术部门都有**一名指定行政人员**来协助ORCID注册

- **职责**：与图书馆合作，协助并加速注册过程

- 图书馆指导ORCID协调员：
  - 了解和支援研究人员注册过程中可能出现的任何问题
  - 满足各部门个性需求

# Author Profiles and Author Pages
# 作者学术档案及学院主页

# Author Profiles and Author Pages
## 作者学术档案及学院主页

**So many profiles & websites but so little time**

So we started asking these questions:

- Which profile is more important for the researcher?
- How can the library help make it easier for the researcher?
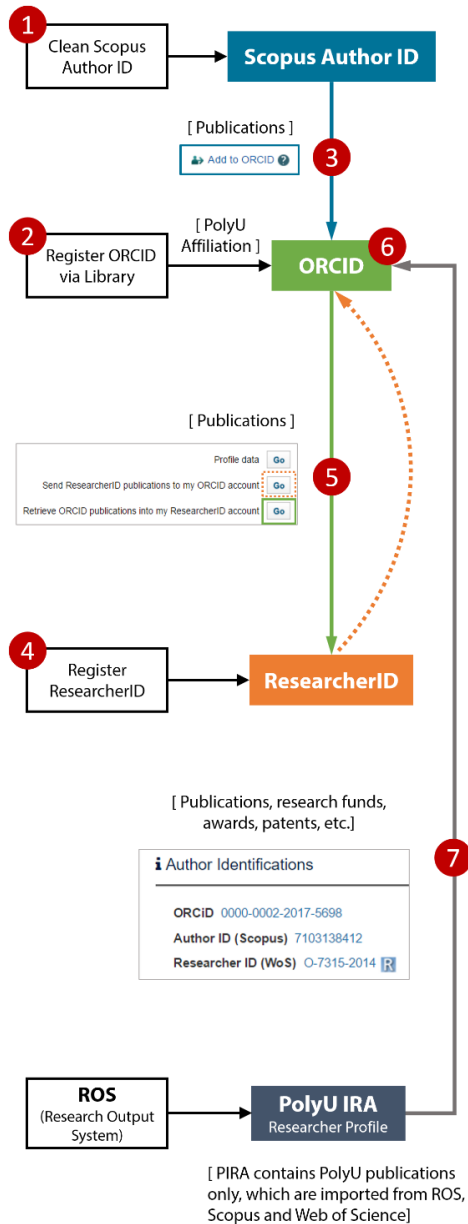
**这么多学术档案和主页，却没太多时间去管理**

于是我们开始思考：

- 哪一个档案对学者来说更重要？
- 我们应该如何提供支持来帮学者减轻管理档案的负担？

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## Author Profile Management Roadmap for PolyU

**1** Clean Scopus Author ID → **Scopus Author ID**

[ Publications ]
**3** Add to ORCID ?

**2** Register ORCID via Library

[ PolyU Affiliation ] → **ORCID** **6**

[ Publications ]

Profile data Go
Send ResearcherID publications to my ORCID account Go
Retrieve ORCID publications into my ResearcherID account Go
**5**

**4** Register ResearcherID → **ResearcherID**

[ Publications, research funds, awards, patents, etc.]

**i** Author Identifications
ORCiD 0000-0002-2017-5698
Author ID (Scopus) 7103138412
Researcher ID (WoS) O-7315-2014
**7**

**ROS** (Research Output System) → **PolyU IRA** Researcher Profile

[ PIRA contains PolyU publications only, which are imported from ROS, Scopus and Web of Science]

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### Stage 1: Scopus-ORCID Integration
(Completed)

**1** Register ORCID via library or relink existing ORCID with PolyU email address (to authorize library to update ORCID profile in future)

**2** Clean Scopus Author profile (merge multiple Scopus Author IDs; add missing publications to or remove wrong publications from the unique Author ID via Scopus Author Feedback wizard)

**3** Add publications from Scopus Author ID to ORCID (through integrating Scopus Author ID with ORCID) Add to ORCID ?

### Stage 2: ORCID-ResearcherID Integration
(Ongoing based on request)

**4** Register ResearcherID (if haven't done so), or update existing ResearcherID profile (affiliation changed to PolyU)

**2** Continue to clean Scopus Author ID and **3** add publications from Scopus to ORCID if haven't done so Go

**5** Add publications from ORCID to ResearcherID (through integrating ResearcherID with ORCID)

**6** Check ORCID profile (remove duplicate records, relink publication DOI or URL if missing, through e.g. CrossRef Metadata Search)

### Stage 3: PolyU IRA-ORCID Integration
(2017 onwards)

**7** Add publications from PolyU IRA to ORCID (through API in phases) API is able to send selected records to ORCID:

1. Phase 1: Empty records for Group 2 (authorized library + not integrated with Scopus)

2. Phase 2: Update PIRA records of all profiles in Group 2 & Group 1 (authorized library + integrated with Scopus) + regularly update ORCID

3. Phase 3: Encourage Group 3 (have not authorized library) to give library authorization + get all Groups 1 – 3 to deposit full text to PIRA

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# Relationship between Author Profiles & PIRA

## 学术专页与理大学术智库的关系

We drew out a roadmap to better understand the relationships between the different author profiles. It also shows action required by both the Library and Researchers.

为了帮助理解各学术档案之间的关系，我们画了一个路线图，包括图书馆及学者需要完成的步骤。

# Author Profile Management Roadmap for PolyU

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PAO YUE-KONG LIBRARY

**①** Clean Scopus Author ID → **Scopus Author ID**

[ Publications ]

**③** Add to ORCID ❓

**②** Register ORCID via Library

[ PolyU Affiliation ] → **ORCID** **⑥**

[ Publications ]

Profile data **Go**
Send ResearcherID publications to my ORCID account **Go** **⑤**
Retrieve ORCID publications into my ResearcherID account **Go**

**④** Register ResearcherID → **ResearcherID**

[ Publications, research funds, awards, patents, etc.]

ℹ **Author Identifications** **⑦**

ORCiD 0000-0002-2017-5698
Author ID (Scopus) 7103138412
Researcher ID (WoS) O-7315-2014 ℝ

**ROS**
(Research Output System) → **PolyU IRA**
Researcher Profile

[ PIRA contains PolyU publications only, which are imported from ROS, Scopus and Web of Science]

## Stage 1: Scopus–ORCID Integration (Completed)
## 第一阶段：Scopus与ORCID平台的整合 （已完成）

Jan – Mar 2016: Scopus Author ID Clean up
May – Nov 2016: Guides, Clinics, Briefing sessions for DOCs

## Stage 2: ORCID–ResearcherID Integration (Ongoing based on request)
## 第二阶段：ORCID与ResearcherID平台的整合 （按需提供）

Guides & consultation sessions

## Stage 3: PolyU IRA–ORCID Integration (Apr 2017 onwards)
## 第三阶段：PolyU IRA与ORCID平台的整合 （2017年4月开始进行中）

- **Phase 1:** Enrich empty ORCID profiles with PIRA records [Apr – Oct 2017]
- **Phase 2:** Regularly enrich all ORCID profiles (authorized) with PIRA records [Oct 2017 onwards]
- **Phase 3:** Encourage those who have not given Library authorization to do so [Oct 2017 onwards]

PolyU IRA contains research output of all PolyU academic staff. This is in addition to the staff publications that are indexed in Scopus and WoS.
理大学术智库囊括理大所有教职员的学术成果。对于那些论文非Scopus及WoS检索的情况，理大智库提供了一个良好的补充。

**Eric Ka Wai CHENG**

**ORCID ID**
orcid.org/0000-0003-2615-5169

**Also known as**
Eric Cheng, CHENG, Eric Ka Wai

**Country**
Hong Kong SAR China

**Keywords**
Power Electronics, Electric Vehicle, Aeropsace, Switched Reluctance Motor, Drives

**Websites**
http://www.ee.polyu.edu.hk/en/people_detail.php?name=EricKa-waiCHENG&cid=1&id=34

PIRA

**❤ Education (1)**                                        ⇅ Sort

University of Bath: Bath, Bath and North East Somer, United Kingdom
1984 to 1990

BSc, PhD (Electronic and Electric Engineering)

Source: Eric Ka Wai CHENG                    Created: 2015-04-12

**❯ Employment (7)**

**❯ Funding (9)**

**❤ Works (381)**                                          ⇅ Sort

An automatic switched-capacitor cell balancing circuit for series-connected battery strings
Energies
2016-01-01 | journal-article
DOI: 10.3390/en9030138
EID: 2-s2.0-84962840799
HANDLE: 10397/61220
WOSUID: WOS:000373625500006
URL: http://ira.lib.polyu.edu.hk/handle/10397/61220

Source: Pao Yue-kong Library, Hong Kong Polytechnic University        ☑ Preferred source

**Links to PolyU Institutional Research Repository**

链接到理大学术智库

**Works are automatically added via trusted sources (eg: publishers, databases, PolyU Library, etc)**

学术论著可以通过可信赖的组织（包括出版社、数据库、理大图书馆等）自动导入到档案中

# ORCID Profile
## ORCID档案

**Patrick S.W. Fong**

**ORCID ID**
~~~~~~~~ 98

**Efficient access to publication output for administration & visibility (for institutions & authors)**

快速获取学术论著，有助于提升作者及其论著的可见性，亦有益于大学管理工作

ResearchGate

**Other IDs**
Scopus Author ID: 7103138412
ResearcherID: O-7315-2014

**❤ Employment (1)**    ⬍ Sort

The Hong Kong Polytechnic University: Hung Hom, Hong Kong SAR China
1994-09 to present

Associate Professor / Associate Head (Partnership) (Department of Building & Real Estate)

Source: Patrick S.W. Fong          Created: 2014-08-05

**❤ Works (50)**    ⬍ Sort

Online Learners' Reading Ability Detection Based on Eye-Tracking Sensors
Sensors
2016-09 | journal-article
DOI: 10.3390/s16091457
URL: http://www.mdpi.com/1424-8220/16/9/1457

Source: MDPI Submission System          ☑ Preferred source

Planned be~~~~ of tourism students' entrepreneurial
inten~~~~~~~~
Appli~~~~
2016 |
DOI:
EID: 2
URL:                                              N8..

**Works are automatically added via trusted sources (eg: publishers, databases, PolyU Library, etc)**

学术论著可以通过可信赖的组织（包括出版社、数据库、理大图书馆等）自动导入到档案中

# Future Developments...
# 未来方向…

- **Increase in adoption of ORCID in research administration, in both Faculty level and University level**

  - **ORCID iD listed in Departmental staff pages**

  - **To facilitate retrieval of publications for the purposes of review, assessment, reporting, etc.**

  - **Integration into other Current Research Information Systems for University wide research administration**

- 在学者层面及学校层面继续加强ORCID在科研管理方面的应用

  - 学院教职员主页增添ORCID信息

  - 帮助学者更易更准确地取得学术论著以作审查、评估及报告等用途

  - 与其他当前科研信息系统(CRIS)的整合以促进大学整体科研管理效力

# Thank you!
# 谢谢!

✉ **janice.chia@polyu.edu.hk**

**PolyU Library LibGuides:**

Researcher Profiles (http://libguides.lb.polyu.edu.hk/research_visibility/researcherprofile)

ORCID (http://libguides.lb.polyu.edu.hk/orcid)

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